# Confocal Imaging of Calcium Signal and Exocytosis at Individual Hair Cell Synapses

#### **PhD** Thesis

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#### Declaration

I hereby declare that this thesis has been written independently and with no other sources and aids than quoted.

Aaron Benson Wong Göttingen, 28<sup>th</sup> March, 2013

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# List of Abbreviations

[Ca <sup>2+</sup> ] <sub>post</sub>	post-flash $[Ca^{2+}] / Ca^{2+}$ concentration after flash photolysis
$[Ca^{2+}]_{pre}$	pre-flash $[Ca^{2+}] / Ca^{2+}$ concentration before flash photolysis
a.c.	alternate current
AMPA	α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid
AP	action potential
ATP	adenosine triphosphate
AZ	active zone
BAPTA	1,2-bis(2-aminophenoxy)ethane-N,N,N',N'-tetraacetatic acid
BDNF	brain-derived neurotrophic factor
cDNA	complementary deoxyribonucleic acid
C <sub>m</sub>	membrane capacitance
$\Delta C_m$	change in membrane capacitance
CtBP2	C-terminal binding protein 2
CV	coefficient of variation
d.c.	direct current
DPTA	1,3-diaminopropan-2-ol-tetraacetic acid
EGTA	ethylene glycol-bis(2-amioethylether)-N,N,N',N'-tetraacetic acid
EPSC	excitatory postsynaptic current
EPSP	excitatory postsynaptic potential
$F_0$	baseline fluorescence
$\Delta F$	change in fluorescence intensity
$\Delta F/F_0$	normalized change in fluorescence intensity
$\mathbf{f}_0$	cutoff frequency / corner frequency
FCCP	Carbonyl cyanide 4-(trifluoromethoxy)phenylhydrazone
FWHM	full-width at half maximum
g <sub>max</sub>	maximum chord conductance
GSDB	goat serum diluted buffer
GTP	guanosine triphosphate
HEPES	4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid
HSL	hue-saturation-luminescence
I <sub>Ca</sub>	calcium current
i <sub>Ca</sub>	unitary calcium current / single-channel calcium current
IHC	inner hair cell
K <sub>d</sub>	dissociation constant
k <sub>off</sub>	off rate / kinetic rate constant for Ca <sup>2+</sup> unbinding
k <sub>on</sub>	on rate / kinetic rate constant for $Ca^{2+}$ binding

MES	2-(N-morpholino)ethanesulfonic acid
NA	numerical aperture
NT-3	neurotrophin-3
OHC	outer hair cell
PBS	phosphate buffered saline
PFA	paraformaldehyde
Po	opening probability (of channel)
PSF	point spread function
Q <sub>Ca</sub>	charge integral of calcium current
RGB	red-green-blue
RIM	Rab3-interacting molecule
R <sub>m</sub>	membrane resistance
RNA	ribonucleic acid
R <sub>p</sub>	parallel resistance
RRP	readily releasable pool
R <sub>s</sub>	series resistance / access resistance
s.d.	standard deviation
s.e.m	standard error of mean
SGN	spiral ganglion neuron
SNARE	soluble N-ethylmaleimide-sensitive factor attachment protein receptors
SNR	signal-to-noise ratio
Syt1, Syt2, Syt4	synaptotagmins I, II and IV
TEA	tetraethylammonium
ttpr	time to peak release
$V_{cmd}$	command potential (voltage clamp)
vglut1, vglut3	vesicular glutamate transporters 1 & 3
vGpH	vglut1-pHluorin fusion protein
V <sub>m</sub>	membrane potential

#### **1** Introduction

#### **1.1** Sound and the mammalian ear

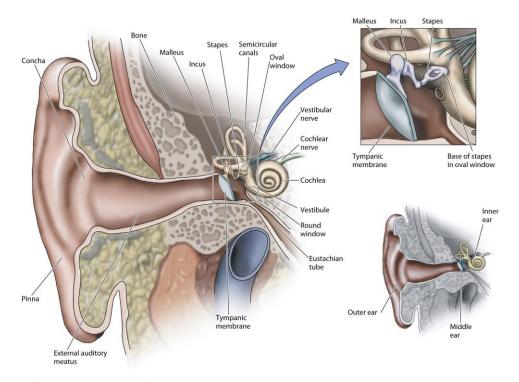
Sound is an important means of communication in both human and other animals. Many animals also use the perception of sound as a tool in the evasion of predator, location of prey and even echolocation.

