

**Functional Assessment of Biomarkers  
in Gemcitabine-Treated Pancreatic Cancer with  
Specific Focus on Nucleoside Transporter ENT1**

**Doctoral Thesis**

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Here I declare that my doctoral thesis entitled “*Functional Assessment of Biomarkers in Gemcitabine-Treated Pancreatic Cancer with Specific Focus on Nucleoside Transporter ENT1*” has been written independently with no other sources and aids than quoted.

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*Göttingen, August 2013*

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## **List of Publications**

FLOREZ, L. A., **S. F. ROPPEL**, A. G. SCHMEISKY, C. R. LAMMERS and J. STULKE, 2009  
A community-curated consensual annotation that is continuously updated: the  
Bacillus subtilis centred wiki SubtiWiki. Database (Oxford) **2009**: bap012.

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2010 Connecting parts with processes: SubtiWiki and SubtiPathways integrate  
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## Abstract

Pancreatic ductal adenocarcinomas are the fourth leading cause of death among all kinds of cancers. The poor prognosis is due to usually late diagnosis and, in most cases, the available therapeutic options lack sustained efficacy. Thus, there is an urgent need to identify new biomarkers, which might help to tailor therapy for patients. At present, the standard chemotherapeutic agent is gemcitabine, a nucleoside analogue and is contained in almost each chemotherapy regimen in pancreatic cancer. A recent clinical study performed in our institute revealed two SNPs in the genetic region of the equilibrative nucleoside transporter 1 (*ENT1*) associated with the overall survival of patients treated with gemcitabine for pancreatic cancer. The first SNP (rs1057985) of which the variant allele (minor allele frequency 33% in Caucasians) resulted in a significantly prolonged overall survival is located in an *ENT1* promoter region. The second (rs45573936) of which the variant allele (2%) was linked to a dramatically reduced overall survival, constitutes an amino acid exchange at codon 216 from isoleucine to threonine. Recently, a genome-wide approach has featured SNPs for the first time in relation to the outcome of gemcitabine-based chemotherapy in pancreatic cancer. The aim of my thesis was to elucidate the molecular mechanisms of the aforementioned *ENT1* polymorphisms and to explore new biomarkers for gemcitabine sensitivity in a genome-wide fashion.

*ENT1* transcript variant expression was determined via quantitative real time PCR in a panel of various human tissues as well as pancreatic cancer and lymphoblastoid cell lines. These analyses included ascertainment upon gemcitabine exposure. Transcription factor binding was analyzed by electrophoretic mobility shift assay (EMSA) with addressing hypothesized allele-specific disparities. Allele-specific constructs were generated both for the *ENT1* promoter region and for Ile216Thr. The functional consequences were assessed by reporter gene assay in a transiently transfected pancreatic cancer cell line and transport kinetics of <sup>3</sup>H-labelled gemcitabine in stably transfected HEK293 cells, respectively. Genome-wide dose-response relationships for gemcitabine sensitivity were determined in a training set of 196 and a test set of 95 lymphoblastoid cell lines. Regarding the training set, comprehensive genotypes were available from resequencing. Twenty top hits were examined in the test with primer extension method used for genotyping.

Quantitative real time experiments demonstrated strong expression of an *ENT1* transcript variant with the transcription start in vicinity to the index promoter SNP. The

variant allele of this SNP was concomitant with higher induction of this transcript variant in 101 LCLs upon gemcitabine exposure. Linkage disequilibrium analysis revealed complete coherence of the so far investigated index SNP (rs1057985) with another SNP (rs507964) located even closer to the start site of the considered *ENT1* transcript variant. *In silico* analysis predicted regulatory elements in the region surrounding rs507964, but not for rs1057985. In EMSA experiments protein binding was detected only in presence of the wild type, but not the variant allele of rs507964. No protein binding was detected when probes for rs1057985 were used regardless of the allele configuration. A bioinformatic screen suggested a member of the SP family to be the observed binding protein on the probe containing rs507964 and was supported by cold competition with respective probes in EMSA. However, reporter gene assays did not reveal any allele-specific impact of rs507964. Regarding Ile216Thr, the two alleles did not alter gemcitabine uptake. One of the findings from a recently published genome wide association study (GWAS) in relation to overall survival of pancreatic cancer patients could be confirmed, i.e. worse outcome of the variant allele of the SNP rs11644322 in the *WWOX* gene. Since the sequence pattern around this SNP resembled that of rs507964 EMSA experiments were likewise performed. This procedure revealed stronger protein binding for the probe with the wild type than the variant allele of rs11644322 again suggesting a member of the Sp family interacting. The genome-wide screen in LCLs elicited SNP rs6898780 as a potential new candidate for cellular gemcitabine sensitivity.

In conclusion, the *ENT1* promoter index SNP appears to act transcript-specifically as corroborated by expression analysis and EMSA. The lack of functionality in reporter gene assay points to so far unknown additional components which may interfere, but not yet understood. With respect to Ile216Thr, because no effect on gemcitabine uptake was noted, the relation to export kinetics should be addressed. In case of rs11644322 in the pro-apoptotic *WWOX* gene the allele clinically associated with poor survival exhibited reduced protein binding and showed a trend to weaker induction by gemcitabine *in vitro*. The herein newly identified SNP rs6898780 in relation to gemcitabine sensitivity is currently not attributable to a gene or transcript and should be investigated further.

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## Abbreviations

APS	Ammonium persulfate
ASP <sup>+</sup>	4-(4-(dimethylamino)styryl)-N- methylpyridinium
BSA	Bovine Serum Albumin
bp	Base pair
CDA	Cytidine deaminase
ChIP-Seq	Chromatin ImmunoPrecipitation DNA-Sequencing
cDNA	copy DNA
CMPK1	Cytidine monophosphate kinase
<i>CNT1</i>	Concentrative nucleoside transporter 1 (SLC28A1)
<i>CNT2</i>	Concentrative nucleoside transporter 2 (SLC28A2)
d-	Deoxy-
Da	Dalton
DCK	Deoxycytidine kinase
DCTD	Deoxycytidylate deaminase
dd-	Didesoxy-
ddH <sub>2</sub> O	bi-distilled Water
dFdC	2', 2'-difluorodeoxycytidine
dFdC-MP	2', 2'-difluorodeoxycytidine-monophosphate
dFdC-DP	2', 2'-difluorodeoxycytidine-diphosphate
dFdC-TP	2', 2'-difluorodeoxycytidine-triphosphate
dFdU	2', 2'-difluorodeoxcyuridine
dFdU-MP	2', 2'-difluorodeoxcyuridine-monophosphate
dFdU-DP	2', 2'-difluorodeoxcyuridine-diphosphate
dFdU-TP	2', 2'-difluorodeoxcyuridine-triphosphate
DMEM	<i>Dulbecco 's Modifiziertes Eagle Medium</i>
DMFA	Dimethylformamid
DMSO	Dimethylsulfoxide
DNA	Desoxyribonucleic acid
dNTP	Deoxynucleosidetriphosphate
DTT	Dithiothreitol
<i>E. coli</i>	<i>Escherichia coli</i>
EC50	half maximal effect concentration



EDTA	Ethylene di-amine tetra-acetic acid
ENT1	Equilibrative nucleoside transporter (SLC29A1)
<i>et al.</i>	Et alii
F	Farad
FAM	6-Carboxyfluorescein
g	Gravity acceleration (9.81 m/s <sup>2</sup> )
GWAS	Genome wide association study
h	hour
HBSS	Hank's balanced salt solution Medium
HPLC	High performance liquid chromatography
IMDM	Iscove's Modified Dulbecco's Medium
IRES	Internal Ribosomal Entry Site
kb	Kilobase pair
kV	Kilo volt
LB	Luria-Bertani Medium
M	Molarity
MAF	Minor allele frequency
min	Minutes
MPP <sup>+</sup>	1-methyl-4-phenylpyridinium
mRNA	messenger RNA
MTT	3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
n. s.	Not significant
NBMPR / NBTI	S-(4-Nitrobenzyl)-6-thioinosine
NDP	Nucleoside diphosphate
NDPK	Nucleoside diphosphate kinase
NTP	Nucleoside triphosphate
NT5C3	Cytosolic 5'-nucleotidase 3
p	Significant level
PAGE	Polyacrylamide gel electrophoresis
PBS	Phosphate buffered saline
PCR	Polymerase chain reaction
pH	pH-value

RNA	Ribonucleic acid
Rnase	Ribonuclease
RRM	Ribonucleotide reductase
RT	Room temperature
rpm	Rotations per minute
SDS	Sodiumdodecylsulfate
SEM	Standard error of the mean
Taq	Thermus aquaticus
TBA <sup>+</sup>	Tetra-N-butylammonium
TEA <sup>+</sup>	Tetraethylammonium
TBE-Buffer	Tris-Borat-EDTA-buffer
TBP	TATA-Box-Bindeprotein
TE-Buffer	Tris-hydroxymethyl-aminomethan-EDTA-buffer
TEMED	“N,N,N’,N’-Tetramethylethylenediamine
Tris	Tris-hydroxymethyl-aminomethane
U	unit
UV	Ultraviolet
v/v	Volume per Volume
w/v	Weight per Volume

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# 1 Introduction

## 1.1 Pancreatic Cancer: Incidence and Medical Challenge

In most cases pancreatic cancer is referred to ductal pancreatic carcinoma, which is diagnosed in about 80% of patients with pancreatic malignancies. Most of the carcinomas stem from exocrine tissues (AHLGREN 1996), which are predominantly localized in the head of the pancreas. In few cases pancreatic tumor genesis originates from acinar cells (BARDEESY and DEPINHO 2002; DE LA and MURTAUGH 2009). Certain kinds of stem cells could represent the origin from which pancreatic cancer arises (GIDEKEL FRIEDLANDER *et al.* 2009). Ductal pancreatic adenocarcinomas are the fourth leading cause of death referred to cancer following lung, prostate and colorectal cancer (WARSHAW and FERNANDEZ-DEL CASTILLO 1992). The incidence for ductal adenocarcinomas is about 10 per 100.000 citizens in most parts of Europe, North America and parts of South America according to the International Agency for Research on Cancer (<http://www-dep.iarc.fr/>). For Germany, about 7600 women and 7800 men were expected to develop pancreatic cancer in 2012 according to a report of the Robert-Koch-Institute. The average age of occurrence is 70 years for men and 76 years for women (Robert-Koch-Institut 2012). The appearance of pancreatic cancer in individuals below 40 years is extremely rare (WARSHAW and FERNANDEZ-DEL CASTILLO 1992)

Due to the high mortality in advanced stages and the lack of sufficient early detection screenings the prognosis of adenoductale pancreatic cancer is very poor. The absolute overall survival is five years after detection of the cancer, which makes it to the type with the lowest survival rate between all kinds of cancer (according to Robert-Koch-Institut 2012).

Most patients (about 80%) show advanced stages of pancreatic cancer at time of diagnosis, which cannot be completely resected by surgery (LOOS *et al.* 2008). Even in the subgroup in which surgery could be executed in curative intention the five-year survival rate is not more than 20%-25% (VINCENT *et al.* 2011). When considering all cases diagnosed with pancreatic cancer this rate is only about 5% (JEMAL *et al.* 2010). The median overall survival for locally advanced tumors ranges from 8 – 12 months. The worst prognosis is observed for patients with distant metastases with a median survival time of 3 – 6 months (HUGUET *et al.* 2009; SHAIB *et al.* 2006). This poor prognosis renders pancreatic cancer to those types of cancer with the lowest survival rates (according to Robert-Koch-Institut 2012).

### 1.1.1 Risk Factors for Pancreatic Cancer

Risk factors for the appearance of adenoductale pancreatic carcinomas are considered to be inheritance, pancreatitis, overweight, smoking, consumption of saturated fat (LYON *et al.* 1993). The chronic pancreatitis was also assumed to cause about a 20-fold increased risk of pancreatic cancer (LOWENFELS *et al.* 1993). But newer studies claim that occurrence of pancreatic cancer in individuals who were suffering from chronic pancreatitis for 20 years was just about 5 % (RAIMONDI *et al.* 2010). Persons suffering from a primary sclerosing cholangitis show a 14-fold risk of pancreatic cancer incidence (BERGQUIST *et al.* 2002; SCHRUMPF and BOBERG 2003). In several studies smoking is considered to lead to a 2 to 3 times increased risk of pancreatic cancer (HART *et al.* 2008; MACK *et al.* 1986; MALFERTHEINER and SCHUTTE 2006). In about 25 % of pancreatic tumors smoking is associated with the occurrence. In people smoking the cancer develops even ten years earlier than in non smokers and the risk increases with the timeframe of smoking cigarettes (AHLGREN 1996; HASSAN *et al.* 2007). There are several hereditary syndromes promoting pancreatic cancer development such as Peutz-Jeghers polyposis, Li-Fraumeni syndrome, cystic fibrosis and telangiectatic ataxia (Louis-Bar syndrome), melanoma syndrome, hereditary breast-ovarian cancer syndrome, familial adenomatous polyposis syndrome and familial atypical multiple mole (LYNCH *et al.* 2001). Persons who have an appearance of pancreatic cancer in their family history have statistically a considerably higher risk of pancreatic cancer emergence. Individuals with a pancreatic cancer case under their first-degree relatives have a 6.8-fold increased risk of pancreatic cancer incidence as well (BRUNE *et al.* 2010). Members of the population who suffer from diabetes mellitus are considered to be exposed to a higher risk of pancreatic cancer occurrence to a certain extent, since high amounts of insulin are suspected to have a mitogenic effect (DRAZNIN 2010). The consumption of coffee has been refused to be a reason for developing pancreatic carcinomas (TURATI *et al.* 2012). There was also no evidence that the consumption of cigars, pipe tobacco, alcoholic potables (HART *et al.* 2008), or tea are promoting the development of pancreatic cancer (MACMAHON *et al.* 1981). Individuals carrying the blood group 0 show up a reduced risk to develop pancreatic cancer in comparison to those carrying the blood groups A or B. The development of pancreatic cancer out of regular epithelia cells is suggested to evolve out of several mutations.

### **1.1.2 Tumor Genesis of Pancreatic Cancer**

The tumor genesis of pancreatic cancer was investigated in detail in a study by Jones *et al* 2008 identifying markers in 24 genetically analyzed pancreatic cancers. About 63 genetic alterations were found on the average causing the tumor genesis in pancreatic cancer. Most of them were point mutations and referred to 12 cellular signaling pathways including apoptosis, regulation of cell cycle and cell signaling cascades (e.g. *KRAS*)(JONES *et al.* 2008). The deactivation of tumor suppressor genes (e.g. *p53*, *p16* and *SAMD4*) and the activation of oncogenes (e.g. *KRAS*) are a frequent reason for the development of pancreatic cancer (BARDEESY and DEPINHO 2002). Thereby *KRAS* mutation is one of the most common mutations with 75% to 90% occurrence discovered in pancreatic cancer (GHANEH *et al.* 2007; HRUBAN *et al.* 1993; MOSKALUK *et al.* 1997)

## **1.2 Current State of Therapy**

Despite major efforts in the treatment of pancreatic cancer in the recent years the high lethality rate did not change significantly. Besides surgery, adjuvant treatment is regularly intended including patients with R0 resection status due to high risk for locoregional recurrence. In addition, chemotherapy is also considered as part of palliative care. New developments in the field consider neoadjuvant regimens prior to surgery. Whereas surgery is still considered as first choice therapy it means substantial time delay for adjuvant therapies or the patients' general condition does not recover well to receive adjuvant treatment. Therefore, neoadjuvant strategies have emerged recently. According to data of the MD Anderson Cancer Center (MDACC), patients who respond to a neoadjuvant chemoradiation therapy had higher rates of R0 resections and lower rates of local reoccurrence which leads to a prolonged overall survival. It is assumed that in "borderline resectable cases", i.e. when it cannot clearly be defined if resectable or not, there might be a particular benefit for neoadjuvant radiotherapy (KATZ *et al.* 2008).

The first chemotherapeutic agent applied to gastrointestinal including pancreatic cancer was 5-fluorouracil, which dates back to the early 1960s (WEISS and JACKSON 1961). In 1997, based on a landmark phase III study, gemcitabine was introduced for palliative therapy of pancreatic cancer with an overall survival of 5.6 months for gemcitabine compared with 4.4 for 5-fluorouracil (BURRIS *et al.* 1997). Later, gemcitabine was also

approved for the adjuvant setting as tumor recurrence after complete resection was delayed (OETTLER and NEUHAUS 2007). In the adjuvant situation, a translation into significantly improved overall survival was not observed for gemcitabine, however, adverse events were strongly reduced in comparison to 5-fluorouracil (NEOPTOLEMOS *et al.* 2010).

In the recent decade, a plethora of combinations with other cytostatic drugs based on gemcitabine were investigated. However, no breakthrough in terms of improved overall survival was achieved, regardless which add-on drug was considered, e.g., 5-FU (BERLIN *et al.* 2002), capecitabine (HERRMANN *et al.* 2007) (CUNNINGHAM *et al.* 2009), cisplatin (COLUCCI *et al.* 2010; HEINEMANN *et al.* 2006), irinotecan (STATHOPOULOS *et al.* 2006), or oxaliplatin (LOUVET *et al.* 2005; POPLIN *et al.* 2009). The latest advances in this field represent triple therapy. The most popular one has become the combination of 5-FU, irinotecan and oxaliplatin (*FOLFIRINOX*). This therapy showed relatively strong efficacy compared to gemcitabine alone in metastatic pancreatic cancer with an overall survival of 11.1 over 6.8 months, however accompanied by aggravated toxicity (CONROY *et al.* 2011). For the latter reason, *FOLFIRINOX* is recommended only to patients younger than 76 years with a high performance status and good hepatobiliary functions (KO 2011). As another triple therapy based on conventional cytostatic drugs the GTX regimen was suggested consisting of gemcitabine, docetaxel, and capecitabine (DE JESUS-ACOSTA *et al.* 2012; FINE *et al.* 2008).

Ongoing approaches evaluate combinations of gemcitabine with specific targeted therapy, i.e. the small molecule inhibitors of tyrosine kinases. The pioneer in this regard was erlotinib which targets the epidermal growth factor receptor (EGFR). A slightly increased overall survival was noticed for the combination with gemcitabine over gemcitabine alone (MOORE *et al.* 2007). In view of the very minor advantage, this regimen has not yet evolved as a common standard therapy (MIKSAD *et al.* 2007). Efforts using an antibody directed against EGFR (e.g., cetuximab) did not show any advance in comparison to a gemcitabine monotherapy (PHILIP *et al.* 2010). Targeting the vascular endothelial growth factor (VEGF) signaling by bevacizumab in combination with gemcitabine did not result in prolonged survival (KINDLER *et al.* 2010; VAN CUTSEM *et al.* 2009). Subsequent approaches shifted to inhibition of VEGF receptors by small molecules like axitinib (KINDLER *et al.* 2011; SPANO *et al.* 2008) or sorafenib (EL-KHOUEIRY *et al.* 2012) in combination with gemcitabine, however with so far no significant improvement of therapeutic outcome. Other potential targets might be

inhibition of KRAS (GYSIN *et al.* 2011) or the Hedgehog signaling pathway (OLIVE *et al.* 2009).

In total less than 25% of pancreatic cancer patients show at least moderate response to the currently used chemotherapy strategies (DHAYAT *et al.* 2011; JIMENO and HIDALGO 2006). The limited benefit should be balanced against the toxic side effects of the therapy. There is no established second line option in case gemcitabine-based therapy fails. At present, continuous use of gemcitabine combinations is recommended for patients with good performance status by the National Comprehensive Cancer Network Guidelines (available at [www.nccn.org](http://www.nccn.org)).

### **1.3 The Nucleoside Analogue Gemcitabine**

#### **1.3.1 Clinical Indications**

While developed first as an antiviral drug gemcitabine showed striking antitumoral activity targets *in vitro* and *in vivo*. At present, the approved indications comprise metastatic pancreatic cancer (BURRIS *et al.* 1997) or adjuvant therapy of the same (OETTLE and NEUHAUS 2007), in combination with cisplatin bladder cancer (VON DER MAASE *et al.* 2000) and non-small lung cancer (SANDLER *et al.* 2000), in combination with carboplatin (XIROS *et al.* 2005) ovarian cancer, and as second-line therapy in breast cancer (JONES *et al.* 2009). Furthermore, it is sometimes used in mesothelioma, and head and neck malignancies.

#### **1.3.2 Pharmacokinetics**

Gemcitabine requires intravenous administration due to a high first-pass effect in the gastrointestinal tract. The usually recommended dose is 1000-1250 mg/m<sup>2</sup> (Eli Lilly and Company drug information GEMZAR) given in a time frame from 0.4 to 1.2 hours. In case of patient discomfort or side-effects like haematologic toxicity dose reduction is commonly performed. Maximal plasma concentrations range between 11 and 170 µM and are achieved within 5 min upon infusion stop. Plasma half-life is between 42 and 90 minutes depending on the age of the patient, gender and body surface area as well as the duration of infusion (STORNILOLO *et al.* 1997; Eli Lilly and Company drug information GEMZAR). The plasma half-life is dose-independent (ABBRUZZESE *et al.* 1991). Only

10% of the parent drug is found in the urine suggesting that the majority of the given dose is degraded before reaching the target cell (ABBRUZZESE *et al.* 1991). The major enzyme responsible for rapid degradation, the cytidine deaminase (CDA), is described in detail below.

### 1.3.3 Molecular Features

The antineoplastic agent gemcitabine (2',2'-difluoro 2'-deoxycytidine, dFdC) is a nucleoside analogue of cytidine with two fluorine substituents at the second position of the furanose ring (Figure 1). Thus, it constitutes an antimetabolite competing with natural nucleosides in RNA and DNA synthesis. It has a molecular weight of  $263.2 \text{ g}\cdot\text{mol}^{-1}$  and is highly hydrophilic (EMERICH *et al.* 2000), which requires an active transport in the cell. Gemcitabine offers high chemical stability in the absence of degrading enzymes (XU *et al.* 1999).

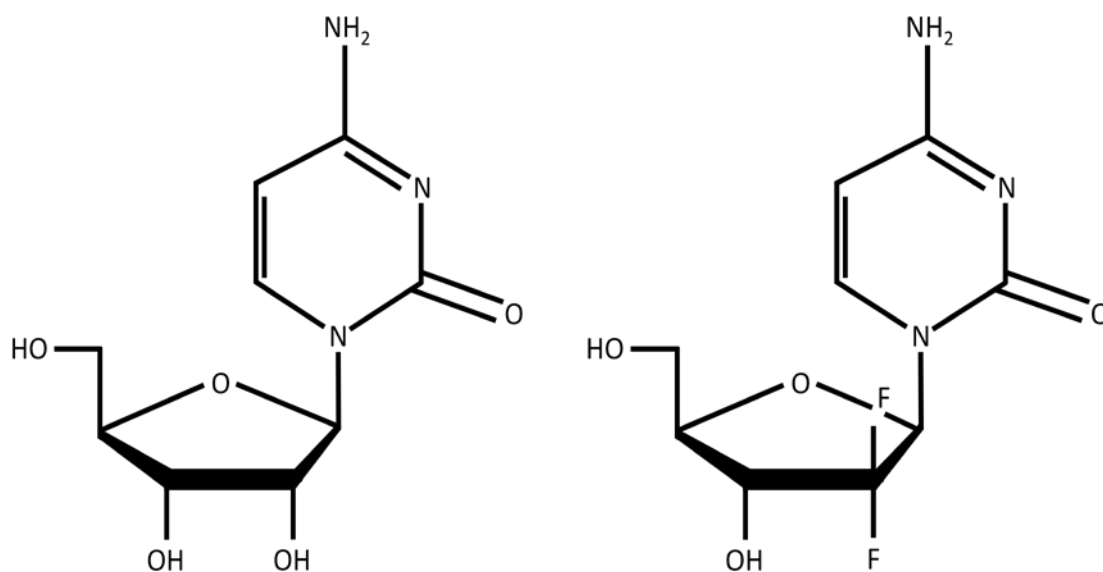


Figure 1: Structure formula of cytidine (left) and gemcitabine (right)

### 1.3.4 Route of Gemcitabine Distribution

As soon as gemcitabine (dFdC) is administered to the patients, it is delivered by the blood stream and degraded by the enzyme cytidine deaminase (CDA) to difluorodeoxyuridine (dFdU, (HEINEMANN *et al.* 1992)).



### 1.3.5 Cellular Uptake of Gemcitabine

Gemcitabine has to be transported and activated in the target cell to exert cytostatic effects (MINI *et al.* 2006). Since gemcitabine diffuses very slowly through plasma membrane, transporter proteins are required. Equilibrative, non-sodium-coupled (ENTs) and sodium-coupled concentrative (CNTs) nucleoside transporters (NTs) are involved in gemcitabine uptake. For five NTs a function in gemcitabine transport was suggested (ERRASTI-MURUGARREN and PASTOR-ANGLADA 2010). The major players for gemcitabine uptake are ENT1 (BELT *et al.* 1993; GRIFFITH and JARVIS 1996; MACKEY *et al.* 1998; MARECHAL *et al.* 2009) and, to a lesser degree, the CNT1 (GARCIA-MANTEIGA *et al.* 2003; MACKEY *et al.* 1999) and CNT3 transporters (RITZEL *et al.* 2001a; RITZEL *et al.* 2001b). For other ENTs (i.e., ENT2, ENT3, ENT4) or CNTs (i.e., CNT2) there is no compelling evidence for clinical relevance in gemcitabine treatment. ENTs participate in the bidirectional transfer of a broad range of nucleosides and nucleoside analogues, in case of ENT2 also nucleobases, through biological membranes along the diffusion gradient. Affected drugs include cytarabine (CLARKE *et al.* 2006), fludarabine, cladribine, clofarabine (KING *et al.* 2006), 5'-deoxy-5'-fluorouridine (MOLINA-ARCAS *et al.* 2006) and gemcitabine (MACKEY *et al.* 1999). ENTs are typically found in basolateral and apical membranes, but also in non-polarized cells (ERRASTI-MURUGARREN and PASTOR-ANGLADA 2010).

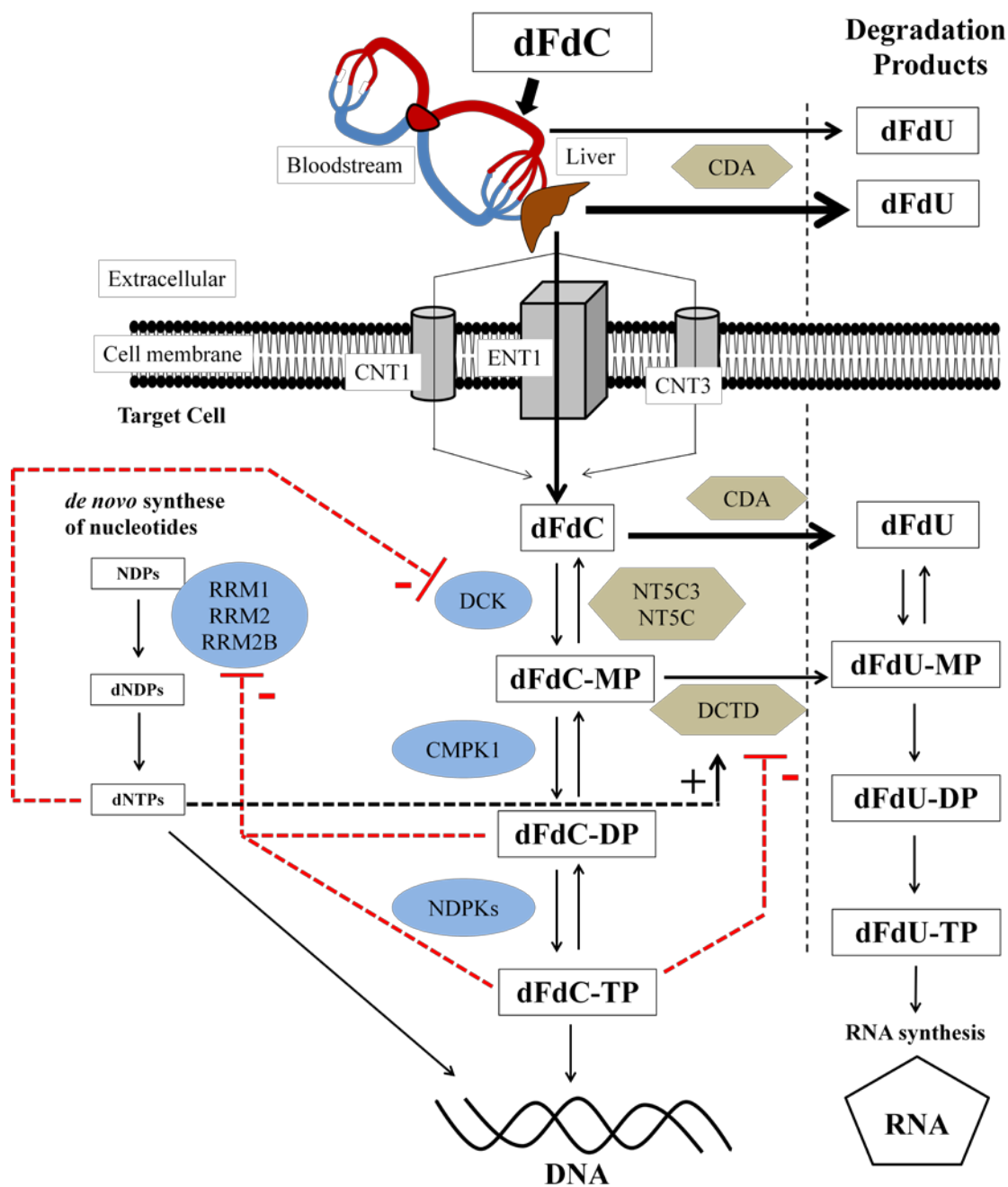
The CNT1 is expressed on the apical side in polarized cells like intestinal cells, proximal tubule cells of the kidney, as well as hepatocytes and breast cells (GLOECKNER-HOFMANN *et al.* 2006; MANGRAVITE *et al.* 2001; NGO *et al.* 2001). High CNT1 expression may render pancreatic cancer cells sensitive toward gemcitabine (BHUTIA *et al.* 2011). A clinical relation to the outcome in pancreatic cancer has not yet been demonstrated. CNT3 is also found on the apical membrane, but the type of cells in which it is predominantly expressed differs from CNT1 with additional presence, for instance, in the pancreas (DAMARAJU *et al.* 2007; RITZEL *et al.* 2001b). The clinical contribution of CNT3 to gemcitabine-treated pancreatic cancer outcome is not clear since only once having been associated with no further replication (MARECHAL *et al.* 2009). In view of its paramount relevance based on literature and own data the *ENT1* with pertinent genetic variation is described in detail below (1.4.4).

### 1.3.6 Gemcitabine Metabolism

The initial phosphorylation of gemcitabine to gemcitabine monophosphate is mediated by deoxycytidine kinase (dCK), which is suggested to be the rate limiting step for the activating biotransformation (MINI *et al.* 2006). The thymidine kinase 2 (TK2) also performs this phosphorylation step, but has an extremely poor affinity to gemcitabine in comparison to dCK (5-10%, (WANG *et al.* 1999)). The next phosphorylation step to gemcitabine diphosphate is catalyzed by cytidine monophosphate kinase (CMPK) (MINI *et al.* 2006; NAKANO *et al.* 2007). The gemcitabine diphosphate and triphosphate are the active forms of the cytostatic agent, which have multiple intracellular targets for their cytotoxic impact (HEINEMANN *et al.* 1988). It was shown that gemcitabine triphosphate competes with the natural deoxycytidine triphosphate (dCTP) and is incorporated in DNA (HUANG *et al.* 1991) where it is stalling DNA synthesis by inhibition of the DNA polymerase (HEINEMANN *et al.* 1988) (HERTEL *et al.* 1990) (GANDHI and PLUNKETT 1990). As soon as gemcitabine triphosphate is incorporated in DNA the synthesized strand is extended with only one further nucleotide, then the elongation process is terminated (ROSS and CUDDY 1994). The 3'→5' exonuclease activity of DNA polymerase is not capable to excise nucleotides from DNA, which contains gemcitabine monophosphate (GANDHI *et al.* 1996; HUANG *et al.* 1991; SCHY *et al.* 1993). There is additional evidence that incorporated gemcitabine triphosphate inhibits the detection and repair by cellular DNA repair mechanisms (PAUWELS *et al.* 2006). The inhibition of DNA synthesis is one major issue, which causes the induction of apoptosis induced in gemcitabine-treated cells (HUANG and PLUNKETT 1995). Further it was discovered that gemcitabine triphosphate is also incorporated in RNA, which hampers RNA synthesis (RUIZ VAN HAPEREN *et al.* 1993b). While there is no conclusive knowledge about the impact of RNA incorporation on the cell function, the degree of RNA incorporation is negatively correlated with internal resistance towards gemcitabine in human tumor cell lines (KROEP *et al.* 2000). The metabolites gemcitabine di- and triphosphate promote a self-potentiating effect in the inhibition of the ribonucleotide reductase, a key player in the *de novo* synthesis of deoxy-nucleotides. This aggravates the toxic effects of gemcitabine as the reduced amounts of natural nucleotides in the cell facilitate an increased incorporation of gemcitabine triphosphate in DNA (HEINEMANN *et al.* 1990) (BAKER *et al.* 1991). Another site of actions is the inhibition of cytidine triphosphate synthetase (CTP synthetase) (HEINEMANN *et al.* 1995), thereby reducing the pool of

CTP for RNA synthesis and instead increasing incorporation of gemcitabine into RNA. It was further shown that gemcitabine modulates the activity of topoisomerase-I, which causes enhanced strand breaks in DNA and may contribute to the cytotoxic effect (POURQUIER *et al.* 2002). Thus, the toxic effects of gemcitabine are not limited to the early S phase during cell cycle, but also affect non-dividing cells (ROCKWELL and GRINDEY 1992).

Gemcitabine activation competes with degradation. Non-phosphorylated gemcitabine is degraded by the ubiquitously expressed CDA to 2',2'-difluoro-deoxyuridine (dFdU), mainly before the parent drug could enter the target cells (as outlined above in, 1.3.4), but also therein. The inactivation of the gemcitabine monophosphate metabolite (dFdCMP) to dFdUMP is executed by deoxycytidine deaminase (DCTD, official full name dCMP deaminase). The latter is inhibited by gemcitabine triphosphate (HEINEMANN *et al.* 1992) further enhancing gemcitabine effects. The aforementioned transport, bioactivation and degradation processes of gemcitabine are displayed in coherence in Figure 2.



**Figure 2: Route of gemcitabine and metabolism. Black dashed lines indicate stimulation, red dashed inhibition.** (CDA: cytidine deaminase; ENT1: equilibrative nucleoside transporter1; CNT1: concentrative nucleoside transporter1; CNT3: concentrative nucleoside transporter1; DCK: deoxycytidine kinase; NT5C3: cytosolic 5'-nucleotidase 3; NT5C: 5'(3')-deoxyribonucleotidase; CMPK1: cytidine monophosphate kinase; DCTD: deoxycytidylate deaminase; dFdU: 2',2'-difluoro 2'-deoxyuridine; dFdU-MP: 2',2'-difluoro 2'-deoxyuridine monophosphate; NDPs: nucleoside-diphosphate; dNDPs: deoxynucleoside-diphosphate; dNTPs: deoxynucleoside-triphosphate; RRM2,RMM1, RRM2B: subunits of ribonucleotide reductase)

### 1.3.7 Variability in Gemcitabine Response

In the clinical situation gemcitabine-treated patients show progression of pancreatic cancer in most cases. This unsatisfying response to gemcitabine is due to the high degree of inherited and acquired chemoresistance (CARMICHAEL *et al.* 1996; LI *et al.* 2004). The degree of sensitivity towards gemcitabine depends on the intracellular metabolite levels, which are modulated by the activity of transporters as well as enzymes for bioactivation and degradation (BERGMAN *et al.* 2002).

The enzyme CDA is associated with gemcitabine toxicity (NEFF and BLAU 1996). The actual significance of this enzyme for the gemcitabine response is not decisive, on the one hand it was proven, that cell lines with decreased CDA activity are sensitive towards gemcitabine (BERGMAN *et al.* 1998), but on the other hand human tumor cells and human tumor xenodrafts do not show evidence that inherited resistance and CDA activity are linked (RUIZ VAN HAPEREN *et al.* 1993a; VAN HAPEREN *et al.* 1996). But the increased expression of CDA might affect the acquired resistance towards gemcitabine, which often occurs in patients during gemcitabine-based treatment (BENGALA *et al.* 2005).

The first phosphorylation step of gemcitabine by deoxycytidine kinase (dCK) is suggested to have a major role in the response to gemcitabine (KROEP *et al.* 2002). Some cells *in vitro* and *in vivo* with inherited and acquired resistance towards gemcitabine exhibit a lack of dCK (BERGMAN *et al.* 2002). Other contributors to the sensitivity towards gemcitabine are 5'-nucleotidases, which convert nucleotides in nucleosides. In some studies the sensitivity of cells was referred to the presence of 5'-nucleotidases (DUMONTET *et al.* 1999; HUNSUCKER *et al.* 2001). There is evidence that cells with an enhanced expression of ribonucleotide reductase subunit 1 (RRM1) exhibit gemcitabine resistance (DAVIDSON *et al.* 2004), since an increased activity of ribonucleotide reductase leads to higher concentration of natural dCTPs, which are competing with gemcitabine triphosphate (GOAN *et al.* 1999).

Gemcitabine efficacy is highly correlated with the maintenance of gemcitabine triphosphate in the cells. The longer the gemcitabine metabolites persist in the cell the higher the sensitivity towards gemcitabine treatment is, which was shown *in vitro* and *in vivo* tumor models (RUIZ VAN HAPEREN *et al.* 1994; VAN HAPEREN *et al.* 1996). As demonstrated in many studies one of the most important issues concerning the response

and toxicity by gemcitabine is the transport into target cells, which is mainly performed by the equilibrative transporter -ENT1 and, to a lesser extent, by CNT3.

### **1.3.8 Genetic Polymorphisms Affecting Gemcitabine Response**

The understanding of sensitivity and resistance mechanisms towards gemcitabine can support the choice and adjustment of therapy modalities. In this regard, knowledge of genomic markers might help to predict the clinical outcome of a certain therapy to obtain the best treatment for pancreatic cancer patients. Already several studies tried to elucidate polymorphisms in genes involved in gemcitabine transport, bioactivation and degradation (FUKUNAGA *et al.* 2004; LI *et al.* 2012; TANAKA *et al.* 2010; XU *et al.* 2011). Polymorphisms in genes encoding for proteins involved in transport (e.g., *ENT1*), degradation (*CDA*) or bioactivation (*dCK*) of gemcitabine as well as in pathways competing with gemcitabine activity (*RRMI*) were considered to be predictive markers for gemcitabine efficacy and side effects in patients with locally advanced pancreatic cancer (TANAKA *et al.* 2010). The quantitative trait of gemcitabine pharmacokinetics was also subjected to an association analysis in gemcitabine pathway genes. In 250 Japanese cancer patients the plasma concentration of gemcitabine and its metabolite dFdU was determined. SNPs in the *CDA* gene were claimed to be a significant contributor to gemcitabine clearance whereas no significant effect of SNPs in *DCK* and *ENT1* could be demonstrated (SUGIYAMA *et al.* 2010). Under clinical conditions the need to make the considered cohorts as homogenous as possible results in low sample sizes typically under-powering statistical association studies when multiple markers are tested. Thus, findings are often preliminary until confirmation in replication studies.

Another approach to identify genetic factors putatively contributing to the outcome of gemcitabine-based chemotherapy represents genome-wide association studies (GWAS). When my thesis work was already ongoing a GWAS for advanced stage pancreatic cancer treated with gemcitabine was reported (INNOCENTI *et al.* 2012). The strongest associating signal exhibited a polymorphism in *IL17A*, a component linked to angiogenesis.

Furthermore, GWAS have also been applied to functional traits. One single study screened for genome-wide markers affecting gemcitabine drug response in

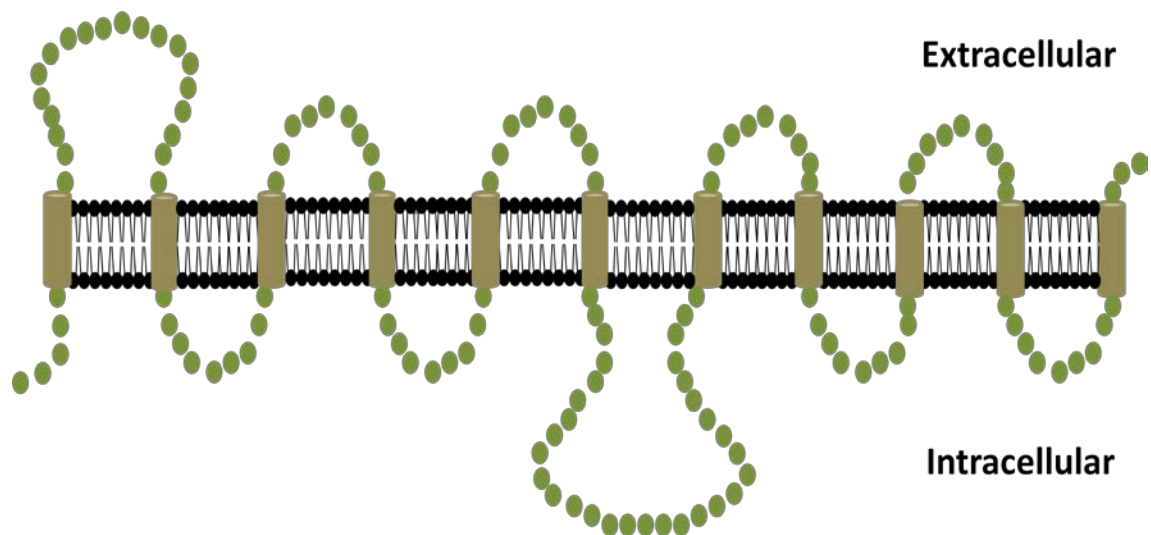
lymphoblastoid cell lines (LCLs). This analysis revealed the most significant hits beyond typical candidate genes, whereby not reaching statistical significance for gemcitabine cytotoxicity upon adjustment for multiple testing (LI *et al.* 2009). Besides genetic polymorphisms, drug-naïve genome-wide transcripts in LCLs were correlated with cytotoxic effects of gemcitabine (LI *et al.* 2008).

## **1.4 Major player: The Equilibrative Nucleoside Transporter 1 (*ENT1*)**

The ENT1 transporter is almost ubiquitously expressed and facilitates import and export of gemcitabine over the cell membrane as well as between intracellular compartments (LAI *et al.* 2004; MANI *et al.* 1998). It is well known that the ENT1 has a key player position in the transport of gemcitabine, which was demonstrated *in vitro*, *ex vivo* and *in vivo* (FARRELL *et al.* 2009; MACKEY *et al.* 2005; MACKEY *et al.* 2002; MARCE *et al.* 2006; MARECHAL *et al.* 2009; SANTINI *et al.* 2008; SPRATLIN *et al.* 2004). Pyrimidines as well as purines are substrates for ENT1, which is sensitive towards NBMPR (nitrobenzylthioinosine), a specific inhibitor.

### **1.4.1 Protein Conformation**

The *ENT1* gene encodes a protein containing 456 residues (CANO-SOLDADO and PASTOR-ANGLADA 2012). It consists of eleven transmembrane domains including a huge intracellular loop between the transmembrane domains six and seven (Figure 3). A PEXN motif consisting of proline 71, glutamate 72, and asparagine 74 was reported to be important for mitochondrial trafficking of this protein (LEE *et al.* 2006). The residues phenylalanine 334 and asparagine 338 in the transmembrane domain 8 seem to be crucial for protein folding, inhibitor sensitivity, and catalytic activity (VISSER *et al.* 2007). Nucleoside selectivity may be, at least in part, rendered by residues in the transmembrane domain 1 like tryptophan 29 (PAPROSKI *et al.* 2008). The exchange to threonine at position 29 results in a selective loss of pyrimidine transport activity (PAPROSKI *et al.* 2008). In addition, mutations of glycine 24 reduced both substrate transport activity and inhibitor binding (ZIMMERMAN *et al.* 2009).