A sound is produced when a vibrating object periodically pushes against a medium, e.g air or water. This creates a series of compression and rarefaction, which propagates longitudinally in three-dimension. When one considers a sound wave at a particular location over time, it can be analyzed in terms of its frequency, amplitude, phase and waveform. Frequency and amplitude roughly correspond to the percept of pitch and loudness, while the waveform determines the "texture" or "quality" of the sound. Although sounds with pure sinusoidal waveform rarely occur in nature, a complex waveform can be mathematically represented as a combination of many sine waves of different frequencies and amplitudes through the process of Fourier transformation. Remarkably, a similar frequency analysis process occurs at the level of the inner ear, through a combination of passive and active mechanisms.

The frequency range of human sound perception ranges 20 - 20,000 Hz. This range varies among mammalian species with a strong negative correlation to functional head size (Heffner and Heffner, 2008). High frequency hearing above 10 kHz in mammals is a rather unique trait among vertebrates, with birds having an upper limit of 8 - 12 kHz and reptiles, amphibians and most fish not hearing above 5 kHz (Heffner and Heffner, 2008). The sound intensity the human auditory system can encode for ranges from 0 to 120 dB sound pressure level (SPL), an energy difference by 6 orders of magnitude. Moreover, it is able to extract a single sound source even when the signal to noise level is below 0 dB, i.e. when the noise level is higher than the signal, which is commonly known as the "cocktail party effect". The precise mechanism by which our auditory system is able to achieve such remarkable feat is yet to be elucidated. We first begin from what is known about the mammalian ear.

#### 1.1.1 Outer ear

The mammalian outer ear consists of the pinna (auricula) and the ear canal (acoustic meatus) (Figure 3.1). The pinna focuses airborne sound into the opening of the ear canal, which conducts the sound wave towards the tympanic membrane located at the end of the canal. The pinna also produces spectral filtering effects to the sound wave, which was found to be important for sound source localization along the azimuth in humans (Batteau, 1967; Lopez-Poveda and Meddis, 1996).





(illustration taken from Purves, 2004)

#### 1.1.2 Middle ear

The tympanic membrane anatomically separates the outer and the middle ear, receives vibration collected by the outer ear, and transmits it to the cochlea through three ossicles in the middle ear - the malleus (hammer), incus (anvil), and stapes (stirrup). The main function of this arrangement is "impedance matching", because when sound wave travelling in medium with low mechanical impedance such as air to a high-impedance medium like water, most of the acoustic energy will be reflected. Through mechanical leverage of the ossicles and the

difference in diameter between the tympanic membrane and the oval window, the middle ear increase the pressure by around 200-fold, ensuring the successful transmission of sound through the air-fluid boundary (Purves, 2004).

#### 1.1.3 Inner ear

The inner ear, also called labyrinth due to its complexity in shape, consists of three major parts: vestibule, semicircular canals and cochlea (Gray, 1918), all of which are developed from the embryonic otic placode. The vestibule and the semicircular canals house the sensory organs of the vestibular system, which is responsible for the detection of gravity and motion.

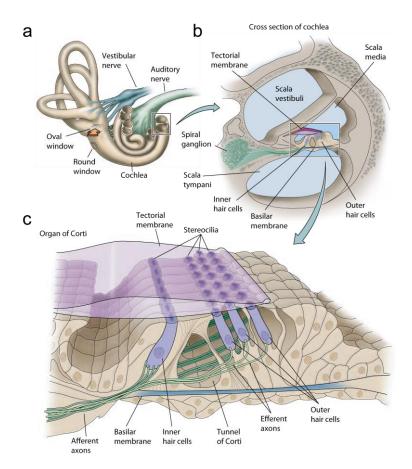


Figure 1.2: Detailed anatomy of the cochlea and the organ of Corti.