**Figure 3: Conformation of the protein encoded by *ENT1*.** It composes eleven transmembrane domains. The N-terminus is located intracellularly, the C-terminus extracellularly. Image modified according to Osato (OSATO *et al.* 2003).

#### 1.4.2 Clinical Relevance of *ENT1* Expression

The expression of *ENT1* is highly correlated with the sensitivity towards gemcitabine and other nucleoside analogues *in vitro* (ACHIWA *et al.* 2004; GALMARINI *et al.* 2002; GATI *et al.* 1997). The quantities of immunohistochemically detected *ENT1* in pancreatic cancer tissue turned out as a predictor for overall survival. In a first report, it was shown that patients with higher intratumoral expression experienced better outcome (SPRATLIN *et al.* 2004). This finding was later confirmed (FARRELL *et al.* 2009; MARECHAL *et al.* 2009). Similar findings were reported for mRNA levels (GIOVANNETTI *et al.* 2006). Apparently, fine-needle biopsies are not suitable to detect reliably an association between *ENT1* transcripts and gemcitabine treatment response according to tumor markers (ASHIDA *et al.* 2009). *Ex vivo* sensitivity testing in pancreatic cancer cell lines derived from patients corroborated the significance of *ENT1* mRNA expression (MICHALSKI *et al.* 2008). The latter was also partially attributable to acquired resistance toward gemcitabine (NAKANO *et al.* 2007). Beyond pancreatic cancer, the relevance of *ENT1* was demonstrated also in gemcitabine treatment of urinary bladder cancer (MEY *et al.* 2006) as well as biliary tract cancer (SANTINI *et al.* 2011) whereby higher *ENT1* prevalence was favorable in both tumor types. Conversely, high *ENT1* expression elicited as a poor prognostic factor in gastric cancer



(SANTINI *et al.* 2010) and ampullary cancer (SANTINI *et al.* 2008) without gemcitabine administration.

### **1.4.3 *ENT1* Expression Regulation and Transcript Variants**

There are temporal, spatial and quantitative differences in *ENT1* expression inter- and intra-individually (PENNYCOOKE *et al.* 2001). Regulation occurs both at the transcriptional and translational level (SOLER *et al.* 2003). It was also shown that the *ENT1* expression in T lymphoblastic cells is much higher than in resting peripheral T-lymphocytes (KICHENIN *et al.* 2000). However, the mechanisms of *ENT1* expression regulation have been barely elucidated yet. Some studies claim that the expression of *ENT1* transporters is effected by hypoxia (CHAUDARY *et al.* 2004; ELTZSCHIG *et al.* 2005), passage numbers of cultured cells (ARCHER *et al.* 2004), inflammatory cytokines (PETROVIC *et al.* 2007) and nitride oxide (SOLER *et al.* 2000). The ENT1-mediated nucleoside transport is primary associated with cell proliferation (SOLER *et al.* 2001a; SOLER *et al.* 2001b). It was demonstrated that MCSF (macrophage-colony-stimulating-factor) induces macrophage proliferation accompanied by upregulation of *ENT1* (SOLER *et al.* 2001a). Conversely, provoking growth arrest in macrophages by IFN (interferon) or LPS (lipopolysaccharide) causes down regulation of *ENT1* (SOLER *et al.* 2001a; SOLER *et al.* 2001b). At least, twelve *ENT1* mRNA isoforms were suggested, which are regulated by four identified alternative promoter regions (ABDULLA and COE 2007; FUKUCHI *et al.* 2010). The NCBI data base provides five validated transcript variants of the ENT1 transporter (<http://www.ncbi.nlm.nih.gov/gene/2030>), which all code for the same protein.

### **1.4.4 Genetic Variability in *ENT1***

Among humans the coding sequence of *ENT1* is highly conserved with a single haplotype accounting for 91% of the genetic variability (OSATO *et al.* 2003). Despite limited sequence identities with other species there is high conformational conservation indicating functional preservation during evolution (SANKAR *et al.* 2002). Thereby, the most conserved regions are identified in the putative transmembrane domains suggesting a crucial role in membrane substrate recognition (GRIFFITHS *et al.* 1997). Polymorphisms in the *ENT1* genetic region have been suggested to be implicated in

treatment response to substrates of ENT1 like gemcitabine and ribavirin (LI *et al.* 2012; TSUBOTA *et al.* 2012).

The most frequent genetic polymorphism affecting an amino acid substitution in *ENT1* is Ile216Thr with a minor allele frequency of 2%. This polymorphism is designated as rs45573936, almost exclusively found in Northern Europe (ABECASIS *et al.* 2012; OSATO *et al.* 2003). It is claimed that ethanol incubation leads to a significant difference in transport activity in the ENT1 Ile216Thr-transfected mouse embryonic fibroblasts (KIM *et al.* 2011).

Genetic variation was mainly studied for the proximal promoter region of ENT1. An involvement in expression of this gene and transcription factor binding was suggested (MYERS *et al.* 2006; SUZUKI *et al.* 2013). In the clinical setting, at present, it is controversial if gemcitabine pharmacokinetics are affected by the genetic variability in the proximal *ENT1* promoter region (GUSELLA *et al.* 2011; SUGIYAMA *et al.* 2010). An impact of this variability on the risk for hematologic anemia in treatment with ribavirin, a substrate for ENT1, could not be proven (DOEHRING *et al.* 2011).

In our own institute, the Ile216Thr (rs45573936) and a polymorphism (rs1057985) in a *ENT1* promoter region were recently suggested as predictive biomarkers for the outcome of gemcitabine-based chemotherapy in pancreatic cancer patients (SCHAUDINN 2013) (Christian Zimmer, medical thesis in preparation). Thereby, the variant allele of rs1057985 was associated with a prolonged overall survival in three independent patient cohorts from Göttingen (n = 96; p = 0.03), Hamburg (n = 124; p = 0.002) and Heidelberg (n = 91; p = 0.05). The effect of the rare variant allele of Ile216Thr (minor allele frequency of rs45573936 only about 2% in Caucasians) was less consistent. In the Göttingen cohort, presence of Thr216 was accompanied by dramatically reduced overall survival (p < 0.001) exhibiting statistical significance even upon adjustment for multiple testing. However, in the cohort from Hamburg this finding could not be verified, whereas in the Heidelberg cohort only one patient carried the variant allele not allowing statistical assessment.

## **1.5 Aim of this Work**

The aim of my thesis was to identify and to functionally assess markers which affect the response towards gemcitabine. For two recently suggested promising candidate markers pertinent to the *ENT1* gene the functional mechanisms should be investigated.

The amino acid exchange Ile216Thr was hypothesized to alter transport of gemcitabine. Due to the reduced survival time in the clinical setting, it was hypothesized that the Thr216 allele may result in lower intracellular accumulation of gemcitabine. To pursue this idea, effects on radio-labeled gemcitabine transport should be studied by means of stably transfected allele-specific constructs in a model line.

Regarding the suggested ENT1 promoter polymorphism, enhanced ENT1 transcription in presence of the minor allele was assumed. This assumption should be clarified. First, it was asked for differential effects on *ENT1* transcript variants. Next, it should be tested whether there might be allele-specific differences in binding of nuclear proteins and, if so, to identify the putatively involved protein. Finally, a direct impact on gene transcription should be analyzed by reporter gene assays.

As not yet sufficiently addressed nor in literature nor in previous theses in our department, a genome-wide approach was considered to elicit new potential markers for gemcitabine sensitivity. Such markers should be identified by dose-response curves for gemcitabine toxicity with fully sequenced LCLs as model and replicated in an independent second cohort of LCLs. While working on my thesis a report emerged in literature addressing for the first time a GWAS with respect to the outcome of gemcitabine-based chemotherapy in pancreatic cancer. The therein reported top association signals should be tested for reproducibility in our patient cohorts. If reproduced, underlying functional mechanisms should be addressed.

## 2 Materials

### 2.1 Devices and pertinent Software

Equipment and software	Manufacturer
3100 Data Collection Software	Applied Biosystems , Darmstadt
3130xl Genetic Analyser	Applied Biosystems, Darmstadt
Adobe Photoshop	Adobe Systems GmbH, München
Bacteria Incubator-Incudrive	Schütt, Göttingen
Biofuge fresco	Heraeus, Hanau
Biofuge pico	Heraeus, Hanau
BioPhotometer	Eppendorf, Hamburg
BioRobot® EZ1	Qiagen, Hilden
Centrifuge 5810 R	Eppendorf, Hamburg
Centrifuge JA-20 Rotor	Beckman, München
Clone Manager Suite	SECentral
CO2-Incubator BBD 6220	Heraeus, Hanau
ComPhor L Mini Gel-chamber	Biozym, Hessisch Oldendorf
Concentrator 5301	Eppendorf; Hamburg
Confocal laser scanning Microscope	Carl Zeiss, Jena
CorelDRAW X3	Corel Corporation
DNA Sequencing Analysis	Applied Biosystems
Electroporator Gene Pulser II	BioRad, Hercules USA
Fine weight machine	Sartorius, Göttingen
Flow cytometer BD LSRII, special order system	Becton Dickinson, Franklin Lakes, USA
Fluor-S™ MultiImager	BioRad, Hercules, USA
Gel chamber Ruby SE600	Hoefer, San Francisco, USA
GloMax-96-Plate-Luminometer	Turner BioSystems, Sunnyvale, USA
HPLC Analysing System	Merck Hitachi, Darmstadt, Germany
Labofuge 400R	Heraeus, Hanau
Magnetic stirrer	IKAMAG
Mastercycler gradient	Eppendorf, Hamburg
Membran-Vacuum pompe	Vacuubrand, Wertheim
Microscope Axiovert 40 CFL	Zeiss, Jena
MS 2 Mini shaker-Vortexer	IKA, Staufen
Nanodrop cuvette	Implen
Neubauer-Cell chamber	Schütt, Göttingen

PTC-200 Peltier Thermal Gradient Cycler	MJ Research/BioRad, Hercules, USA
QiaCube	Qiagen, Hilden
Scintillation instrument LS1801	Beckman, München
Shaker for Bacteria K2 260 basic	IKA, Staufen
Stereomicroscope Stemi 1000	Zeiss, Jena
Sterile Bench-Clean Air type DFL/REC4 KL2A	Mahl, Trendelburg
TaqMan 7900HT	Applied Biosystems, Darmstadt
Thermomixer 5436	Eppendorf, Hamburg
Transilluminator TI 2	Biometra
Vertical-Autoclave KSG 40/60	KSG, Olching
Vertical-Autoclave: FV	Tecnorama, Fernwald
Water bath GFL 1083	Schütt, Göttingen *

## 2.2 Laboratory Consumables

Used Materials	Manufacturer
50 ml Centrifuge tube	Beckman, München
5 ml Polystyrene Round-Botton Tube	BD Falcon, Durham USA
96 Millipore MAHV N45 Plate	Millipore, Bedford, USA
96 Millipore MANU 030 PCR-Plate	Millipore, Bedford, USA
96 White Plate for luminescence measurement	Greiner, Frickenhausen
96er PCR-Plate	ABgene, Epsom
24 Well, Zellkultur Multiwellplatte	Greiner, Frickenhausen
Absolute QPCR Seal (Optical Folie for Taqman)	Thermo Scientific
Adhesive PCR Foil Seals	ABgene, Epsom
Culture flask 25 cm <sup>2</sup> and 75 cm <sup>2</sup>	Sarstedt, Nümbrecht
Cuvette (UVetten) 50-1000 µl	Eppendorf, Hamburg
Cuvette 10x4x45 mm	Sarstedt, Hamburg
Dialyse filter VSWP01300	Millipore, Bedford, USA
Electroporation cuvette 2 mm	PeqLab, Erlangen
FBS	Gibco/Invitrogen
Filter paper Nr. 2668	Schleicher und Schuell
Filter paper Nr. 2CHR	Schleicher und Schuell
Flat cap strips, 12er	ABgene, Epsom
Flat cap strips, 8er	ABgene, Epsom
FrameStar® 384	4titude, Wotton

Freezing container, Nalgene <sup>®</sup> , Mr. Frosty	Sigma-Aldrich, Deisenhofen
Glass Pasteur pipette 230 mm	WU, Mainz
LiChroCART 25-4 RP-18 HPLC Cartridges	Merck, Darmstadt
LiChroCART 125-4 RP-18 HPLC Cartridges	Merck, Darmstadt
Minisart 2000 0.2 µm	Sartorius, Göttingen
Minisart-plus 0.2 µm	Sartorius, Göttingen
Nunclon™ Multidishes 6 und 12 Wells	Nunc, Wiesbaden
Parafilm®	Brand, Wertheim
Petri Dish	Sarstedt, Hamburg
Petri Dish for Cell culture, Falcon 353003	Schütt, Göttingen
Pipette Tip (10 µl, 100 µl, 1000 µl)	Sarstedt, Hamburg
Plate loader for Sephadex	Millipore, Schwalbach
Plate Retainer for Sequencing	Applied Biosystems, Darmstadt
Quali-Filterpipett tip sterile	Kisker, Steinfurt
Reactions vessel 0.2 ml (RNase-free)	Biozym, Hessisch Oldendorf
Reactions vessel 1.5 ml und 2 ml	Sarstedt, Hamburg
Sterile Pipette (5 ml, 10 ml, 25 ml)	Sarstedt, Hamburg
Sterile Polypropylen-tube 15 ml	Greiner, Frickenhausen
Sterile Polypropylen-tube 50 ml	Sarstedt, Hamburg
Thermo-Fast 384er Plate (PCR-Plates for Taqman)	ABgene Epsom
Thermo-Fast 96er Plate	ABgene, Epsom

### 2.3 Chemical Consumables

Chemical consumables	Manufacturer
[ $\alpha$ - <sup>32</sup> P]-dCTP	Hartmann Analytic, Braunschweig
1 kb Standard Ladder for Agarosegel	Rapidozym, Berlin
100 bp Standard Ladder for Agarosegel	Rapidozym, Berlin
2-Mercaptoethanol $\geq$ 99%	Sigma-Aldrich, Deisenhofen
40% (w/v) Acrylamide:Bisacrylamide/ Mix 37.5:1	Biomol, Hamburg
4326322E (TBP, VIC-MGB)	Applied Biosystem, Darmstadt
40 micron cell strainer	BD Falcon (352340)
Acetic acid 100%, pro analysis	Merck, Darmstadt
Acetonitril	Merck, Germany
Agar (for Bacteriology)	AppliChem, Darmstadt
Agarose Ultra Pure	Invitrogen, Karlsruhe

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Ammonium persulfate $\geq$ 98%	Sigma-Aldrich, Deisenhofen
Ammonium sulfate $\geq$ 99.5%	Sigma-Aldrich, Deisenhofen
Ampicillin 99%	AppliChem, Darmstadt
Aquasafe 500 Plus, Safty Scintillator	Zinsser Analytic, Berkshire, UK
Bicinchoninic acid	Sigma-Aldrich, Deisenhofen
BigDye® Sequencing Kit	Applied Biosystems, Darmstadt
Boric Acid 100%	Merck, Darmstadt
Bovine serum albumin (BSA)	Sigma-Aldrich, Deisenhofen
Bromphenolblue Na-Salt (for Electrophoresis)	Roth, Karlsruhe
CFSE Proliferation Dye	eBioscience, Frankfurt
Chloroform $\geq$ 99.8%	J.T. Baker, Phillipsburg, USA
Coomassie Brilliant Blue R 250	BioRad, München
Copper sulfate pentahydrate	Sigma-Aldrich, Deisenhofen
CountBright™ absolute counting Beads	Invitrogen, Karlsruhe
P1,P4-Di(adenosine-5') tetraphosphate ammonium salt	Sigma-Aldrich, Deisenhofen
2',2'-difluorodeoxyuridin	Eli Lilly andCompany, Indianapolis, USA
Dimethyl sulfoxide (DMSO)	AppliChem, Darmstadt
Disodium hydrogen phosphate $\geq$ 99.9%	Merck, Darmstadt
Dithiothreitol $\geq$ 99.5% (for Molecular biology)	AppliChem, Darmstadt
DNeasy Blood & Tissue Kit	Qiagen, Hilden
dNTP Set	ABgene, Hamburg
Dual-Luciferase®Reporter Assay System	Promega, Mannheim
EDTA 0.5M in water solution	Sigma-Aldrich, Deisenhofen
EDTA pure	Merck, Darmstadt
Ethanol 96%	Merck, Darmstadt
Ethanol denatured 99% (Desinfection agent)	Chemie-Vertrieb Hannover
Ethidiumbromide 1% in H2O (for electrophorese)	Merck, Darmstadt
Exonuclease I E.coli (20u/µl)	Fermentas, St. Leon-Roth
Expand Long Template PCR System	Roche Diagnostics, Mannheim
FACS Safe Clean	Beckton Dickinson, Franklin Lakes (USA)
FACS Flow	Beckton Dickinson, Franklin Lakes (USA)
FACS Rinse	Beckton Dickinson, Franklin Lakes

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	(USA)
FuGene 6	Roche, Mannheim
Gemcitabine (dFdC)	Sigma-Aldrich, Deisenhofen
<sup>3</sup> H-Gemcitabine (dFdC)	American Radiolabeled Chemicals, Inc, St. Louis USA
Gemcitabine monophosphate (dFdC-MP)	Eli Lilly and Company, Indianapolis, USA
Gemcitabine diphosphate (dFdC-DP)	Eli Lilly and Company, Indianapolis, USA
Gemcitabine triphosphate (dFdC-TP)	Eli Lilly and Company, Indianapolis, USA
GeneScanLIZ120 standard ladder for <i>SNaPshot</i> <sup>TM</sup>	Applied Biosystems, Darmstadt
Glycerol 85 %	Central Pharmacy, Clinic Hospital Göttingen
HBSS medium	Gibco/Invitrogen
Helipur <sup>®</sup> H plus N disinfection agent	Braun, Melsungen
HotStarTaq Master Mix Kit (250 units)	Qiagen, Hilden
Human RNA Tissue Panel (20 different Tissues)	Ambion Huntingdon, United Kingdom
Hydrogen chloride	Merck, Darmstadt, Germany
Hygromycin B (50mg/ml)	Invitrogen, Karlsruhe
Isoamylalcohol 98%	Schuchardt, Hohenbrunn
Isopropanol ≥ 99.9%	Merck, Darmstadt
Kanamycin ≥ 750U/mg	AppliChem, Darmstadt
Klenow-Fragment	Fermentas, St. Leon-Roth
KOD HotStart DNA Polymerase	Novagen Merck, Darmstadt
Ligate-IT <sup>TM</sup> Rapid Ligation Kit	usb, Staufen
Lipofectamine <sup>TM</sup> 2000	Invitrogen, Karlsruhe
LongRange PCR Kit (100)	Qiagen, Hilden
Magnesium chloride ≥ 99%	Riedel-De Haën AG, Seelze
Magnesium sulfate ≥ 99.5%	Merck, Darmstadt
Methanol for analysis	Merck, Darmstadt
Mini Quick Spin Oligo Columns	Roche, Mannheim
Neodisher <sup>®</sup> A 8, cleaning powder	Chem. Fabrik Dr. Weigert, Mühlentagen
Nonidet <sup>®</sup> P40 Substitute (Nonylphenylethylenglycol)	Sigma-Aldrich, Deisenhofen
PBS	Invitrogen, Karlsruhe



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PBS Powder (Dulbeccos 10-fold)	AppliChem, Darmstadt
Penicillin/Streptomycin-Solution	Invitrogen, Karlsruhe
Phenylmethansulfonyl fluoride (PMSF)	Sigma-Aldrich, Deisenhofen
Polylysin-D-hydrobromide	Sigma-Aldrich, Deisenhofen
Polymer POP6 und POP7 for sequencer	Applied Biosystems, Darmstadt
Prime Star HS DNA Polymerase	Takara Bio Europe/SAS, Saint-Germain-en-Laye , France
Propidium Iodide	BD Biosciences, California, USA
PureYield™ Midiprep Kit	Promega, Mannheim
Puromycin	Invitrogen, Karlsruhe
QIAquick Gel Extraction Kit	Qiagen, Hilden
QIAquick PCR Purification Kit	Qiagen, Hilden
5x HOT FIREPol® EvaGreen® qPCR Mix Plus	Solis BioDyne, Estonia
Quickszint Flow 302, Liquid Scintillator	Zinsser Analytic, Berkshire, UK
Random hexanucleotide primers <i>dN6</i>	Roche, Mannheim
RNAse A ~70%	AppliChem, Darmstadt
RNeasy Mini Kit	Qiagen, Hilden
RNeasy Plus Mini Kit	Qiagen, Hilden
Sephadex™ G-50 Superfine	Amersham Bioscience, Freiburg
Shrimp Alkaline Phosphatase (1u/μl)	usb, Staufen
SnapShot™ Multiplex Kit	Applied Biosystems, Darmstadt
Sodium acetate	Merck, Darmstadt
Sodium chloride	Merck, Darmstadt
Sodium dihydrogen phosphate monohydrate	Merck, Darmstadt
Sodium dodecyl sulfate	BioRad, Hercules, USA
Sodium hydrogen phosphate monohydrate	Merck, Darmstadt
Sodium hydroxide pellets pure	Merck, Darmstadt
Super Script II reverse transcriptase	Invitrogen, Karlsruhe
SYTOX® Blue Dead Cell Stain, for flow cytometry	Life Technologies Corporation, Darmstadt
T4 DNA Ligase	MBI Fermentas, St. Leon-Roth
Taq DNA polymerase	Qiagen, Hilden
TEMED ≥ 99%	Sigma-Aldrich, Deisenhofen
Tetrabutylammonium hydrogen sulfate	Sigma-Aldrich, Deisenhofen
Thiazolyl blue tetrazolium bromide	Sigma, Steinheim, Germany
TOPO® XL PCR Cloning Kit	Invitrogen, Karlsruhe

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TopTaq Polymerase	Qiagen, Hilden
Tramadol	Sigma-Aldrich, Deisenhofen
Tris 100%	Roth, Karlsruhe
Triton X-100	Roth, Karlsruhe
Trypan blue -solution (0.4 %)	Sigma-Aldrich, Deisenhofen
TrypLE™ Express	Gibco/Invitrogen, Karlsruhe
Tryptone	AppliChem, Darmstadt
Tween 20 (Polyoxyethylen-Sorbit-Monolaurat)	BioRad, München
Vybrant® DyeCycle™ Ruby stain	Life Technologies Corporation, Darmstadt
X-ray film developer G150	AGFA, Leverkusen
X-ray film fixer G354	AGFA, Leverkusen
Xylene cyanol FF (for molecular biology)	AppliChem, Darmstadt
Zeocin	Invitrogen, Karlsruhe

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### 2.3.1 Buffers

Commercial Buffers	Manufacturer
HBSS	Invitrogen, Karlsruhe

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#### PBS-buffer (pH7.4)

Substance	Final concentration [mM]
NaCl	128.5
KCl	2.8
NA <sub>2</sub> HPO <sub>4</sub>	8.1
KH <sub>2</sub> PO <sub>4</sub>	1.5

#### TBE–buffer (pH 8.3)

Substance	Final concentration [mM]
Tris	100
Boric Acid	100
EDTA	3.0

**TE–buffer (pH 7.5)**

Substance	Final concentration [mM]
Tris	10
EDTA	1

**2.3.2 Media****2.3.2.1 Commercial Media**

Media	Manufacturer
DMEM	Invitrogen, Karlsruhe
RPMI	Invitrogen, Karlsruhe
McCoy	Invitrogen, Karlsruhe
IMDM	Invitrogen, Karlsruhe

**2.4 Enzymes**

Restriction Enzyme	Manufacturer
<i>Bam</i> HI	Fermentas, St. Leon-Roth
<i>Bgl</i> II	Fermentas, St. Leon-Roth
<i>Dpn</i> I	New England Biolabs, Beverly, USA
<i>Eco</i> RI	Fermentas, St. Leon-Roth
<i>Eco</i> RV	Fermentas, St. Leon-Roth
<i>Hind</i> III	Fermentas, St. Leon-Roth
<i>Not</i> I	New England Biolabs, Beverly, USA
<i>Pst</i> I	Fermentas, St. Leon-Roth
<i>Sac</i> I	Fermentas, St. Leon-Roth
<i>Sal</i> I	Fermentas, St. Leon-Roth
<i>Xho</i> I	Fermentas, St. Leon-Roth
<i>Xma</i> I	New England Biolabs, Beverly, USA

## 2.5 Plasmid Vectors

Clone-Nr.	Vector	Resistance	Delivery
<i>pOG44</i>		Ampicillin	Invitrogen, Karlsruhe
<i>pOTB7::SLC29A1</i>	Expression vector	Chloramphenicol	SourceBioscience, Nottingham UK
<i>pcDNA5:FRT</i>	Expression vector	Ampicillin	Invitrogen, Karlsruhe
<i>pT81</i>	Luciferasereportergene vector	Ampicillin	Molecular Pharmacology Prof. W. Knepel
<i>pXP2</i>	Luciferasereportergene vector without internal promoter activity	Ampicillin	Molecular Pharmacology Prof. W. Knepel

## 2.6 Strains of Bacteria

Strain of Bacteria	Origin	Application for Transfection	Delivery
<b>Top10</b> (One shot TOP10 Electro-comp. <i>E.coli</i> )	<i>Escherichia coli</i>	Electro-competent	Invitrogen, Karlsruhe

## 2.7 Cell Lines

Cell line	Origin	Characteristics	Delivery
<b>HEK Flp-In<sup>TM</sup> TREx 293</b>	Human	Embryonic kidney cell line (contain Flp Recombination Target (FRT)-manipulate stable in Genome integrate)	Invitrogen, Karlsruhe
<b>AsPCI</b>	Human		ATCC, Wesel
<b>BxPC3</b>	Human		ATCC, Wesel
<b>CFPac</b>	Human		ATCC, Wesel
<b>CapanI</b>	Human		ATCC, Wesel
<b>CapanII</b>	Human		ATCC, Wesel
<b>MiaPaca2</b>	Human		ATCC, Wesel
<b>PancI</b>	Human		ATCC, Wesel
<b>Su.86.86</b>	Human		ATCC, Wesel
<b>Lymphoblastoid cell lines (HapMap and 1000Genome Project)</b>	Human		Coriell Cell Repositorie, Camden, New Jersey USA

## 2.8 Databases

Database	URL
<b>ECR Browser</b>	<a href="http://ecrbrowser.dcode.org/">http://ecrbrowser.dcode.org/</a>
<b>ENSEMBL Genome Browser</b>	<a href="http://www.ensembl.org/index.html">http://www.ensembl.org/index.html</a>
<b>HapMap</b>	<a href="http://hapmap.ncbi.nlm.nih.gov/">http://hapmap.ncbi.nlm.nih.gov/</a>
<b>National Center for Biotechnology Information</b>	<a href="http://www.ncbi.nlm.nih.gov/">http://www.ncbi.nlm.nih.gov/</a>
<b>TRAP</b>	<a href="http://trap.molgen.mpg.de/cgi-bin/home.cgi">http://trap.molgen.mpg.de/cgi-bin/home.cgi</a>
<b>TFSEARCH</b>	<a href="http://mbs.cbrc.jp/research/db/TFSEARCH.html">http://mbs.cbrc.jp/research/db/TFSEARCH.html</a>
<b>TRANSFAC-Database</b>	BIOBASE, Göttingen ( <a href="http://www.biobase-international.com/product/explain">http://www.biobase-international.com/product/explain</a> )
<b>UCSC Genome Browser</b>	<a href="http://genome.ucsc.edu/">http://genome.ucsc.edu/</a>

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## 2.9 Bioinformatic Tools

<b>Bioinformatic tool</b>	<b>Manufacturer</b>
<b>3100 Data Collection Software</b>	Applied Biosystems
<b>Adobe Photoshop</b>	Adobe Systems GmbH, München
<b>Advanced Image Data Analyzer (AIDA) V.4.15 025</b>	Raytest Isotopenmeßgeräte GmbH, Sprockhövel
<b>BASReader (FujiFilm BAS1800-II)</b>	Raytest Isotopenmeßgeräte GmbH, Sprockhövel
<b>Clone Manager Suite</b>	SECentral
<b>DNA Sequencing Analysis</b>	Applied Biosystems
<b>Endnote X4</b>	Wintertree Software Inc.
<b>GeneMapper, Version 3.7</b>	Applied Biosystems
<b>Haploview</b>	Broad Institute of MIT and Harvard
<b>MS Office 2007</b>	Microsoft, USA
<b>Oligo</b>	Molecular Biology Insights
<b>Quantity One S</b>	Bio-Rad, München
<b>SDS 2.1</b>	Applied Biosystems
<b>SPSS</b>	SPSS Inc., Chicago, USA
<b>Staden Package</b>	SourceForge.net
<b>XFluor4 Software</b>	Tecan, Crailsheim

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## **3 Methods**

### **3.1 DNA Techniques**

#### **3.1.1 DNA Isolation from Eukaryotic Cells**

For isolation of the genomic DNA from eukaryotes the *DNeasy Blood & Tissue Kit* (Qiagen) was used with the *QiaCube* robot (Qiagen). About  $5 \times 10^6$  cells, which are solved in 100 $\mu$ l PBS Buffer, were used for isolation process. The amount of extracted DNA was determined by photometric quantification [3.1.3].

#### **3.1.2 DNA Isolation from Bacteria**

##### **3.1.2.1 Isolation of Plasmid DNA by Chloroform Extraction (Plasmid Mini-Prep)**

The mini-prep method was an easy way to extract plasmid DNA from bacteria. For this purpose 5ml bacteria solutions, which were grown over night, were centrifuged (Centrifuge 5810R; Eppendorf) with 4000 rpm for 10 minutes at room temperature. Before this about 5  $\mu$ l of this solution was transferred to an agar plate which should serve as inoculum for further experiments. After the cells were centrifuged, the supernatant was completely discarded and the pellet resolved in 250 $\mu$ l of “resuspension buffer” and transferred to a 1.5 ml Eppendorf tube. In the next step the cells were lysed by adding 250  $\mu$ l of “alkaline lyses buffer” and briefly vortexed. Then 350  $\mu$ l of “neutralization buffer” were mixed with the solution and the tube was inverted 5 to 6 times. The precipitation of the proteins indicates the neutralization of the mixture. This solution was centrifuged (Biofuge pico) with 13,000 rpm for 10 minutes at room temperature. Then the supernatant was placed in a new 1.5 Eppendorf tube and supplemented with 500  $\mu$ l of ice cold Chlorophorm/Isoamyl (24:1) mixture, which was also vortexed briefly. Next this mix was centrifuged again for 5 minutes under the same conditions as before. After centrifugation there were two phases from which the upper one containing the plasmid DNA. The upper one was removed carefully without picking up protein remains between the two phases and transferred to a new 1.5 ml Eppendorf tube. In the next step 600  $\mu$ l of cold (-20°C) iso-propanol was added to the solution and vortexed briefly. After this the mixture was centrifuged (Biofuge fresco) with 13,000 rpm for 15 minutes at 4°C. The supernatant was removed and the pellet washed with

600  $\mu$ l of a 70%-Ethanol solution (-20°C) for 6 minutes under the same conditions as before. Then the supernatant was removed and the tube, whose lid was open, was placed on a heat block with 37°C while shaking. After about 10 – 20 minutes the pellet was dry and clear and was resolved in 50  $\mu$ l TE Buffer.

**Resuspension-Buffer mix (pH 8.0) stored at 4°**

Reagent	Concentration
Tris -HCL	50 mM
EDTA	10 mM
RNAse A (added after autoclaving)	100 $\mu$ g/ml

**Lysis-Buffer mix (pH 8.0) stored at RT**

Reagent	Concentration
NaOH	200 mM
SDS	1 % (w/v)

**Neutralization-Buffer mix (pH 5.5) stored at RT**

Reagent	Concentration
Potassium acetate	3 M

**TE-Buffer mix (pH 7.5) stored at RT**

Reagent	Concentration
Tris	10 mM
EDTA	1 mM



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### 3.1.2.2 Isolation of Plasmid DNA by Solid Extraction (Plasmid Midi-Prep)

This approach was conducted to achieve high and pure amounts of extracted plasmid DNA. Plasmid DNA, which was extracted by this procedure, was used for transfection techniques applied on eukaryotic cells. The *ComactPrep Plasmid Kit* (Quiagen) was used for this purpose and the extraction was performed according to the manufacturer's recommended protocol.

### 3.1.3 Quantification of DNA

The quantification of DNA samples is accomplished by photometric measurements with an absorbance of 260 nm. An Implen-Nanodropcuvett (Implen) was applied with the BioPhotometer (Eppendorf, Hamburg, Germany). For quantification of DNA 3  $\mu$ l of sample was used. Additionally to the extinction of 260 nm there is an absorbance ratio of 260/280 nm, which expresses the purity of DNA in the samples. In this case the value of about 2 stands for high purity.

The principle of nucleic acid quantification is the Beer-Lambert law:

$$A = \alpha c l$$

A = absorbance

$\alpha$  = absorbance coefficient ( $\text{cm}^2/\mu\text{g}$ )

c = solute concentration ( $\mu\text{g}/\text{ml}$ )

l = length of the light path (cm)

### 3.1.4 Polymerase Chain Reaction (PCR)

The PCR approach is a technique for DNA amplification *in vitro*. The *KOD Hot Start DNA Polymerase* (Novagen Merck, Darmstadt) and the *Prime Star HS Polymerase* (Takara Bio Europe/SAS, Saint-Germain-en-Laye, France) were used for cloning experiments because of their excellent proofreading activity, which prevents DNA amplification errors. For other purposes than cloning the TopTaq Polymerase (Quiagen, Hilden) was used.

In the PCR reaction the first step is to denaturate the double stranded DNA to single stranded DNA. In the next step the DNA-primers are annealed by temperatures between

50 and 70°C. The primers, which represent the start points of amplification, determine the DNA region of interest. After this the temperature heats up again for the elongation process by the polymerase. By repeating these steps for about 35 times the selected DNA region becomes amplified.

The best condition for each new pair of primers in PCR reactions becomes elucidated by a **gradient PCR**, which tests different annealing temperatures. To reveal the best annealing temperature the fragment size and amount of the PCR product becomes evaluated by an Agarose gel. To improve the amplification in some cases, *Q-Solution* (from *Qiagen Taq-Polymerase-Kit*; Quiagen Hilden) was added to the PCR reaction. The PCR reactions were performed according to the manufacturer's recommendations. In the following there is an example for the KOD-PCR reaction.

#### **Standard-KOD-PCR-reaction:**

Reagent	Volume for 1 Sample [µl]
ddH <sub>2</sub> O	8.8
10x Buffer	2.2
dNTPs (2mM)	2.2
MgSO <sub>4</sub>	0.9
<i>Q-Solution</i> (optional)	4.4
Primer forward (10µM)	0.5
Primer reward (10µM)	0.5
DNA (300µg/ml)	2
<i>KOD HotStart Polymerase</i> (1.0 U/µl)	0.5
<b>Total</b>	<b>20</b>

#### **Standard-KOD-PCR-conditions:**

Phase	Duration	Temperature
Initial Denaturation	2 min	95°C
Denaturation	30 sec	95°C
Annealing	30 sec	50-70°C
Elongation	1 min	72°C
Terminal elongation	10 min	72°C
Cool down	for ever	8°C

### 3.1.5 Site-Directed Mutagenesis

Site-directed mutagenesis is a method to make specific mutations to one or up to four bases in DNA-sequences by mutagenesis primers. In this case the single nucleotide polymorphism rs45573936 in the cDNA sequence (Clone 3051441 / IRAUp969A097D; SourceBioscience, Nottingham, UK; <http://www.lifesciences.sourcebioscience.com/genomecube?kw=3051441>) of *hENTI* (SLC29A1) was modified in the SNP rs45573936 position from T>C with the mutagenesis primer (Table 1) to obtain the variant allele additionally to the wild type allele, which is present in the clone of this polymorphism. When purchased the *hENTI* gene had been inserted in the vector pOTB7. With this construct (pOTB7::*hENTI*) “Site-directed-mutagenesis” was performed.

In order to conduct the site directed mutagenesis it was necessary that the construct, which should be mutated, was harvested from bacteria and had specific methylation patterns. After the amplification in the site-directed mutagenesis PCR the original constructs without the site-directed mutagenesis could be degraded by *DpnI* endonuclease (methylation specific restriction enzyme). The new generated constructs which derived from the PCR amplification step, did not show methylation patterns and were not degraded by *DpnI*. The site-directed mutagenesis PCR was performed with the *KOD Hot Start DNA Polymerase Kit* (Novagen Merck, Darmstadt). To elucidate the right annealing temperatures for PCR conditions a gradient PCR was conducted with the mutagenesis primers first (Table 1) [3.1.4].

#### Site-directed mutagenesis PCR Mixture

Reagent	Volume [µl]
10 x buffer	5
dNTPs (2 mM)	5
MgSO <sub>4</sub> (25 mM)	2
<i>Q-Solution</i>	10
Primer-for (10 nM)	1.3
Primer-rev (10 nM)	1.3
Plasmid DNA (50 ng/µl)	1
<i>KOD HotStart Polymerase</i> (1.0 U/µl)	1
ddH <sub>2</sub> O	23.4
<b>Total Volume</b>	<b>50</b>

**PCR conditions for Site-directed mutagenesis**

Phase	Duration	Temperature	
Initial Denaturation	3 min	95°C	
Denaturation	30 sec	95°C	} 19x
Annealing	30 sec	60°C	
Elongation	3 min 30 sec	72°C	
Cool down	for ever	8°C	

For degradation of the not mutated constructs 2 µl of *DpnI* endonuclease was added to the PCR reaction mixture and incubated for 1 hour at 37°C. Then 1 µl of *DpnI* was supplemented again and incubated again for 1 hour at 37°C. In the next step the digested PCR mixture was dialyzed [3.1.10]. Then the dialyzed solution was transformed in *E. coli TOP10* strain by electroporation [3.5.4]. After this the pOTB7::*hENT1* constructs were isolated from single bacteria clones by mini prep [3.1.2.1] and the open reading frame of *hENT1* was fully sequenced [3.1.11] with sequencing primers (Table 2) to validate the correct sequence of the *hENT1* gene with the mutated SNP rs45573936 (T>C).

**Table 1: Mutagenesis primer for *hENT1*- rs45573936- WT → Var ( t→c)**

Primer	Sequence
ENT1-Thr216-for	5'ACAGCCTGTGCTGTTACCATTTTGACCATCATC <sup>3'</sup>
ENT1-Thr216-rev	5'GATGATGGTCAAATGGTAACAGCACAGGCTGT <sup>3'</sup>

**Table 2: ENT1-Thr216 sequencing Primer**

Primer	Sequence
ENT1_Seq-1_rev	5'CCAGACCACTCAGGATCAC <sup>3'</sup>
ENT1_Seq-2_rev	5'ATGCTGGACTTGACCTCAAC <sup>3'</sup>
ENT1_Seq-3_rev	5'GATGGCACCAAATGAATTAATGAG <sup>3'</sup>

### 3.1.6 Agarose Gel Electrophoresis

The agarose gel electrophoresis is a technique for separating DNA fragments by their size on a two dimensional matrix. The principle of this approach is that negative charged DNA fragments move in an electric field to the positive pole. Smaller DNA fragments can move faster in the agarose-gel-matrix than bigger ones. In regard to the used DNA fragment size the agarose concentrations vary from 0.8%-3%.

For agarose gel preparation the appropriate amount of agarose (Agarose Ultra Pure; Invitrogen) was weighed, dissolved in TBE-Buffer [2.3.1] and heated up in the microwave until boiling. After cooling down for several minutes 0.5 µg/ml Ethidium bromide (Merck, Darmstadt) were added and mixed with a magnet stirrer. After this the agarose gel liquid was filled in a gel tray, gel combs were used to shape appropriate size and amount for the sample pockets. After 15-30 minutes the agarose gel was hardened. Then the gel tray was placed in the gel chamber and covered with 1x loading buffer (TBE- Buffer [2.3.1] + Ethidium bromide).

Before placing the DNA samples in the gel pockets, they became mixed with 1x loading dye. DNA ladders with 100 bp and 1 kb (ABgene, Fermentas) were used for a visual DNA standard with known DNA-fragment-sizes. The electrophoresis was performed with 120 V for 30 min using a *ComPhor L Mini Gel chamber* (Biozym). To analyze electrophoretic results the DNA bands became visualized by *Fluor-S™ MultiImager* (BioRad, Hercules, USA) with the software *Quantity One® S Version 4.3.1* (Bio-rad).

#### 5x Loading Dye

Substance	Final concentration
Glycerol	30% (v/v)
EDTA	50 mM
Bromphenol blue	0.25% (v/v)
Xylene cyanol	0.25% (v/v)

### 3.1.7 DNA Purification

#### 3.1.7.1 DNA Purification from Agarose Gel

For DNA extraction from agarose gels first the DNA spots of interest get sliced out of the gel under UV-light using *transilluminator TI2* (Biometra). The slices should not exceed 200 mg of 2% agarose. For purification of the DNA the *QIAquick Gel Extraction Kit* (Qiagen) was used with the *QiaCube robot* (Qiagen) according to manufacturers' protocol.

#### 3.1.7.2 DNA Purification from Solutions

To purify DNA from enzymatic reaction mixtures (e.g. from PCR or restriction digestion [3.1.8]) the *QIAquick PCR-Purification Kit* (Qiagen) was applied according to manufacturers' protocol.

#### 3.1.7.3 Enzymatic Purification of DNA

The enzymatic digestion was used to purify PCR-products from remaining primers and nucleotides of the PCR reaction. *Shrimp alkaline phosphatase* (SAP, Fermentas, Thermo Scientific) was used to dephosphorylate nucleotides (dNTPs) and *Exonuclease I* from *E.coli* (Fermentas, Thermo Scientific) was applied to degrade primers. The prepared reaction mixture is displayed next:

#### Mixture for enzymatic purification of DNA solutions

Reagent	Volume [ $\mu$ l]
SAP (1 U/ $\mu$ l)	5
<i>ExoI</i> (10 U/ $\mu$ l)	0.2
10 X SAP buffer	2
PCR product	13

The reaction mix was incubated for 1 h at 37°C. Afterwards the enzymes were inactivated by incubation for 15 min at 80°C in the thermal cycler (BioRad, Hercules, USA).

### 3.1.8 Digestion by Restriction Enzymes

This basic method of molecular biology is used for the specific cleavage of DNA fragments for analytical or preparative purpose. The cleaving is performed by restriction enzymes which are types of endonucleases. The identification of correct cleavage of DNA fragments and the separation from different sized DNA pieces takes place by agarose gel electrophoresis [3.1.6].

#### 3.1.8.1 Analytical Digestion

This method was used to confirm the awareness of correct DNA fragment by obtaining a specific pattern of individual sized DNA fragments after cleaving with a certain digestion enzyme. To confirm the results DNA constructs became cleaved in independent sets with at least three different digestion enzymes.

##### Analytical digestion mix

Reagent	Volume [ $\mu$ l]
10 x Restriction buffer	1
BSA (dependent on enzyme)	0.1
DNA (~1 $\mu$ g)	1
Digestion enzyme	1
ddH <sub>2</sub> O	7

The analytical digestion mix was incubated for 1 hour at the recommended temperature of the referring digestion enzyme (mostly 37°C). After that the outcome of the digestion was visualized by agarose gel electrophoresis [3.1.6].

#### 3.1.8.2 Preparative Digestion

This approach is used to create matching overhanging DNA tails on inserts and vectors for further ligation steps by which those fragments become connected with each other. Generally, the final aim of this procedure was to transfect the resulting constructs into eukaryotic cells by stable [3.7.3] or transient transfection [3.7.1] or to transform them into bacteria by electroporation [3.5.4] in the end.

**Preparative digestion mix**

Reagent	Volume [ $\mu$ l]
10 x Restriction buffer	5
BSA (dependent on enzyme)	0.5
DNA	(max. 10 $\mu$ g)
Digestion enzyme (dependent on star activity)	5
ddH <sub>2</sub> O	Up to 50

In general the digestion was performed between 2 and 3 hours or even over night dependent on the activity of the applied digestion enzyme. After digesting overnight 1  $\mu$ l of the enzyme was supplemented for 1 further hour the next day. It had also been possible to perform a digestion with two enzymes simultaneously if the enzymes required the same reaction buffer. In case of two independent digestions, the cleaved DNA fragments had to be isolated by a preparative gel electrophoresis [3.1.6] and a gel extraction [3.1.7.1] before the next restriction digestion could be performed.

**3.1.9 Ligation**

This procedure connects double stranded DNA fragments by a ligase enzyme. This method is used for inserting DNA fragments into plasmids. In order to do this the ends of DNA fragments have to match for the linking process. There is the possibility to have sticky ends, which are DNA overhangs in double-stranded DNA fragments or blunt ends, which are plain ends of DNA fragments. The matching DNA termini for a ligation are usually created by restriction enzymes in a preparative digestion reaction [3.1.8.2]. For the ligation procedure the *Ligate-IT™ Rapid Ligation Kit* (USB, Staufeu, Germany) was used. The relation of the used insert and vector was 13:2 (v/v) in a total volume of 15  $\mu$ l. The reaction mixture was prepared as depicted in the following chart:

**Ligation mixture**

Reagent	Volume [ $\mu$ l] per sample
5x Ligase buffer	5
Vector DNA	2
Insert (DNA-Fragment)	13
Ligase	1



The ligation reaction was performed in 5 to 10 minutes incubation at room temperature. After this the mixture was placed on ice for 10 minutes. To analyze the quality of the previous restriction digestion of the vector, which was used to create *sticky ends* in the vector DNA, one ligation sample with only vector DNA (negative control) was prepared. After this sample was transformed by electroporation [3.5.4] into bacteria cells in the end, the amount of emerging clones on the agar plate were much fewer than on the other plates. If there were high amounts clones on the negative control agarose plate it would be an indication of a less efficient restriction digestion. This implies high rates of empty vector transfected clones on the sample plates.

### **3.1.10 Dialysis**

The dialysis procedure is a required step necessary for the transformation of DNA fragments into bacteria by electroporation [3.5.4]. By this process the salt components in mixture were removed. In order to perform the dialysis 20 µl of the mixture (mostly ligation solutions) was transferred to a semipermeable membrane (*Dialyse filter VSWP01300*, Millipore, Bedford, USA), which was placed on the surface of ddH<sub>2</sub>O in a petri dish for 30 minutes. After this time the solution was transferred in an Eppendorf tube and was ready for the electroporation process [3.5.4].

### **3.1.11 DNA Sequencing**

DNA sequencing is an approach to identify the exact order of nucleotides in DNA strands. The chain-termination method, which was invented by Sanger and Coulson 1975, was applied. The principle of this approach is that there are different fluorescently labeled di-deoxy nucleotide triphosphates (ddNTPs) additionally to ordinary deoxy nucleotide triphosphates (dNTPs), which are lacking a 3'OH-Group and cannot be extended in DNA amplification process. During the sequencing PCR the ddNTPs are randomly incorporated and cause chain termination. In the end the resulting different sized DNA fragments can be aligned by automated sequencing machines. Since the last fluorescently labeled ddNTP of each fragment reveals the identity of the last nucleotide, the sequence of region concerned can be determined by arrange the fragments by their size.

To sequence genomic regions a pre-PCR had to be performed to quantify the regions of interest for the sequencing PCR step. To sequence DNA products, which were isolated by Mini-Prep [3.1.2.1], there was no need for a pre-PCR. After this the master mix for sequencing was prepared using *BigDye® terminator v1.1 Sequencing Kits* (Applied Biosystems, Darmstadt). In the sequencing PCR just one of the complementary DNA strands was analyzed, in order to this just one sequencing primer was used for one sample.

### **Sequencing-PCR-Mix:**

<b>Reagent</b>	<b>Volume [µl] per sample</b>
DMSO	0.25
Primer (10 µM)	0.5
BigDye®	1
ddH <sub>2</sub> O	2.25
DNA (~300 µg/ml)	1
<b>Total volume</b>	<b>5</b>

### **Sequencing-PCR conditions:**

<b>Phase</b>	<b>Duration</b>	<b>Temperature</b>
<b>Initial Denaturation</b>	<b>2 min</b>	<b>94°C</b>
<b>Denaturation</b>	<b>15 sec</b>	<b>96°C</b>
<b>Annealing</b>	<b>15 sec</b>	<b>56.5°C</b>
<b>Elongation</b>	<b>4 min</b>	<b>60°C</b>
<b>Terminal elongation</b>	<b>7 min</b>	<b>60°C</b>
<b>Cool down</b>	<b>for ever</b>	<b>8°C</b>

} 25x

After the sequencing-PCR the reaction mix had to be purified by a Sephadex purification step to remove the not incorporated ddNTPs. For this purpose 35 mg *Sephadex G50 superfine* (Amersham, Freiburg) were filled in the wells of a 96-well

filter plate (MAHV-N45, Millipore) together with 300µl ddH<sub>2</sub>O, then the Sephadex was swelled for 3 hours at room temperature.

Next the plate got centrifuged (*Centrifuge 5810 R*, Eppendorf) with 650 g for 5 minutes at room temperature to remove the superfluous water. After this another amount of 150 µl ddH<sub>2</sub>O was added to each well and incubated for another 30 minutes at room temperature. To remove the superfluous water the plate got centrifuged again under the same conditions. Meanwhile 35 µl of ddH<sub>2</sub>O were added to the 5 µl of the sequencing-PCR-mix. Then this solution was poured in the Sephadex-wells and centrifuged again by 650 g for 5 minutes at room temperature. The purified solution was free of unbound dNTPs and ddNTPs and could be used for sequencing analysis with *3130xl Genetic Analyser* (Applied Biosystems). The sequencing data was evaluated with *Staden Package* software Version 4.0 (SourceForge.net) and *Clone Manager* (SECentral).

### **3.1.12 Genotyping by Primer Extension Method (SNaPshot™)**

To determine single nucleotide polymorphisms (SNPs) in genomic DNA of human individuals the primer extension method SNaPshot™ was applied. By this method it was possible to evaluate over 20 genotypes in one reaction. The principle of this approach is that different sized primers (between 18bp and 40bp) were designed in that manner that their 3'terminus exactly annealed one position upstream of the nucleic acid of the SNP of interest. By means of di-deoxy single-base extension PCR reaction step with fluorescently labeled ddNTPs (SNaPshot Master mix: *ABI PRISM SNaPshot™-Multiplex-Kit*) the genotypes were identified. Di-deoxy nucleotides (ddNTPs) don't have an OH-group on the 3'-terminus, which causes a termination of DNA amplification. Because of this an extension of the used primers with only one nucleotide occurs and reveals the polymorphic genotype by the fluorescent stain of the added ddNTP. The identity of each SNP in the reaction set with many primers, which were fluorescently labeled, were coded by the specific length of each primer, which refers to only one polymorphic locus. An internal DNA size standard was used to determine the exact length of the extended primers (*Gene Scan™ 120LIZ™ Size Standard*, Applied Biosystems). Each ddNTPs has its characteristic fluorescent stain (FS): Adenine = green (FS = dR6G); Cytosine = black (FS = dTAMRA™); Guanine = blue (FS = dR110), Thymine = red (FS: dROX™).

Before performing a SNaPshot™-PCR on genomic DNA you have to amplify genomic regions with the polymorphic loci (*Multiplex PCR Kit, Qiagen, Hilden*). After multiplex PCR reaction the “first clearance” with SAP (*Shrimp alkali phosphatase, USB, Staufen*) and ExoI (*Exonuclease I, USB Staufen*) was applied to remove the multiplex primers and degrade dNTPs. The reaction mix was incubated for 3 hours at 37°C. Then the enzymes became deactivated by incubation for 15 minutes at 80 °C.

#### Reaction mixture for the “first clearance”

Reagent	Volume [µl] per Sample
SAP (1U/µl)	1.695
SAP-Puffer (10x)	0.6
ExoI (20U/µl)	0.705
PCR-product	6
Total volume	9 µl

#### Following an example of SNaPshot™-PCR

Reagent	Volume [µl] per sample
SNaPshot™-Master mix	0.5
Primer mix (2-12µM)	0.5
ddH2O	2
Purified PCR-product	2
Total volume	5 µl

#### PCR cycle for SNaPshot PCR

Phase	Duration	Temperature
Initial Denaturation	2 min	94°C
Denaturation	10 sec	96°C
Annealing	5 sec	50°C
Elongation	30 sec	60°C
Total volume	for ever	8°C

} 26x

After SNaPshot<sup>TM</sup>-PCR reaction the “second clearance” was performed to degrade the superfluous fluorescently labeled dNTPs, which cause background noise during analysis. This reaction was incubated for 30 minutes at 37°C. Afterwards the enzymes became deactivated by incubation for 15 minutes at 80°C.

#### **Reaction mixture for the “second clearance”**

<b>Reagent</b>	<b>Volume [μl] per sample</b>
SAP (1U/μl)	0.5
SAP-Puffer (10x)	0.5
SNaPshot <sup>TM</sup> -reaction mixture	5

For determination of the genotypes the cleaned up samples were prepared for evaluation by *3130xl Genetic Analyser using Gene mapper v3.7 software*® (Applied Biosystems). For this purpose the following reaction mixture became heated for 10 minutes at 95°C and afterwards placed on ice immediately for 10 minutes.

#### **Mixture for evaluating SNaPshot reaction in sequencer:**

<b>Reagent</b>	<b>Volume [μl]</b>
Formamid (Hi-Di <sup>TM</sup> Formamid, Applied Biosystems)	10
Gene Scan <sup>TM</sup> 120LIZ <sup>TM</sup> Size	0.05
cleaned up Sample	1

### **3.2 RNA Techniques**

Since RNA can quickly be degraded by RNases, all the working surfaces and materials had to be free of RNases. For this purpose all preparations were done under a fume hood and all used materials were treated with an anti-RNase-spray (*RNaseZap*; Sigma-Aldrich) before working. Additionally RNase-free pipette tips (Quali-Filterpipettenspitzen steril; Kisker, Steinfurt) were used to prevent contamination with RNases.

### 3.2.1 RNA Isolation

For RNA isolation about  $1 \times 10^6$  cells were taken and washed with about 4 ml PBS-buffer [2.3.1]. Afterwards cells became centrifuged with 250 g for 5 minutes at room temperature. Then the supernatant became discarded and the very last liquid in the tube became removed with a pipette. In the next step the pellet was lysed in 350  $\mu$ l *RLT Plus buffer* (Qiagen). For this step no 2-Mercaptoethanol was used. Then the solution was transferred to a 2 ml Eppendorf tube. The RNA was isolated with the *RNeasy Plus Mini Kit* (Qiagen) by the *QiaCube* (Qiagen) robot according to the recommended protocol. In the end the extracted RNA concentration was determined by the photometric quantification [3.2.2].

### 3.2.2 Photometric Quantification of RNA

The quantification of RNA samples is accomplished by photometric measurements with an absorbance of 260 nm. An *Implen-Nanodrop* cuvette (Implen) was applied with the BioPhotometer (Eppendorf, Hamburg, Germany). For quantification of RNA 3  $\mu$ l of sample was used. Additionally to the extinction of 260 nm there is an absorbance ratio of 260/280 nm, which expresses the purity of RNA in the samples. In this case the resulting value of about 2 stands for high purity.

The principle of nucleic acid quantification is the Beer-Lambert law:

$$A = \alpha c l$$

A = absorbance

$\alpha$  = absorbance coefficient ( $\text{cm}^2/\mu\text{g}$ )

c = solute concentration ( $\mu\text{g}/\text{ml}$ )

l = length of the light path (cm)

### 3.2.3 Reverse Transcription

The reverse transcription method is utilized to analyze the expression of genes by the determination of the amount of produced RNA transcripts in cells.

In order to do this the extracted RNA had to be transcribed in cDNA (*copy or complementary DNA*) by *Super Script II* reverse transcriptase (Invitrogen, Karlsruhe) first. Next the amount of specific cDNA transcripts was quantified by qPCR. Since the cDNA became generated directly from mRNA, there were no introns in resulting cDNA

fragments. For reverse transcription first the random primers got annealed for 5 minutes at 70°C in the following reaction with the total amount of 1 µg RNA. After this the samples became cooled down on ice for 10 minutes.

#### **Mixture for primer annealing**

<b>Reagent</b>	<b>Volume [µl] per Reaction</b>
<b>cDNA (1µg)</b>	<b>Volume for 1µg</b>
<b>dN6 (random Primers)</b>	<b>2</b>
<b>ddH<sub>2</sub>O</b>	<b>Add to 18.54</b>

In the meantime the master mix for reverse transcription was prepared. Subsequently the master mix was added to each sample and the reverse transcription was conducted under 42°C for 1 hour as described in the following example:

#### **Reverse transcription reaction mixture**

<b>Reagent</b>	<b>Volume [µl] per Reaction</b>
<b>5 x Superscript RT buffer</b>	<b>6</b>
<b>DTT (0.1 M)</b>	<b>3.5</b>
<b>dNTPs (10 mM)</b>	<b>1</b>
<b>RNase Inhib P/N (40un/µl)</b>	<b>0.5</b>
<b>Super Script™ II (200 U/µl)</b>	<b>0.25</b>
<b>Total volume</b>	<b>11.25</b>

At last the cDNA concentration was adjusted to a concentration between 2 ng/µl and 10 ng/µl by diluting with TE-buffer [2.3.1].

### **3.2.4 Quantitative PCR**

The quantitative PCR (also qPCR or real-time PCR) is a method for quantification of cDNA transcripts, which were derived from mRNA reverse transcription [3.2.3], during PCR amplification process.

The produced DNA products were monitored by eva green (Excitation =500 nm; Emission = 530 nm), which is a fluorescent intercalating dye and a component of the qPCR-master mix (Solis BioDyne, Estonia). During several PCR cycles the cDNA became amplified. Between the cycles the total amount of amplified DNA was monitored. The fewer the amount of specific cDNA in the beginning the more cycles of PCR were necessary to reach a threshold (*Threshold Cycle* (Ct)) of a preset DNA quantity (HIGUCHI *et al* 1993). The lower the cycle number the higher was the expression of this gene. To be able to compare expression patterns in different cells with deviating total RNA amounts, it was necessary to normalize the quantity of transcripts with the quantity of at least one housekeeping gene (e.g. *GAPDH*, *UBC* etc.), which were ubiquitously expressed genes. For determination of amplification efficacy during the cycles a basic grade with a pool of cDNA of cell lines, which were supposed to be analyzed, was compounded. This basic grade was prepared by a serial dilution of cDNA with six concentrations (1:5 dilutions). The amount of amplified DNA became monitored. In the end the amplification efficacy was calculated and referred to the data of the samples.

The *5x HOT FIREPol® EvaGreen® qPCR Mix Plus* (Solis BioDyne, Estonia) was used for real-time PCR and was prepared as described in the following example:

#### **qPCR master mix:**

<b>Reagent</b>	<b>Volume [µl] per sample</b>
<b>qPCR Master mix</b>	<b>2</b>
<b>Primer [1:10]</b>	<b>0.2</b>
<b>ddH<sub>2</sub>O</b>	<b>4.8</b>
<b>cDNA</b>	<b>3</b>
<b>total</b>	<b>10</b>

The quantitative PCR was executed by *TaqMan 7900HT* (Applied Biosystems) in a 384 Well Plate (*Thermo Fast Plate 384 PCR*, ABgene), which was covered with a special “optical clear” cover slide (*Adhäsiv PCR-Folie*, ABgene).



**qPCR-Program:**

Phase	Duration	Temperature
Initial denaturation	15min	95°C
Denaturation	15 sec	95°C
Primer annealing	20 sec	60°C
Elongation	40 sec	72°C
Melting curve		

} 40x - 45x

The results were evaluated with the software SDS 2.1. The specific melting curve in this qPCR process was an indication that the intended PCR product was amplified. Different DNA fragments have various melting temperatures due to their number of nucleotides and to the amount of guanosine and cytosine bonds. Because of this it was possible to recognize wrong amplified fragments, when a second melting curve had appeared. For normalization of the quantity of transcripts with housekeeping genes the  $\Delta\Delta C_T$  method was used.

This method was applied in my thesis for determination of *ENT1* transcript variants, which derived from lymphoblastoid cell lines [3.6.5], pancreatic tumor cell lines (AsPC1, MiaPaca2, Su.86.86, CFPac, Panc1, Capan1) [3.6.6] and a human RNA tissue panel from Ambion (Huntingdon, United Kingdom). The samples of the total RNA panel derived from tissues of at least three unrelated healthy humans and were extracted post mortem. The primer used for quantification of *ENT1* transcript variant as well as for the determination of reference genes are outlined in Table 3.

Table 3: Primers for qRT-PCR.

Gene	Forward Primer (5'->3')	Reverse Primer (5'->3')	AL [bp]
<b><u>Reference genes</u></b>			
GAPDH <sup>1</sup>	CCCTTCATTGACCTCAACTACAT	ACGATACCAAAGTTGTCATGGAT	407
HPRT1 <sup>1</sup>	TGACACTGGCAAAACAATGCA	GGTCCTTTTCACCAGCAAGCT	93
UBC <sup>2</sup>	CGGTGAACGCCGATGATTAT	ATCTGCATTGTCAAGTGACGA	123
36b4 <sup>3</sup>	GCAGATCCGCATGTCCCTT	TGTTTTCCAGGTGCCCTCG	92
<b><u>ENT1 transcript variants</u></b>			
all <sup>4</sup>	TGTTTTCCAGCCGTGACT	CAGGCCACATGAATACAG	147
v1	TGCGGTACCGTTGACCT	CCTAGGAGGTGCTCCTAGTTCA	113
v2	ATCTCAGCGCGGGAGCA	v1-rev	85
v3	CGAGAGCGCGGGATCT	GGGGCCTGCCGAGAAG	50
v4	GAGATGAGGAGGGAGAGAAC	CCTGCTGCTGAGACTTTG	93
v5	v4-for	GGCCTGCTGAGACTTTG	92
v313248	v4-for	CTGGTCTTCTGGCTTCTCTC	132
v371708	AAGACAGGGCCTCACACTG	AAGCCAGACAGCTTTGTATCTGT	134
v371713	v1-for	CAGGGGCCAGATCGATG	67
v371731	TCTGCGGCAGGCTTCTC	v1-rev	151

The *ENT1* transcript variants are based on the entries in NCBI (v1-5, see <http://www.ncbi.nlm.nih.gov/gene/2030>) and ENSEMBL (the last four rows, see <http://www.ensembl.org>) and are denoted as in the databases. Of the other seven ENSEMBL entries, six are covered by the five variants annotated in NCBI, and one does not code for protein product. "All" detect a region for protein coding shared by all investigated transcript variants. The prime numbers at the gene names indicate a literature report as source: 1 = PMID:16978418, 2 = PMID:19036168, 3 = PMID:17483357, 4 = PMID:17658213. No number denotes self-established primers.

### 3.3 Protein Analyses

#### 3.3.1 Quantification of Total Protein Using Bicinchoninic Acid (BCA)

For quantification of the total amount of proteins in solutions the bicinchoninic acid (BCA) method was used (SMITH *et al.* 1985). The principle of this approach is that Cu<sup>2+</sup> ions become reduced by the proteins to Cu<sup>+</sup> ions, which causes a color shift of the reaction mixture from green to purple. The exact transition from the color shift can be photometrically determined and used for quantification of the protein content.

For applying this approach at first a basic grade with a defined amount of BSA protein solution (bovine serum albumin) was transferred into wells of a 96-well plate (Sarstedt). The basic grade, which was prepared in duplicates, consisted of the conditions 0, 3, 5, 7, 9, 11 µl of a 1 mg/ml BSA stock solution. Then 4-10 µl of undetermined protein

samples were transferred in triplicates to the wells of the 96-well plate. In some cases a 1:10 dilution of the undetermined protein samples was prepared before. The *BCA-copper-sulfate-reaction-mixture* is composed of 50 parts of *Bicinchoninic Acid solution* and 1 part of a 4% *copper-sulfate-solution*. Then 200  $\mu$ l from this mixture was transferred on the 96-well plate for each sample and incubated for 30 minutes at 37°C. After this time the color shift based on the amount of proteins in the samples was determined by absorbance measurements at 570 nm with *Tecan Ultra* Microplate device (Tecan, Crailsheim). In the end the total protein content could be calculated in applying the basic grade according to the collected data with this formula:

dilution factor	$x$	measured value
used sample volume	$x$	slope of basic grade

### 3.4 Electrophoretic Mobility Shift Assay

The electrophoretic mobility shift assay (EMSA) is an approach to determine if regulatory proteins (e.g. from nuclear extracts (MURRAY and RUBEL 1992; SCHREIBER *et al.* 1989)) are binding on DNA probes. The DNA probes were labeled with the radioactive nuclide  $^{32}\text{P}$  to visualize the protein binding on the probe. The probes consisted of a pair of complementary oligonucleotides, which had a GATC-nucleotide overhang on the 5'terminus.

#### 3.4.1 Isolation of Nuclear Protein Extracts

The isolation of nuclear protein extracts was performed according to the *CellLytic<sup>TM</sup> NuCLEAR<sup>TM</sup> Extraction Kit* from Sigma. The principle of this method is that cells become lysed by osmotic pressure first. Thus, cytosolic components evade the cells and can be separated by centrifugation steps from membranes and nuclei. An inhibitor of nuclear transporters (*Na-ortho-vanadate*) is intended to prevent nuclear proteins from escaping the nucleus. Next the membranes become chemically degraded. In the means of another centrifugation step the destroyed membrane fragments can be separated from the nuclear proteins by centrifugation.

All the required solutions were prepared by me. The isolated nuclear protein extracts derived from lymphoblastoid cell lines [3.6.5] and pancreatic cancer cell lines [3.6.6] and were used for electrophoretic mobility shift assays (EMSA) [3.4.3].

All of the following steps were conducted on ice.

#### **Mixture for Nuclear-Extraction-Buffer A**

<b>Reagent (pH7.9at 4°C)</b>	<b>Concentration (mM)</b>
HEPES/KOH	10
MgCl <sub>2</sub>	1.5
KCl	10
DTT (added directly before usage)	0.5
PMSF (added directly before usage)	1 ml (of a saturated solution)
Na-Vanadat (added directly before usage)	1
ddH <sub>2</sub> O	Up to 600 ml

#### **Mixture for Nuclear-Extraction-Buffer B**

<b>Reagent (pH7.9at 4°C)</b>	<b>Concentration (mM)</b>
HEPES/KOH	20
Glycerin 85%	25%
NaCl	420
MgCl <sub>2</sub>	1.5
EDTA	0.2
NP40 (=modification)	1 % final conc.
Na-Doc (=modification)	0.5% final conc.
DTT (added directly before usage)	0.5
PSMF (added directly before usage)	1 ml (of a saturated solution)
Na-Vanadat (added directly before usage)	1
ddH <sub>2</sub> O	Up to 1000 ml

For the nuclear protein extraction about  $1 \times 10^7 - 1 \times 10^8$  cells were used. The cells were harvested from the culture flask and transferred to pre-cooled Falcon tubes. Next they were centrifuged (Heraeus Laborfuge 400R) at 300 g for 5 minutes at 4°C. Thereafter the supernatant was discarded and the pellet was resolved in 10 ml of ice cold PBS [2.3.1] (supplemented with 1mM *Na-ortho-vanadate*). If many Falcon tubes were used at this point, all the aliquots would be pooled in one 50 ml Falcon tube. After this the cells were centrifuged again (Heraeus Laborfuge 400R) at 600 g for 5 minutes at 4°C. In the following procedure the supernatant was completely removed and the cells were resolved in 1 ml of ice cold PBS (supplemented with 1mM Na-Orthovanadat) and transferred to a 2 ml Eppendorf tube. Then the samples were centrifuged (Biofuge fresco) with 600 g for 5 minutes at 4°C. Hereafter the supernatant was removed and cells became resolved in the nuclear extraction mixture buffer A with the approximate fivefold volume of the pellet. Then cells were incubated for 20 minutes on ice. Subsequently the vitality of the cells was checked by *Trypan blue* staining under the microscope. In most cases just 60%-80% of the cells were lysed. Next 10 µl of a 10% NP-40 solution (1% final concentration) per 100 µl of cell mixture was applied and vortexed vigorously for 10 seconds. After that cells became centrifuged (Biofuge fresco) with 11,000 g for 2 minutes at 4°C. At this point supernatant includes cytosolic proteins and could be wasted. Then the pellet was removed and resuspended in an amount of nuclear extraction mixture buffer B, which corresponds to 2/3 of the volume of the pellet. This mixture was stuck to a vortexer and shaken with 1,800 rpm for about 30 – 60 minutes at 4°C in the cooling room. In the end the solution was centrifuged (Biofuge fresco) with 17,000 g for 10 minutes at 4°C. Thereupon the supernatant included the nuclear proteins and was pooled. Then an aliquot was taken for protein quantification measurement [3.3.1] and the remain was transferred to 1.5 ml Eppendorf tubes and was frozen at –80°C for further usage.

### 3.4.2 Probe Labeling

Probes which were supposed to be radiolabeled with  $\alpha$ -<sup>32</sup>P-dCTP had to be annealed first.

#### Mixture for probe-annealing

Reagent	Volume [ $\mu$ l] per Sample
Oligo_for (100 $\mu$ M)	1
Oligo_rev (100 $\mu$ M)	1
NaCl (0.5 M)	1
ddH <sub>2</sub> O	47

The above mentioned probe-annealing-mixture became incubated in one liter of hot water (ca.95 °C), which was stirred gently (about 100 rpm) on the magnetic stirrer, until the water was cooled down to room temperature and the oligonucleotides were annealed.

With Klenow-reaction (*Klenow-Fragment*, St. Leon-Roth) the single stranded GATC overhangs on the 5'-termini became double stranded. During Klenow-reaction the  $\alpha$ -<sup>32</sup>P-cytidine nucleotides became incorporated and the probe radioactively labeled. The nucleotides dATP, dGTP and dTTP were not radioactively labeled.

The labeling of the probes was performed in the radioactivity area. In order to do this all safety procedures and rules had to be observed. The labeling mixture was prepared outside the radioactivity laboratory. Thereafter the Klenow-enzyme and the radioactive dCTPs were added to this solution in the radioactive control area and incubated for 1 hour at 37°C.

**Mixture for  $\alpha$ -<sup>32</sup>P-dCTP-probe-labeling**

Reagent	Volume [ $\mu$ l] per Sample	
Annealed oligonucleotides (2pmol/ $\mu$ l)	1	
10x Klenow Buffer	1	
dNTPs (A,G, T each 1mM)	1	
ddH <sub>2</sub> O	12	
$\alpha$ - <sup>32</sup> P-dCTP (10 $\mu$ Ci/ $\mu$ l)	2	} Added in the radioactive area
Klenow-Fragment (1 U/ $\mu$ l)	2	

To purify the labeled probes from unbound  $\alpha$ -<sup>32</sup>P-dCTP the mixture was filtered by a *mini Quick Spin Oligo Columns* (Roche). At first the sephadex matrix had to be homogenized by vortexing. After this the lid of the column and the outlet was opened. Then the column was placed in an empty tube for centrifugation (3,200 x g = 900 rpm, 2 min, RT; Biofuge 15 R, Heraeus) to remove surplus liquid. The dry column was placed in a new 1.5 ml Eppendorf tube and the mixture for  $\alpha$ -<sup>32</sup>P-dCTP-Probe-labeling, which was centrifuged before to avoid contamination by opening the tube, was pipetted in the middle of the columns matrix. Then the column became centrifuged (3,200 x g = 900 rpm, 4 min, RT; Biofuge 15 R, Heraeus). The eluate consisted of the purified radioactively labeled probes. To determine the exact amount of radioactivity in the eluate 1  $\mu$ l of latter was used, mixed with 4 ml of safety scintillator (Aquasafe 500 Plus, Zinsser Analytic) and applied to the scintillation counter LS1801. For the EMSA binding reaction the amount for 30,000 cpm of the probe was used. Primers which were labeled in order to use them for EMSA experiments are depicted in Table 4.

**Table 4: Primers for EMSA-probes**

Primer name	Sequence
EMSA-Primer- rs1057985-C	5'GATCTAATATCTGAGTTGCCTATGGAGGCAGACCT <sup>3'</sup>
EMSA-Primer- rs1057985-G	5'GATCAGGTCTGCCTCCATAGGCAACTCAGATATTA <sup>3'</sup>
EMSA-Primer- rs1057985-T	5'GATCTAATATCTGAGTTGCTTATGGAGGCAGACCT <sup>3'</sup>
EMSA-Primer- rs1057985-A	5'GATCAGGTCTGCCTCCATAGCAACTCAGATATTA <sup>3'</sup>
EMSA-Primer- rs507964-C	5'GATCAAGGCAACTCACCCACCCCTAGTCTCTTCTA <sup>3'</sup>
EMSA-Primer- rs507964-G	5'GATCTAGAAGAGACTAGGGGTGGGTGAGTTGCCTT <sup>3'</sup>
EMSA-Primer- rs507964-A	5'GATCAAGGCAACTCACCCAACCCCTAGTCTCTTCTA <sup>3'</sup>
EMSA-Primer- rs507964-T	5'GATCTAGAAGAGACTAGGGTTGGGTGAGTTGCCTT <sup>3'</sup>

### 3.4.3 Protein-DNA Interaction

The binding reaction was prepared according to the following protocol. Since proteins are very sensitive all the steps were performed on ice.

#### Protein-DNA binding-reaction-mixture

Reagent	Volume [ $\mu$ l] per sample
4 x binding buffer	5
Nuclear cell extracts [3.4.1]	~ 20 - 40 $\mu$ g
Poly dI-dC (1 $\mu$ g/ $\mu$ l)	2
$\alpha$ - <sup>32</sup> P-dCTP-labeled probes	30,000 cpm
ddH <sub>2</sub> O	Up to 20 $\mu$ l

} Was added in the radioactive area after preincubation

#### 4 x binding buffer mix(pH 7.9) stored at 4°C

Reagent	Concentration [mM]
Hepes (pH 7.8)	80
EDTA (pH 8)	4
DTT	2
Glycerin	40 %
KCl	560

#### 6 x loading dye

Reagent stored at -20°C	Concentration [%]
Glycerin 87%	30 (v/v)
Bromphenol blue	0.25 (w/v)
Xylen Cyanol FF	0.25 (w/v)

After the binding reaction mix was prepared without  $\alpha$ -<sup>32</sup>P-dCTP-labeled probes, the solutions were incubated on ice for 10 minutes. After the pre-incubation of 10 minutes the  $\alpha$ -<sup>32</sup>P-dCTP-labeled probes (30,000cpm) were added in the radioactive area and incubated for 30 minutes on ice. After that time 4  $\mu$ l of the 6 x loading dye were added to each sample and then transferred to the pockets of a native polyacrylamid gel [3.4.6].



### 3.4.4 “Cold” Competition

In cases of “cold” competition experiments a non-radiolabeled probe was also added to the binding mixture. In those experiments the specificity of protein binding on probes should be elucidated. By a competition with a non-radiolabeled probe in different concentrations (5 times till 300 times surplus), it was possible to visualize the competing effect of a protein binding on the radiolabeled probe. Thus it was feasible to identify the DNA bases of the probe, which were relevant for the protein binding. By means of the “cold” competition it was possible to predict the interacting protein due to the specific binding pattern.

The “cold” competition procedure was applied to investigate binding affinities between polymorphic regions in relation to their allelic constitution for an *ENT1* promoter SNP rs507964 and a *WVOX* SNP rs11644322. In these cases the primer for the opposite allele (Table 4) was used to compete with the actual protein interaction to determine if one allelic region showed higher affinity to the binding protein than the other. For determination of the binding protein on EMSA probes, consensus sequences of binding motives referring to putative interacting proteins were used. The primers for determination of binding proteins are outlined in Table 5.

**Table 5: Primers for “cold” competition**

Primer declaration	Sequence
EMSA-P300-1	5'GAGAGGGAGAAAGTGAAGCTGGGAGTTGCC <sup>3'</sup>
EMSA-P300-2	5'GAGACCGAGAAAGTGAAGCTCCGAGTTGCC <sup>3'</sup>
EMSA-CDP-1	5'ACCCAATGATTATTAGCCAATTTCTGA <sup>3'</sup>
EMSA-CDP-1	5'TCAGAAATTGGCTAATAATCATTGGGT <sup>3'</sup>
EMSA-AP2-Alpha-1	5'GATCGAACTGACCGCCCGCGGCC <sup>3'</sup>
EMSA-AP2-Alpha-2	5'ACGGGCCGCGGGCGGTCAGTTCGATC <sup>3'</sup>
EMSA-SP1-1	5'ATTCGATCGGGGCGGGGCGAGC <sup>3'</sup>
EMSA-SP1-2	5'GCTCGCCCCGCCCCGATCGAAT <sup>3'</sup>
EMSA-EGR-family-1	5'GGATCCAGCGGGGGCGAGCGGGGGCGA <sup>3'</sup>
EMSA-EGR-family-2	5'TCGCCCCGCTCGCCCCGCTGGATCC <sup>3'</sup>

### 3.4.5 Super shift Assays

This method is used to prove the identity of a binding protein in an EMSA experiment. By applying a specific antibody against the protein of interest to the binding reaction, there should additionally occur a protein-protein interaction besides the DNA-protein binding. The additional mass of the second protein causes a retarded migration of the complex in the gel matrix during the gel electrophoresis in comparison to the probe-protein binding without the antibody. If retarded migration can be observed, which is a very specific reaction, the identity of the binding protein is proven.

The SP1 antibodies (PEP2 and IC6) were obtained from Santa Cruz Biotechnology, Inc. (Heidelberg) and the super shift assays were performed according to their recommendation. The antibodies were applied to the binding reaction mix on ice 1 hour before the radiolabeled probes were added.

### 3.4.6 Non-Denaturing Polyacrylamid-Gel Electrophoresis

The non-denaturing polyacrylamid gel electrophoresis was prepared according to the following protocol. The property of this polyacrylamid-gel was that it did not contain any SDS and therefore the proteins kept their native structure and stayed functional.

#### 5 % Polyacrylamid-Gel

Reagent	Volume [ml] per sample
40% (w/v) Acrylamid/Mix 37:5:1	4.4
5 x TBE	3.5
ddH <sub>2</sub> O	27
APS 10 % (w/v)	0.35
TEMED	0.035

} These supplements started the polymeric reaction and were added at the end

#### 5 x TBE buffer (pH8)

Reagent	Concentration [mM]
Tris	450
Bor acid	450
EDTA	10

Before the samples were applied to the gel pockets the polyacrylamid gel had to be equilibrated in 0.5 % TBE-buffer [2.3.1] at 180 V (maximal power of mA) for about 1 hour. After that the binding reaction samples could be transferred to the gel. Thereafter the gel was run under the same conditions for 1.5 hours. The gel was placed on two *Whatman* papers (Nr. 2668, Schleicher und Schuell) and covered with cling film. Then the gel was placed with the *Whatman* papers on a vacuum-gel-drying system. By this the gel was dried for about 2 hours at 80°C. After the gel was dry, it was positioned in a cassette with a Fujifilm BAS1500 plate over night. The next day the radioactive signals could be visualized with PhosphorImager device (Raytest, Sprockhövel, Germany) by the software *BASreader* and *AIDA* (Version 4.15.025, Raytest, Sprockhövel, Germany). After positive results the gel was additionally placed in a cassette with an x-rayfilm (Hyperfilm MP (18 × 24 cm); GE Healthcare) for 10-14 days at -80°C. Thus it was possible to get a better resolution of the radioactive signals. The x-rayfilms were developed with x-ray-developer G150 und fixer G354 (AGFA, Leverkusen) in the darkroom. Analogous signal counting was performed using the Fluor-STM MultiImager (BioRad, Hercules, CA, USA).

### **3.5 Bacteria Cell Procedures**

The electro competent bacteria strain *One Shot® TOP10 Electrocomp™ E. coli* (Invitrogen, Karlsruhe) was used to amplify vectors with inserted genes of interest intracellularly.

#### **3.5.1 Culturing and Storage**

The Luria-Bertani (LB) complex media was used in solid and liquid condition to breed *E.coli top 10* strains (Invitrogen) for experimental purpose. The following mixture was used for LB preparation:

**LB-media**

Reagent	Amount [g]
Trypton	10
Yeast extract	5
NaCl	5
ddH <sub>2</sub> O	Up to 1000ml

For preparation of solid LB-plates the amount of 14 g Agar (APPLiChem, Darmstadt) was added before autoclaving. Then the liquid LB-media (temperature ~50°C) was supplemented with antibiotics (e.g. Ampicillin 100 µg/ml; Kanamycin 20µg/ml; Chloramphenicol 170 µg/ml). Then the media was transferred to 92 mm petri dishes underneath the sterile bench where petri dishes were cooled down and dried. LB media on petri dishes were stored at 4°C as well as in liquid form. For storing bacteria cultures for a long time, bacteria-LB-Media solution was mixed 1:1 with a 50% glycerol solution in a 1.5 ml Eppendorf tube and frozen at -80°C.

**3.5.2 Single Clone Separation**

Separation of single clones from a liquid bacteria solution is performed by dispensing cells with a bended *Pasteur* pipette, which was flame-treated, on the agar-plate. Then the agar-plate was incubated upside down in the incubator *Incudrive* (Schütt, Göttingen) overnight. Plates could be stored at 4°C for about one month if they had been sealed with parafilm (Brand; Wertheim).

**3.5.3 Single Clone Culturing**

This procedure was used to enlarge the amount of a single bacteria clone in a short time. For this purpose 5 ml LB-media in a sterile 15 ml tube (Greiner, Frickenhausen) for mini-prep [3.1.2.1] and 30 ml LB-media in a sterile 500 ml bottle for midi-prep [3.1.2.2] were supplemented with the referring antibiotic as selection marker. Then the media was supplemented with the inoculum and incubated while shaking on K2 260 basic-shaker for bacteria (IKA, Staufen) with 250 rpm at 37°C over night. For shaking the samples were placed in sloping position to enlarge the surface of the media for better supply with oxygen and the lid was never closed.

### 3.5.4 Transformation by Electroporation

Electroporation is an approach to import plasmid DNA into bacteria. The electric shock (~2,500 volts/cm) applied to the bacteria causes small cracks in their membrane, through which the plasmid DNA is able to enter the cells. The bacteria multiply the amount of plasmids, which can be extracted for further experiments for example by Mini-Prep [3.1.2.1] or Midi-Prep [3.1.2.2]. To ensure that just bacteria, which contain the inserted plasmid, can propagate an antibiotic resistance was also included in the plasmid DNA as selection marker.

Mostly the plasmids for electroporation derived from a previous restriction digestion [3.1.8] and a ligation step [3.1.9]. In this case the salt concentration of these reaction mixtures had to be lowered by dilution [3.1.10]. In order to do this a 92 mm petri dish was filled with ddH<sub>2</sub>O and the mixture containing the plasmid of interest was transferred to a 0.025 µm *dialysation filter VSWP01300* (Millipore, Bedford, USA), which was placed on the surface of the water. After 30 minutes of dialysation the solution was carefully removed from the filter and translocated in a 1.5 ml tube.

The bacteria cell line *One Shot® TOP10 Electrocomp™ E. coli* (Invitrogen, Karlsruhe), was used for electroporation and was kept on ice for this procedure. For the electroporation process the following reaction mixture was prepared.

#### Electroporation mix

Reagent	Volume [µl]
electrocompetent <i>E.coli</i>	10
Plasmid DNA	1-3
ddH <sub>2</sub> O	40

Then the sample was transferred to a 2 mm electroporation cuvette (PeqLab, Erlangen), which was cooled down on ice before. The electroporation was performed with *Gene Pulser II* (Biorad, Hercules USA) with the settings 2.5 kV, 25 µF and 200 Ω for about 5 milliseconds. After this the electroporated cells were immediately diluted with 800 µl warm LB media and incubated for one hour to recuperate. Subsequently different amounts (between 50 – 300 µl) of this suspension were dispensed on agar-plates [3.5.2]

containing the specific antibiotic to obtain single colonies of bacteria including the plasmids of interest.

## **3.6 Human Cell Culturing**

### **3.6.1 Used Cell Lines and Culturing Conditions**

All operations with mammalian cells were conducted under the sterile bench. Cells were cultured with Penicillin and Streptomycin to prevent bacterial contamination. Cells were visually examined with a microscope (Axiovert 40 CFL, Zeiss, Jena) to elucidate the health status of the cells and to check for microbiological contamination. Cells were cultured in an incubator (CO<sub>2</sub>-Inkubator BBD 6220; Heraeus) at 37°C with 95% humidity and 5% CO<sub>2</sub>. The culture media was warmed up at 37°C in a water bath (GFL 1083; Schütt, Göttingen) before applied to the cells. The splitting interval was dependent on the proliferation rate of the specific cell lines and on the specific requirement of the planned experiments. In general the cell number of suspension cells was kept between  $3 \times 10^5$  and  $8 \times 10^5$  cells per milliliter media and adherent cell lines were splitted not later than 80% of confluency. For splitting adherent cells they had to be washed with PBS buffer [2.3.1] and then detached from the surface of the culturing flask. For detaching the cells 5 ml of trypsin (TrypLE™ Express, Gibco/Invitrogen) were applied on 75 cm<sup>2</sup> flasks and 3 ml on 25 cm<sup>2</sup> flasks for about 3 min at 37°C. Depending on the cell type the incubation time under trypsin could be increased. After cells were released from the surface, the trypsin was inactivated by diluting it with at least the same amount of media, which was supplemented with FCS (fetal calf serum). After this the required volume of cells was determined (e.g. by counting) and transferred to a 50 ml Falcon tube. Then the cell suspension was centrifuged (Centrifuge 5810R; Eppendorf) for 3 minutes with 300 g at room temperature. After this the supernatant was discarded and the cells were resolved in the decided volume of media and transferred to a new culturing flask.

If media turned into yellow before 80% of confluency was reached, indicating that the pH value was declining, the old media was removed and cells were supplied with new media. Suspension cells were splitted by taking the intended amount of cells from the culturing flask, after cells had been mixed by pipetting to resolve cell clumps. Then the

cell suspension was centrifuged (Centrifuge 5810R; Eppendorf) for 3 minutes with 300 g at room temperature and transferred to a new culturing flask. If media turned yellow, cells were transferred into a 50 ml Falcon tube and centrifuged (Centrifuge 5810R; Eppendorf) for 3 minutes with 300 g at room temperature. The old media was removed and cells were resuspended with new media and transferred back into a culturing flask.

### **3.6.2 Freezing Cell Lines**

Freezing cell lines in liquid nitrogen has the purpose to preserve them for later usage. Thus it was possible to keep cell lines in low passage numbers to prevent them from enriching mutation, which occurs when cells were cultured over a long period of time.

For freezing cell lines a special freezing solution was necessary, which consists of 90% pure FCS (fetal calf serum) with 10% of sterile DMSO (Dimethylsulfoxide, AppliChem, Darmstadt).

To freeze cell lines they had first to be cultured in big culture flasks (75 cm<sup>2</sup>) to an approximate confluency of 80%. Then cells were harvested and transferred to a 50 ml Falcon tube. Then they were centrifuged with 300 g for 5 minutes at room temperature (Heraeus Laborfuge 400R). Afterwards the supernatant was discarded and the pellet was resolved in 4 ml of cool freezing solution. It was necessary to prepare all following steps on ice. Then the cells were transferred to 1.5 ml *Cryo tubes* (Nunc, Thermo Scientific, Denmark) and placed in *Mr. Frosty freezing box* (Sigma-Aldrich, Deisenhofen), which allows the cells to be cooled down at speed of 1°C/min in a -80°C-freezer and was pre-cooled in the refrigerator for this purpose. After 24 hours the cells were replaced in liquid nitrogen (about -170°C) for further storage.