(illustration taken from Purves, 2004)

The snail-shaped cochlea, on the other hand, houses the sensory end organ of sound: the organ of Corti. Anatomically, the cochlea is a coiled structure with 2.5 turns in human. The number of turns varies with species, with mice having 1.75 turns and guinea pigs having 4. In addition,

the physical properties of the cochlea perform as a frequency analyzer, and greatly contribute to the tuning characteristics of auditory neurons.

The cochlea can be divided into three fluidic compartments: the scala vestibuli, scala media and scala tympani, are clearly seen in a transverse section of the cochlea (Figure 1.2b). The scala vestibuli and scala tympani are filled with a fluid called perilymph, and are connected at the apex of the cochlea at a structure called the helicotrema (illustrated in Figure 1.3). The scala media is, in contrast, filled with a potassium rich fluid called endolymph. At the border between the scala media and scala tympani is the organ of Corti. The organ of Corti lies between two acellular membranes the tectorial membrane and the basilar membrane (Figure 1.2c).

When a sound wave arrives at the oval window, fluid in the scala vestibuli is vibrated and the pressure wave propagates along the length of the cochlea. A travelling wave is created on the basilar membrane, which is stiffer and narrower at the cochlear base but wider and more flexible towards the apex. Due to this arrangement, the travelling wave increases in amplitude and decreases in velocity along the cochlea, until a maximum point is reached (Figure 1.3). The position of maximal amplitude is related to the frequency of the stimulus, with higher frequency mapping to the base and lower frequency to the apex.

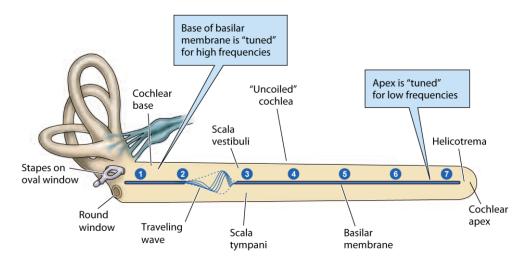


Figure 1.3: Frequency separation by the cochlea.

(illustration taken from Purves, 2004)

#### **1.1.4** Sensory transduction at the organ of Corti

The organ of Corti contains receptor hair cells. Along the length of the basilar membrane, there are one row of inner hair cells (IHCs) and three rows of outer hair cells (OHCs). The function of OHCs is the amplification of sound. The OHC specializes in its function through a negative stiffness in its hair bundle (Russell et al., 1992), and electromotility endowed by the protein prestin at its basolateral membrane (Zheng et al., 2000). This amplification process increases the sharpness of frequency tuning in individual auditory neurons. Only 5% of afferent innervation contacts the OHCs. In this regard, with more than 90% of the afferent innervation, the IHCs are the true sensory hair cell in hearing. Since different frequency is then detected by only one or a few adjacent IHC in the single row of IHC. IHCs are contacted by type I spiral ganglion neurons (SGNs). In the mature auditory system, each type I SGN only contacts a single active zone (AZ) of a single IHC, although each IHC is innervated by multiple SGNs.

On top of each sensory hair cell is the hair bundle, which consists of several rows of stereocilia arranged in a staircase fashion. Towards the top of the hair bundle, fibrous structures called tip-links connect stereocilia of adjacent rows. The vertical movement of the basilar membrane, through a pivotal action, is translated into a shearing motion between the tectorial membrane and the basilar membrane. This deflects the hair bundles of the sensory hair cells. Mechanoelectrical transduction channels mechanically coupled to the tip-links are opened and allow the influx of cations (mainly  $K^+$  ion) into the hair cells which depolarizes the cell.

The depolarization of IHCs opens  $Ca_V 1.3$  channels at the AZ of the basolateral plasma membrane, triggering exocytosis of glutamate-containing synaptic vesicles. Each AZ is innervated by a bouton-like terminal of the afferent SGN, containing a ring-like patch of  $\alpha$ amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors (Meyer et al., 2009). The release of glutamate depolarizes the postsynaptic SGN and eventually elicits an action potential (AP), which is then transmitted to the auditory brainstem through the vestibulocochlear (VIII) nerve, conveying auditory information. Recently, it was demonstrated that most of the spontaneous excitatory post-synaptic potential (EPSP) in mouse SGNs can trigger an AP *in vitro* (Rutherford et al., 2012).