### **3.6.3 Defrosting Cell Lines**

Cell lines, which were stored in liquid nitrogen, had to be defrosted and relieved from the DMSO (Dimethylsulfoxid) in the freezing media to get them back in culture. In order to do this the aliquot-tube with frozen cells had to be warmed up by hand until the outer surface of the ice block started to melt. Then the tube was opened and the ice block was dumped in a falcon tube with 10 ml DMEM media (1% Penicillin/Streptomycin). The Falcon tube was shaken until the ice was melted. Then

the tube was centrifuged (Heraeus Laborfuge 400R) with 300 g for 3 min at room temperature. After this the supernatant was discarded and the pellet resolved in 5 ml of fresh culture media according to the culture recommendations of the specific cell line. In the end cells became transferred to a 25 cm<sup>2</sup> culture flask and placed in the incubator (CO<sub>2</sub>-Incubator BBD 6220 Heraeus, Hanau).

### 3.6.4 Determination of Cell Numbers

#### 3.6.4.1 Cell Counting with Neubauer-Cell Chamber

In order to determine the number of cells in a suspension one possibility was to use the Neubauer-cell chamber. For this procedure a pre-defined amount of cell suspension was taken and mixed 1:1 (15µl:15µl) with the reagent *Trypan Blue* (*Sigma-Aldrich*), which enters cells via cracks in cell membrane. By means of this staining procedure dead cells could be distinguished from living cells. Thereupon the suspension mix was transferred to the Neubauer-cell chamber, which was covered with a cover slip. Then living cells for each of the four squares were counted and the concentration of cells was calculated for the total amount of cells in the suspension.

$$\text{Cell concentration/ml} = \frac{\text{Cell number (in all 4 squares)} * 2 * 1000}{4 * 0.1 \mu\text{l/square}}$$

$$\frac{\text{Cell number (in all 4 squares)} * 5000}{1}$$

First the mean of the cell number per square is determined. Each square has an area of 1 mm<sup>2</sup> and a chamber height of 0.1 mm, which results in a volume of 0.1 µl/square. The dilution of this mixture was 1:2. To get the concentration per milliliter the factor 1000 has to be considered.

#### 3.6.4.2 Cell Counting with Propidium Iodide Staining by Flow Cytometry

One possibility to count cell numbers and to determine the living cell concentration in a suspension is to perform a *Propidium Iodide* staining (BD Biosciences) and to select and count living cells by flow cytometry (Flow cytometer BD LSR II, Becton Dickinson, Franklin Lakes, USA). *Propidium Iodide* is a DNA intercalating substance,



which only enters cells with demolished cell membranes. With this procedure it is feasible to mark dead and apoptotic cells. To determine the concentration of the living cell fraction in the suspension, *counting beads* (Invitrogen, Karlsruhe) are added in the *Propidium Iodide* staining solution additionally. The counting beads are homogeneous particles in a known concentration, which are fluorescent in a broad spectrum of wavelength. A pre-defined concentration of the *counting beads* is added. By flow cytometry the living cell population can be selected and counted as well as the added *counting beads*. Since it is known which amount of beads is included in the mixture, the counted living cell fraction can be related to the counted bead number. Thus the concentration of living cells in the original cell suspension can be calculated [3.6.4.2.1].

#### **Propidium Iodide staining solution mixture**

<b>Reagent</b>	<b>Volume [µl] per sample</b>
<b>RPMI-Media</b>	<b>200</b>
<b><i>Propidium Iodide</i></b>	<b>0.24</b>
<b>Counting Bead solution</b>	<b>10</b>
<b>Total</b>	<b>210</b>

#### **3.6.4.2.1 Determination of Cell Numbers in a Suspension with Counting Beads**

The determination of cell numbers by *counting beads* (CountBright™ absolute counting Beads, Invitrogen, Karlsruhe) was performed according to manufacturers' recommendation.

The cell concentration was determined with the formula displayed next:

$$\frac{\text{Counted cells} \times \text{total number of beads in solution} \times \text{dilution}}{\text{Counted beads} \times \text{total volume of sample (cell suspension + bead volume)}}$$

This technique was used for determination of cell concentration exclusively for flow cytometry. For this purpose cells had been stained with *Propidium Iodide* (BD

Bioscience) [3.6.4.2] or with *Sytox Blue* (Life Technologies Corporation) and *Vybrant Ruby* (Invitrogen) [3.10.2] before.

### **3.6.5 Lymphoblastoid Cell Lines (LCLs)**

Lymphoblastoid Cell lines were once B-lymphocytes, which were immortalized with the Epstein-Barr-Virus (EBV). Most of them (196) were commercially bought from the Coriell Cell Repositories (Table 18; <http://ccr.coriell.org>) and others (95) were self established (with ethic committee approval and patient informed consent) by the Department of Radiotherapy as well as by the Department of Immunology and Experimental Oncology, Göttingen University. These cell lines were cultured in RPMI Media (15% FCS and 1% Penicillin/Streptomycin). The LCLs were incubated in 75 cm<sup>2</sup> culturing flasks, which were kept in the upright position and filled with 50 ml of media at maximum. The cell concentration was kept between  $3 \times 10^5$  –  $8 \times 10^5$  cells per ml. All LCLs from the Coriell Cell Repositories used for experiments are listed in Table 18.

### **3.6.6 Pancreatic Cancer Cell Lines**

There had been eight pancreatic cancer cell lines (MiaPacaII, AsPcI, Su86.86, BxPC3, CapanI, CapanII, CFPac, PancI) for experiments, which were officially bought from ATCC, Wesel ([www.atcc.org](http://www.atcc.org)). These cell lines were cultured according to the recommendation of ATCC (<http://www.lgcstandards-atcc.org>)

### **3.6.7 TREx<sup>TM</sup> 293 Cell Line**

The TREx<sup>TM</sup> 293 cells were cultured in DMEM media (10% FCS and 1% Penicillin/Streptomycin and 0.1 % Zeocin). Cells were cultured and split as described in [3.6.1].

### 3.7 Human Cells as Model System

#### 3.7.1 Transient Transfection for Luciferase Reporter Gene Assay

The transient transfection is an approach for transferring plasmid DNA in cells, which is not supposed to be inserted in the chromosomal DNA. Therefore the transfected DNA is only intracellularly stable for just a certain period of time. Because of this the method is suitable for short time overexpression analysis and was used for luciferase reporter gene assays in this context.

The cell line AsPC1, which was supposed to be transfected with a *hENTI* promoter fragment, was adjusted to  $3 \times 10^4$  cells/well in a 6 well plate (= 9,6cm<sup>2</sup>) and incubated for 24 hours at 37°C and 5% CO<sub>2</sub>. The next day AsPC1 (about 70% confluency) was transiently transfected with *FuGene 6* (Roche, Mannheim) according to the protocol below. After the Media and the *FuGene 6* transfection reagent was mixed, it was incubated for 5 minutes at room temperature. Thereafter the pCMV-Renilla-luciferase plasmid (1.7 ng/cm<sup>2</sup>), which was used as an internal standard for transfection efficacy, was added. Then the mixture was aliquoted and the referring reporter gene with the promoter construct was supplemented in each sample and incubated for 15 minutes at room temperature. During the incubation time the media in the wells was removed and replaced by 2 ml media with FCS (fetal calf serum) without antibiotics. Subsequently 100 µl from the transfection mixture was added to the wells and incubated for 4-6 hours. After this the transfection media from the wells was discarded and replaced by Media with FCS (fetal calf serum) and antibiotics. To investigate the condition under gemcitabine, 5 µM of the cytostatic was supplemented.

The cells used to be lysed after 48 hours of incubation for determination of luciferase activity [3.7.2].

#### Transient Transfection mixture in 6 well plate (=9.6cm<sup>2</sup>)

Reagent	Volume for one transfection
Cell confluency	~ 70%-80%
DMEM-Media	100 µl
<i>Fugene</i> *	3 µl
Plasmid-DNA	1 µg
Renilla-Vector	15 ng
Transfection volume/well	2 ml

\* Transfection reagent:DNA (µl:µg) = 3:1

### 3.7.2 Luciferase Reporter Gene Assay

The *Dual-Luciferase®Reporter Assay System* (Promega, Mannheim) was used to investigate expression regulating effects of DNA sequences and applied according to the recommendation of manufactures protocol.

With this approach a potential *ENT1*-v4 and -v5 promoter fragment, which expands from the transcription start site of the *ENT*-v4 and -v5 to 2122 bp upstream, was cloned ahead of the *Firefly-Luciferase* gene, which was contained in the luciferase vector pXP2 [3.8.2]. This construct was transiently transfected [3.7.1] in the pancreatic tumor cell line AsPCI [3.6.6].

After transient transfection the cells were incubated for 48 hours. Then media was removed and cells were washed once with about 1 ml PBS. In the following step the cells were lysed with 300 µl of 1x passive lysis buffer in the 6-well plate for 10 minutes. After this the passive lysis buffer with the lysed cells were transferred to a 1.5 ml Eppendorf tube, which was frozen in liquid nitrogen and defrosted with a thermomixer at 37°C for three times. After this procedure the cell debris was removed by centrifugation (Biofuge fresco) for 5 minutes with 13,000 rpm at 4°C. Then the supernatant, which contained the cellular proteins, was transferred into a new 1.5 ml Eppendorf tube and kept on ice. For later analysis of the samples they were stored at -80°C. For fluorescent measurement 16 µl of this solution were transferred into a well of a white 96-well plate (Greiner, Frickenhausen). The determination of luciferase activity was conducted with the *GloMax-96-Plate-Luminometer* (Turner BioSystems, Sunnyvale, USA). During measurement procedure the amount of fluorescence, which correlates with the amount of the expressed *Firefly-Luciferase* proteins and the reference *Renilla-Luciferase* proteins, was detected in the sample. The fluorescent substrates were LAR II (*Luciferase Assay Reagent II*) for *Firefly-luciferase* proteins and *Stop & Glo* for *Renilla-luciferase* proteins. *LAR II -Luciferase Assay Reagent II* was not included in the luciferase kit and had to be prepared separately by diluting *LAR II-Luciferase Assay Substrate* with *LAR II-Luciferase Assay Buffer II*. For evaluation of luciferase data the relation of *Firefly-Luciferase* signal to *Renilla-Luciferase* signal was determined.

### 3.7.3 Stable Transfection with *Flp-In*<sup>TM</sup> System

The stable transfection is an approach to integrate target DNA in the chromosomal DNA of the host cell. In order to do this the *Flp-In*<sup>TM</sup> System (Invitrogen) was used. The difference between stable and transient transfection is basically that the DNA in a stable transfection stays everlasting in the host cells, which gives the possibility of performing experiments over a long period of time.

The host cells which were used in the *Flp-In*<sup>TM</sup> System (Invitrogen) were *TREx 293* which derived from a HEK cell strain. In this cell line a *Flp-Recombination Target* (FRT) was integrated already by the plasmid pFRT/lacZeo. This side was the location for further integration steps by the *Flp* recombinase. The simultaneously inserted Zeocin resistance functioned as a selection marker for recombinant cell lines with FRT-sites included. The gene which was supposed to be integrated had to be inserted in the vector pcDNA5 first, which also contained a FRT site and was isolated by Midi Prep extraction method [3.1.2.2] out of bacteria before. Additionally the plasmid had a Hygromicine B resistance to select positive integrated cells after transfection process. A second plasmid pOG44 was necessary to perform the transfection. This plasmid was co-transfected and expressed the *Flp* recombinase. During the transfection process the *Flp* recombinase translocated the gene of interest (GOI) and the Hygromicine B resistance into the FRT side of the host cell. The details of the explained procedure are depicted in Figure 4.

For the stable transfection  $9 \times 10^5 - 1 \times 10^6$  cells were placed in each well of a 6-well plate and incubated over night. The next day cells were supposed to be confluent for ~80%. For transfection process a master mix had to be prepared. For each transfection process 12  $\mu$ l *Fugene 6 transfection reagent* (Roche, Mannheim) were supplemented to 100  $\mu$ l of DMEM Media in a master mix and incubated for 5 minutes at room temperature. After this 112  $\mu$ l of this mix were aliquoted to 1.5 ml tubes and 3.6  $\mu$ g of pOG44 plasmid and 0.4 $\mu$ g of pcDNA5 plasmid with the gene of interest (relation of pOG44 and pcDNA5 9:1) were added and incubated for further 15 minutes at room temperature. During the incubation the cells in the 6-well plate were washed twice with DMEM (10% FCS) without antibiotics. Then 1.9  $\mu$ l of the same media composition was supplemented to each well. After the 15 minutes of incubation had passed, the transfection-master mix for each sample was added to the corresponding well and placed in the incubator for 48 hours.

After this time the media from the 6-well plates were discarded and the cells were transferred to 92 mm petri dishes (Falcon, Schütt, Göttingen) in 9 ml of DMEM (10%FCS and 1% Penicillin/Streptomycin). After 24 hours the media was supplemented with Hygromicine B as screening antibiotic for a final concentration of 300 µg/ml. During the next ten days most of the cells which were not transfected died, but the transfected cells were generating colonies. These colonies got picked and transferred to wells in a 12-well plate at first. During the cultivating process cells got translocated in 6-well Plates first, then into small culture flasks (25cm<sup>2</sup>) and after this into big culture flasks (75cm<sup>2</sup>). To increase the cell growth of selected cell clones the Hygromycin B concentration was reduced to 100 µg/ml in further cultivation steps. During passaging of the cells DNA and RNA were isolated to confirm the positive integration [3.7.3.1] and the correct expression of the gene of interest (*ENT1*) [3.2.4]. In the case mentioned above, the qPCR primers for detection of all *ENT1* transcript variants were used (Table 3).

**Master-mix for stable transfection:**

Reagents	Volume per sample
DMEM Media	100 µl
<i>Fugene 6 transfection reagent</i>	12 µl
pOG44	3.6µg
pcDNA5 with GOI	0.4 µg

} 5 minutes  
 incubation first  
 } Then 15 minutes  
 incubation all  
 together

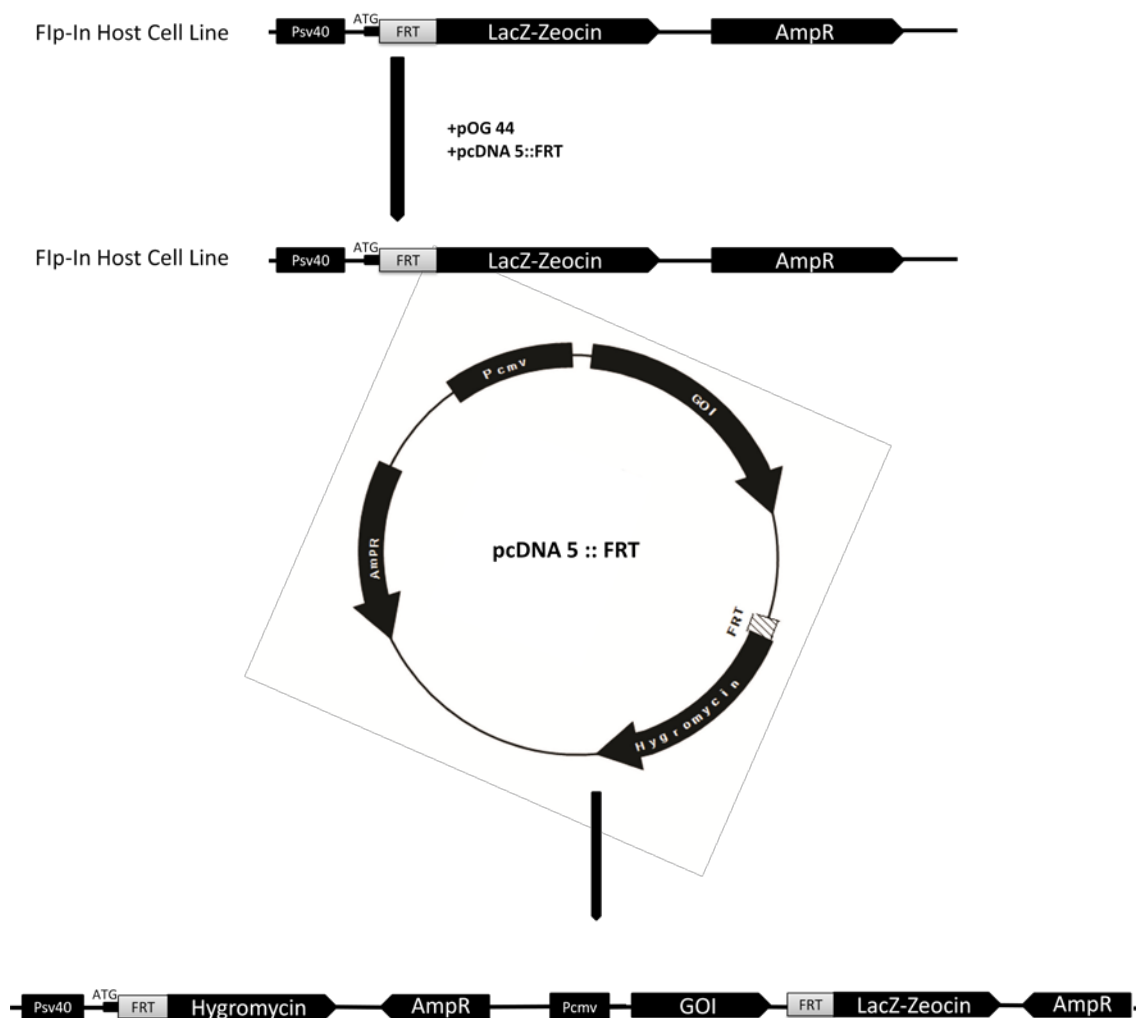


Figure 4: Chromosomal integration of the gene of interest by stable transfection with *Flp-In System*

### 3.7.3.1 Confirmatory PCR to Validate Chromosomal Integration of pcDNA5 Constructs

After a stable transfection with the *Flp-In<sup>TM</sup> System* (Invitrogen), the chromosomal integration of the gene of interest as well as the Hygromycin B resistance, which acts as a selection marker for integration, has to be further verified. This verification is conducted by two PCR reactions.

In order to make the confirmatory PCR to check the chromosomal integration of *hENTI* with the two alleles of rs45573936, chromosomal DNA had to be extracted first [3.1.1]. The first PCR tested the integration of the Hygromycin B in the chromosomal DNA of the stable transfected TReX HEK293. The forward primer “PSV40” (Table 6) annealed

in the SV40 promoter of the pFRT/lacZeo site, which was the target of chromosomal integration and a component of the *Flp-In<sup>TM</sup> System* (Invitrogen) in the TREx HEK293. The reverse primer “Hyg” (Table 6) anneals in the Hygromycin B resistance gene, which was originally located in the pcDNA5 vector. Only if the Hygromycin B gene was really integrated in the genome, this PCR should generate a PCR-product.

#### Mixture for confirmatory PCR of Hygromycin B integration

Reagent	Volume per sample
10 x buffer	2.2 µl
dNTPs (2 mM)	2.2 µl
MgSO <sub>4</sub> (25 mM)	0.9 µl
5x <i>Q-Solution</i>	4.4 µl
Forward-Primer P <sub>SV40</sub> (10 nM)	0.5 µl
Reverse-Primer Hyg (10 nM)	0.5 µl
Template DNA (300 ng/µl)	2 µl
<i>KOD HotStart Polymerase</i> (1.0 U/µl)	0.5 µl
ddH <sub>2</sub> O	8.8 µl
<b>Total volume</b>	<b>22 µl</b>

#### PCR-Conditions for confirmatory PCR of Hygromycin B integration

PCR-Condition	Duration	Temperature
Initial Denaturation	2 min	95° C
Denaturation	30 sec	95° C
Annealing	30 sec	64° C
Elongation	1 min	72° C
Terminal elongation	10 min	72° C
	for ever	8° C

} 34x

With the second confirmatory PCR the gene of interest, which was inserted in the genome, was amplified with the primers PCMV and LacZ (Table 6) and was performed with the *ExpandLong Polymerase Mix*. These primers annealed to the flanking region of the gene of interest.



**Mixture for confirmatory PCR of gene of interest**

Reagent	Volume per sample
10 x ExpandLong Buffer-1	2.8 $\mu$ l
dNTPs (2 mM)	4.5 $\mu$ l
MgSO <sub>4</sub> (25 mM)	1 $\mu$ l
5x Q-Solution	5.6 $\mu$ l
Forward-Primer LacZ (10 nM)	0.5 $\mu$ l
Reverse-Primer P <sub>CMV</sub> (10 nM)	0.5 $\mu$ l
Template DNA	3 $\mu$ l
ExpandLong Polymerase Mix	0.3 $\mu$ l
ddH <sub>2</sub> O	9.8 $\mu$ l
<b>Total volume</b>	<b>28 <math>\mu</math>l</b>

**PCR conditions for confirmatory PCR of gene of interest**

PCR-Condition	Duration	Temperature
Initial Denaturation	2 min	94°C
Denaturation	30 sec	96°C
Annealing / Elongation	4 min	68°C
Final elongation	10 min	68°C
	for ever	8°C

} 35x

Table 6: List of primers for validation of chromosomal integration of pcDNA5 constructs

Primer	Sequence
P <sub>SV40-f</sub>	5' AGCTGTGGAATGTGTGTCAGTTAGG <sup>3'</sup>
Hyg-r	5' ACGCCCTCCTACATCGAAGCTGAAA <sup>3'</sup>
P <sub>CMV</sub>	5' CCATGGTGATGCGGTTTTGGCAGTA <sup>3'</sup>
LacZ	5' CCTTCCTGTAGCCAGCTTTCATCAA <sup>3'</sup>

**3.8 Sequential Steps for Cloning of Allele Specific Constructs**

Here, the order of the single procedures to achieve the final constructs is described. The procedures themselves are each referred to the detailed description above.

### 3.8.1 ENT1 Ile216Thr

For the creation of allele specific *hENT1* rs45573936 constructs the *hENT1* gene (DNA sequence in Appendix) was purchased from SourceBioscience (Nottingham UK ; Clone 3051441, IRAUp969A097D; <http://www.lifesciences.sourcebioscience.com/genomecube?kw=3051441>) When purchased, the *hENT1* gene had been inserted in pOTB7 vector with the wild type T-allele for rs45573936. In this vector the site-directed-mutagenesis [3.1.5] with mutagenesis primers in Table 1 was conducted to get *hENT1* fragments with rs45573936 variant C allele. The correct sequences were checked by sequencing method [3.1.11] with the *ENT1* sequencing primers in Table 2. Then the *hENT1* gene was amplified by a PCR reaction with the primers in Table 7. Restriction sites for the enzymes *EcoRV* and *XhoI* were included in the PCR primers for further cloning steps. Next the vector *pCDNA5*, which is used as vector for stable transfection, was digested with the enzymes *EcoRV* and *XhoI* [3.1.8.2] as well as the amplified *ENT1* fragments. After this the *hENT1* types with rs45573936 wild type T allele and variant C allele were ligated [3.1.9] with pCDNA5 and then electroporated [3.5.4] in *e.coli* bacteria. Subsequently clones from *e.coli* were picked and the pCDNA5::*hENT1*-rs45573936-WT *-Var* constructs were isolated by midi prep [3.1.2.2]. In the end the constructs were stably transfected in HEK293 cell lines [3.7.3]. To proof the correct integration of the constructs in the genome, test PCRs for the integrated Hygromycin resistance gene as well as for the *ENT1* target gene were conducted [3.7.3.1]. In addition enhanced expression in transfected cells in comparison to empty vector transfected cells were checked by qPCR [3.2.4] with qPCR primers detecting all transcript variants of *ENT1* (Table 3). Thereafter the cells with the integrated *hENT1*-rs45573936-WT *-Var* constructs could be used for further allele specific investigations (e.g. measurements of ENT1 transport activity [3.9]).

**Table 7: Primer for *hENT1* amplification and insertion of *EcoRV* and *XhoI* restriction sites**

Primer	Sequence
ENT1-EcoRV-for	5'CGAGAACGATATCACCATGACAACCAGTCACC <sup>3'</sup>
ENT1-XhoI-rev	5'GCAGTCCTCGAGTCCATCCTTTGTCACACAA <sup>3'</sup>

### 3.8.2 *hENT1*-v4/-v5 Promoter Polymorphism rs507964

For investigation of promoter modulating effects by the *hENT1* rs507964 polymorphism a promoter fragment with the range of -1945/+141 ahead of the transcription start site of the *hENT1* (according to (FUKUCHI *et al.* 2010)) was amplified by PCR from genomic DNA with the primers provided in Table 8. These primers contain restriction sites for the enzymes *XmaI* and *HindIII*. The amplified *hENT1* promoter fragment (DNA sequence in Appendix) derived from the LCL GM06984, which is heterogeneous for the SNP rs507964. In the aforementioned promoter fragment the SNP rs1057985, which is in perfect linkage disequilibrium with rs507964, was included in the sequence as well. After the PCR reaction the amplified *ENT1* promoter fragment was sequenced [3.1.11] to check for mutations. In order to use the PCR product for sequencing the PCR mixture had to be enzymatically purified [3.1.7.3] to remove the old PCR primers. Next the amplified *hENT1*-promoter constructs as well as the luciferase vector pXP2 were digested [3.1.8.2] with the restriction enzymes *XmaI* and *HindIII*. Then the insert and the vector were ligated [3.1.9], dialyzed [3.1.10] and electroporated [3.5.4] into *e.coli* bacteria. Thereupon clones of *e.coli* were picked and pXP2::*hENT1*-rs507964-promoter constructs were isolated by mini-prep method [3.1.2.1]. The correct sequence of the promoter fragment was assessed by analytical digestions [3.1.8.1] and sequencing [3.1.11] with the sequencing primers depicted in Table 9. Subsequently the validated sequences were isolated from bacteria by midi-prep method [3.1.2.2] for transient transfection [3.7.1] in the pancreatic tumor cell line AsPCI for further luciferase reporter gene assay [3.7.2].

For further analysis of promoter modulating effects by the *ENT1* SNP rs507964 the annealed EMSA-primers (Table 4) with the wild type allele as well as with the variant allele of rs507964 were cloned in the luciferase vector pT81 in the same manner. This time the vector was digested with the enzyme *BamHI*. The annealed EMSA primers already had the correct sticky ends, which were produced by *BamHI* digestion, after annealing. The correct integration of the inserts was confirmed by sequencing [3.1.11], with the sequencing primer ENT1-Prom-Seq1 (Table 9), which starts the sequencing process already in the vector region.

Table 8: Primer pair for *hENT1* promoter amplification

Primer	Sequence
ENT1_Prom_forward	5'AGAGTGGAAAGCTTGCCTGGAGAGGAGGGAGAGGTTA <sup>3'</sup>
ENT1_Prom_reverse	5'CCCCGCCCCGGGCACCTGCTGAGACTTTGGAGTGAGCATC <sup>3'</sup>

Table 9: Sequencing *ENT1*-Promoter-Primer

Primer	Sequence
ENT1-Prom-Seq1	5'GAACGGACATTTTCGAAGTAT <sup>3'</sup>
ENT1-Prom-Seq2_rev	5'GCCGGGCGGAAGAGAG <sup>3'</sup>
ENT1-Prom-Seq3_rev	5'GGGGTGGGGCGGATAG <sup>3'</sup>
ENT1-Prom-Seq4_rev	5'CACCACGCCCGGCTAATT <sup>3'</sup>
ENT1_Prom-Seq5	5'CGCCCTGCCCAGACTG <sup>3'</sup>
ENT1_Prom-Seq6_rev	5'CCCACCCCCACCAACTG <sup>3'</sup>
ENT1_Prom-Seq7_rev	5'GGGGTGGGTGGGTGTTAG <sup>3'</sup>

### 3.9 Measurements of ENT1 (SLC29A1) Transport Activity

#### 3.9.1 <sup>3</sup>H-Gemcitabine Uptake Assay

By this approach the uptake of the radioactive labeled cytostatic agent gemcitabine (American Radiolabeled Chemicals, Inc, St. Louis USA) was measured in *ENT1* rs45573936 stable transfected HEK TReX cell lines with the scintillator counter (Scintillation instrument LS1801, Beckman) to investigate functional effects of this SNP on the transport activity. The *ENT1* rs45573936 cell line constructs, which were generated by stable transfection [3.7.3; 3.8.1], contains additionally to their endogenous *ENT1* transporter a copy of *ENT1* integrated in the genome for either the wild type allele of this SNP or the variant allele.

The transport measurements were performed in 12-well plates, which had to be covered with Poly-D-Lysine first (Sigma-Aldrich, Deisenhofen). In order to do this the plates

were filled with 0.5 ml of poly-D-lysine and incubated for 15 minutes at 37°C. After the incubation the poly-D-Lysine became removed and plates got dried for 20 minutes at room temperature. For this assay clones with the pcDNA5::ENT1-Thr216 (variant allele) and pcDNA5::ENT1-Ile216 (wild type) were used as well as a transfected clone with the pcDNA empty vector. Before the transport measurements could be performed the transfected HEK TReX cells in a big culture flask (75cm<sup>2</sup>) had to be trypsinized with 3.5 ml trypsin (TrypLE™ Express, Gibco/Invitrogen) for 3-5 minutes to get a single cell suspension. After trypsinization the cells became diluted with 10 ml of warm DMEM (10%FCS, 1% Penicillin/Streptomycin) to inhibit the enzymatic reaction of the digestion enzyme. The cell concentration in this suspension was determined by Neubauer cell chamber (Schütt, Göttingen) [3.6.4.1]. The measurement was performed in triplicates of each condition. In every well of interest a total amount of 0.8x10<sup>6</sup> cells should be placed. The required cell number for this assay was calculated and the volume with the amount of cells were taken from the trypsinized cells and centrifuged with 300 g for 3 minutes at room temperature. The supernatant was removed and substituted with new DMEM (10%FCS, 1% Penicillin/Streptomycin) for a final concentration of 0.4x10<sup>6</sup> cells per ml. Then 2 ml of this suspension was distributed to the wells respectively and incubated at 37°C and 5% CO<sub>2</sub> for 48 hours.

The transport measurements were performed with 10 µM gemcitabine, which were composed of 50 nM <sup>3</sup>H-gemcitabine (American Radiolabeled Chemicals, Inc, St. Louis USA) and 9.95 µM of non-radioactive gemcitabine (Sigma), for several incubation times (10 seconds to 10 minutes) of. Each sample was prepared in double and each experiment was repeated to a separate time to minimize measurement errors. Additionally transport measurements were conducted in presence of 50 µM NBMPR (also termed NBTI) (*S*-(4-Nitrobenzyl)-6-thioinosine), which is a specific inhibitor of equilibrative nucleoside transporter1 (ENT1), as a negative control means to demonstrate that transport is actually mediated by ENT1 transporter. One hour before measurement the media for all conditions was removed and replaced by 2 ml of fresh media DMEM (10% FCS, 1% Penicillin/Streptomycin). Additionally NBMPR was added to the referring transport conditions serving as negative control with the final of concentration of 50 µM.

For transport measurement the media was removed and replaced by the HBSS buffer (Gibco/Invitrogen) and incubated for 30 seconds. Then the fluid was removed and 400 µl of transport solution, which was already supplemented with gemcitabine, was

added for intended time frames. After the incubation for transport the reaction was stopped immediately by diluting the wells with ice cold stop solution (HBSS buffer including 50  $\mu$ M of NBMPR (*S*-(4-Nitrobenzyl)-6-thioinosine)). This dilution was incubated for 1 minute and was then removed quickly. Next cells were washed twice with 2 ml of ice cold HBSS buffer containing 50  $\mu$ M NBMPR (*S*-(4-Nitrobenzyl)-6-thioinosine) each time for 30 seconds. Subsequently the cells were lysed with 0.5 ml 0.1 M NaOH. The amount of 100  $\mu$ l was retained for protein quantification [3.3.1] afterwards, which was used to normalize the data from transport experiment with the number of used cells. Finally 400  $\mu$ l of lysed cells were mixed with 9 ml of scintillator (Aquasafe 500 Plus, Zinsser Analytic) and the amount of radioactivity was measured in the scintillator counter (Scintillation instrument LS1801, Beckman).

Because transport of the ENT1 was so fast that even high amounts of radioactivity were transported within 10 to 15 seconds in following transport experiments NBMPR (*S*-(4-Nitrobenzyl)-6-thioinosine) was added to the cells in a concentration of 0.1  $\mu$ M in all steps before stopping the transport including the pre-incubation, to slow down the transport. By delaying transport it was intended to observe differences in the transport uptake of HEK 293 cells with genomic integrated *ENT1*-rs45573936 wild type and *ENT1* rs45573936 variant clones.

### Transport Solution

Reagent	per 1 Well (in 12 Well Plate)
HBSS	0.4 $\mu$ l
NBMPR/NBTI (optional)	0.1 $\mu$ M
Gemcitabine (Sigma)	9.5 $\mu$ M
<sup>3</sup> H-Gemcitabine (American Radiolabeled Chemicals Inc, St. Louis USA)	0.5 $\mu$ M

### Stop Solution

Reagent	per 1 Well
HBSS	6 ml
NBMPR	50 $\mu$ M

### 3.10 Cellular Sensitivity toward Gemcitabine Toxicity

A genome-wide screen using lymphoblastoid cell lines (LCLs) as model system should reveal new loci for gemcitabine sensitivity. When I started my thesis 107 fully resequenced LCLs obtained from the Coriell Institute [3.6.5] and 95 in-house-generated LCLs (with ethic committee approval and patient informed consent) were available and functionally assessed by me. Later, 89 additional fully sequenced LCLs were purchased (Coriell Institute), which were measured by another student in our lab, Mrs. Claudia Lüske. The 196 fully sequenced LCLs served as the training set, and the 95 in-house lines as the test set. For all these LCLs, dose-response curves for eight gemcitabine concentrations varying between 0 and 76 nM (Table 10) in relation to effects on vitality and proliferation were ascertained. These concentration intervals were defined based on literature data (Li *et al.* 2009) and upon own method development. In addition, RNA and DNA were harvested during the experimental procedure for assessment of gene expression and genetic polymorphisms, respectively. A detailed protocol was elaborated during my thesis which is described in the subsequent chapters.

About 10 to 15 LCL cell lines were analyzed per week. At first the LCLs had to be cultured in big culture flasks (75cm<sup>2</sup>) to have enough cells for further preparation steps. Since LCLs were suspension cells, the flasks in which they were cultured stood upright and were filled with media up to 50 ml of RPMI (15% FCS and 1% Penicillin/Streptomycin). LCLs were preferably cultured in a concentration between 3x10<sup>6</sup> and 6x10<sup>6</sup> cells/ml to keep them in the logarithmic growth phase.

The incubation time under gemcitabine was 72 hours for determination of vitality and proliferation and 24 hours for RNA and DNA isolation. An untreated LCL control sample for vitality and proliferation measurement was incubated for 48 hours to determine the proliferation index by comparing the collected data with the control sample of the 72 hour incubation.

On the first day of the procedure the cells had to be counted by flow cytometry [3.6.4.2.1] and stained with the appropriate cell number by CFSE (eBioscience, Frankfurt) [3.10.1] for further proliferation analysis. After staining the cells were incubated for 24 hours in normal culture media (RPMI with 15% FCS and 1% Penicillin/Streptomycin). CFSE molecules, which were not internally activated and bound could diffuse out of the cells. After 24 hours cells were counted [3.6.4.2.1] again to adjust them to the right cell concentration for the gemcitabine incubation. Each cell

line was plated at the concentration of  $1 \times 10^6$  cells/well on a 24-well plate (Greiner) with 1 ml of culture media per well. Seven different gemcitabine concentrations additional to the untreated control condition of the cells on each of the 24-well plates were assessed (Table 10). All the conditions were set up twice to minimize measuring errors.

**Table 10: Gemcitabine concentrations applied for cytotoxicity study**

<b>Concentration number</b>	<b>Gemcitabine concentration [nM]</b>
<b>Control</b>	<b>0</b>
<b>1</b>	<b>1.9</b>
<b>2</b>	<b>3.8</b>
<b>3</b>	<b>6.4</b>
<b>4</b>	<b>10.8</b>
<b>5</b>	<b>18.1</b>
<b>6</b>	<b>30.4</b>
<b>7</b>	<b>76</b>

Cells for DNA and RNA extraction [3.1.1; 3.2.1] were cultured on 6-well plates. For this purpose the gemcitabine concentration number 2 and 6 were chosen for incubation conditions additionally to an untreated control condition. For each of the incubation conditions respectively three wells of the 6-well plate were taken, which were combined for further extraction steps in the end. By plating the cells on the referring 24- and 6-well plates a time schedule was applied by which each cell line was supplemented in successive time intervals with the referring concentration of gemcitabine. Thus it was possible to keep the conditions and incubation time (24 hours for DNA and RNA; 72 hours for gemcitabine incubation) under consideration of the preparation and measuring steps for each cell line similar in the end.

After 24 hours the three wells of each condition were pooled and then transferred in two 5 ml FACS tubes (BD Falcon) to the same amount. One of the samples was prepared for RNA extraction [3.2.1], the other one for DNA extraction [3.1.1].

After exact 72 hours each cell line was harvested for further measuring and evaluation steps. Therefore each well of the 24-well plate was mixed by pipetting to separate cell aggregations and then different amounts of each condition (Table 11) was transferred to



5 ml FACS tubes (BD Falcon). The reason for taking different volumes of each condition was to level approximately the number of cells in each sample, since cells had different growth under the particular cytostatic concentration. It was crucial to have similar amounts of cells for uniform staining steps in later procedures.

**Table 11: Volumes of gemcitabine incubated samples for flow cytometry measurements**

<b>Concentration Number</b>	<b>Used Suspension Volume [<math>\mu</math>l]</b>
<b>Control</b>	<b>200</b>
<b>1</b>	<b>200</b>
<b>2</b>	<b>200</b>
<b>3</b>	<b>300</b>
<b>4</b>	<b>300</b>
<b>5</b>	<b>400</b>
<b>6</b>	<b>600</b>
<b>7</b>	<b>800</b>

The cells in the 5 ml FACS tubes were washed with PBS and centrifuged with 250 g for 5 minutes at room temperature. Afterwards the entire supernatant was removed and the staining solution for vitality determination [3.10.2] was added then the pellet was resolved by vortexing. The mixture was incubated for 15 minutes in the incubator. Afterwards the samples were measured by the *flow cytometer BD LSR II* (Becton Dickinson) [3.10.3] and evaluated by the Software *Cyflagic 1.2.1* (<http://www.cyflagic.com/>), which is a freeware version for scientific research.

### **3.10.1 Staining of Lymphoblastoid Cell Lines (LCLs) with CFSE**

The CFSE stain (eBioscience, Frankfurt), which stands for carboxyfluorescein diacetate succinimidyl ester, is an internal marker for monitoring cell division (LYONS and PARISH 1994). After CFSE has entered the cell via passive diffusion it becomes activated by endogenous esterases which cleave the acetate groups. After this process the resulting fluorescent carboxyfluorescein succinimidyl esters are fluorescent (excitation: 492 nm; emission: 517 nm) and form conjugates with intracellular amines

and up to this point is irreversibly bound inside the cell. CFSE, which is not activated or intracellularly bound, escapes the cell by passive diffusion during incubation. During cell division the intracellular amount of the stain becomes divided between the mother and the resulting daughter cell. By this the intensity of the stain in both cells after division is half of the intensity to the non-divided cell. In that way it was possible in my experiments to trace back the numbers of cell division over a time of 72 hours and to elucidate the effect of different concentrations of the cytostatic gemcitabine on proliferation.

Before the staining process could be conducted, the cell concentration had to be determined [3.10.2] [3.6.4.2.1]. Then the volume for the total amount of  $15 \times 10^6$  cells had to be taken and centrifuged with 250 g for 7 minutes at room temperature. In the meantime the staining solution was prepared. There were 550  $\mu$ l PBS buffer with 2.2  $\mu$ l CFSE mixed for one cell line to stain. The supernatant had to be totally removed and cells had to be resolved in 500  $\mu$ l PBS buffer and mixed with the 1 ml pipette to get a homogeneous cell suspension for staining. After this 500  $\mu$ l of the staining solution was added and vortexed gently at 1400 rpm. Then immediately the cell suspension was placed in the incubator for exact 2 minutes and 30 seconds. After this time rapidly 10 ml of ice cold RPMI media (15%FCS, 1% Penicillin/Streptomycin) was added and gently mixed to stop the staining process. After this the solution was placed for 5 minutes on ice in complete darkness. In the next step the mixture was centrifuged for 7 minutes at 250 g at room temperature and all of the supernatant was removed. Then the pellet was resolved in 25 ml of warm RPMI media (15%FCS, 1% Penicillin/Streptomycin) and was incubated for 24 hours. Then the cells were ready for further preparation steps.

### **3.10.2 Determination of Vitality by *Vybrant Ruby* and *Sytox Blue* Staining and Determination of Living Cell Number**

In order to determine the quantity of living cells in a suspension a staining was used which stains living and dead cells to distinguish between these two groups and to quantify their amounts. For this purpose the two dyes *Sytox Blue* (Life Technologies Corporation) and *Vybrant Ruby* (Vybrant® DyeCycle™ Ruby stain, Invitrogen) were used. Both of the dyes are intercalating dyes which incorporate in the DNA of the stained cells and can be detected by fluorescence measurements. The *Sytox Blue* stain enters only dead cells, *Vybrant Ruby* enters dead as well as living cells. By this staining

it is possible to distinguish living cells from the cell debris and from the dead cells. The staining mixture was prepared in a master mix with 200  $\mu$ l RPMI media (15% FCS, 1% Pen/Strep) per sample and a dilution of 1:1000 for *Sytox Blue* and 1:2000 for *Vybrant Ruby*. In some steps it was necessary to determine the exact number of cells in the suspension, in order to do this 10  $\mu$ l of the *counting bead* stock solution (Invitrogen, Karlsruhe) was added per sample [3.6.4.2.1].

For the staining procedure cells were mixed with the accu-jet first to separate cell aggregations. Then a pre-defined volume of cell suspension was taken and transferred to a 5 ml Falcon tube. Then the tube was filled up with PBS buffer [2.3.1] for washing. After this the solution was centrifuged with 250 g for 5 minutes at room temperature. Thereafter the supernatant was completely discarded and the pellet was resolved in 200  $\mu$ l (210  $\mu$ l for cell counting procedure) of the staining solution. Subsequently the staining mixture was incubated for 15 minutes at 37°C. After this the cells were ready for measurement.

#### **Vitality staining mixture**

<b>Reagent</b>	<b>Volume per Sample [<math>\mu</math>l]</b>
<b>RPMI (15%FCS,1% Pen/Strep)</b>	<b>200</b>
<i>Sytox Blue</i>	<b>0.2</b> (1:1000)
<i>Vybrant Ruby</i>	<b>0.1</b> (1:2000)
<b>Counting Beads (optional)</b>	<b>10</b>

### **3.10.3 Flow Cytometry**

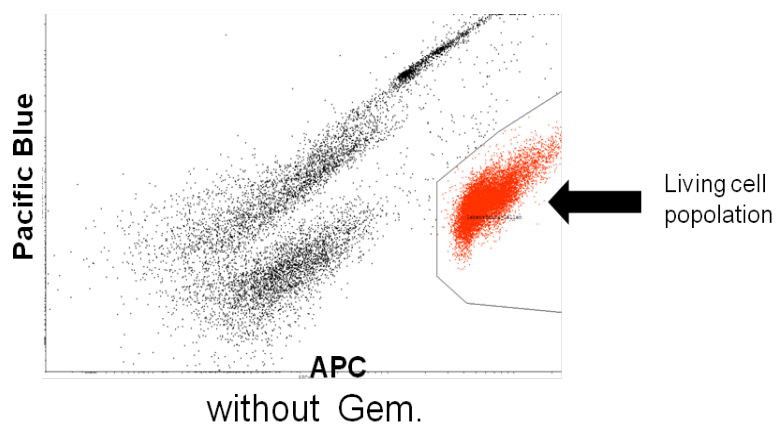
The flow cytometry is a technique to analyze single cells in a suspension, which cross a focused laser. The cells can get characterized by several parameters like size, morphology and fluorescent markers. The collected data can be evaluated by specific software.

Flow cytometry was used to analyze the effect of gemcitabine on proliferation and vitality in lymphoblastoid cell lines (LCLs) [3.6.5]. Cells were first characterized by their size (forward scatter channel / FSC) and morphology (sideward scatter / SSC) to determine the particle size. Thus the small particles, which were regarded as cell debris,

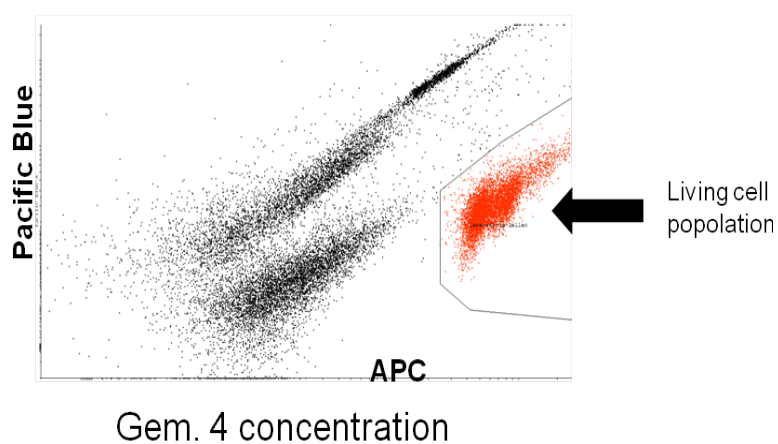
could be excluded from the data (bigger cell debris particles were still included) to simplify the evaluation. The fluorescent dyes *Vybrant Ruby* (Vybrant® DyeCycle™ Ruby stain, Invitrogen) and *Sytox Blue* (Life Technologies Corporation) were used to determine vitality [3.10.2]. The *Vybrant Ruby* stain (excitation 638 nm and emission 686 nm) was evaluated in the APC channel and the *Sytox Blue* (excitation 440 nm and emission 480 nm) stain in the PacificBlue channel on the flow cytometer BD LSRII (Becton Dickinson). Since the amount of fluorescence of these two intercalating stains were equivalent to the amount of DNA in cells, it could be possible to examine the cell cycle state with this staining, too. This issue was not pursued in my thesis. The proliferation rate of cells in presence of gemcitabine was evaluated by the CFSE stain (eBioscience, Frankfurt) (excitation: 492 nm; emission: 517 nm) [3.10.1] in the FITC channel. The adjusted voltages were 170 volt for FSC-Channel, 210 volt for SSC-Channel, 685 volt for APC-Channel, 215 volt for FITC-Channel and 220 volt for PacificBlue-Channel.

#### 3.10.4 Data analysis

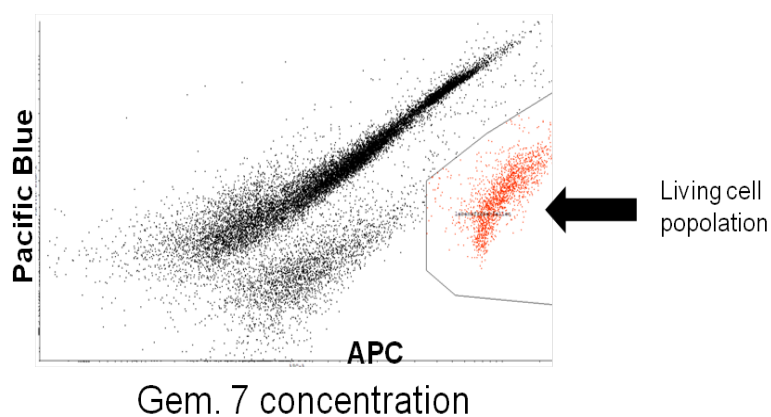
The collected data from the flow cytometric measurements were evaluated by the Software *Cyflogic 1.2.1* (<http://www.cyflogic.com/>), which is a freeware version for scientific research. In Dot-Plot view on the x-scale was set the APC-channel, on the y-scale the Pacific-Blue Channel (Figure 5, Figure 6, Figure 7). In this display, it was possible to gate the living cells and to determine the percentage of living cells compared to dead cells. In addition, the geometric mean of the FITC channel signal representing the CFSE dye indicative for cell proliferation was determined for the fraction of living cells. These data were used for further statistical evaluation of the sensitivity towards gemcitabine and the calculation of the EC50 ( $EC50_{vit}$ ,  $EC50_{prolif}$ ). The EC50 was calculated for the gemcitabine effects on the proliferation inhibition ( $EC50_{prolif}$ ) and on the fraction of living cells ( $EC50_{vit}$ ) using the three-parameter Gompertz ( $EC50_{prolif}$ ) and the four-parameter MMF model (Multiple Multiplicative Factor Model) ( $EC50_{vit}$ ), respectively. These models were selected out of a panel comprising 80 models implemented in the software Curve Expert Professional (<http://www.curveexpert.net/products/curveexpert-professional/>) according to the set model fit criteria of  $r^2 \geq 0.95$  for the individual cell lines. The EC50 values for the latter were then determined by the Solver algorithm in EXCEL.



**Figure 5: Illustrated FACS data of untreated LCL cells.** Cells were stained with *VybrantRuby* and *Sytox Blue* for determination of living cell populations (red)



**Figure 6: Illustrated FACS data of LCL cells treated with 10.8 nM gemcitabine for 72 hours.** Cells were stained with *Vybrant Ruby* and *Sytox Blue* for determination of living cell populations (red)



**Figure 7: Illustrated FACS data of LCL cells treated with 76 nM gemcitabine for 72 hours.** Cells were stained with *Vybrant Ruby* and *Sytox Blue* for determination of living cell populations (red)

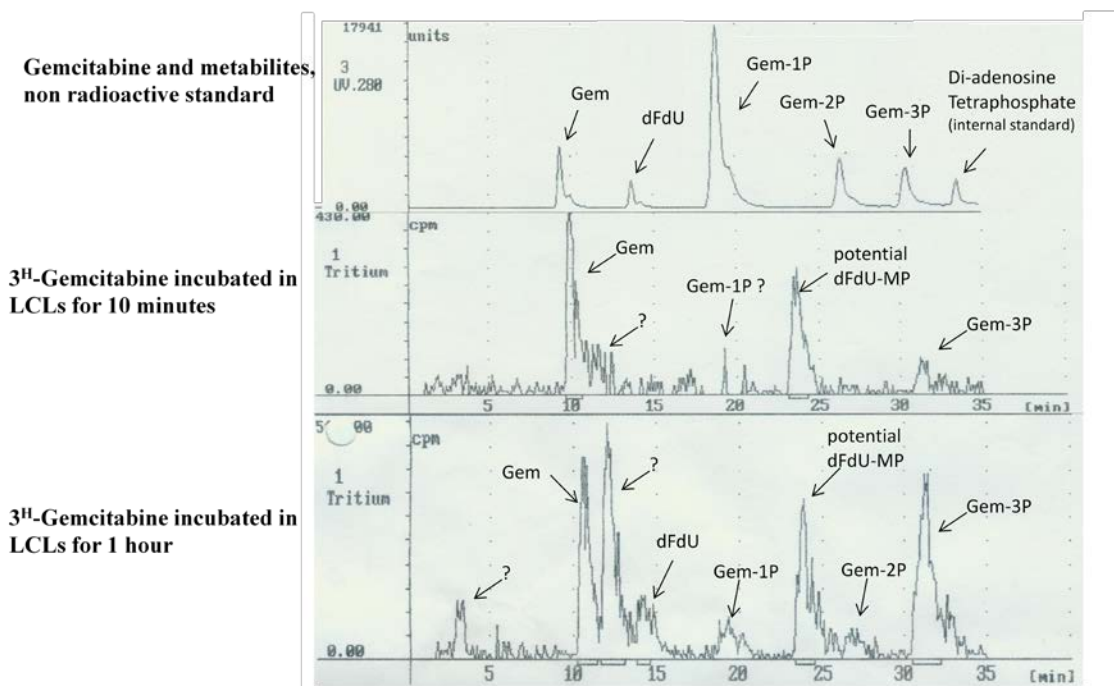
### 3.11 High-Performance Liquid Chromatography (HPLC)

High-performance liquid chromatography (HPLC) is a very sensitive method which isolates specific molecules in a solution from others by using their differences in affinity to the liquid mobile phase and the solid stationary phase. The solution is mixed with the liquid phase and then led through a column which consists of a solid matrix (stationary phase). The differences in affinity of the single molecules in the solutions cause that some of them are retained on the column longer than others and become eluted to certain points of time. Thus the molecules can be separated, purified, identified and even quantified. The most common form of HPLC is the reverse phase HPLC where the column consists of a hydrophobic matrix. The mobile phase is hydrophilic and a composition e.g. of water, acetonitril or methanol. Hydrophobic compounds are retained longer on the stationary phase than hydrophilic. If a single solution is used as mobile phase, it will be termed isocratic elution. If a second or third solution is used to change the polarity of the mobile phase during the chromatography, it will be termed gradient elution. The advantage of a gradient elution is that the elution time (also retention time) of different substances can be adjusted during a chromatography. For example substances, which have similar polarities and are eluted at the same time, can be separated or on the other hand the elution intervals of substance, which have high differences in polarity, can be shortened. The eluted substances can be detected by several systems like UV absorbance, fluorescence or radioactivity counter. Via computer software the collected data becomes evaluated for quantification.

#### 3.11.1 Self-Established HPLC Method for Quantification of Radioactive Labeled Gemcitabine Metabolites

The method for quantification of radiolabeled gemcitabine (*2',2'-difluorodeoxyuridine, dFdU*) and its metabolites was self-established for analysis of gemcitabine metabolism in self-established pancreatic tumor cell lines and in LCL cell lines [3.6.5]. Helpful hints for establishment were used from literature:(DECOSTERD *et al.* 1999) (VELTKAMP *et al.* 2008). The gemcitabine metabolites (*dFdC-monophosphate, dFdC--diphosphate, dFdC--triphosphate* and *dFdU (2',2'-difluorodeoxycytidine)*) for reference substances were kindly provided by Eli Lilly and Company (Indianapolis, CA). The pure gemcitabine drug (*dFdC*) was obtained from Sigma Aldrich (Germany). The <sup>3</sup>H-Gemcitabine was purchased from American Radiolabeled Chemicals, Inc

(ACR). At first the detection of gemcitabine and its metabolites was established with the cold reference substances. After the separation of the pure substances was established, lymphoblastoid cell lines (LCLs) were incubated with radiolabeled  $^3\text{H}$ -gemcitabine for different points of time. Thereupon the nucleotides were extracted and detected via HPLC. Methodologically the extraction process for gemcitabine metabolites was not yet well-engineered and did not show replicable results. Because the method for extraction of gemcitabine and its metabolites from LCLs was not brought to a satisfactory conclusion, it is not outlined in this thesis. The internal standard for the HPLC procedure, which was used for normalization of the extraction efficacy, was *P1,P4-Di(adenosine-5') tetraphosphate ammonium salt* (Sigma). The HPLC system used for the detection was Berthold LB 506-C1 radioactive detector with the HPLC pump Kontron instruments 325 System and the Kontron instruments HPLC 332 UV-Detector. The applied measuring cell was Z1000. The used columns were a LiChroCART 125-4 RP-18e (5  $\mu\text{m}$ ) (Merck) and a LiChroCART 25-4 RP-18 (5  $\mu\text{m}$ ) pre-column (Merck) and the evaluation program was Berthold LB06 Version 1.65. The injected volume of substrate was 20  $\mu\text{l}$ . Additionally to the radioactive detection the elution was also detected by a UV-Detector at the wavelength of 280 nm. The dwelltime was set on 10 sec. The liquid scintillator (Quickszint Flow 302, Zinsser Analytic, Berkshire, UK) was mixed in a relation 1:4 with the flowrate of 2 ml/min with the mobile phase after leaving the UV-Detector. A gradient elution with a flowrate of 0.5 ml per min for the mobile phase was selected with buffer A (47.1 mM  $\text{KH}_2\text{PO}_4$ , 2.9 mM  $\text{K}_2\text{HPO}_4$ , 4 mM Tetrabutylammonium hydrogen sulfate (Sigma) 4 ml NaOH (1M) per liter) and eluent B (100% MeOH). The applied HPLC method was: 0-15 min 100% buffer A  $\rightarrow$  85% buffer A + 15% MeOH; 15-50 min 85% buffer A + 15% MeOH  $\rightarrow$  48% buffer A + 52% MeOH; 50- 55 min 48% buffer A + 52% MeOH. The retention times for the single eluents were 11 min *dFdC*, 14 min *dFdU*, 19.5 min *dFdC-MP*, 27 min *dFdC-DP*, 32 min *dFdC-TP* and 35 min *P1,P4-Di(adenosine-5') tetraphosphate*. A cold reference substance for *dFdU-MP* was not supplied, but according to the chromatograms a peak with a retention time of 24 minutes was considered to be *dFdU-MP*. (Figure 8)



**Figure 8: Chromatograms of gemcitabine and gemcitabine-metabolite separation by HPLC.** In the upper chromatogram separation of gemcitabine and its' metabolites is displayed. In the middle chromatogram separation of intracellular converted  $^3\text{H}$ -gemcitabine is depicted, which was incubated for 10 minutes in LCLs. In the lower chromatogram intracellular conversion of the  $^3\text{H}$ -gemcitabine is shown, which was incubated for 1 hour in LCLs. The x-axis displays the time and the y-axis features the UV-signal in the upper chromatogram and the radioactive signal in the other ones.

### 3.12 Patient cohorts

The clinical cohort encompassed 397 patients of Caucasian origin. Patients have been recruited at three sites in Germany, Göttingen ( $n = 142$ ), Heidelberg ( $n = 96$ ), and Hamburg ( $n = 159$ ) between 2003 and 2010. They exhibited all histopathologically proven pancreatic adenocarcinoma (without ampullary) and received adjuvant or palliative gemcitabine-based chemotherapy. The study was approved of for each study site by an ethic committee and the patients included in the study had admitted to a written informed consent. The patients from Göttingen and Hamburg were retrospectively collected ( $n = 301$ ) whereas the set from Heidelberg ( $n = 96$ ) was part of a prospective clinical trial (NEOPTOLEMOS *et al.* 2010). Patient baseline data are summarized in Table 12.



**Table 12: Distribution of the patients' baseline parameters, tumor stages, chemotherapy regimens, as well as time of follow-up and overall survival in the two study cohorts.**

Variable	Cohort 1 Göttingen, n=142	Cohort 2 Heidelberg, n=96	Cohort 3 Hamburg, n=159
Age [years], median (IQD <sup>1</sup> , range)	68 (61-73, 44-88)	62 (55-68, 34-81)	65 (58-72, 28-88)
Sex, No. (%)			
Female	68 (48)	47 (49)	64 (40)
Male	74 (52)	49 (51)	95 (60)
T-stage, No. (%)			
Classified	138	96	159
≤ 2	9 (7)	1 (1)	29 (18)
3 or 4	129 (93)	95 (99)	130 (82)
N-stage, No. (%)			
Classified	128	95	159
0	21 (16)	7 (7)	50 (31)
1	107 (84)	88 (93)	109 (69)
M stage, No. (%)			
Classified	73	95	159
0	27 (37)	84 (88)	105 (66)
1	46 (63)	11 (12)	54 (34)
Resection status, No. (%)			
Classified	142	96	159
0	45 (32)	42 (44)	98 (62)
1	52 (37)	50 (52)	42 (26)
2	2 (1)	4 (4)	19 (12)
Not resected	43 (30)	0 (0)	0 (0)
Grading, No. (%)			
Classified	141	96	159
G1	7 (5)	2 (2)	21 (13)
G2	94 (67)	66 (69)	92 (58)
G3	40 (28)	28 (29)	46 (29)
Chemotherapy regimen, No. (%)			
Gemcitabine mono	90 (63)	96 (100)	90 (57)
Gemcitabine combination	52 (37)	0 (0)	69 (43)
Follow-up [months], median (range)	11.0 (1-124)	17.5 (1-88)	11.5 (2-69)
OS [months], median (range)	10.9 (1-114)	15.6 (3-56)	11.5 (2-69) <sup>2</sup>

### 3.13 SNP Selection for Genotyping

Genotyping by primer extension method as described before [3.1.12] was carried out in two different issues. First, the top hits of a reported clinical genome-wide association study (GWAS) should be verified. Second, in the self-conducted genome-wide assessment of gemcitabine sensitivity the top hits of the training set should be examined in the test set.

Regarding the clinical GWAS replication, five reported top associations of genetic polymorphisms with overall survival (INNOCENTI *et al.* 2012) were genotyped in a cohort of 397 patients [3.12]. The basal characteristics of these five SNPs are listed in Table 13 and the used primers in Table 14. Genotypes for the 397 samples at the five considered loci could successfully be determined at 1,972 positions (99.3%). Repetition analysis of 82 samples (21%) yielded identical results. Hardy-Weinberg equilibrium was fulfilled for all five SNPs which were analyzed (all  $p > 0.5$ , assessed by  $\chi^2$ -test comparing observed versus expected genotype distribution according to measured allele frequencies).

**Table 13: Features of the five genotyped SNPs**

Gene	SNP	Genomic localization (chromosome: position <sup>1</sup> )	Genetic element	Base exchange	MAF <sup>2</sup>
<i>IL17F</i>	rs763780	6: 52101739	coding	A>G	0.049
<i>PRB2</i>	rs2900174	12: 11547532	Intron 1	A>G	0.024
<i>DCPIB</i>	rs11062040	12: 2091257	Intron 3	A>G	0.486
<i>WWOX</i>	rs11644322	16: 79039600	Intron 8	G>A	0.259
<i>BTRC</i>	rs10883617	10: 103113035	Promoter	A>G	0.375

According to NCBI genome assembly GRCh37.p5. <sup>2</sup>Genotype distribution and minor allele frequency (MAF) as observed in our cohorts comprising 397 patients. Genotyping failed for four samples of *PRB2* rs2900174 and *DCPIB* rs11062040 and for five regarding *WWOX* rs11644322. The minor allele refers to that right to the “>” symbol as indicated in the column “base exchange”.

Regarding the functional GWAS, all SNPs with a frequency of at least 10% for the combined genotypes of heterozygous and homozygous variant allele status were included. SNP data were derived from HapMap and 1000 human genome databases. For each considered SNP, association with two functional traits of gemcitabine cytotoxicity (proliferation inhibition, vital cell fraction reduction) was assessed by Jonckheere-Terpstra trend test allowing for allele dosage effects. These analyses were performed by Prof. Beißbarth (group Bioinformatics, Institute of Medical Statistics, Göttingen University Medicine). The thereby elicited top twenty genetic regions were then subjected to fine mapping including all polymorphic sites (i.e. also SNPs with rare frequency) in the considered regions. By that, pairwise genetic linkage disequilibrium was taken into account to determine SNPs which tag the associated loci with a stringency of at least  $r^2 = 0.5$ . These procedures were carried out with HaploView software ([www.broadinstitute.org/haploview](http://www.broadinstitute.org/haploview)). The tagging approach also allowed selection of alternative SNPs if a certain SNP appeared difficult to assay. The final set of selected polymorphisms is listed in Table 13 with pertinent primers.

Table 14: Primer sequences for genotyping

SNP	PCR forward primer (5'->3')	PCR reverse primer (5'->3')	AL(bp)	Extended Primer (5'->3')
<b>Functional replication study</b>				
rs9458655	GCTGTGCTAGCAACCAGCGAGACT	GGAGTTCCTCCGAGTCAGAGAG	176	TCAGGACCCTCCGAGCCA
rs4646903	TGTAGCCGCTGCACTTAAGCAGTCTG	GCTGAGGTGGGAGAATCGTGTGAG	151	ACTGTAACCTCCACCTCC
rs7321622	ATATTTGTGCCCTGCAGCAAGTCAC	GTTCCCTTCTACCCAGTTCCTGGAAAAGATG	536	ACTGACTAACCGGTGCCCTCACAA
rs6898780	GCCACCTGCATTTGTTGCTGTGAC	CACAGCGAAGTGAATGGATTCACTTG	238	CTGTTTCAAATTTGTCAACTTTTCTGAGAA
rs1410824	GCTCCAGAGGGCATAAAAAGTAAAGACTGTG	GAAACCTTTTGTCCACCCAGAGATGAG	184	GCTCCAGAGGGCATAAAAAGTAAAGACTGTG
rs55748428	GCTGGGCCTTGAGAAAAGTAAAGTAGAAC	CCCAACATACAGTAAAAAGCAGATGTGAG	88	(ACTG) <sub>3</sub> TAAAGTAGAACCTCAGCAAGATCA
rs62369808	CACATGGGAAAATGGTAAATGGGAAC	CCCCTTAGGCAAACATTTTGTACCTAAGAG	163	(ACTG) <sub>3</sub> TTTTGTAAATTTTGCAGTTTAGCTC
rs35829783	CAATGTTTGTGCAGCTAGCTAACCAACAC	AGGCCAAGGGTGTATTATGGATCTG	407	(ACTG) <sub>3</sub> ACTCTTCCATCTATTATATTACTAAGGCAG
rs74767865	CCCTGTTCTGTGAACGGATCAAATG	CCCTTTGTAAACTTTTCTAGTGCCTACTGTG	264	(ACTG) <sub>4</sub> ACTTGAATTACTAAGTAACAAGTGCTTAGA
rs62429896	GCCTATTGTGGACCTTGTGATTG	CAGAAAGATAGCTGGAAAGTCCCTGTATTAG	572	GA(TA) <sub>4</sub> TG(AT) <sub>5</sub> GCTATATCATACATATATGA(TA) <sub>4</sub> T
rs7734440	TTACAGTTATTGAGGTGGAGAAGTCCAAAG	CCCACCTCCTAGAGGGAACATTTTCTAAC	531	GTGGAGAAGTCCAAAGTCAAG
rs2026946	TGCCACCTTCCACCTTAGGGAGATAC	CGAGCCAGAGGATGTTCAAGCTTCTC	299	CCCAAAGTGCCTGAGATTACAG
rs10038824	GGGGCTCCTTTAGGGTCCACCTCTATAC	GCACATGGCCCTACTCTCAAGAAGCTTAG	183	CTCAAGAAGCTTAGTAGAAGAGATAGA
rs12592456	GGGTAAGGGTGGGAGGGAAGTGAGT	GAGATGGGGCTGCAATTATGTTTG	152	T(GACT) <sub>2</sub> TCACTCAGGCTGGAATGC
rs1931915	CAGGAGAAAGTGTTTTCCCATTAAGAGAAC	GCCCCTCCTTCCATTCAGATACAC	186	GACTTTGAATTTATAATCATTTGGACTGGTATTA
rs67502721	CAAACACTCAAGTGCCTCATATGTTAACCTCT	CAGGGGCAAGAAGCCTAACTCCATC	297	GACTACTGAATATTTGCTTGGATAGTATATTCT
rs113018380	CTGCTGTGGTTGTCAAATAGTTTCCATGTAG	GCCAGCACAGTCAACATGGTCTTCTAGTAC	676	CT(GACT) <sub>4</sub> GGAGACTATCCTGGCTAACAC
rs2958405	GGTCTCCAGGAAACTGCTATTTTCAG	TGACTGCCTGGAGCTGGTGTTTTTTC	130	ACT(GACT) <sub>4</sub> AATTTTGTACCACCAGCTGC
rs10454987	TAGCCCAGCACCAGAGAGCTGATG	CCCTCCAAACCAGCACTGTTCAATAAAC	551	(GACT) <sub>5</sub> CTCCTAGCATATGTTGCTATTTCA
rs9375292	CAAACCTGGGTGTAAAAGCCTGTAAACCTTAC	ATCTTAGCGTCAATGCTAGAAACAGAGATG	960	CTTGAAATAATCTTAATGATTTATTCTTGTAAATATTTACTTAT
15:74748055b	CTGTCCCATTAGGAAACAGTGGATGAC	CCACCTCAGCCTCCCAAAGTACAG	88	T(GACT) <sub>7</sub> CACCATTCCTGGCTTGTTT
rs28362873	GCCCTAACCTGTCTGCCTTCAG	GCTGGGCACAGATCTGGAGAGATG	306	CT(GACT) <sub>7</sub> GACTGGGAGAATAAAGGGCTTGG
<b>Clinical replication study</b>				
rs763780	GCACTGGGTAAAGAGTGGCATTCTAC	TTGGAGAAGGTGCTGGTGACTGTTG	123	GCACCTCTTACTGCACA
rs10883617	GGGGCATTGGGTGTGTGTCAG	GCCCTGCACCTAAGGGTCAAACAGGTAC	256	CTTTGGCCTGAAAAGGTACA
rs2900174	CAGCTTACAGATGGTGGCTGATGAG	CCTGCTCATGATGCCAGAATCAAG	349	(CTGA) <sub>2</sub> CTCCTTACAAGACTCACAAGTGTCT
rs11062040	AAGGAAAGCAAATTAATTAGGCTTGTGCTA	GAATGGAGAGTGGGGAGTTATCTTCTAATG	326	(TGAC) <sub>4</sub> AATTAATTAGGCTTGTGCTA
rs11644322	CTAGGTGGCTTCAGTCAGCAGAACTG	TGCCTTCTGTTCTCATGCAACTTCAC	494	GATGTGATTACAGTGAATTAGGGTGG

### **3.14 Statistical Analysis Techniques**

The overall survival of patients was illustrated by Kaplan-Meier curve with the impact of genetic polymorphisms singularly assessed by log-rank test. Dependence of overall survival on multiple factors was ascertained by Cox regression model. Cox models were used in two fashions: to identify the hierarchy of significantly contributing factors by a stepwise model as well as to adjust the effect of a certain variable for potential confounders by an integrated model.

Correlation of continuous functional parameters was analyzed by Pearson and Spearman coefficients for data with parametric and non-parametric distribution, respectively. Distribution was considered as parametric when Kolmogorov-Smirnov and Shapiro-Wilk test did not indicate deviation from Gaussian for samples sizes  $\geq 50$  and  $< 50$ , respectively. Treatment effects on continuous parameters were tested for statistical significance by paired t-test (in case of Gaussian distribution) and paired Wilcoxon's signed rank test (non-Gaussian). Impact of a single discrete, ordinal variable on a dependent variable was investigated with the Jonckheere-Terpstra test. That was typically applied for genetic polymorphisms in relation to functional traits since this test allows for allele dosage effects according to the order of the genotypic configurations. If the independent variable was binary, the effects of the two groups on the considered functional trait were compared by t-test (Gaussian distribution); the non-Gaussian pendant, i.e. the Mann-Whitney U test, was not used in my thesis. All these statistical procedures were carried out using SPSS version 12.0 (IBM, Chicago, IL).

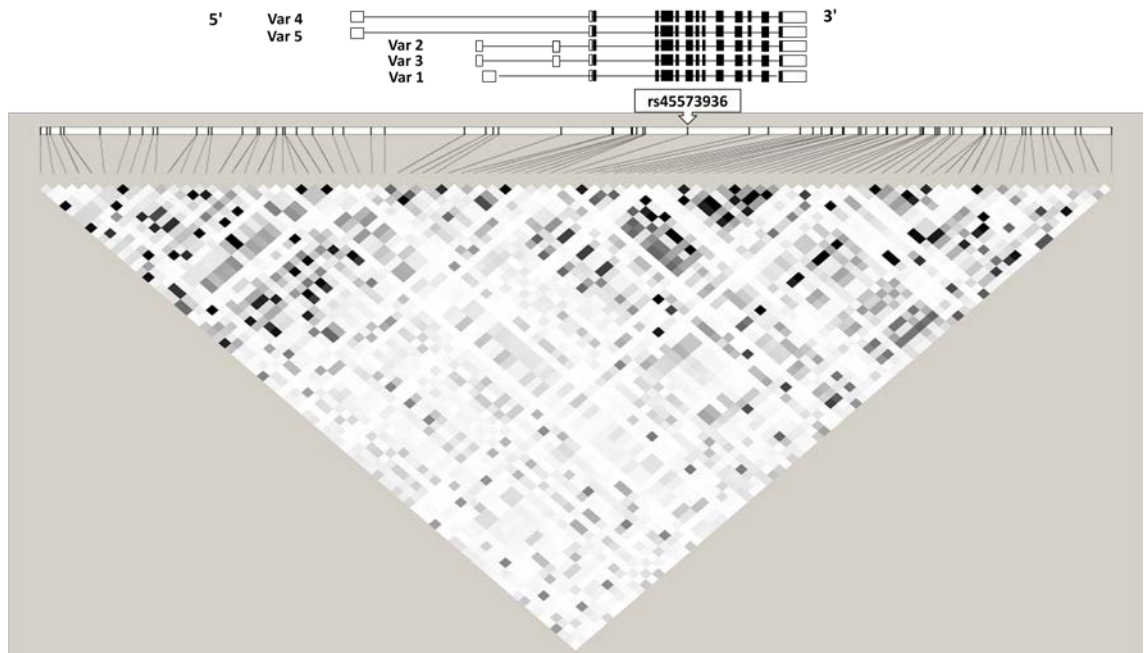
## 4 Results

Following the aim to identify biomarkers for the efficacy of gemcitabine-based chemotherapy in pancreatic cancer the Department of Clinical Pharmacology at the University of Göttingen launched a genotyping project applying a candidate gene approach. We hypothesized that the observed clinical variability in therapeutic outcome is partially impacted by genetic variability in genes encoding proteins for transport and metabolism of gemcitabine. In eleven referring genes 109 genetic polymorphisms selected by functional and bioinformatic criteria were genotyped and analyzed with respect to the overall survival of gemcitabine-treated patients suffering from pancreatic cancer. This initial study was conducted by a medical thesis student in our department (Alexander Schaudinn, medical dissertation, University of Göttingen 2013). As a major result two genetic polymorphisms pertinent to the equilibrative nucleoside transporter 1 (ENT1), which is officially denoted SLC29A1 according to HGNC (Human Gene Nomenclature), were associated with overall survival. One of these polymorphisms presents an amino acid exchange (Ile216Thr), however, the allelic frequency of the Thr216 is rare (about 2.5% in Caucasians). The second variant (rs1057985) resides in a putative promoter region of *ENT1* and exhibits a frequency of 33% for the minor allele in the European population.

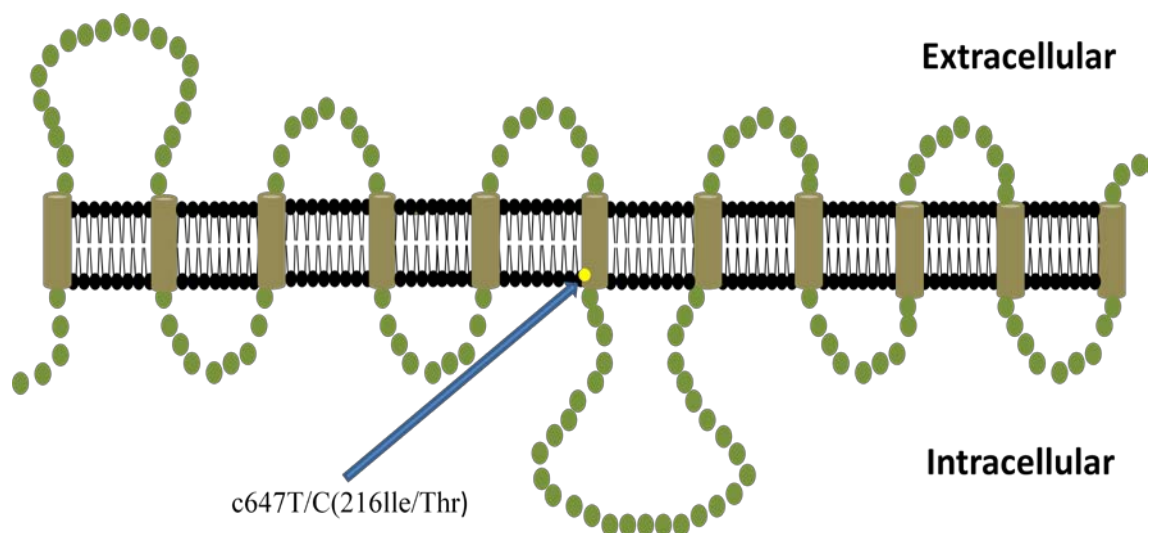
### 4.1 Non-Conservative *ENT1* Amino Acid Polymorphism

The coding region of *ENT1* is highly conserved among humans with less genetic variability (OSATO *et al.* 2003). The isoleucine (Ile) 216 to threonine (Thr) exchange (rs45573936) represents the most frequent one which is primarily found with a MAF (minor allele frequency) of 2.5% in Northwestern Europeans (Figure 9, Figure 10).

In a clinical association study the variant allele of this SNP was related to a dramatically shortened overall survival rate of pancreatic cancer patients having received gemcitabine-based chemotherapy (SCHAUDINN 2013). In addition, this allele exhibited high resistance *in vitro* toward gemcitabine-induced toxicity in human T-lymphocytes (KUSCHEL 2012). These data raised the question whether this SNP is functionally and medically relevant. Allele-specific constructs containing either the Ile216 or the Thr216 variant were generated [3.8.1] and subjected for impacting direct gemcitabine transport and cytotoxicity of gemcitabine.



**Figure 9: Plot of linkage disequilibrium in ENT1 (-10kb/+2.5kb) genetic region based on 247 fully sequenced individuals.** The position of the SNP rs45573936 is highlighted. Above the plot, the location of the five ENT1 transcript variants is displayed.



**Figure 10: Scheme of ENT1 transporter (SLC29A1) with Ile216Thr (rs45573936)**

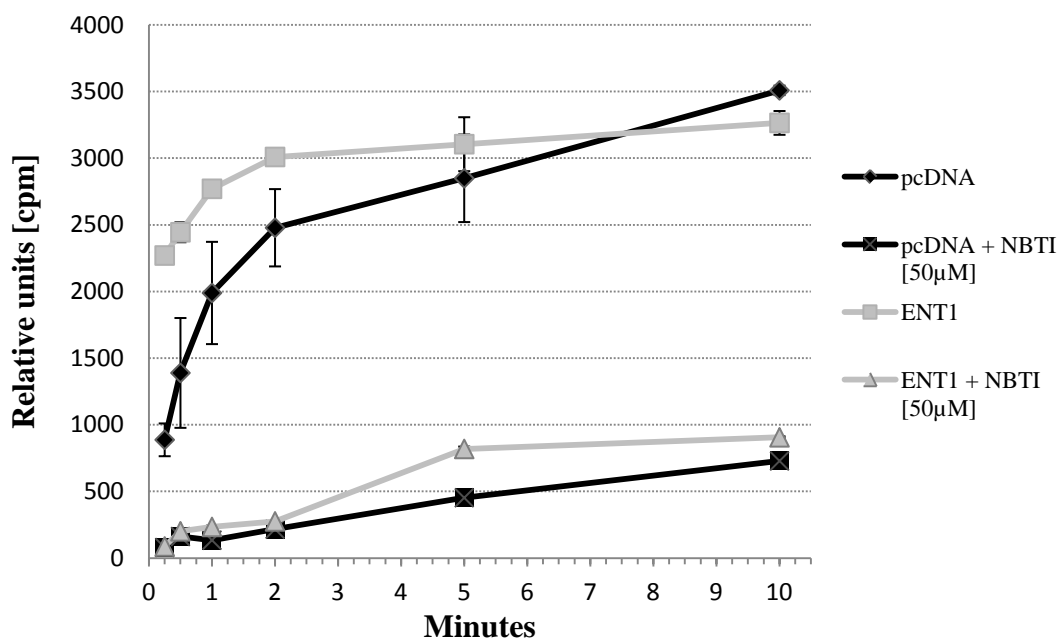
#### 4.1.1 Control of Stable Transfection

To ensure successful genomic integration of the two entire coding sequences allele-specific for Ile216 or Thr216 several procedures were executed. Hygromycin resistance was proven by cell culturing in presence of Hygromycin. Specificity to the expression of the transfected Hygromycin gene was detected by PCR [3.7.3.1]. Correct genomic integration of *ENT1* coding region as the gene of interest was confirmed by PCR spanning the insertion boundaries [3.7.3.1]. Exclusion of undesired mutations in the *ENT1* coding region was carried out by complete *Sanger* sequencing [3.1.11]. Proper transcription of the transfected *ENT1* was measured in comparison to empty vector-transfected HEK293 clones indicating the extent of endogenous *ENT1* expression [3.2.4]. Upon proof of correct integration these constructs could be used for functional assays [3.9] the results of which are described in the following chapters.

#### 4.1.2 Impact on Gemcitabine Uptake

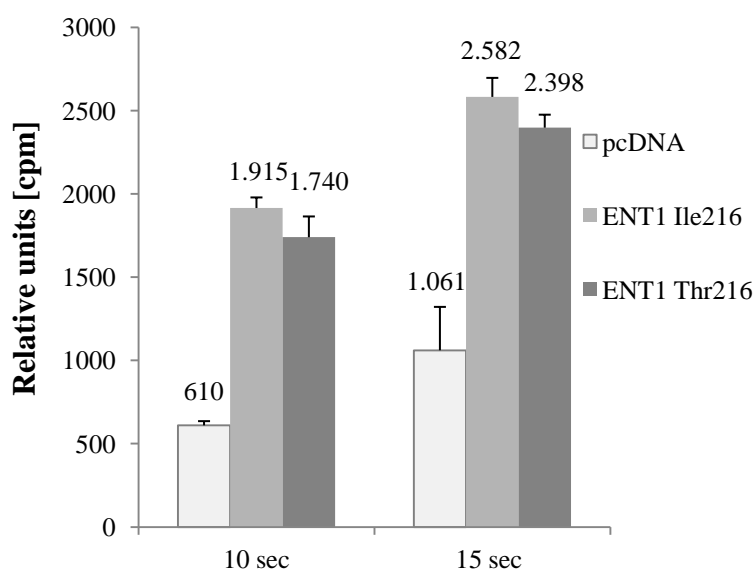
First, the kinetics of cellular gemcitabine uptake were explored by using <sup>3</sup>H-gemcitabine as tracing molecule. The high affinitive inhibitor NBTI was employed to determine the specific contribution of *ENT1*. Uptake of <sup>3</sup>H-gemcitabine was very fast. As early as 15 seconds upon treatment start about 70% of concentration equilibration was achieved for Ile216 overexpression in comparison to only 14% for the empty control vector. It took about 10 minutes until the latter reached the same amounts of substrate transport than the Ile216 ENT1 transfectant (Figure 11). Pre-incubation with 50 μM NBTI dramatically reduced the transport rate indicating the observed kinetics being largely ENT1-dependent (Figure 11).





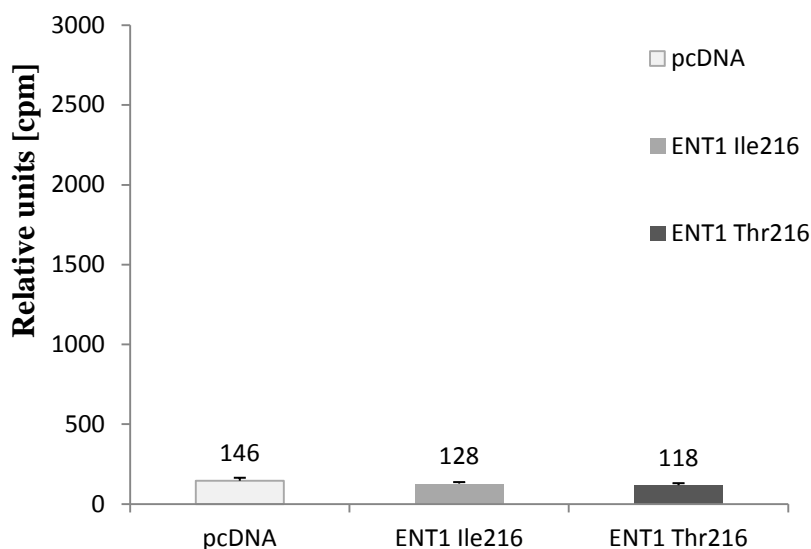
**Figure 11:**  $^3\text{H}$ -gemcitabine transport kinetic of *ENT1*-rs45573936 wild type clones in relation to non transfected pcDNA empty vector clones. Transport was conducted for 15 seconds to 10 minutes with  $^3\text{H}$ -gemcitabine. Transport kinetic in presence of 50  $\mu\text{M}$  NBTI inhibitor conducted with cells, which were pre-incubated with 50  $\mu\text{M}$  NBTI for one hour, demonstrated that NBTI is a specific inhibitor of  $^3\text{H}$ -gemcitabine transport in this issue since only little amounts of radioactivity were absorbed by the cells.

Next, suggested modulation of the  $^3\text{H}$ -gemcitabine transport kinetics by Thr216 was assessed. No differences in transport activity of Ile216 (wild type) clones and Thr216 (variant) clones by transport measurements for 10 and 15 seconds could be observed (Figure 12)



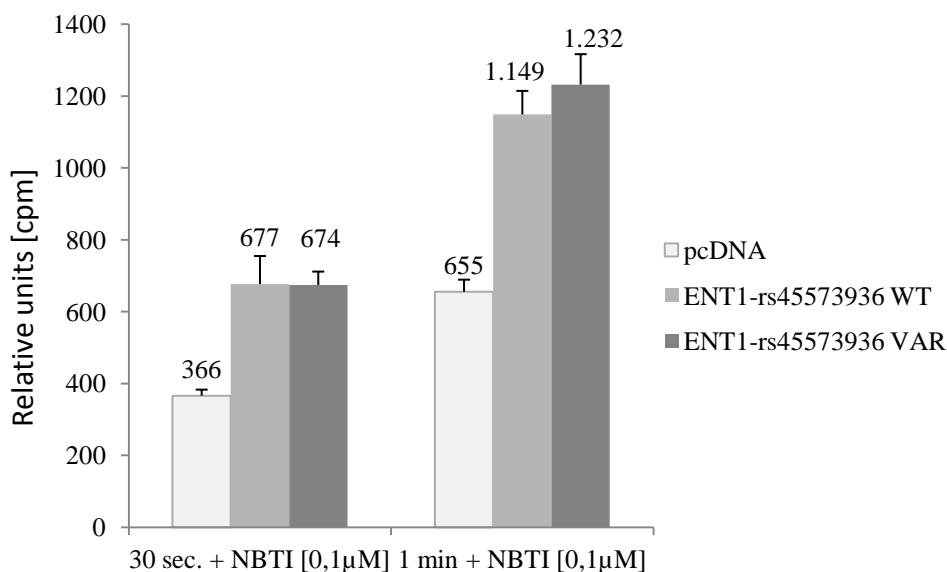
**Figure 12:** Transport activity of *ENT1*-rs45573936 clones in relation to non transfected clones. Transport was conducted for 10 and 15 seconds with  $^3\text{H}$ -gemcitabine.

Transport in presence of 50  $\mu$ M NBTI inhibitor conducted with cells, which were pre-incubated with 50  $\mu$ M NBTI for one hour, demonstrated that the transport measurements were specific since only little amounts of radioactivity were absorbed by the cells (Figure 13).



**Figure 13: Transport activity in presence of 50  $\mu$ M NBTI inhibitor of ENT1-rs45573936 clones in relation to non transfected clones.** Transport was conducted for 15 seconds with  $^3$ H-gemcitabine. Cells were pre-incubated with 50 $\mu$ M NBTI for one hour, demonstrated that in all clones the  $^3$ H-gemcitabine transport is mainly dependent on ENT1 transporter.

The transport activity of ENT1 was very fast. The following transport experiments were conducted in presence of NBTI inhibitor 0.1  $\mu$ M with cells, which were pre-incubated with 0.1  $\mu$ M NBTI for one hour, to slow down the transport activities. Even by slowing down the transport activities no significant difference in transport could be observed in the HEK-ENT1-rs45573936 wild type and variant clones (Figure 14).