When studying the responses of SGN to sound stimuli in vivo by extracellular recording at the auditory nerves of anesthetized animals a great heterogeneity can be observed (Liberman, 1978; Sachs et al., 1989; Winter et al., 1990; Yates et al., 1990; Taberner and Liberman, 2005). In general, SGNs fire at a higher rate when a stimulus stronger than a certain threshold is presented. The frequency for which the neuron has the lowest threshold is called the characteristic frequency (CF). For some neurons, the firing rate saturates at one to few tens of dB above the threshold at CF, while the rate continues to increase in others (typically those with higher threshold). The spontaneous rate, defined as the firing rate in the absence of sound stimulus, also varies greatly among neurons (for mouse: 0 - 120 spikes/s, Taberner and Liberman, 2005), and was shown to be inversely related to the threshold of the neuron (Liberman, 1978; Winter et al., 1990; Taberner and Liberman, 2005). Interestingly, the dynamic range of individual SGN (< 43 dB, Taberner and Liberman, 2005) is comparatively small to the range of sound intensity discernible by the organism (~120 dB). The cohort of SGNs with different thresholds is believed to be responsible for encoding the full audible dynamic range of sound. Since SGNs with both high and low threshold exist at the same CF, a single IHC is thought to be innervated by SGNs of different response properties. This leads to the question about the origin of such heterogeneity, which will be discussed below (see section 1.3, p.9).

#### 1.1.5 The inner hair cell (IHC) ribbon synapse

The synapses in IHCs have a specialized electron-dense structure called the synaptic ribbon, which is present also in other sensory cells performing high, sustained rates of neurotransmitter release, including photoreceptors and bipolar cells in the retina, mechanosensory hair cells in vestibular and auditory system and lateral line in fish, and electroreceptive cells (reviewed in Matthews and Fuchs, 2010). In addition, synaptic ribbons are also observed in the pineal gland (Hopsu and Arstila, 1965; Jastrow et al., 1997). The exact function of the ribbons remains elusive, but several non-exclusive hypotheses exists: (1) to organize and stabilize Ca<sup>2+</sup> channels and vesicle release site at the active zone (Khimich et al., 2005; Hull et al., 2006; Frank et al., 2010; Sheets et al., 2011), (2) to support the rapid

replenishment of readily releasable vesicles (von Gersdorff et al., 1996; LoGiudice et al., 2008; Frank et al., 2010; Snellman et al., 2011), (3) to prevent depletion of vesicle pool by restricting diffusion (Jackman et al., 2009), or (4) to promote synchronous multi-vesicular release or compound fusion of vesicles (Heidelberger et al., 1994; Fuchs, 2005; Matthews and Sterling, 2008; Graydon et al., 2011; Mehta et al., 2013).

More than 90% of the voltage-dependent  $Ca^{2+}$  influx in mouse IHCs are carried by  $Ca_V 1.3$  channels (Platzer et al., 2000; Brandt et al., 2003), which are observed to cluster at synaptic active zones (Brandt et al., 2005).  $Ca^{2+}$  influx through these channels are essential for triggering exocytosis. In addition, the IHC synapse contain active zone scaffolds, such as Bassoon and Piccolo (Khimich et al., 2005), and RIBEYE, the major constituent of the synaptic ribbon (Schmitz et al., 2000). Bassoon seems to perform additional function in anchoring the ribbon, as the loss of synapse-anchored ribbon was observed in photoreceptors and hair cells in a truncated Bassoon mutant (Dick et al., 2003; Khimich et al., 2005).