**Figure 14: Transport activity of HEK-ENT1-rs45573936 wild type and variant clones in presence of 0.1 μM NBTI**

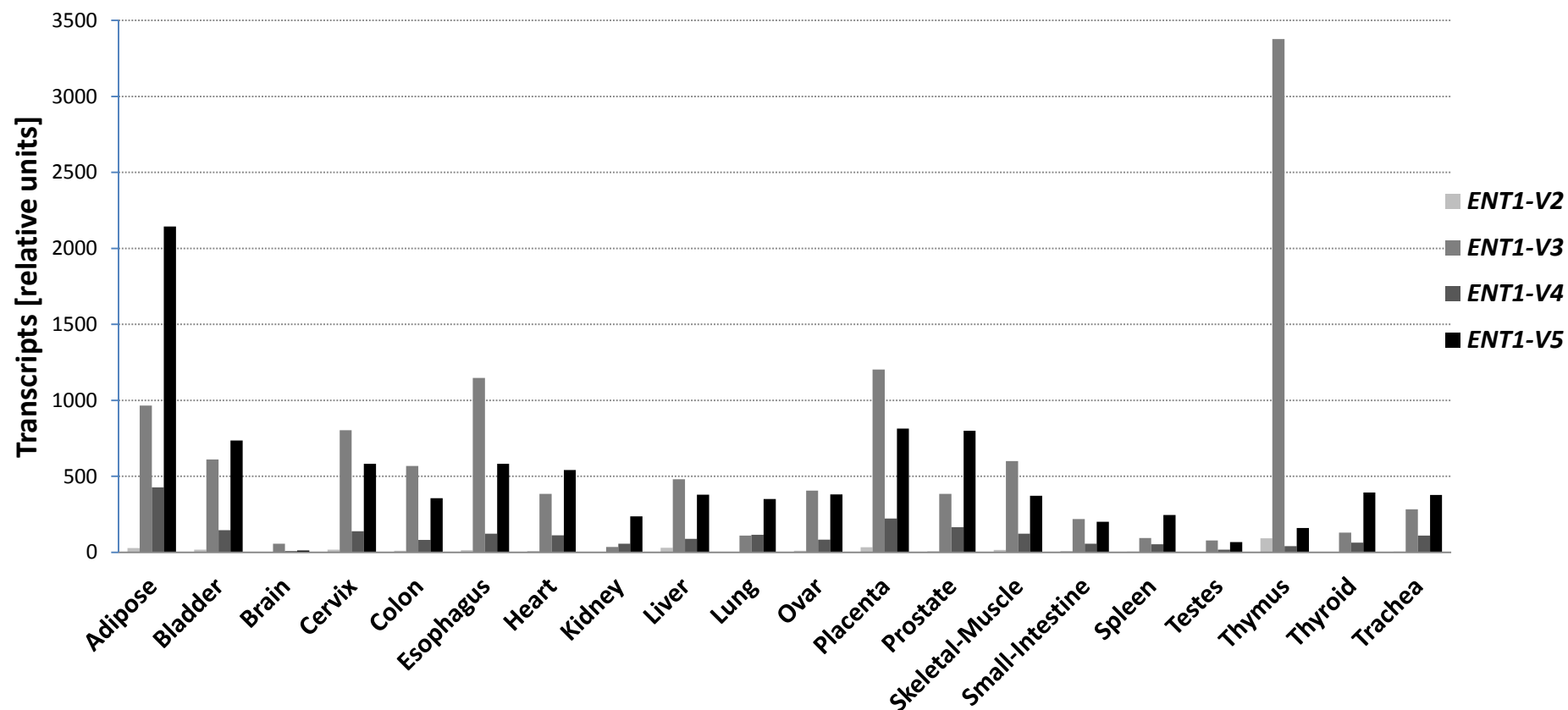
## 4.2 Functional Evaluation of *ENT1* Promoter Polymorphism

In three independent clinical cohorts a genetic polymorphism upstream of the *ENT1* gene was reproducibly related to the overall survival of pancreatic cancer patients having undergone gemcitabine-based chemotherapy (SCHAUDINN 2013) (Christian Zimmer, medical thesis in preparation). Due to the localization of this polymorphism, i.e. rs1057985, upstream of the *ENT1* gene functional hypotheses were drawn. Several *ENT1* transcript variants have been identified, which differ in the 5' UTR sequence, but all code for the same protein.

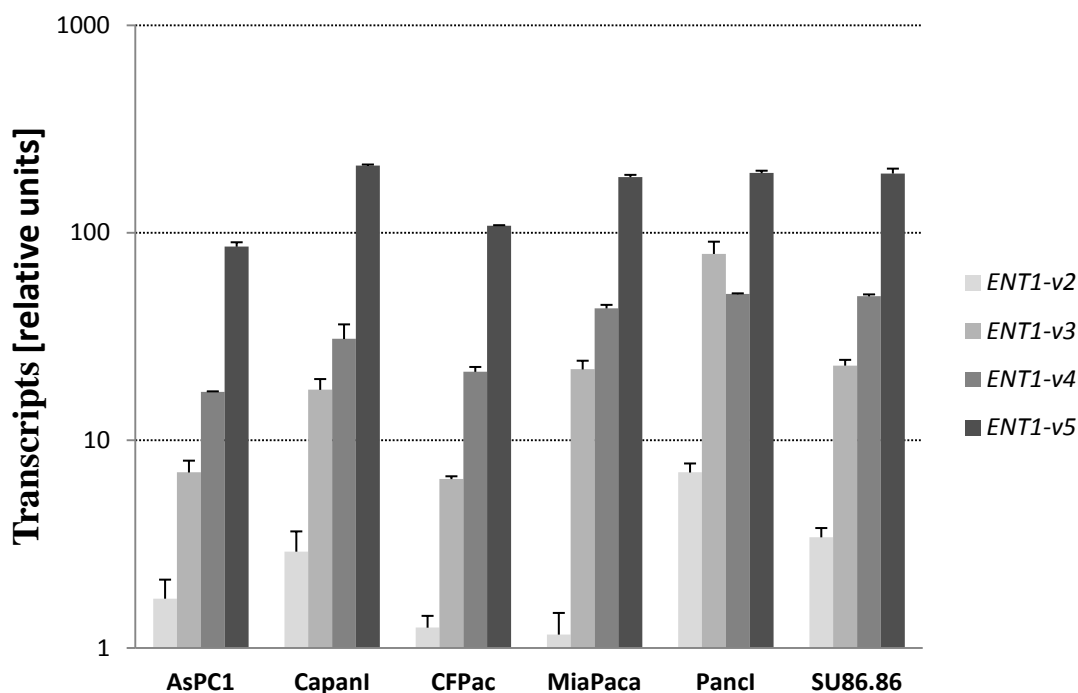
### 4.2.1 *ENT1* Transcript Variant Expression

A thorough *ENT1* promoter region analysis was undertaken suggesting twelve different transcript variants (FUKUCHI *et al.* 2010). Eleven of them are listed in the ENSEMBL database, of which ten are protein-coding. Of the latter, six are covered by the five transcript variants listed in NCBI gene bank and four are unique in ENSEMBL. For these nine variants, specific primers (Table 3) were designed and expression was tested in lymphoblastoid cell lines and in a pool of pancreatic cancer cell lines by qRT-PCR. Expression beyond marginal was verified only for four variants which correspond to

variants 2, 3, 4, and 5 in NCBI. These four variants were then determined in different human tissues, six pancreatic cancer cell lines, and a set of lymphoblastoid cell lines. In a panel of 20 human tissues the two most abundant transcript variants were *ENT1-v3* and *ENT1-v5*. Highest expression of *ENT1-v5* was observed in adipose tissue whereas it was low in brain, kidney and lung (Figure 15). Unfortunately, no sample of pancreatic tissue was contained in the panel. Instead, the quantities of *ENT1* transcript variants were determined in six pancreatic tumor cell lines (obtained from ATCC cell repository). In all pancreatic cancer cell lines tested, *ENT1-v5* is expressed most (Figure 16). The second highest expression was observed for *ENT1-v4* except for PancI where it was slightly lower than *ENT1-v3*. *ENT1-v4* differs from *ENT1-v5* only by a 3 bp insertion inside the 5'-UTR.

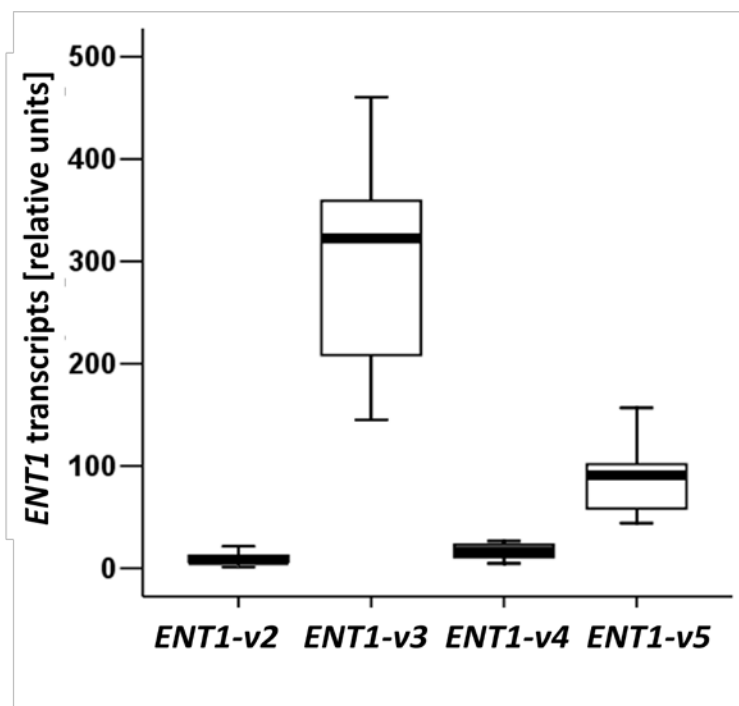


**Figure 15: *ENT1* transcript variant expression in a panel of various human tissues.** Expression data normalized to *HPRT1* were referred to the lowest transcript number over all tissues, i.e. *ENT1*-v2 in kidney.



**Figure 16: *ENT1* transcript variant expression in pancreatic cancer cell lines.** Data, which were normalized to the mean expression of the reference genes *HPRT1* and *36b4*, were all referred to the lowest observed expression value, i.e. *ENT1-v2* in MiaPaca. For better visual depiction, the y-axis is scaled logarithmically.

Likewise, *ENT1* transcript expression was quantified in 16 lymphoblastoid cell lines [3.6.5] (GM11840, GM12005, GM12154, GM12750, GM12814, GM10854, GM12812, GM07022, GM07357, GM11831, GM11832, GM11882, GM12248, GM12813, GM12749, GM12749). *ENT1-v3* exhibited the strongest expression followed by *ENT1-v5*. These two isoforms presented with much broader expression variability in comparison to the low expressed *ENT1-v2* and *ENT1-v4* (Figure 17). Expression of *ENT1-v1* was neglectable.

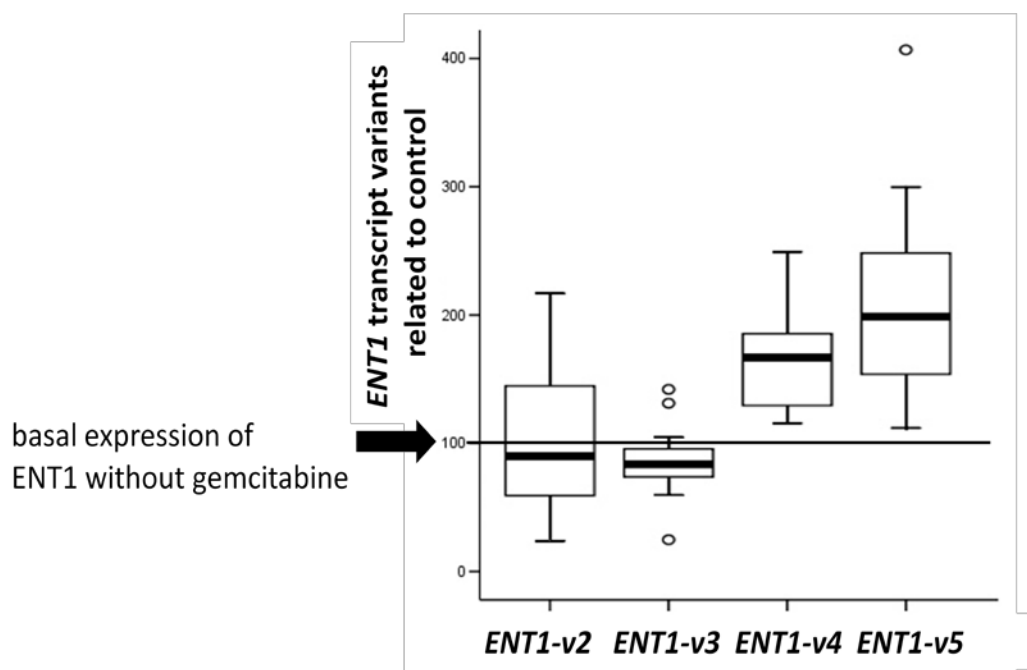


**Figure 17: Quantitative variability of ENT1 transcript variants in 16 LCLs.** Normalization was carried out by a weighted mean of the reference genes *GAPDH*, *HPRT1*, and *UBC*, i.e. the mean of the Ct (cycle threshold) values of these three genes was subtracted from the Ct values of each *ENT1* transcript variant.

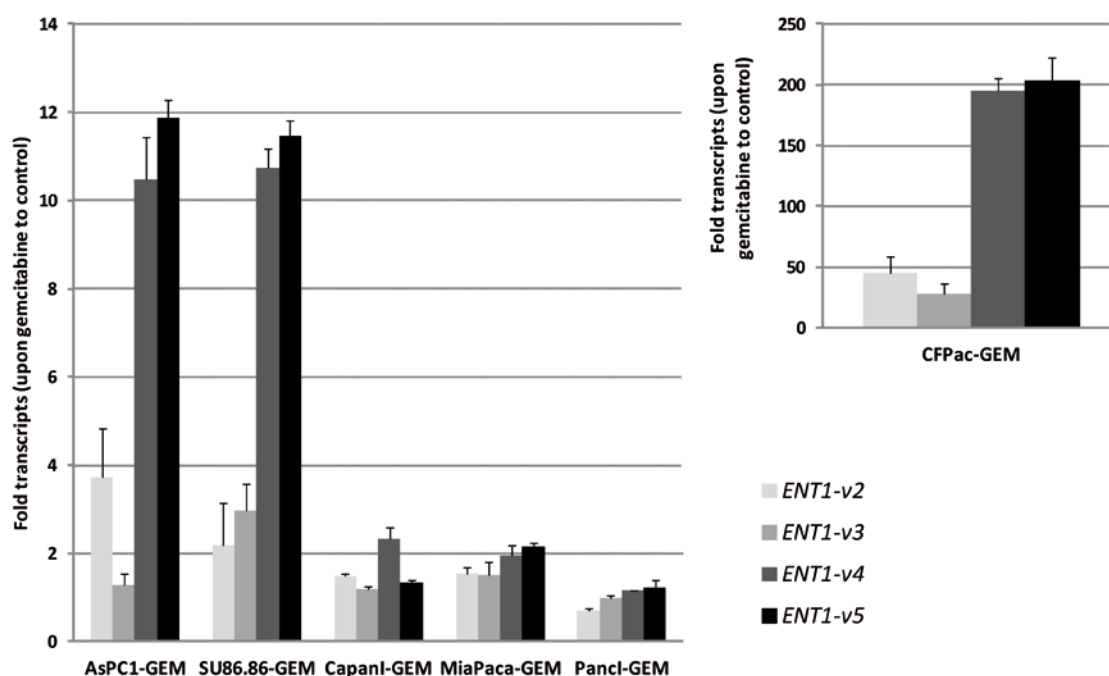
#### 4.2.2 Gemcitabine Effects on *ENT1* Expression

As gemcitabine is the standard treatment in pancreatic cancer and as gemcitabine effects have been attributed to *ENT1* the effects of this cytostatic drug on *ENT1* transcript variants were investigated. Therefore, the six aforementioned pancreatic cancer cell lines and a panel of 16 LCLs were exposed to a moderate dose (based on literature and pilot experiments) of gemcitabine for 24 h. In LCLs, quantitative measurements by qRT-PCR revealed induction of the long transcript variants *ENT1-v4* and *ENT1-v5*. In contrast, the shorter isoforms *ENT1-v2* and *ENT1-v3* were not altered (Figure 18).

In the pancreatic cancer cell lines AsPC1, SU.86.86 and CFPac, the expression of *ENT1-v4* and *ENT1-v5* were substantially upregulated in relation to the reference genes. In contrast, the short transcripts *ENT1-v2* and *ENT1-v3* were induced to a much lesser extent. In Capan1 and MiaPaca2, *ENT1* transcript increase upon gemcitabine was generally less pronounced; a 2-fold induction was noted in Capan1 for *ENT1-v4* and in MiaPaca2 for *ENT1-v4* and *v5*. In PancI, no notable alteration in *ENT1* gene expression by gemcitabine could be observed (Figure 19).



**Figure 18: Gemcitabine effects on transcription of *ENT1* variants in 16 LCLs.** Upon incubation with 18 nM gemcitabine for 24 h RNA was harvested and transcribed to cDNA. Expression of the indicated *ENT1* target transcripts was normalized to a weighted mean of *GAPDH*, *HPRT1*, and *UBC* serving as reference genes.

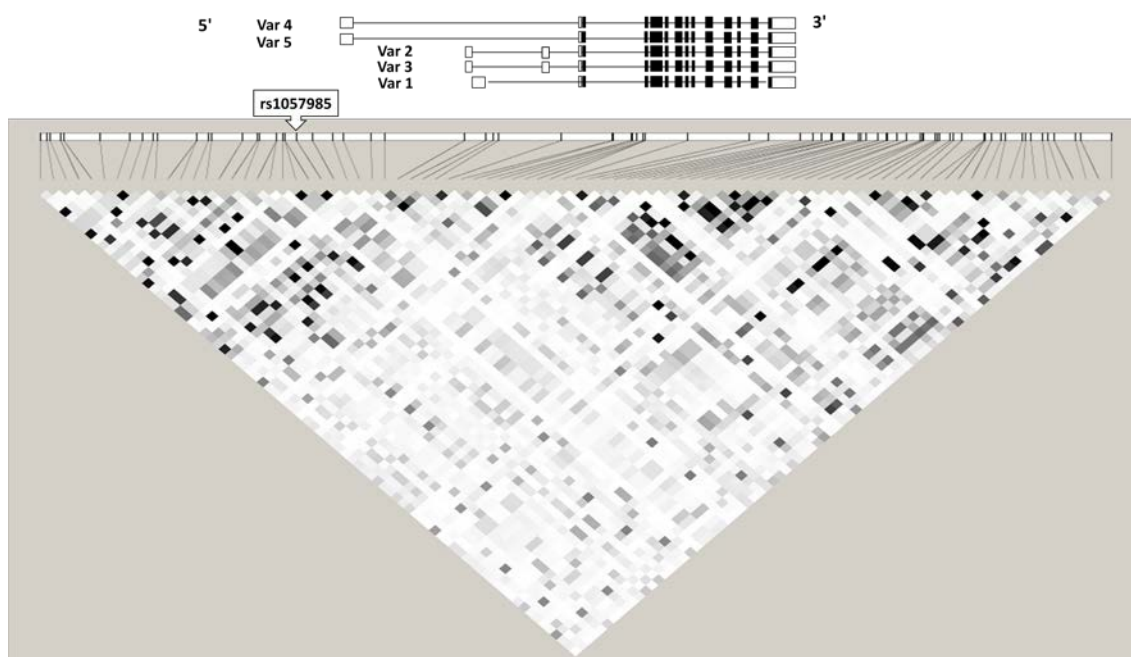


**Figure 19: Induction of *ENT1* transcripts by 5  $\mu$ M gemcitabine in pancreatic cancer cell lines.** The induction of each transcript upon gemcitabine is referred to the untreated control (see Figure 16), which is set to a value of 1.



### 4.2.3 Impact of *ENT1* Promoter SNP on *ENT1* transcription

The location of the recently associated *ENT1* polymorphism rs1057985 (SCHAUDINN 2013) was analyzed in relation to the *ENT1* genetic region. Rs1057985 is located 1,341 bp upstream of the transcription start site of the two longest *ENT1* transcripts, i.e. *ENT1-v4* and *ENT1-v5* (Figure 20). Thus, the hypothesis that the expression of the latter might be modulated by this polymorphism should be investigated in detail.



**Figure 20: Plot of linkage disequilibrium in *ENT1* (-10kb/+2.5kb) genetic region based on 247 fully sequenced individuals.** The position of the SNP rs1057985 is highlighted. Above the plot, the location of the five *ENT1* transcript variants is displayed.

Therefore, effects of rs1057985 on basal and gemcitabine-modulated gene transcription of *ENT1-v5* were evaluated in a set of 101 genetically diverse LCLs also used for cytotoxicity assessment. Since *ENT1-v4* is only barely expressed in LCLs (Figure 17) this transcript was not assayed here. Under basal conditions without gemcitabine the expression of *ENT1-v5* was not related to rs1057985 ( $p = 0.3$ , data not shown). Conversely, upon gemcitabine treatment the extent of *ENT1-v5* induction was dependent on rs1057985 (Table 15). Homozygous variant allele carriers exhibited the strongest induction, that for heterozygous state was intermediate, and that for

homozygous wild-type allele was lowest ( $p_{\text{trend}} = 0.03$  in linear regression). This finding points to a putative promoter modulating effect resulting in enhanced expression of *ENT1-v5*.

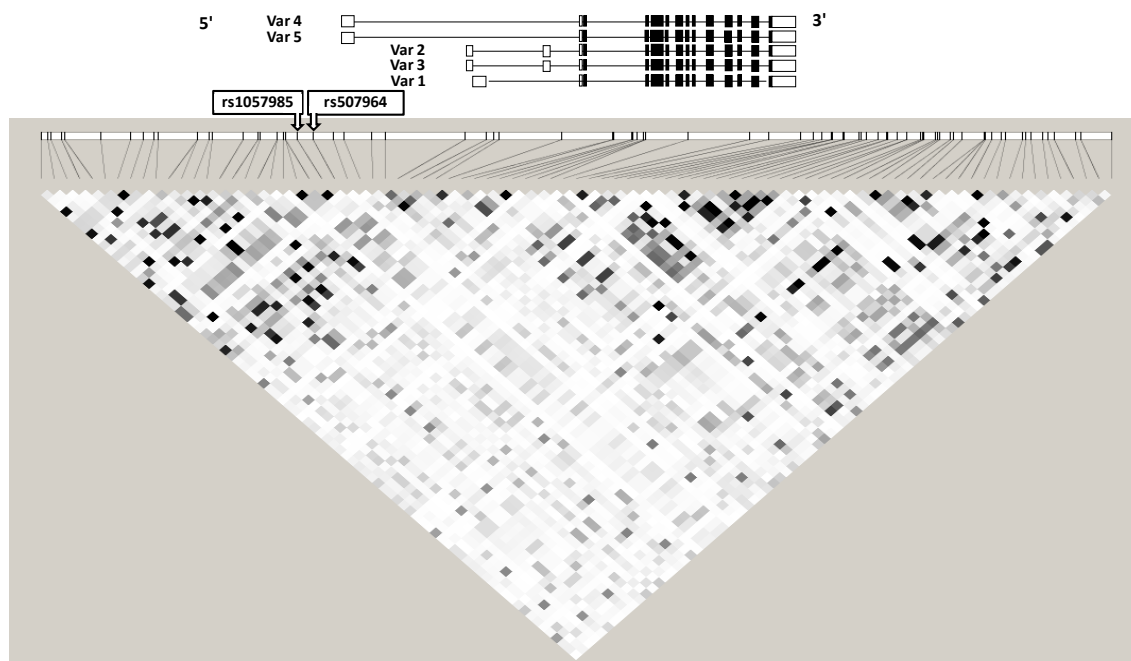
**Table 15: Induction of *ENT1-V5* by gemcitabine in LCLs in dependence on the SNP rs1057985**

Genotype	Number	transcripts % to control
Wildtype	43	<b>145</b>
Heterozygous	45	<b>177</b>
Variant	13	<b>197</b>

→  $p = 0,03$  (according to linear regression)

#### 4.2.4 Exploration of Genetic Linkage Disequilibrium of rs1057985

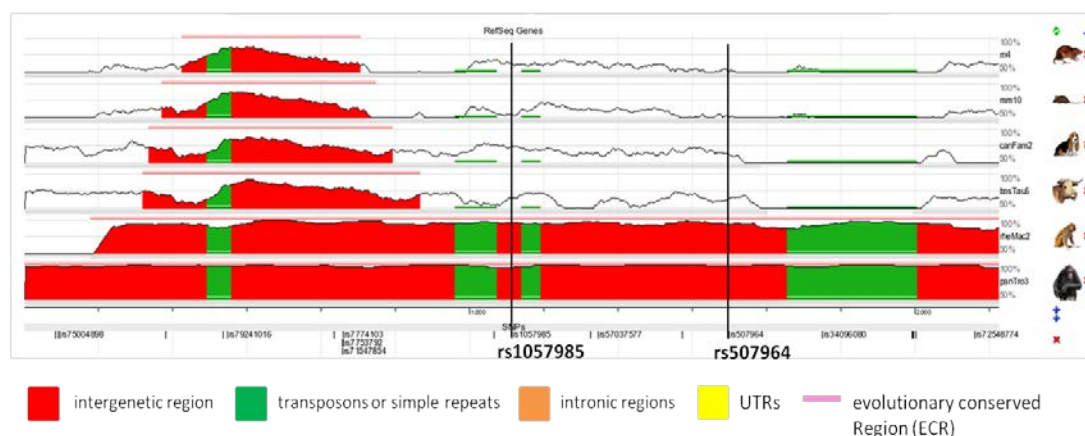
Since genetic markers in high linkage disequilibrium (LD) indicative for common inheritance result in similar associations with phenotypic traits it should be investigated if there are other genetic polymorphisms in high LD with rs1057985. Therefore, genetic data of fully sequenced 247 Caucasian individuals were downloaded from the 1000 human genomes database (<http://www.1000genomes.org/>) in relation to the *ENT1* genetic locus including 10 kb upstream and 2.5 kb downstream of the transcription margins and visualized in HaploView (version 4.2, available at <http://www.broadinstitute.org>). This analysis revealed one SNP, rs507986, in perfect LD (i.e.,  $r^2 = 1.0$ ) with rs1057985. No other genetic marker was in high LD with rs1057985 (i.e.  $r^2 = 0.8$ ). The polymorphism rs507964 is positioned 852 bp upstream the transcription start of *ENT1-v4* and *ENT1-v5*.



**Figure 21: Plot of linkage disequilibrium in *ENT1* (-10kb/+2.5kb) genetic region based on 247 fully sequenced individuals.** SNPs with a minor allele frequency of 1% are displayed with the positions of the SNPs rs1057985 and rs507964 specifically marked. Above the plot, the location of the five *ENT1* transcript variants is depicted.

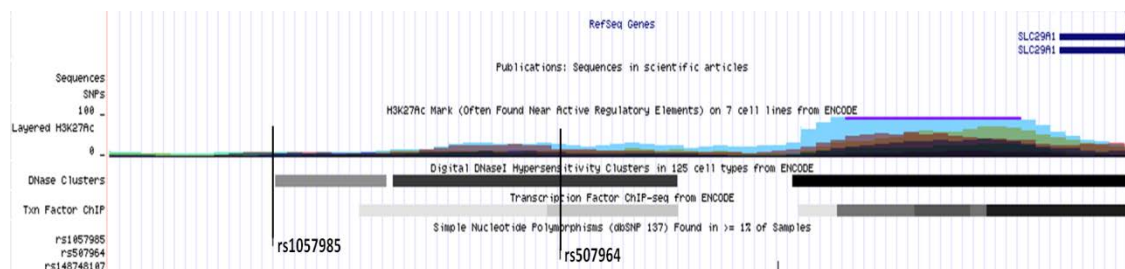
#### 4.2.5 *In silico* Assessment of Regulatory Genetic Elements

First, the genomic sequence context adjacent to the *ENT1* promoter region was evaluated for evolutionary conserved regions suggesting functionality. According to the ECR-Browser (<http://ecrbrowser.dcode.org>) both SNPs are located in a region evolutionary highly conserved only between man, Chimpanzee and Rhesus macaques (Figure 22).



**Figure 22: Illustration of evolutionary conserved regions (ECRs) in the DNA of different species compared to humans (according to ECR Genome Browser).** The diagram shows the level of evolutionary conservation in the DNA region flanking the two *ENT1*-SNPs (rs1057985 and rs507964). The kind of species is shown on the right by an icon (rat, mouse, dog, cow, Rhesus macaques and Chimpanzees, in the order from top to down). Types of sequence stretches in regard to genes are shown in different colors. The more a region is evolutionary conserved the higher the peak of the curve is.

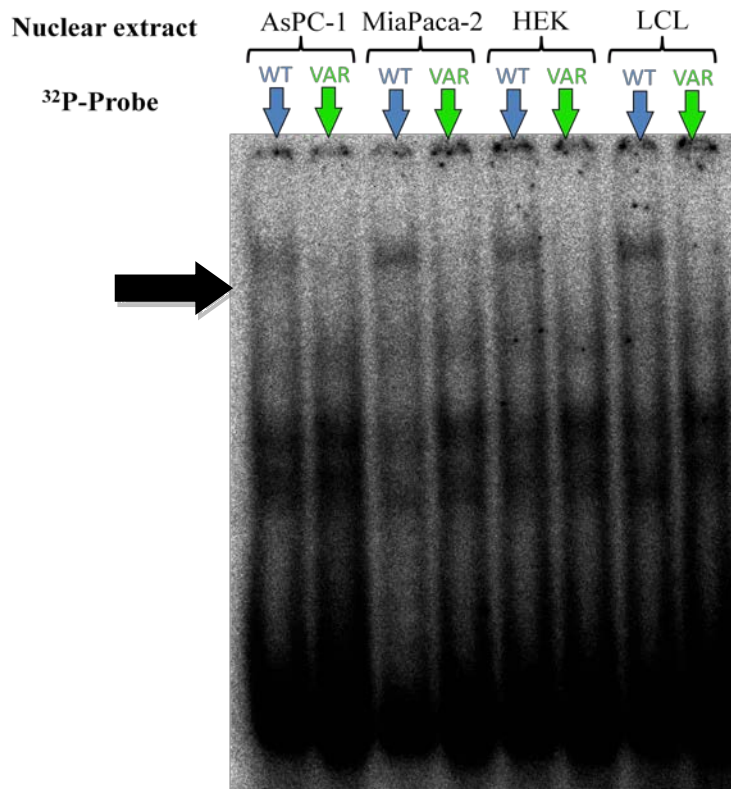
According to the UCSC Genome Browser database (<http://genome.ucsc.edu>) the *ENT1* SNP rs507964, but not rs1057985 is located in a putative regulatory element due to the acetylation status at histone H3 lysine 27 (H3K27Ac) (Figure 23), which is often found near active regulatory elements (BOGDANOVIC *et al.* 2012). In addition, this regions offers a DNase hypersensitivity cluster, again indicative for a regulative role in gene expression. In a DNase hypersensitive cluster the nucleosomal structure is not organized in the normal manner, which makes it sensitive towards DNase cleavage. In most cases hypersensitive sites are found ahead of active promoter regions. Moreover, the data from the UCSC Genome Browser suggests a transcription factor binding site in the sequence context of rs507964, but not of rs1057985 (Figure 23). Together, these findings indicate that rs507964 is part of a functional region and might play a role in regulation of *ENT1* expression.



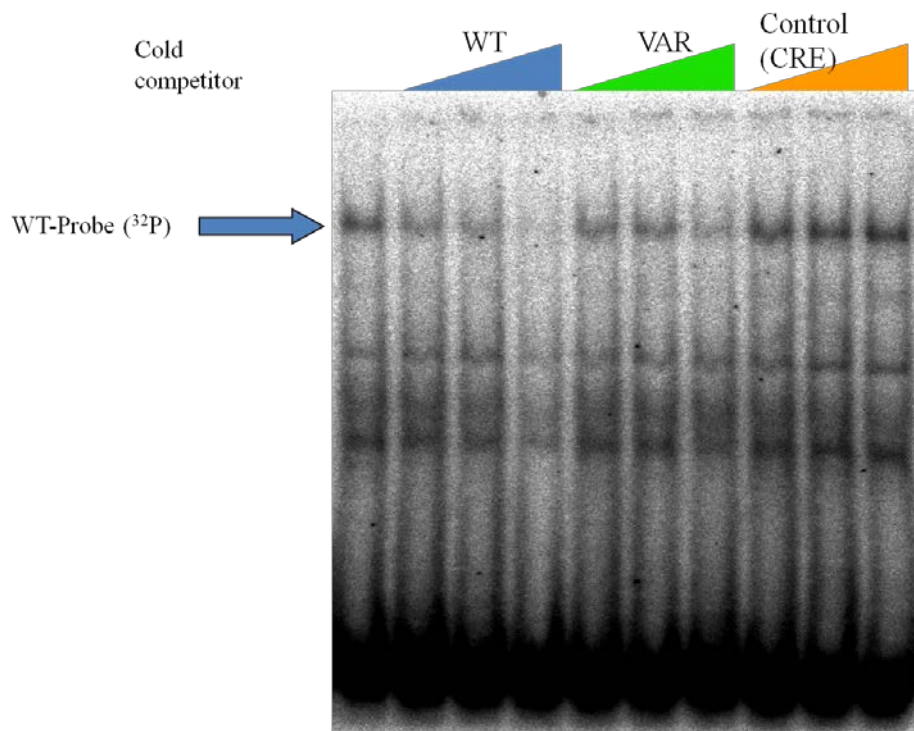
**Figure 23: Assessment of the *ENT1* rs1057985 and rs507964 containing sequences for putative promoter sites by UCSC genome Browser.** The image displays, from top to down, the degree of acetylation by H3K27Ac, DNase hypersensitive clusters, and prediction of transcription factor binding sites based on ChIP-Seq data. Transcription start of *ENT1*-v4 and *ENT1*-v5 is indicated by the bars in the right-upside corner termed as “SLC29A1” with the region directly upstream of which suggesting particularly strong regulatory elements.

#### 4.2.6 Nuclear Protein Interaction with *ENT1* SNP Region

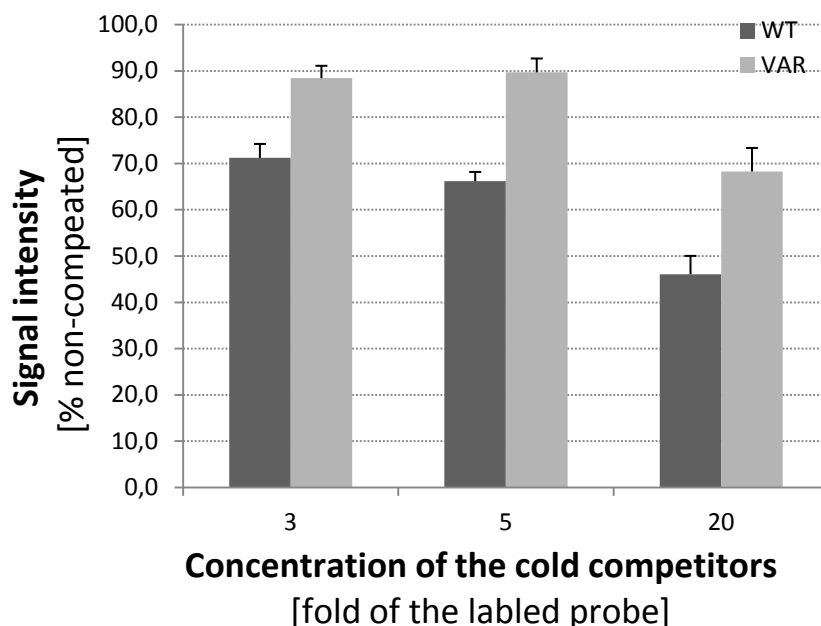
An important mechanism of gene expression regulation is binding of transcription factors on DNA which was suggested to occur in the sequence context of rs507964 according to the abovementioned clinical, gene expression, and bioinformatic data. This hypothesis was tested by electrophoretic mobility shift assays (EMSA). The EMSA reveals nuclear protein binding at rs507964 as demonstrated in different cell lines comprising LCLs, HEK and the pancreatic cancer cell lines MiaPaca and AsPCI (Figure 24) Interestingly, this binding appeared allele-specific only affecting the wildtype at this position. Cold competition experiments with nuclear extracts from LCLs confirmed this allele specificity as the interaction between the radio-labelled wildtype probe and the nuclear protein could be competed more efficiently by the non-labelled wildtype than the variant allele probe (Figure 26). Non-labelled probe for CRE (cAMP response element) as a reference did not interfere with protein binding suggesting specificity of the aforementioned competition (data not shown). There was no protein binding detectable for the probes of rs1057985 with nuclear extracts from LCLs (data not shown).



**Figure 24: EMSA with allele-specific <sup>32</sup>P-labelled probes at rs507964.** The arrow indicates the band of interest, i.e. binding of nuclear cell extract protein at the labeled probe. The lanes from left to right represent pairwise wild type and variant allele probes incubated with nuclear extracts of AsPC-1, MiaPaca-2, HEK and LCL.



**Figure 25: Cold competition experiments for <sup>32</sup>P-labelled rs507964 wild type probe.** The protein binding in lane 1 is interfered with increasing amounts (3-fold, 5-fold and 20-fold) of non labeled wild type probes (lane 2-4), variant probes (lane 5-7), and unspecific probe (CRE) (lane 8-10).



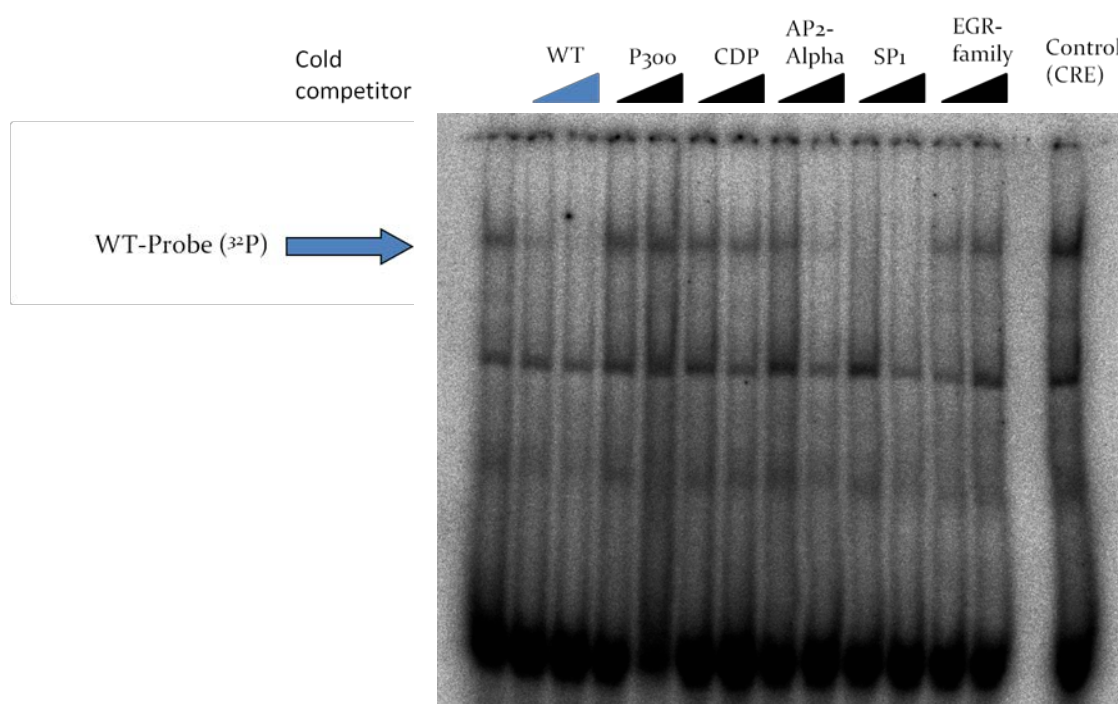
**Figure 26: Cold competition experiments for  $^{32}\text{P}$ -labelled rs507964 wild type probe.** To test for specificity, non-radio-labeled probes for the rs507964 wild type and variant allele with identical sequence context were evaluated for interference with nuclear extract binding. The graph illustrates the signal intensity means  $\pm$  SEM derived from four independent experiments.

#### 4.2.7 Identification of the Nuclear Binding Protein

The protein exhibiting binding at the wild type allele at rs507964 was attempted to be identified. Bioinformatic tools use different patterns of DNA binding (so-called consensus sequences) to calculate the binding probability of a certain protein. Calculations were performed based on the TRANSFAC database (Release 2012.2, (MATYS *et al.* 2003)) in cooperation with Martin Haubrock (Wingender Group, University Göttingen). The settings were chosen for optimal discrimination at the polymorphic site of interest. The results were sorted according to a score value for the binding probability (Table 17). Five top hits of this analysis (i.e., P300, CDP, AP2-Alpha, SP1, EGR-family) were selected for synthesis of probes tested for cold competition in EMSA experiments. Respective primer pairs for validated consensus sequences were taken from Santa Cruz Homepage ([http://www.scbt.com/support-table-transcruz\\_gel\\_shift\\_oligonucleotides.html](http://www.scbt.com/support-table-transcruz_gel_shift_oligonucleotides.html)) except for P300 which was not listed there and instead was adopted from literature (CHEN and HUNG 1997). These sequences were



compared with the binding motifs elicited by the bioinformatic screen using the Transcription Element Search System (<http://www.cbil.upenn.edu/tess>). Prominent competition of nuclear extract binding at rs507964 wild type was achieved by even moderate concentrations of the SP1 consensus sequence referred to the binding motif V\$SP1\_Q6. The latter interaction was even stronger than that of the corresponding non-labeled probe of the rs507964 sequence context. At higher concentrations, the consensus sequence of the transcription factor AP2-Alpha also shows competition (Figure 27). Thus, SP1 was considered as a major candidate for the binding protein of the nuclear extracts.



**Figure 27: Cold competition experiments via electrophoretic mobility shift assays with consensus sequences for putative binding proteins at *ENT1* rs507964 using nuclear extracts from LCLs.** All conditions are based on the  $^{32}\text{P}$ -labelled wild type probe except CRE which served as positive control for the nuclear extract. The lane on the left represents the non-competed probe. Competitions were carried out pairwise (5-fold and 20-fold) with the sequence context of the wild type allele at rs507964 (WT), and with the consensus sequences for the indicated proteins.

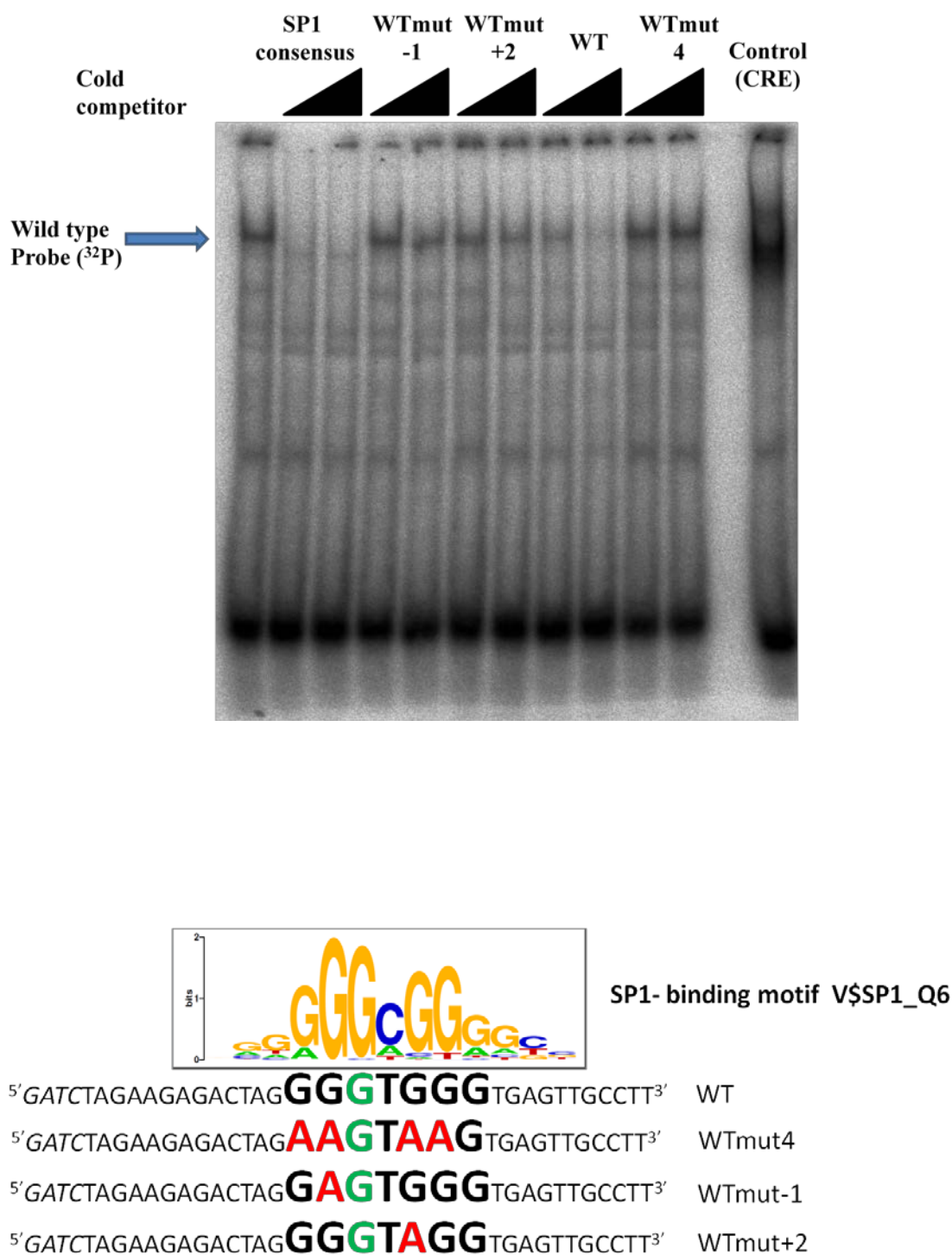
To get further evidence whether SP1 is the actual binding partner its binding motif was analyzed in detail. It reveals a mutation in one of the four crucial bases of the SP1 motif in presence of the variant allele at rs507964 making weaker binding plausible in comparison to the wild type allele (Figure 28).





**Figure 28: Comparison between the binding motif V\$SP1\_Q6 and the sequence context at rs507964.** This motif was provided by the Transcription Element Search System (<http://www.cbil.upenn.edu/tess>). The systematic name of this motif is M9525. The higher a letter in this motif is, the more important this specific base for the binding pattern is. The y-axis expresses the respective bits ranging from 0 (no base preferred) to 2 (only one base preferred). Beneath this plot, the sequences flanking the wildtype and variant allele at rs507964 (highlighted in green) are depicted with the bases most relevant for the V\$SP1\_Q6 motif displayed in bold.

In the next step, bases promoting binding according to the binding motive provided by TESS (Transcription Element Search System, <http://www.cbil.upenn.edu/tess>) were mutated with respect to the sequence stretch with wildtype allele at rs507964. The allele at rs507964 itself was not changed here since reduced competition has been demonstrated before for the variant allele of this polymorphism (Figure 26). If SP1 is the real binding protein the competition of the mutated probes should be reduced in comparison to the wildtype sequence. The respective EMSA results are shown in Figure 29. Protein binding at the radio-labelled probe was completely abolished by the consensus sequence for the SP1 motif V\$SP1\_Q6. Mutation of four crucial bases in close vicinity of rs507964 did not interfere anymore. Only slight interference was detected for the probes mutated at single positions one base upstream and two bases downstream of rs507964, respectively.

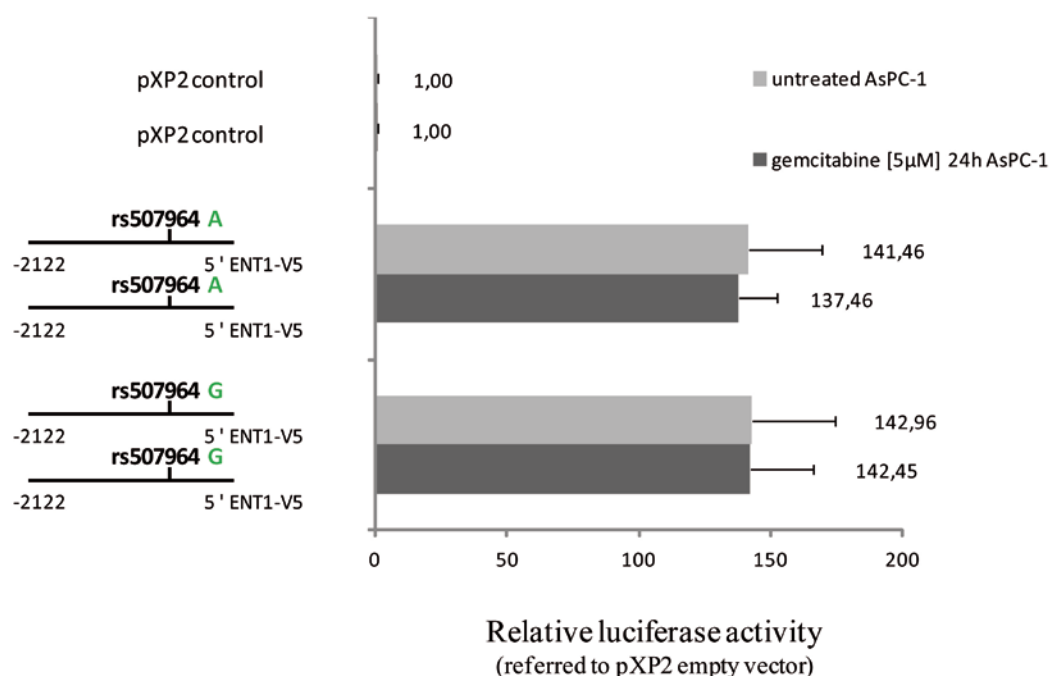


**Figure 29: Analysis of SP1 binding motif mutations.** Three types of mutations (WTmut4, WTmut-1, WTmut+2) were tested for cold competition with respect to the non-mutated probe (WT) and the consensus sequence for the V\$SP1\_Q6 binding pattern (SP1 consensus). The EMSA results are depicted in the upper panel with the non-competed radio-labeled wild type probe on the left, followed by competitions with the indicated sequences (5-fold and 20-fold each). The lower panel depicts the sequences of the mutated probes in relation to the wild type sequence context at rs507964. The mode of illustration is analogous to that described in Figure 28.

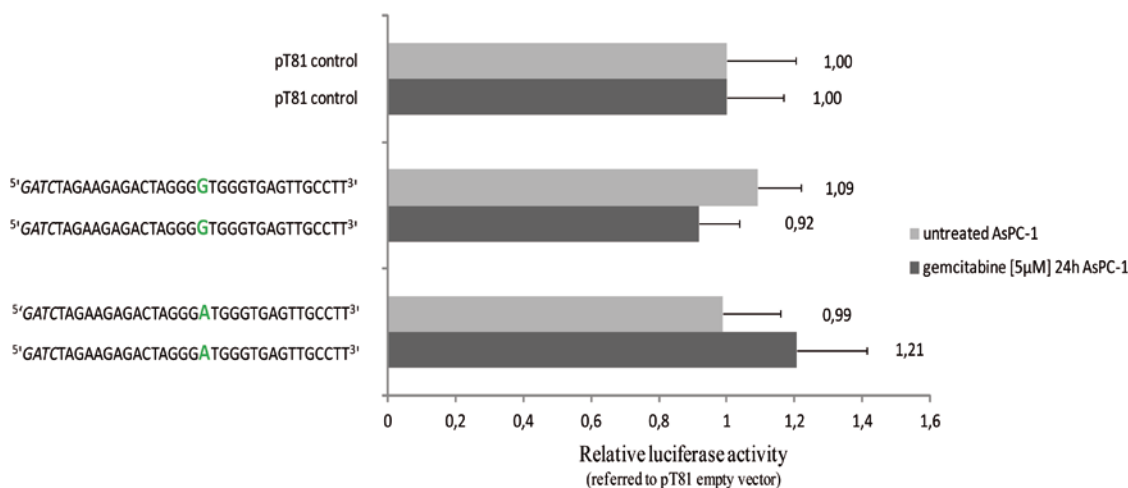
#### 4.2.8 Effect of *ENT1* SNP rs507964 on promoter activity

The hypothesis of expression regulating elements in the sequence stretch comprising rs507964 should be investigated both whether this region modulates promoter activity in general and whether there is any allele-specific dependency on rs507964.

To address these issues two types of inserts, each for the two alleles of rs507964, were cloned into vectors for reporter gene assays. The first type corresponds to the 35 bp sequences of the abovementioned EMSA primers. The second comprises a 2122 bp DNA fragment upstream of the start codon of the long transcripts ENT1-v4/ENT1v-5 containing rs507964 and rs1057965. As host for reporter gene analysis the pancreatic cancer cell line AsPcI was chosen, since this cell line provides high basal expression rate of the long *ENT1* transcript variants according to qPCR results (Figure 16). It was demonstrated that the construct with the long insert exhibited strong promoter activity whereas the short 35 bp insert did not. However, no allele-specific effect of rs507964 was observed. In addition, exposure to gemcitabine did not obviously alter the reporter gene activity of the transfected *ENT1* sequence fragments.



**Figure 30: Allele specific induction of 2122bp ENT1-V4/-v5 rs507964 promoter fragments in pXP2 vector.** Promoter fragments were transfected in AsPC-1 pancreatic cancer cell line and allele specific induction was measured under normal conditions and in presence of gemcitabine.



**Figure 31: Allele specific induction of rs507964 EMSA primer in pT81 vector.** EMSA primer were transfected in AsPC-1 pancreatic cancer cell line and allele specific induction was measured under normal conditions and in presence of gemcitabine.

### 4.3 Determination of Gemcitabine Metabolites by HPLC

During my thesis a method for determination and quantification of tritium labeled gemcitabine and its metabolites was established by myself [3.11.1]. This method turned out to generate very robust and reproducible results. Originally this method was established to determine intracellular amounts of gemcitabine and its metabolites in LCLs. Thus the effect of identified specific biomarkers associated with modulation of sensitivity towards gemcitabine should be examined in relation to gemcitabine metabolism. The method was never applied since the approach for extraction of gemcitabine and its metabolites was insufficient and did not show reproducible results. In addition no biomarkers correlated to gemcitabine metabolism could be identified in our institute. For that reason the perfection of the extraction method was not further pursued. Although the method was not employed in my projects, it proved to be a valuable and reliable procedure for investigating gemcitabine metabolism and can be sensibly applied in further examinations.

#### 4.4 Recently Suggested New Biomarker: *WWOX* rs11644322

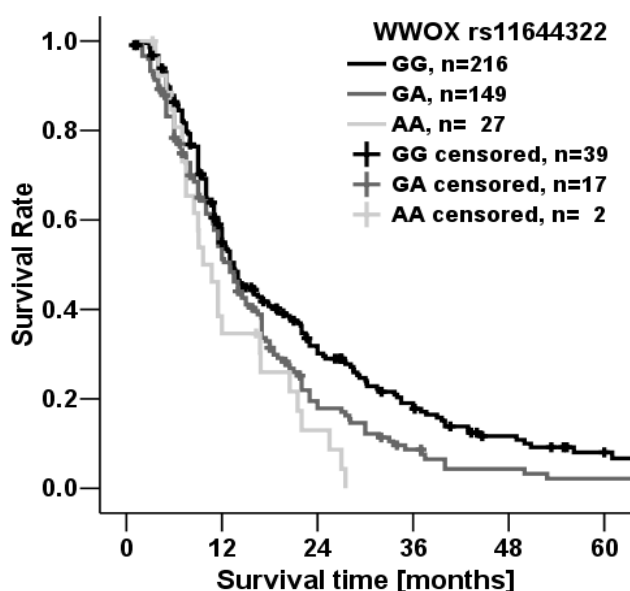
While performing my thesis a paper emerged reporting a genome-wide association study (GWAS) with overall survival of gemcitabine-treated pancreatic cancer (INNOCENTI *et al.* 2012). Five top findings were set up for replication in three patient cohorts. The hit with the strongest association in the cited study could not be confirmed. However, the association for another of the five investigated polymorphisms, rs11644322 pertinent to the *WWOX* gene, was reproduced. Stimulated by this finding, a series of mechanistic investigations were performed all demonstrating accordance with the clinical finding. These data are described in the subsequent chapters and are part of a manuscript entitled “*WWOX* polymorphism in a putative SP1 binding site reproducibly predicts outcome in gemcitabine-treated pancreatic cancer”

##### 4.3.1 Confirmation of GWAS in Clinical Cohort

The variant allele of the SNP *WWOX* rs11644322 was associated with worse overall survival (OS) in gemcitabine-treated pancreatic cancer, however, not having passed statistical significance upon adjustment for multiple genome-wide testing (INNOCENTI *et al.* 2012). In a cohort comprising 397 patients, I could now confirm this finding (Figure 32). Assuming a gene dosage effect elicited statistical significance at  $p = 8 \times 10^{-4}$  (log-rank test) which passed the threshold criterion for multiplicity testing with five markers. According to the three genotypes of *WWOX* rs11644322, the mean OS was 22 (95% confidence interval 19-25) months for GG, 18 (14-21) for GA, and 13 (10-16) for AA, and the median OS was 14 (11-16), 13 (11-15), 10 (7-12) months, respectively.

Administration of other chemotherapeutic agents in addition to gemcitabine did not affect OS ( $p > 0.5$ ). Cox multivariate regression analysis including the non-genetic parameters as described above in the Methods Section elicited study site (due to heterogeneity in patient enrolment, i.e., *a priori* better prognosis for the less advanced stages recruited in Heidelberg), age at therapy start, resection status, *WWOX* rs11644322, histopathologic grading, and M status as predictors for OS. When adjusting for the effects of the non-genetic contributors, a hazard ratio (HR) of 1.39 (95% confidence interval [CI] 1.26-1.53,  $p = 7.1 \times 10^{-4}$ ) for *WWOX* rs11644322 was noticed. Noteworthy, the impact of this genetic polymorphism was prevalent in both prospective and retrospective study conditions. In the Heidelberg cohort which was collected for a prospective clinical trial with a median OS of 23 months *versus* 12 for both the

Göttingen and Hamburg cohort) the effect of rs11644322 was particularly pronounced with a HR of 1.92 (95% CI 1.55-2.38,  $p = 0.002$ ) upon adjustment for the aforementioned non-genetic parameters. In the study sample from Heidelberg, rs11644322 evolved as a stronger predictor for OS than all considered non-genetic variables whereby outreaching established prognostic parameters like resection status, grading or presence of distant metastasis. However, when restricting the analysis to the two retrospective cohorts (Göttingen and Hamburg), the impact of rs11644322 on OS was less pronounced but still detectable with a HR of 1.27 (95% CI 1.14-1.42,  $p = 0.03$ ). The characteristics of patient cohort are described in the methods section (Table 12).

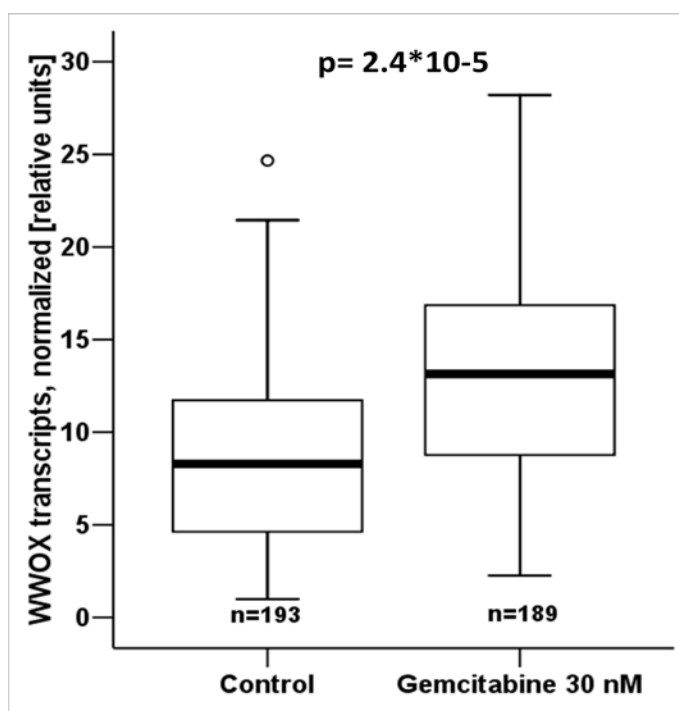


**Figure 32: Survival rate in dependence on WWOX rs11644322 as ascertained in a set of 392 patients by univariate unadjusted log-rank test ( $p = 0.0008$ ).** Patient numbers under investigation are specified in 12-month-intervals. For five of the entire 397 patients genotyping failed.

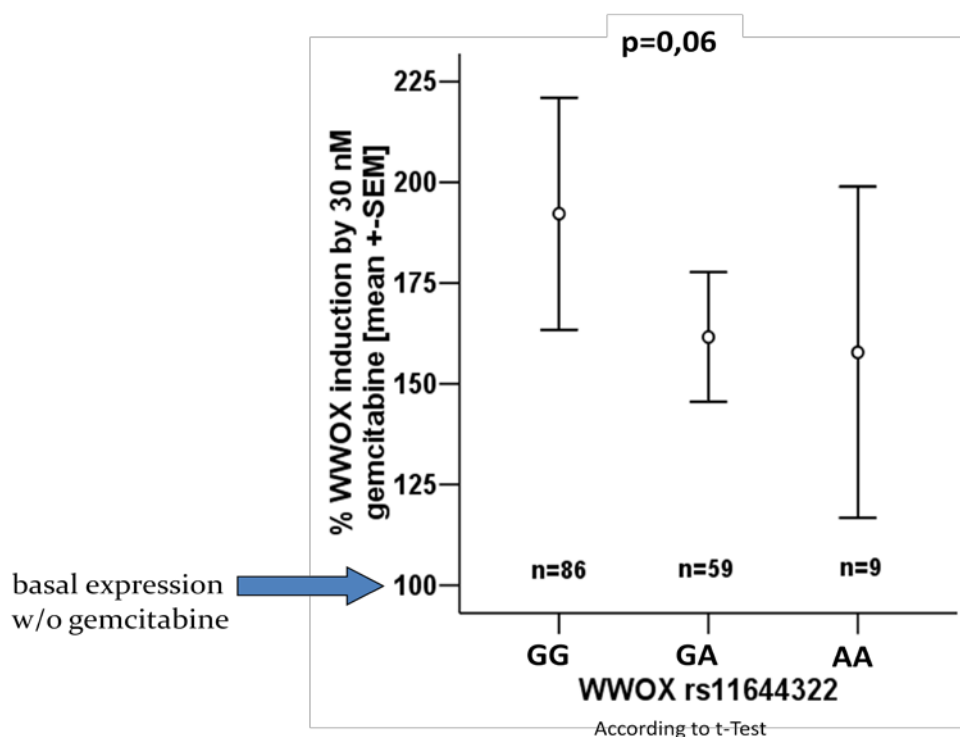
	Patients under investigation					
		0-12	12-24	24-36	36-48	48-60
<b>GG</b>	<b>216</b>	<b>121</b>	<b>56</b>	<b>30</b>	<b>14</b>	<b>7</b>
<b>GA</b>	<b>149</b>	<b>80</b>	<b>24</b>	<b>9</b>	<b>4</b>	<b>2</b>
<b>AA</b>	<b>27</b>	<b>12</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>

### 4.3.2 Modulation of Gene Expression

Though located in intron 8, an effect of rs11644322 on *WWOX* gene transcription was suggested due to looping to promoter region (DESHANE *et al.* 2010). We tested the hypothesis that rs11644322 might be related to transcription of the last exon 9, which is separated from exon 8 by 778856 bp. Ratios of *WWOX* transcripts derived from exon 8/9 in relation to exon 4/6 were not altered by rs11644322 neither at baseline nor upon exposure to gemcitabine leading us to reject the aforementioned hypothesis. However, an induction of entire *WWOX* transcripts could be observed in presence of gemcitabine in LCLs ( $p = 2.4 \cdot 10^{-5}$ ) (Figure 33). Thereby, a slightly increased inducing effect of the rs11644322 wild type allele on *WWOX* expression was elicited ( $p = 0.06$ , Figure 34). Upon adjusting data for the two cohorts of LCLs the impact of this SNP became more distinct ( $p=0.03$ ).



**Figure 33: Inducing effect of gemcitabine on *WWOX* expression in LCLs.** LCLs were pre-incubated with 30.4 nM gemcitabine for 24 hours at 37°C before cells were harvested for later RNA extraction. Expression data were ascertained by qRT-PCR whereby raw *WWOX* data were normalized to a weighted mean of *HPRT1*, *UBC*, and *36b4*. The statistical difference between gemcitabine treatment and control with cell culture medium only was rated by paired Wilcoxon's signed rank test.



**Figure 34: Effect of rs11644322 on gemcitabine-mediated WWOX induction.** The y-axis refers to the data from Figure 33 and is here stratified according to the WWOX rs11644322 genotypes. For statistical evaluation with t-test, the heterozygous and homozygous variant allele statuses were combined.

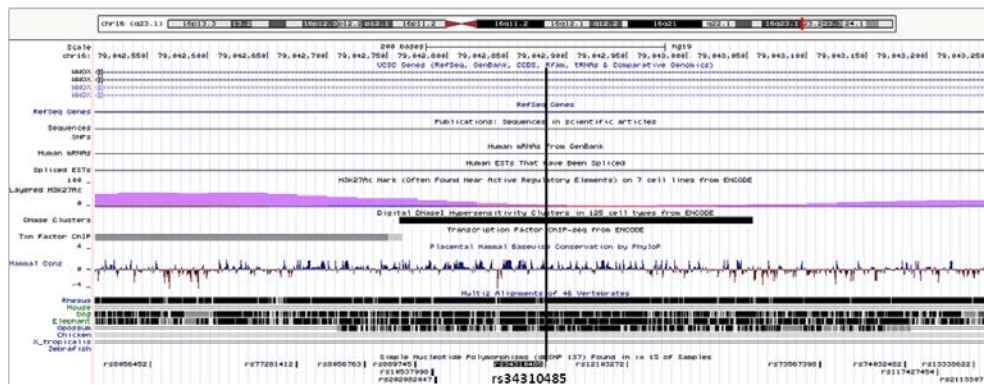
### 4.3.3 Evolutionary and Regulatory Elements

Following the effects of rs11644322 on the clinical outcome of gemcitabine-treated pancreatic cancer the genomic vicinity of rs11644322 was explored for polymorphisms in high LD. Three polymorphisms were discovered by Haploview at  $r^2 > 0.8$ , rs2062903, rs34310485, and rs12598700. These three SNPs together with rs11644322 were subjected to an analysis screening for evolutionary regions using the ECR Browser (<http://ecrbrowser.dcode.org/>). None of these four SNPs showed any evolutionary conservation (data not shown).

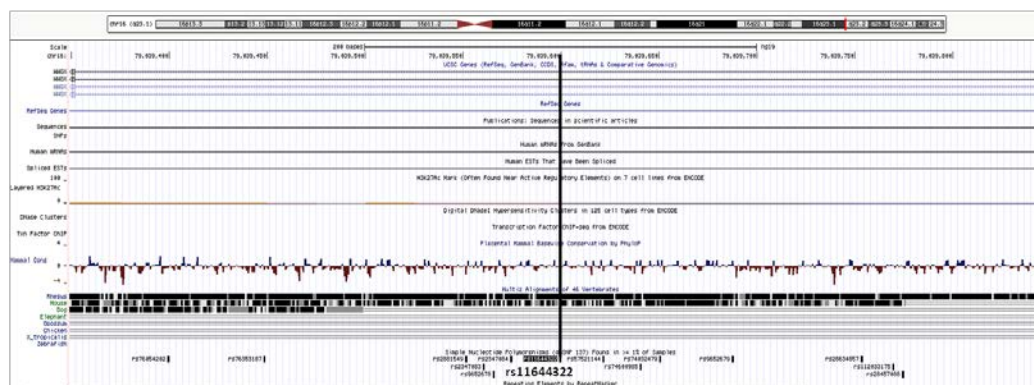
Next, these four SNPs were checked for surrounding regulatory sites employing the UCSC Genome Browser (<http://genome.ucsc.edu/>). A DNase hypersensitive site was detected in the sequence stretch comprising rs34310485. In addition, a transcription



factor binding and a H3K27 acetylation site were elicited each 100 bp upstream of this polymorphism (Figure 35). In contrast, no features indicative for regulatory elements were identified for the clinically associated SNP rs11644322 (Figure 36). Thus, in addition to rs11644322 the SNP in tight LD, rs34310485, was investigated by electrophoretic mobility shift assay (EMSA).



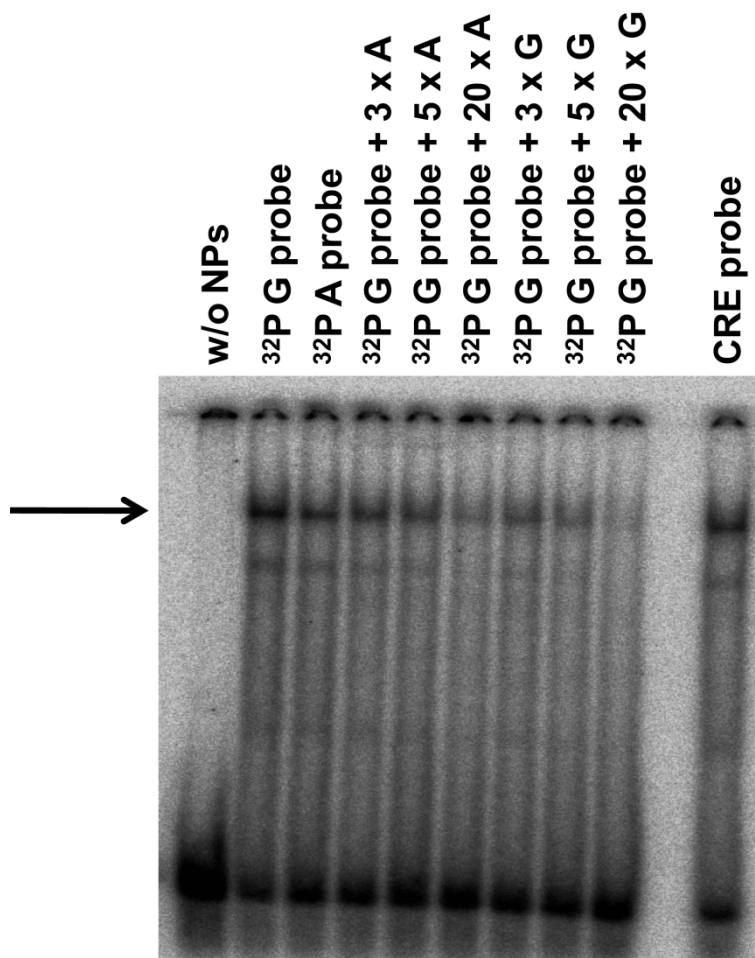
**Figure 35: Potentially regulatory regions surrounding WWOX rs34310485.** The graph shows the features according to the UCSC genome Browser. rs34310485 is highlighted by a black vertical line.



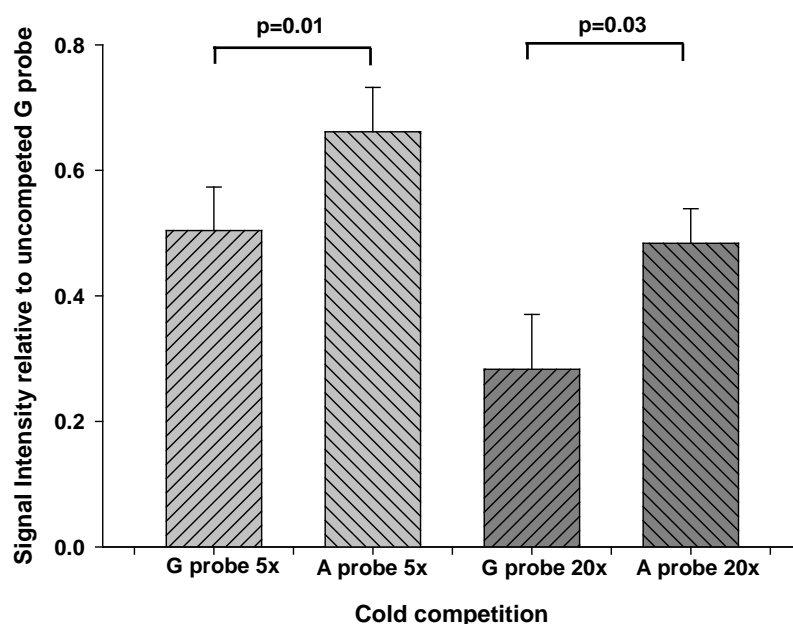
**Figure 36: Potentially regulatory regions surrounding WWOX rs11644322.** The mode of illustration is analogous to Figure 35.

#### 4.3.4 Allele-Specific Protein Binding

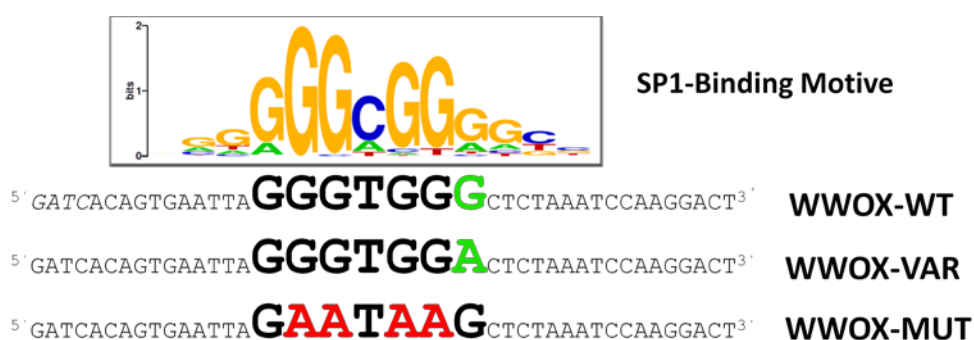
It was asked whether protein binding occurs at the sequence stretch around the clinically associated SNP rs11644322 as well as the bioinformatically suggested rs34310485. Interestingly, not the putatively conserved rs34310485 but rs11644322 indicated transcription factor binding. Moreover, a slight but reproducibly allele-specific binding was noticed: A stronger binding for the G wild-type in comparison to the A variant allele was noticed (Figure 37). Cold competition experiments on the  $^{32}\text{P}$ -labelled G allele containing probe revealed this difference being statistically significant between the G and the A allele both with 5-fold and 20-fold excess of the non-radioactive probe (Figure 38). A bioinformatic analysis was conducted to identify candidate proteins binding to rs11644322 in an allele-specific manner. Double-stranded probes representing the consensus sequences of the top hits elicited by the *in silico* screen were tested for cold competition in EMSA experiments. The probe containing the consensus sequence for the SP1\_Q6 binding pattern (Figure 39) abolished the interaction between the nuclear protein extract and the probe with the G allele at rs11644322 (Figure 40) suggesting that the binding protein might be SP1 (or a protein with high structural homology like other SP-family members). Moreover, when mutating four bases at the most crucial positions of the consensus motif no competition was observed anymore (Figure 40) strengthening the hypothesis that a SP-family member binds allele-specifically at rs11644322.



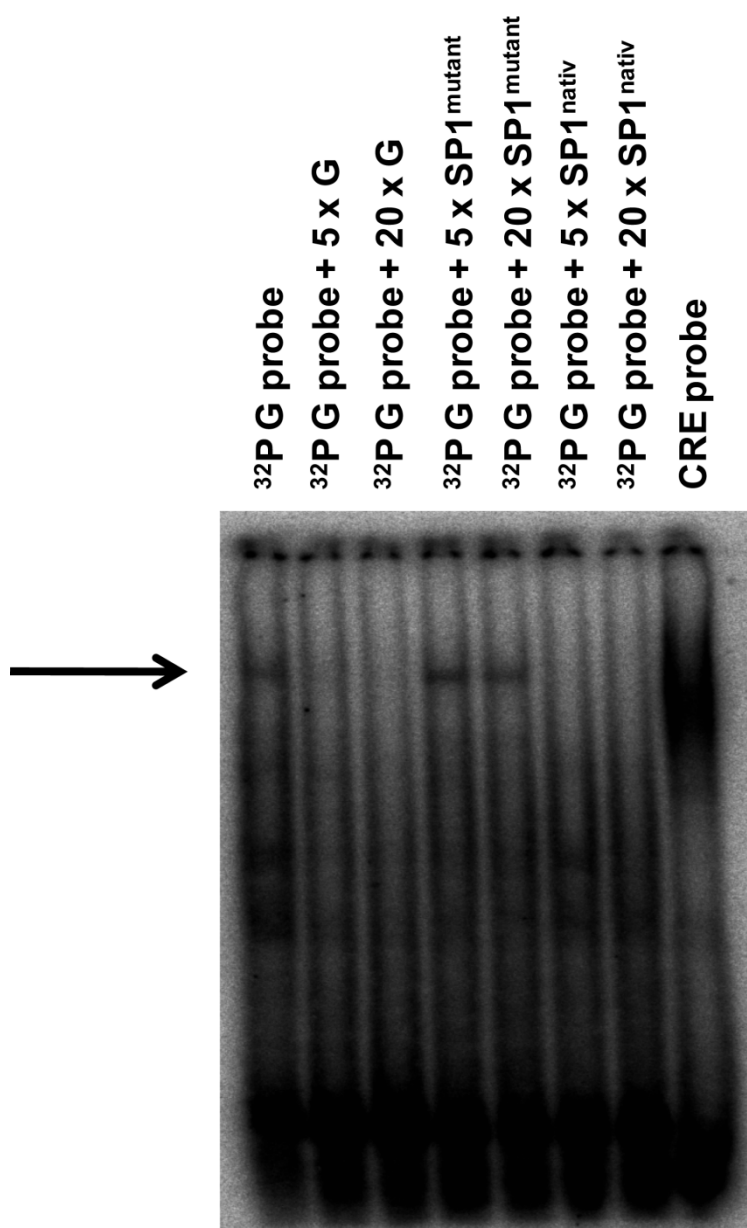
**Figure 37: Representative EMSA plot for assessing transcription factor binding of nuclear protein extracts at WWOX rs11644322.** Lane 1 indicates negative control without nuclear proteins. Lanes 2 and 3 illustrate  $^{32}\text{P}$ -labelled probes containing the wild-type G and the variant A allele, respectively. In lanes 4-9 the interaction between nuclear proteins and the G allele-containing probe was competed with increasing concentrations of non-radioactive probes (indicated as x-fold in comparison to the radio-labeled) for both the G and the A allele to delineate allele-specific interaction affinities. Lane 11 shows positive control for transcription factor binding (CRE probe). The arrow at the left points to the bands of interaction between nuclear proteins and the radio-labeled probes.



**Figure 38: Quantification of allele-specific differential transcription factor binding at *WWOX* rs11644322 as assessed by EMSA in nuclear protein extracts.** The signal intensities of cold competition experiments (5-fold and 20-fold surplus of G and A allele-containing probes) were referred to the  $^{32}\text{P}$ -labelled wild-type G allele probe without cold competition of which the intensity was set at 1.0. Data represent the results from three independent experiments with the standard error of the mean depicted. Statistical significance was tested by paired student's t-test with the respective p-values denoted.



**Figure 39: SP1\_Q6 binding motif in relation to *WWOX* rs11644322.** The mode of depiction is analogous to that described in Figure 28 and Figure 29. Beneath the motif, the sequences of the used probes are displayed. The first row refers to the wild type G-allele, the second to the A-variant allele at rs11644322 (green), and the third to a sequence artificially mutated at four positions (red) particularly crucial for this motif.



**Figure 40: Relevance of SP1 motif for nuclear protein binding.**

Radio-labeled hybridized double-stranded probes with the G wild-type allele at rs11644322 were subjected to nuclear protein extracts from LCLs. Non-competed probe (lane 1) was compared with cold competition by excess of the probe itself (lanes 2 and 3, second sequence row in Figure 39), of the probe mutated at the four positions most pivotal for SP1 binding (lanes 4 and 5, third row in Figure 39), and of the SP1\_Q6 consensus sequence (lanes 6 and 7, first row in Figure 39). Lane 8 represents a positive technical control displaying strong binding of CRE at a respective radio-labeled probe. The arrow indicates the bands of interest.

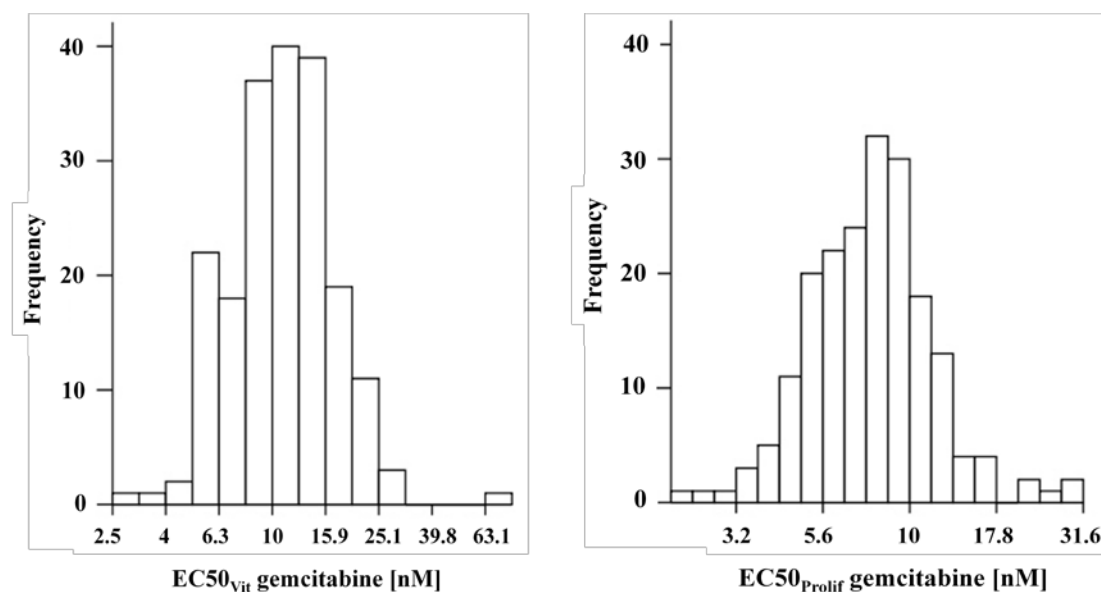
#### 4.4 Genome-Wide Determinants for Gemcitabine Sensitivity

In 196 fully sequenced lymphoblastoid Cell lines (Coriell Cell Repositories) and 95 self-established LCLs [3.6.5], dose-response curves for gemcitabine sensitivity were generated as described in the Methods section [3.10]. Thereof, gemcitabine concentrations reducing cell vitality by 50% ( $EC_{50_{vit}}$ ) and lowering cell proliferation by 50% ( $EC_{50_{Prolif}}$ , i.e. a delay of one cell division compared to the untreated control) were

calculated by MMF and Gompertz model, respectively, as outlined above [3.10]. The variability of these data was depicted and assessed for impact of genetic polymorphisms in a training and a test set fashion.

#### 4.4.1 Variability of Gemcitabine Cytotoxicity

The overall distribution of the  $EC_{50Vit}$  and  $EC_{50Prolif}$  values in the training set comprising 196 LCLs is illustrated in Figure 41. For both parameters, the displayed distribution did not significantly deviate from normal ( $p > 0.2$  according to Kolmogorov-Smirnov test). The parameters representing sensitivity and proliferation effects were highly correlated (Pearson correlation coefficient  $r = 0.89$ ).



**Figure 41: Distribution of gemcitabine sensitivity on cell vitality and proliferation of LCLs.** The  $EC_{50Vit}$  value represents the gemcitabine concentration at which the percentage of vital cells was reduced by 50% (left panel). Likewise, the  $EC_{50Prolif}$  value indicates the concentration of gemcitabine which inhibits cell proliferation by 50% (right panel).

#### **4.4.2 Genome-Wide Determinants of Gemcitabine Sensitivity**

For the training set of LCLs, the two phenotypic parameters for gemcitabine sensitivity were tested for association with genetic variants by a genome-wide screen. This analysis was conducted by Prof Beißbarth (Institute for Medical Statistics, group Bioinformatics, University Medicine Göttingen). Test statistics for each genetic marker was carried out using the non-parametric Jonckheere-Terpstra trend test considering allele dosage effects. For each single association, in addition to the raw p-value multiple testing adjustment was performed per chromosome using FDR (false discovery rate). The most significant 20 top hits over the entire genome according to their FDR were picked for further confirmatory analyses (Table 16). In case of clusters comprising multiple markers in high linkage disequilibrium (LD) a tagging SNP covering the respective cluster was selected. Thereby, for markers with identical FDR the raw p-values were also taken into account.

**Table 16: Genetic polymorphisms representative for loci strongest associating with proliferation inhibition by gemcitabine in the training set.**

Ranking	Gen	CHROM	POS	ID	REF	ALT	P value	fdr
1	CYP1A1	15	75011641	rs4646903	A	G	1.39E+07	0.0777
2	PACRG	6	163265311	rs9458655	G	A	1.57E+09	0.1160
3	KIAA1024	15	79731140	rs190148744	A	G	2.10E+09	0.1674
4	Inter genetic region	5	159019195	rs55748428	A	G	6.27E+08	0.1706
5	Inter genetic region	5	114987179	rs55740412	C	T	1.34E+09	0.1715
6	Inter genetic region	6	98632010	rs9385308	G	T	4.18E+09	0.1770
7	Inter genetic region	13	75037871	rs1931915	T	G	2.21E+09	0.2071
8	Inter genetic region	6	77257094	rs62429896	G	C	5.09E+09	0.2131
9	Inter genetic region	5	100987070	rs113018380	C	T	2.28E+09	0.23888
10	ITPKA	15	41789704	rs2026946	G	A	5.19E+09	0.2415
11	MEGF11	15	66502218	rs12592456	A	G	5.42E+09	0.2455
12	GPR116	6	46824165	rs9395217	T	A	6.24E+09	0.2459
13	LOC100506207	6	8776410	rs4383861	C	T	6.05E+09	0.2459
14	Inter genetic region	6	133452425	rs3904628	A	G	6.12E+09	0.2459
15	Inter genetic region	5	27500724	rs12517916	G	A	5.17E+09	0.2468
16	Inter genetic region	5	33156656	rs62368013	T	C	5.28E+09	0.2468
17	SLC26A2	5	153362970	rs78177740	C	T	7.33E+09	0.2468
18	CHSY1	15	101747869	rs67502721	C	A	8.79E+09	0.3396
19	SEMA7A	15	74713300	rs11857558	T	C	9.48E+09	0.3455
20	FAM155A	13	108065075	rs7321605	G	C	4.88E+09	0.3477

The SNPs were ranked in the first instance according to their false discovery rate (fdr) and in the second instance according to their probability value (P-value) and represent the most significant SNPs elicited. If possible, genes are assigned. The third row indicates the respective chromosome number, followed by the chromosomal position. The column "ID" lists the SNP identification number as annotated in dbSNP. "REF" and "ALT" stand for the two allelic configurations of a SNP.



### 4.4.3 Confirmation Study of GWAS Determinants

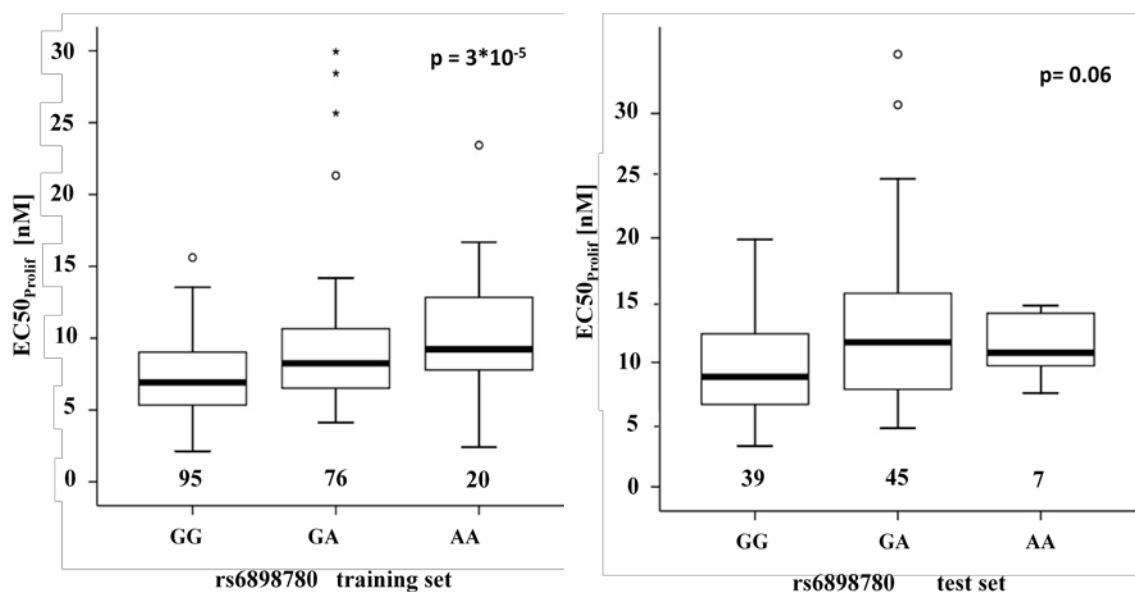
The top associations with gemcitabine sensitivity identified in the training set (Table 16), were set up for confirmation in an independent test set of 95 LCLs. For the latter, genotyping was performed in-house by primer extension method.

Four of the 20 SNPs listed in Table 16 failed for genotyping in the test set. They could not be replaced by another SNP in high LD. For SNP rs190148744 (rank #3 in the training set) highly repetitive sequence stretches made unique primer design for this region impossible. The region of rs62429896 (#8) was difficult to amplify (GC-content 18%). Despite it worked with a single PCR the subsequent primer extension method failed (only homozygous wildtype allele status detected possible due to highly repetitive AT-elements). The SNPs rs4383861 (#13) and rs3904628 (#14) were skipped for reasons of fine mapping of the respective genetic regions.

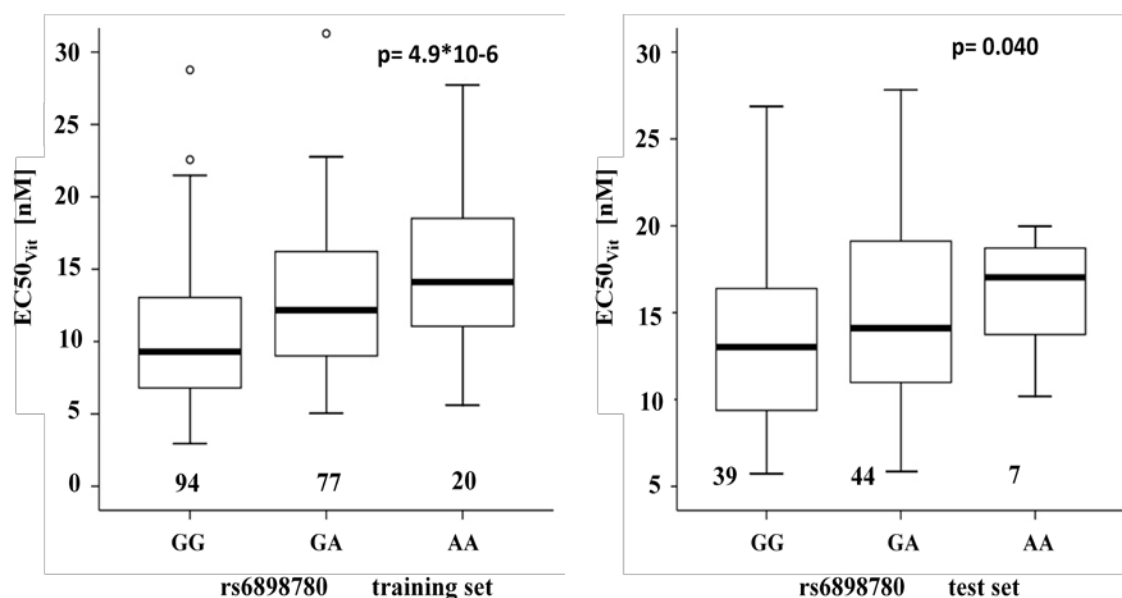
Upon fine mapping, the eight SNPs rs55740412 (#5), rs9385308 (#6), rs9395217 (#12), rs12517916 (#15), rs62368013 (#16), rs7817740 (#17), rs11857558 (#19) and rs7321605 (#19) were each substituted due to high LD by rs2098630, rs9375292, rs1410824, rs6898780, rs62369808, rs74767865, and rs35829783, rs7321622.

In addition, fine mapping of the 20 regions covered by the SNPs denoted Table 16 elicited four SNPs with a minor allele frequency below the threshold of 10% as used for the training set. Since these four SNPs are not sufficiently tagged by the other selected SNPs they were included into the analysis. Finally, 20 SNPs were successfully genotyped in the test set. With respect to multiplicity of testing, these 20 SNPs were tested in a hierarchical order according to the regions as ranked in Table 16. Thereby, the threshold for the SNP representing the region ranked highest (#1) was set to 0.05. The other SNPs were sequentially analyzed according to their rank in the training set whereby the statistical threshold was each time divided by the cumulative number of the SNPs already tested.

Just one of the considered 20 SNPs (rs6898780) revealed an association with gemcitabine sensitivity also in the test set. Figure 42 compares the impact of rs6898780 on the gemcitabine-caused inhibition of LCL proliferation. When applying the same phenotype and statistical test, statistical significance was not reached in the test set ( $p = 0.059$  according to Jonckheere-Terpstra trend test). However, when normalizing the raw  $EC_{50_{\text{Prolif}}}$  values to the basal proliferation rate the Jonckheere-Terpstra trend test revealed a  $p$ -value of 0.01. Nonetheless, statistical significance was failed since the threshold for rs6898780 was 0.004 since this SNP was tested as the 11<sup>th</sup>. Likewise, the fraction of vital cells was impacted by this SNP ( $p = 0.040$ , Figure 43).



**Figure 42: Reproducible impact of rs6898780 on proliferation inhibition by gemcitabine.** The left panel shows the training set comprising 191 LCLs (four cell lines with missing genotype). The right image displays the test set with 95 LCLs (for two EC50 was not determinable, for another two genotyping failed). The respective p-values were  $3 \cdot 10^{-5}$  and 0.06 according to Jonckheere-Terpstra trend test. The distribution of the data by boxplots is as follows: The thick horizontal line represents the median. The horizontal line below displays the 1<sup>st</sup> (Q1) and that above the 3<sup>rd</sup> quartil (Q3), i.e. 50% of the values are enclosed by these two lines. The distance between Q1 and Q3 is termed as “interquartil distance (IQD)”. Values not more than 1.5-fold the IQD below Q1 or above Q3 are covered by the whiskers, i.e. the vertical line bounded by the short horizontal. Values between 1.5 and 3.0-fold the IQD with respect to either Q1 or Q3 are displayed as circles (“outliers”), and those more than 3.0-fold the IQD apart Q1 or Q3 are illustrated as asterisks (“extreme values”).



**Figure 43: Reproducible impact of rs6898780 on reduction of vitality by gemcitabine.** The left panel shows the training set comprising 191 LCLs (four cell lines with missing genotype). The right image displays the test set with 95 LCLs (for two EC50 was not determinable, for another two genotyping failed). The respective p-values were  $4.9 \cdot 10^{-6}$  and 0.040 according to Jonckheere-Terpstra trend test. The detailed explanation of the box plots is depicted in Figure 42.

## 5 Discussion

The major focus of this thesis was to decipher molecular effects of polymorphisms in the *ENT1* genetic region recently linked to the outcome of patients treated with gemcitabine for pancreatic cancer. The ENT1-mediated transport of nucleoside analogues can be the rate-limiting step in sensitivity towards gemcitabine (ACHIWA *et al.* 2004). The absence of ENT1 mRNA results in resistance towards gemcitabine (NAKANO *et al.* 2007; SPRATLIN *et al.* 2004). Despite the relevance of ENT1 for the transport of gemcitabine under therapeutic conditions the transcriptional regulation is barely understood. In my PhD thesis, I investigated the molecular effects of the *ENT1* promoter SNP rs507964 and the *ENT1* Ile216Thr amino acid exchange SNP (rs45573936) in relation to gemcitabine sensitivity in cell culture experiments. In addition, when doing my thesis a published genome-wide screen suggested further loci for outcome of gemcitabine-based chemotherapy in pancreatic cancer (INNOCENTI *et al.* 2012). Since one of the top hits of this publication could be reproduced in available clinical cohorts functional mechanisms of this SNP were also investigated with the same techniques as established for the *ENT1* promoter SNP. The last part refers to our own genome-wide *in vitro* screen to determine new loci for gemcitabine sensitivity.

### 5.1 SNP in *ENT1* Promoter Region

Two recent medical theses conducted in our department revealed a reproducible impact of the SNP rs1057985 located in the promoter region of the *ENT1* gene. The variant allele was linked to a prolonged overall survival of pancreatic cancer patients treated with gemcitabine (SCHAUDINN 2013), (Christian Zimmer, medical thesis in preparation). Thus motivated, I set up for detailed functional analyses to clarify the molecular mechanisms driving the observed clinical association. Due to its location an impact on the differential expression of *ENT1* transcript variants was hypothesized. Since the variant allele displays a significant prolonged overall survival, it was hypothesized that the polymorphic locus somehow affects the transcript quantities or stabilities of *ENT1*. The SNP rs1057985 might lead to enlarged amount of ENT1-transporters in cell membranes, which results in higher uptake ability of gemcitabine and in increased sensitivity in cells.

### 5.1.1 Expression of Different *ENT1* Transcript Variants

The analysis of *ENT1* transcript variant expression in different tissues and cell types revealed *ENT1*-v5, -v4, and -v3 as the most abundant variants. The transcription start site of *ENT1*-v4 and *ENT1*-v5 is close to the promoter polymorphism associated in the previous clinical-epidemiological study. Beyond these three variants, a substantial expression was also confirmed for *ENT1*-v2 (nomenclature according to NCBI gene bank entry, release GRCh37.p10). Other suggested variants, *ENT1*-v1 and those of ENSEMBL database (<http://www.ensembl.org/index.html>) or as reported in literature (FUKUCHI *et al.* 2010) not covered by *ENT1*-v2, -v3, v4, or -v5 were barely expressed. Obviously, expression of *ENT1* seems to be almost completely represented by four transcript variants. It remains to be clarified to which extent these four mRNA variants are translated into protein. Since ENT1 protein levels vary considerably between individuals ((ABDULLA and COE 2007; FARRE *et al.* 2004; MACKEY *et al.* 2002), it might be interesting to determine the contribution of each mRNA variant. Another issue to be resolved refers to the question about the existence of different transcript variants all coding for an identical protein. It is conceivable that mRNA stability and thus the extent of translation into protein are different between these variants. A major hypothesis is that these transcript variants reflect different regulation events possibly responsible for the high variability of *ENT1* mRNA levels among human tissues (PENNYCOOKE *et al.* 2001).

### 5.1.2 Transcript Variant Expression affected Gemcitabine

*ENT1* transcripts, in particular the extended *ENT1*-v5 and -v4, were induced in all investigated pancreatic cancer cell lines except for PancI (Figure 19). In LCLs, an induction of *ENT1*-v5 was also observed. These findings suggest a selective regulation of *ENT1* variant expression by gemcitabine.

When normalizing the raw expression data to that of the reference genes it was noticed that the latter were massively down-regulated upon gemcitabine. That was particular true for those cell lines in which the normalized *ENT1* transcripts showed the highest induction. That raised the question about an unspecific effect. However, that is unlikely for two reasons. First, the less-extended transcript variants *ENT1*-v2 and *ENT1*-v3 were not induced to the same extent. Second, we checked the expression of *RRM1*, the induction of which was linked to gemcitabine resistance (BERGMAN *et al.* 2005). In contrast to *ENT1*-v4 and -v5, *RRM1* raw data expression indicated down-regulation by gemcitabine. Two

housekeeping genes reflecting different cellular functions were chosen to minimize systematic bias of gemcitabine effects on normalization.

### **5.1.3 Gemcitabine Transcript Induction Modulated by Promoter SNP**

The SNP rs1057985 was assessed for modulation of gemcitabine-driven *ENT1* induction. This hypothesis was tested in 101 LCLs for *ENT1*-v5 since rs1057985 was supposed to be located in a promoter regulatory element for *ENT1*-v5. The latter was preferred to *ENT1*-v4 which has the same transcription start site but is lower expressed (Figure 17) and weaker induced by gemcitabine in LCLs than *ENT1*-v5 (Figure 18). The induction of *ENT1*-v5 by gemcitabine was about 2-fold and 1.5-fold when LCLs were homozygous for the variant and the wild type allele of rs1057985, respectively (Table 15). These findings raised the question whether this SNP is involved in a regulatory element, e.g. a transcription factor binding site. Since the so-far results concerning rs10579865 represent associations without proof of functionality it is also conceivable that another SNP genetically coupled is the causative one.

### **5.1.4 Genetic Linkage Disequilibrium Analysis for rs1057985**

Screening for SNPs in high linkage disequilibrium (LD) with the polymorphism rs1057985 revealed rs507964 highly correlated ( $r^2 = 1.0$ ). Rs507964 is closer to the *ENT1*-v5 and -v4 transcription start site by 489 bp and is located in a region with potentially regulatory elements (according to UCSC-Browser). For none of these two variants any functionality has been reported in literature yet. To elucidate the regulatory impact of rs1057985 and rs507964 on the expression of *ENT1*-v5 and -v4 protein binding was investigated by EMSA.

### **5.1.5 EMSA: Allele-Specific Binding**

EMSA experiments elicited a protein binding on a probe with the wild type but not with the variant allele of rs507964. The region of the SNP rs1057985 did not exhibit any protein binding. These findings supported the hypothesis of an expression-regulating effect of this polymorphism. Cold competition experiments suggested a member of the SP1 family to be the binding protein. However, the final proof would be an EMSA with a super shift by an antibody specific for the supposed binding protein. I tested two different antibodies against

SP1 which both failed to cause a super shift. Then, I checked super shift with a probe containing the consensus sequence for SP1. Since that also failed it was assumed that the antibodies do not work properly under the specified experimental conditions. A possibility to control that might be the use of standard nuclear protein extracts. Regarding literature, SP1 binding sites in the promoter region of *ENT1* have been described long time before *ENT1* transcript variants with far upstream-coded 5'-UTRs were discovered (CHOI *et al.* 2000). How could the discrepancy between the variant allele associated with better clinical outcome and exhibiting no binding of putative SP1 be explained assuming that higher *ENT1* expression is beneficial for gemcitabine-based chemotherapy in pancreatic cancer as several times reported (FARRELL *et al.* 2009; GIOVANNETTI *et al.* 2006; MARECHAL *et al.* 2009; SPRATLIN *et al.* 2004)? Interestingly, overexpression of SP1 or high SP1 protein abundance as provoked by high glucose was linked to down-regulation of *ENT1* expression (PUEBLA *et al.* 2008). If so, the observed allele-specific binding of SP1 only in presence of the wild type allele of rs507964 may suggest lower *ENT1* levels accompanied by worse clinical response. In this context, it is very unlikely that the binding protein has an expression promoting function, but rather an inhibitory role.

#### **5.1.6 Reporter Gene Analysis: SNP Region with High Promoter Activity**

Luciferase reporter gene assays did not show any impact of the SNP rs507964 in the pancreatic cancer cell line AsPCI. Even under gemcitabine treatment the two alleles did not cause any differences in expression. Thus, the suggested inhibitory effect of the wild type allele at rs507964 could not be verified under the chosen experimental settings. However, a simultaneous overexpression of SP1 in a reporter gene assay might elucidate an expression-regulating effect of rs507964. Another possibility might be that the effects of rs507964 are masked by other regulatory elements not investigated in this study. The reporter gene assay in AsPCI demonstrated a high promoter activity for the suggested promoter region. Thus, the described promoter in the publication of Fukuchi (FUKUCHI *et al.* 2010) could be confirmed in a pancreatic cancer cell line.

## 5.2 Ile216Thr

With respect to the amino acid exchange Ile216Thr in *ENT1* (rs45573936), the rare threonine allele (about 2% in Caucasians) displayed a dramatically reduced overall survival in one clinical cohort (SCHAUDINN 2013). However, this association could not be reproduced in a second cohort (Christian Zimmer, medical thesis in preparation). Nonetheless, the latter negative result does not exclude a medically relevant functionality of this SNP, in particular when considering the low allelic frequency of Thr216 which renders a particular susceptibility to confounding factors in limited sample sizes. Another motivation for further functional investigation was a finding in leucocytes of healthy volunteers. Gemcitabine and cytarabine (another nucleoside analogue) cytotoxicity was strikingly reduced in presence of Thr216 (KUSCHEL 2012). The substitution of isoleucine by threonine constitutes a heavy alteration replacing an aliphatic residue by a side chain which is a potential target for post-translational modification. The hypothesis was that the amino acid exchange Ile216Thr reduces the transport ability of ENT1 towards gemcitabine. This could result in lower intracellular levels of gemcitabine along with increased resistance towards this drug.

### 5.2.1 ENT1 as the Major Gemcitabine Uptake Route in HEK Cells

In transport experiments it could be demonstrated that  $^3\text{H}$ -gemcitabine uptake is dramatically reduced by NBTI (50  $\mu\text{M}$ ) in stably transfected HEK293 cells (Figure 11). NBTI seems to lower both velocity and maximal capacity of  $^3\text{H}$ -gemcitabine transport. These data indicate that *ENT1* is the major uptake route for gemcitabine also in HEK cells, which was already stated for other cell types in literature (FARRELL *et al* 2009) (SPRATLIN *et al.* 2004) (SANTINI *et al* 2008). The extent by which NBTI reduced gemcitabine import demonstrates that other transporters have an inferior role in gemcitabine uptake.

### 5.2.2 Gemcitabine Import Not Affected

Repeated measurements for  $^3\text{H}$ -gemcitabine uptake did not reveal a dependency on Ile216Thr as addressed in allele-specific stably transfected HEK293 cells. One challenge was to control for the endogenous level of ENT1 transporter in HEK293 cells, which contributes to the  $^3\text{H}$ -gemcitabine uptake and causing thereby background noise. Another difficulty was the humongous velocity of uptake mediated by overexpressed ENT1

transporter. Within 10 s high amounts of substrate were transported, which impedes the ability to detect small differences due to experimental handling. Therefore, an artificial delay of transport was provoked by application of low NBTI concentrations (0.1  $\mu\text{M}$ ). However, again no differences in uptake activity between the two allelic constructs were noted. Although *ENT1* expression between the two allelic constructs was controlled on the mRNA level differences in ENT1 protein expression could not be excluded and may have masked allelic effects on gemcitabine uptake kinetics.

These findings are in agreement with former literature data. Indirect gemcitabine import kinetics as measured by inhibition of  $^3\text{H}$ -inosine uptake was not affected by Ile216Thr (OSATO *et al* 2003). However, the conclusions of that study with respect to gemcitabine transport in humans are limited for several reasons: First, the experiments including cytotoxicity assays were performed in yeast cells. Second, only gemcitabine uptake was addressed and the equilibrative actions of the ENT1 were not considered. Third and most important, no direct interactions between gemcitabine and the two alleles of this polymorphism were analyzed (gemcitabine was used only as transport inhibitor and not as substrate). This is of particular importance as the binding pockets for substrates and inhibitors may differ.

In a second study, a significant difference in transport was observed for Ile216Thr, when cells were pre-incubated with ethanol for 24 hours (KIM *et al* 2011). It was suggested that Thr216 has an increased uptake activity for adenosine and inosine under these conditions. Additionally, it is claimed that Thr216 results in a decrease of NBTI (specific inhibitor of ENT1) affinity. One reason for altered uptake activity and NBTI affinity is considered to be an increased hydrophilicity introduced by Thr216 in the transmembrane domain 6 which is accompanied with altered domain conformation.

In patients peak plasma concentrations upon infusion reach values of about 40  $\mu\text{M}$  lasting for about 15 minutes. Then, the concentrations decrease due to enzymatic degradation. The gemcitabine concentration used in transport experiments (i.e. 10  $\mu\text{M}$ ) were chosen according to the peak values in patients' sera adjusted to that estimated to reach the target cells shortly after infusion stop. Using this concentration, gemcitabine appeared intracellularly equilibrated within 5 min in HEK cells (Figure 11). Thus, with respect to the equilibrated intracellular gemcitabine amounts due to import kinetics any potential difference between Ile and Thr216 might probably be compensated by the longer drug exposure time in the clinical setting. Since the equilibrative ENT1 acts in both directions it



is also conceivable that efflux rather than influx kinetics are affected by Ile216Thr, possibly by altered substrate affinities, what was not featured in this thesis. Regarding the clinical situation, upon end of infusion the gemcitabine serum concentrations decrease step-by-step going along with a discretely, but permanently positive gemcitabine gradient from intra- to extra-cellular. Under these conditions, a relevant effect of Thr216 is not unlikely. The hypothesis is that Thr216 facilitates outward-directed transport more efficiently than Ile216 thereby reducing intracellular exposure time toward gemcitabine. This idea would be in agreement with the worse survival observed for patients with Thr216 (SCHAUDINN 2013). The relationship between Ile216Thr and export kinetics has to be investigated in further experiments.

### **5.3 Fields of Application for Gemcitabine Metabolites Determination by HPLC**

The self established HPLC method is suitable for precise separation, determination and quantification of gemcitabine and its metabolites (Figure 8). Possible fields of applications are investigations of molecular markers associated with impact on the transformation of gemcitabine metabolites. It might also be an option to compare intracellular amounts of gemcitabine metabolites in resistant and sensitive cell lines to identify possible sensitivity mechanisms by tracing back metabolic phenotypes to their genetic make-up. In order to apply this method the metabolites have to be extracted before. To achieve accurate results, the extracts have to be of a constant quality to identify small differences in metabolite variations.

### **5.4 Confirmation of a GWAS Finding: *WWOX* rs11644322**

The positive confirmation of a GWAS finding (INNOCENTI *et al.* 2012) renders a potentially clinical implication. In other words, the referenced GWAS result becomes promising not before independently confirmed as now done. In general, GWAS require huge sample sizes so that an association can be regarded as statistically significant upon multiple testing correction. That was not the case for the *WWOX* rs11644322 polymorphism in the referenced study with about only 300 patients. But even if these

statistical requirements are more or less met that does typically not guarantee reproducibility. A nice example for that is the association of the polymorphism *IL17F* rs763780 which was the reported study's top hit and claimed as significant due to statistical considerations (INNOCENTI *et al.* 2012). However, in the cohorts available for my thesis we could not detect any relationship of rs763780 with outcome of pancreatic cancer. One reason for failure of reproducibility in this case might be due to sample stratifications possibly causing spurious associations. Indeed, the authors admitted loss of significance level if they correct for treatment arm and ethnic ancestry (INNOCENTI *et al.* 2012). The inherent problems with GWAS highlight the value of independent replication by other GWAS or by analyses restricted to the top hits of the initial GWAS.

The clinical confirmation of *WWOX* rs11644322 in our available cohorts appeared particularly convincing as the effect of this polymorphism was not only evident in the relatively homogenous subgroup from Heidelberg (part of well-controlled clinical trial), but also, albeit to a weaker extent, in the combined retrospective set from Göttingen and Hamburg. Until now, there are no functional investigations for this SNP in literature. Due to its intronic location and the known function of *WWOX* as a tumor suppressor gene it was hypothesized that the variant allele of rs11644322 may reduce *WWOX* expression and thereby reducing its tumor-suppressive effects resulting in worse clinical outcome (INNOCENTI *et al.* 2012). I have now functionally addressed these ideas.

The first assumption that rs11644322 due to its localization in the last, humongous intron with > 1 million bp might result in expression loss of the last exon did not prove. However, EMSA experiments indicated weakened binding of putatively SP1 in presence of the variant allele at rs11644322 suggesting expression-related effects for this polymorphism. Interestingly, the induction of *WWOX* as observed in most LCLs was mitigated by the variant allele of rs11644322, albeit having scarcely failed statistical significance. One should consider that in the experimental setting the LCLs were exposed to gemcitabine only for 24 h until RNA was harvested. In the more protracted clinical situation, it seems conceivable that the effect of rs11644322 becomes more prominent. The latter issue could be addressed by a clinical study. However, when analyzing cytotoxic effects of gemcitabine in LCLs, no consistent impact of rs11644322 was seen. Nevertheless, the reproducible clinical association in conjunction with the new suggested relation to gemcitabine (at least on the transcriptional level) renders this polymorphism a promising candidate. That appears as much more plausible as this SNP is pertinent to a gene with

important anti-apoptotic functions in oncological issues including pancreatic cancer (AQEILAN *et al.* 2007; BEDNAREK *et al.* 2001; KUROKI *et al.* 2004; NAKAYAMA *et al.* 2008; PAIGE *et al.* 2001)

## **5.5 Genome-Wide Determinants of Gemcitabine Sensitivity**

### **5.5.1 RS6898780 as a New Putative Marker**

In LCLs a reproducible impact of a SNP, i.e. rs6898780, on cellular gemcitabine sensitivity was observed. The variant allele of rs6898780 conferred resistance toward gemcitabine both in terms of proliferation inhibition and reduction of the vital cell fraction. However, it has to be admitted that the level for statistical significance in the test set failed scarcely when adjusted for multiple testing. This formally necessitates another replication study. Nonetheless, at present this polymorphism is regarded as a promising candidate for gemcitabine sensitivity. This constitutes a new finding as there are so far no literature reports for this issue. Rs6898780 is located on chromosome 5 apart from a known gene or expressed transcript. When analyzing the surrounding sequence context a humongously extended genetic linkage disequilibrium (LD) comprising this SNP was detected. Precisely, rs6898780 is in high LD at  $r^2 > 0.5$  with 144 genetic markers spanning about 110 kbp upstream and 100 kbp downstream of the index SNP. Interestingly, the region of the upstream LD comprises a locus encoding the validated long intergenic non-protein coding RNA 1021 (according to NCBI gene bank). Moreover, the 5'-ends of this RNA and that of the high LD block are identical suggesting potential selection events occurred in this region. No functional relevance of this RNA has been established yet. In view of this large number of genetic markers in high LD it is much conceivable that the causative variant with respect to gemcitabine sensitivity might not be rs6898780, but rather any of the other variants.

### **5.5.2 Considerations about the Phenotypic Robustness**

In literature (LI *et al.* 2008), the effects on cellular vitality and on proliferation are common parameters for sensitivity measurements towards nucleoside analogues. The major challenge to obtain reasonable results is to control for the intra-cell biological variability,

e.g. changes in the basal proliferation rate very probably affect sensitivity toward an antimetabolite like gemcitabine. Besides that, technical issues like the accuracy of the measurements have to be considered.

To control for the biological variability, a subset of 16 LCLs was subjected to the entire experimental procedure twice. That revealed substantial inter-cell reproducibility (Pearson correlation coefficient  $r = 0.8$ ), a prerequisite for the purpose to test for associations with the invariant genotypes.

In our study, the accuracy of measurements by flow cytometry turned out to be very accurate as confirmed by comparing the vitality and proliferation data in samples prepared in double. However, when evaluating the fraction of living cells it was not possible in all cases to distinguish precisely between dead cells and cell debris. As the fraction of living cells was referred to the total number of counted events, it was affected by varying amounts of cell debris. Thus, in the control samples without gemcitabine a broad range from about 30% to 80% of living cells was observed. It was estimated that initial deviations in the fraction of vital cells might affect the gemcitabine dose response curves of LCLs. However, no correlation with the EC50 value for cytotoxicity was seen in the final analysis.

The determination of the proliferation rate revealed consistently identical data between the samples prepared in double for each cell line, which indicates a very precise measurement method. The observation was that in some cases the initial staining of CFSE was varying between cell lines. It has to be considered that different initial CFSE loads might affect cellular functions as cell cycle process according to the supplier. Since substantial inter-day reproducibility for a subset of LCLs was observed, the inaccuracy was considered to be tolerable.

## **5.6 General Considerations about GWAS**

In principle, GWAS is an extremely powerful approach to identify genetic markers in the entire genome. It is especially attractive since no priori knowledge is needed, which makes the analysis much more open-minded and allows the identification of genes so far unrelated to the considered phenotype (HIRSCHHORN and DALY 2005).

However, genome-wide association studies face some limitations. The most important issue in GWAS are problems adherent to multiple testing. In addition, this approach is

constraint by the fact that mostly haplotypes related to a phenotype are identified and low frequent markers often stay undetected. In addition, all correlated SNPs in a respective haplotype have to be further evaluated since they all come into consideration for functionality. Noteworthy, the GWAS results just suggest associations with certain phenotypes, but do not reveal a direct functional link. All these limitations for clinical GWAS also hold true for functional GWAS, albeit herein the phenotypes could, in most cases, be determined more precisely.

In the recent years, whole genome sequencing has steadily become cheaper, which enlarges the data for GWAS. In the future, it is estimated that this method might be affordable for routine clinical applications. In my thesis, I had access to LCLs which are part of a large resequencing project. Thus, the used genotype data represent almost completely the genetic variability in the considered ethnicity except for rare variants for which the sequencing coverage is not yet sufficient. Consequently, the typical detection failure of SNPs which are not tagged by a haplotype in array-based GWAS is no more an issue for complete resequencing data. However, the higher marker density for the latter parallels with an increase in multiple testing.

## 6 Conclusion

In my thesis, I investigated the molecular mechanisms of two SNPs in the equilibrative nucleoside transporter (*ENT1*), which were recently identified in our institute to affect overall survival of patients treated with a gemcitabine-based therapy for pancreatic cancer. The first SNP (rs1057985) is located in a promoter region of *ENT1* and is hypothesized to modulate *ENT1* transcription. Gemcitabine-induced *ENT1* transcription was more pronounced in presence of the variant compared to the wild type allele of rs1057985. Electrophoretic mobility shift assay (EMSA) demonstrated protein binding only for the wild type allele of rs507964, the only polymorphism in perfect linkage disequilibrium with rs1057985 in Caucasians. EMSA experiments further suggested a member of the Sp-family to be the interacting protein. The mechanistic idea is that the Sp-family protein binds only in presence of the wild type allele thereby decreasing *ENT1* transcription resulting in reduced cellular uptake of gemcitabine accompanied by worse therapeutic efficacy. Identification of the actual SP-family protein and its detailed functional cross-talk with the rs507964 should further clarify this issue.

The second *ENT1* SNP rs45573936 derived from the association study represents an amino acid exchange (Ile216Thr). The suggested poor outcome for Thr216 was supposed to reduce gemcitabine uptake. Transport experiments with radio-labeled gemcitabine did not show uptake disparities between Ile216 and Thr216. Alternatively, this polymorphism might affect the efflux since *ENT1* acts bidirectional what has to be further investigated.

In addition, picking up currently published GWAS data in relation to the outcome of gemcitabine-treated patients with pancreatic cancer the relevance of the polymorphism *WWOX* rs11644322 was confirmed. Expression induction of the tumor suppressor gene *WWOX* by gemcitabine was mitigated by the variant allele of rs11644322 which was associated with worse prognosis. In EMSA, this allele exhibited attenuated protein binding, putatively again belonging to the SP-family. If the hypothesized effects of this polymorphism on mRNA transcription translates into protein remains to be elucidated. An own functional GWAS for gemcitabine sensitivity revealed reproducibly enhanced resistance toward gemcitabine in presence of the variant allele of rs6898780. As this polymorphism and its genetic environment have not been functionally explored further studies may elicit new promising insights in the context of gemcitabine sensitivity and possibly pancreatic cancer.

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### **SCHOOL EDUCATION**

**1988 – 1992** Primary school, Kassel (Herkules Grundschule)  
**1991 – 1998** Academic high school, Kassel (Wilhelmsgymnasium)  
**1998 – 1999** Academic high school, Kassel  
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**1999 – 2000** Magnolia High School, Houston, Texas, USA  
**2000 – 2002** Academic high school, Kassel  
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### **PROFESSIONAL EDUCATION**

**2002 – 2003** Military service (Fritz Erler Kaserne, Fuldata, Kassel)  
**2003** Work experience in hospital, Department of Cardiology  
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**2004 – 2009** Studies in biology, George August University, Göttingen  
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**2010 – 2013** Scholarship from DFG Research Training Group (GRK1034),  
PhD student in the Molecular Medicine Study, Department of  
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- Certificate in laboratory animal science  
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- Qualification certificate in radiation protection  
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- Radiation protection commissioner in the Department of Clinical Pharmacology, George August University, Göttingen

### **LIST OF PUBLICATIONS**

- FLOREZ, L. A., **S. F. ROPPEL**, A. G. SCHMEISKY, C. R. LAMMERS and J. STULKE, 2009 A community-curated consensual annotation that is continuously updated: the Bacillus subtilis centred wiki SubtiWiki. Database (Oxford) **2009**: bap012.
- LAMMERS, C. R., L. A. FLOREZ, A. G. SCHMEISKY, **S. F. ROPPEL**, U. MADER *et al.*, 2010 Connecting parts with processes: SubtiWiki and SubtiPathways integrate gene and pathway annotation for Bacillus subtilis. Microbiology **156**: 849-859

## Appendix

**Table 17: Transcription factor binding prediction on *hENT* Promotr-SNP rs507964**

1. V\$P300_01	2. V\$MZF1_02	3. V\$CDP_01	4. V\$AP2_Q6
5. V\$SP1_Q6	6. V\$EGR1_01	7. V\$NGFIC_01	8. V\$EGR3_01
9. V\$EGR2_01	10. V\$GC_01	11. V\$MSX1_01	12. V\$HOXA3_01
13. V\$ZIC2_01	14. V\$AP2REP_01	15. V\$HOXA4_Q2	16. V\$MAZ_Q6
17. V\$ETF_Q6	18. V\$ZF5_01	19. V\$EGR_Q6	20. V\$SP1_Q6_01
21. V\$SP1_Q4_01	22. V\$CHCH_01	23. V\$LRF_Q2	24. V\$RBPJK_01
25. V\$KID3_01	26. V\$ELK1_03	27. V\$ELK1_04	28. V\$CKROX_Q2
29. V\$SREBP2_Q6	30. V\$MAFB_01	31. V\$LHX5_01	32. V\$NKX25_03
33. V\$NKX23_01	34. V\$GLI3_Q5_01	35. V\$KLF15_Q2	36. V\$ING4_01
37. V\$AML2_01	38. V\$ZBP89_Q4	39. V\$GKLF_Q4	40. V\$FKLF_Q5
41. V\$EGR1_Q6	42. V\$EGR1_02	43. V\$WT1_Q6_01	44. V\$EGR2_Q6
45. V\$NFE4_Q5	46. V\$ZFP740_03	47. V\$ZIC1_04	48. V\$EGR1_04
49. V\$GCM1_04	50. V\$SMAD4_04	51. V\$SP4_04	52. V\$TCFAP2C_04

**Table 18: Lymphoblastoid cell lines from Coriell Cell Repositories (<http://ccr.coriell.org>)**

GM07000	GM11831	GM12892	GM12347	HG00096	HG00130	HG00236
GM11839	GM11832	GM06984	GM12348	HG00097	HG00131	HG00237
GM11840	GM11881	GM06986	GM12383	HG00099	HG00133	HG00238
GM11993	GM11882	GM06989	GM12399	HG00100	HG00134	HG00239
GM12003	GM11992	GM07037	GM12400	HG00101	HG00135	HG00240
GM12005	GM11994	GM07051	GM12413	HG00102	HG00136	HG00242
GM12056	GM11995	GM07346	GM12414	HG00103	HG00137	HG00243
GM12154	GM12004	GM07347	GM12489	HG00104	HG00138	HG00244
GM12716	GM12006	GM11829	GM12546	HG00106	HG00139	HG00245
GM12750	GM12043	GM11843	GM12716	HG00108	HG00140	HG00246
GM12760	GM12044	GM11892	GM12718	HG00109	HG00141	HG00247
GM12762	GM12057	GM11893	GM12749	HG00110	HG00142	HG00249
GM12763	GM12144	GM11894	GM12775	HG00111	HG00143	HG00250
GM12812	GM12145	GM11918	GM12776	HG00112	HG00146	HG00251
GM12814	GM12146	GM11919	GM12777	HG00113	HG00148	HG00252
GM12873	GM12155	GM11920	GM12778	HG00114	HG00149	HG00253
GM12875	GM12156	GM11930	GM12828	HG00116	HG00150	HG00254
GM10854	GM12234	GM11931	GM12830	HG00117	HG00151	HG00255
GM10859	GM12239	GM11932	GM12889	HG00118	HG00152	HG00256
GM06985	GM12248	GM11933	GM12890	HG00119	HG00154	HG00257
GM06993	GM12249	GM12045	GM12282	HG00120	HG00155	HG00258
GM06994	GM12264	GM12046	GM12283	HG00121	HG00156	HG00259
GM07022	GM12717	GM12058	GM12286	HG00122	HG00158	HG00260
GM07034	GM12751	GM12272	GM12748	HG00123	HG00159	HG00261
GM07055	GM12761	GM12273	GM12827	HG00124	HG00160	HG00262
GM07056	GM12813	GM12275	GM12829	HG00125	HG00231	HG00263
GM07345	GM12815	GM12287	GM12842	HG00126	HG00232	HG00264
GM07357	GM12872	GM12340	GM12843	HG00127	HG00233	HG00265
GM11829	GM12874	GM12341	GM12340	HG00128	HG00234	HG01334
GM11830	GM12891	GM12342	GM12342	HG00129	HG00235	

**Coding sequence of *ENT1* (SLC29A1) used for transfection**

The *hENT1* clone was obtained from SourceBioscience (Nottingham UK ; Clone 3051441, IRAUp969A097D; <http://www.lifesciences.sourcebioscience.com/genomecube?kw=3051441>)

**Sequence:**

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3 ' CTGCAGCGAGAGCGCGGGATCTCAGCGCGGGAGCAGTGCTTCTGCGGCAGGCCCTGAGGGAGGGAG
CTGTGACCCAGGGAAAACCGAGAACACCATCACCATGACAACACAGTCACCAGCCTCAGGACAGATACAAA
GCTGTCTGGCTTATCTTCTTCATGCTGGGTCTGGGAACGCTGCTCCCGTGGAAATTTTTTCATGACGGCCA
CTCAGTATTTACAAAACCGCCTGGACATGTCCAGAATGTGTCCTTGGTCACTGCTGAACTGAGCAAGGA
CGCCCAGGCGTCAGCCGCCCTGCAGCACCCCTTGCCCTGAGCGGAACTCTCTCAGTGCCATCTTCAACAAT
GTCATGACCCTATGTGCCATGCTGCCCCTGCTGTTATTCACCTACCTCAACTCCTTCTGCATCAGAGGA
TCCCCCAGTCCGTACGGATCCTGGGCAGCCTGGTGGCCATCCTGCTGGTGTCTTCTGATCACTGCCATCCT
GGTGAAGGTGCAGCTGGATGCTCTGCCCTTCTTTGTCATCACCATGATCAAGATCGTGCTCATTAATTC
TTTGGTGCCATCCTGCAGGGCAGCCTGTTTGGTCTGGCTGGCCTTCTGCCTGCCAGCTACACGGCCCCCA
TCATGAGTGGCCAGGGCCTAGCAGGCTTCTTTGCTCCGTGGCCATGATCTGCCTATTGCCAGTGGCTC
GGAGCTATCAGAAAGTGCCTTCGGCTACTTTATCACAGCCTGTGCTGTTATCATTTTGACCATCATCTGT
TACCTGGGCCTGCCCCGCTGGAATTCTACCGCTACTACCAGCAGCTCAAGCTTGAAGGACCCGGGGAGC
AGGAGACCAAGTTGGACCTCATTAGCAAAGGAGAGGACCAAGAGCAGGCAAAGAGGAATCTGGAGTTTC
AGTCTCCAACCTCTCAGCCCACCAATGAAAGCCACTCTATCAAAGCCATCCTGAAAAATATCTCAGTCCCTG
GCTTTCTCTGTCTGCTTTCATCTTCACTATCACCATTTGGGATGTTTCCAGCCGTGACTGTTGAGGTCAAGT
CCAGCATCGCAGGCAGCAGCACCTGGGAACGTTACTTTCATTCCTGTGTCCTGTTTCTTGACTTTCAATAT
CTTTGACTGGTTGGGCCGGAGCCTCACAGCTGTATTTCATGTGGCCTGGGAAGGACAGCCGTGGCTGCCA
AGCCTGGTGTCTGGCCCGGCTGGTGTGTTGTGCCACTGCTGCTGCTGTGCAACATTAAGCCCCGCGCTACC
TGACTGTGGTCTTCGAGCACGATGCCTGGTTCATCTTCTTCATGGCTGCCTTTGCCTTCTCCAACGGCTA
CCTCGCCAGCCTCTGCATGTGCTTCGGGCCAAAGAAAGTGAAGCCAGCTGAGGCAGAGACCCGAGGAGCC
ATCATGGCCTTCTTCTGTGTCTGGGTCTGGCACTGGGGCTGTTTTCTCCTTCTGTTCGGGCAATTG
TGTGACAAAGGATGGACAGAAGGACTGCCTGCCTCCCTCCCTGTCTGCCTCCTGCCCTTCTTCTGCCA
GGGGTGATCCTGAGTGGTCTGGCGGTTTTTTCTTCTAACTGACTTCTGCTTTCCACGGCGTGTGCTGGGC
CCGGATCTCCAGGCCCTGGGGAGGGAGCCTCTGGACGGACAGTGGGGACATTGTGGGTTTGGGGCTCAGA
GTGAGGGACGGGGTGTAGCCTCGGCATTTGCTTGAGTTTCTCCACTCTTGGCTCTGACTGATCCCTGCT
TGTGCAGGCCAGTGGAGGCTCTTGGGCTTGGAGAACACGTGTGTCTCTGTGTATGTGTCTGTGTGTCTGC
GTCCGTGTCTGTGACTGTCTGCCTGTCTGGGGTGGCTAGGAGCTGGGTCTGACCGTTGTATGGTTTG
ACCTGATATACTCCATTCTCCCCTGCGCCTCCTCCTCTGTGTTCTCTCCATGTCCCCCTCCCAACTCCCC
ATGCCCAGTTCTTACCCATCATGCACCCTGTACAGTTGCCACGTTACTGCCTTTTTTAAAAATATATTTG
ACAGAAACCAGGTGCCTTCAGAGGCTCTCTGATTTAAATAAACCTTCTTGTTTTTTTAAAAA
AAAAAAAAA ' 5

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**Cloned Region Upstream of Transcription Start Site (TSS) of *ENT1***  
**-1945/+141 According to (FUKUCHI *et al.* 2010)**

**Primer**

ENT1\_Prom\_f AGAGTGGAAGCTTGCCTGGAGAGGAGGGAGAGGTTA

ENT1\_Prom\_r CCCC GCCCGGGCACCTGCTGAGACTTTGGAGTGAGCATC

**Promoter Fragment Ahead of *hENT1* Long Transcripts (2122bp)**

3 ' AGAGTGGAAGCTTGCCTGGAGAGGAGGGAGAGGTTAGGCCCCCAAAAGTGAGGCCACAAGTCTCTGGCA  
 GCAGCTGTATCCACAAAATGCTTTCTTTTGGAGTAGGATAATCCTGGCACCAGCACTGACCGAAGCCTGC  
 CCAGTGGACAGAAGATATAGTGAGGGTTGTGCATGAGAGGGATCTGCCACAGACATGCCTCTCCACTCCC  
 AACAGAAATGTCTTTCTGGAAGAATGCCTTGCATCTAGCACAAAAGTATTATTGCCCTCTGTCCCTCCA  
 GCAGTTCTCCCAAAGACCACTCCTAATCACCTCTGGCCTCAGGCGGGAGGGGAACTAACACCCACCCAC  
 CCCTGCCCTCCCTGCAAATGGGAACATCAAGGTTCCAGTGCTTAACTGAGGGACAAGTGACAATTTAGC  
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