Other than sharing scaffold proteins such as Bassoon and Piccolo, the IHC ribbon synapse seems to bear little resemblance to neuronal synapses. For example, a recent study by Nouvian and colleagues (2011) has demonstrated that the IHC synapse seems to operate independently of the classical neuronal soluble N-ethylmaleimide-sensitive factor attachment protein receptors (SNAREs) SNAP-25, syntaxin-1, and synaptobrevin-1 or synaptobrevin-2. Moreover, while glutamatergic neurons mainly utilizes vesicular glutamate transporter isoforms vglut1 or vglut2, IHCs express vglut3 (Ruel et al., 2008; Seal et al., 2008), which is also found in glial cells and non-glutamatergic neurons (for a review on glutamate transporters, see Benarroch, 2010). Messenger RNA of synaptophysin, a synaptic vesicle marker, was not detected in the mature organ of Corti (Safieddine and Wenthold, 1999). The major Ca<sup>2+</sup> sensors involved in synchronous transmitter release in neurons, synaptotagmins I and II (Syt1, Syt2), are apparently also missing in mature IHCs (Safieddine and Wenthold, 1999; Reisinger et al., 2011), although not without controversy (Beurg et al., 2010; Johnson et al., 2010). Putting all together, caution must be taken when relating synaptic transmission in neurons to the IHC ribbon synapse.

#### 1.2 Postnatal development of mouse IHCs

Mice are altricial animal and are born deaf until around the end of the second postnatal week (Mikaelian and Ruben, 1965; Mikaelian, 1979). During this period of postnatal development, IHCs undergo a dramatic switch in electric activity and synaptic properties. Prehearing IHCs fire Ca<sup>2+</sup>-mediated APs up to around postnatal day 11 (p11) (Kros et al., 1998), leading to robust glutamate release and drives bursting activity of the SGN (Tritsch et al., 2010) and downstream neurons in the auditory brainstem (Sonntag et al., 2009; Tritsch et al., 2010). Probably mediated through waves of ATP released by supporting cells (Tritsch et al., 2007), synchronous discharge of the SGN innervating IHCs in close proximity is thought to help the proper wiring and the preservation of tonotopy in the central auditory system. Similar patterned spontaneous activities were also observed in the developing retina, spinal cord, hippocampus and cerebellum, and are believed to be important in development of neural circuits (reviewed in Blankenship and Feller, 2010).

The density of voltage-dependent  $Ca^{2+}$  current in IHCs increases during the first postnatal week, and gradually decreases after p6 until around the onset of hearing (~p12) (Beutner and Moser, 2001; Brandt et al., 2003; Marcotti et al., 2003; Johnson et al., 2005). Early postnatal IHCs also express voltage-gated Na<sup>+</sup> conductance, which, together with the Ca<sup>2+</sup> current, shapes and modulate the frequency of APs (Marcotti et al., 2003).

Small-conductance  $Ca^{2+}$ -activated K<sup>+</sup> (SK) channel SK2 is transiently expressed in the prehearing IHCs. The presence of SK channels is vital for the repolarization of  $Ca^{2+}$  AP (Marcotti et al., 2004). Moreover, the SK channels are tightly coupled to the  $\alpha 9\alpha 10$ heteromeric nicotinic acetylcholine receptor (nAChR) and constitute part of the inhibitory efferent system originating from the medial superior olivery complex (Glowatzki and Fuchs, 2000; Brandt et al., 2003). During postnatal maturation, the efferent innervation of the IHCs is lost and instead the SGN afferents gain axo-dendritic efferent innervation from lateral olivery complex.

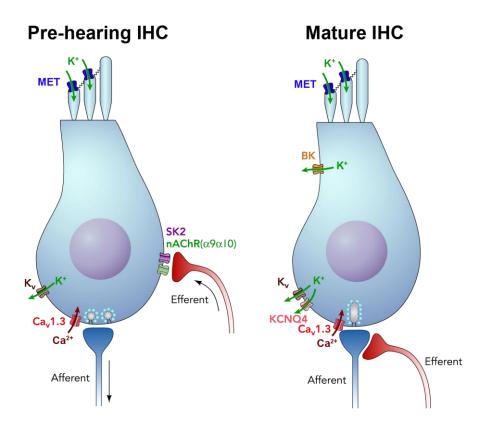


Figure 1.4: Schematic illustration of a pre-hearing and a mature IHC.

Illustration of major channels and receptors responsible for ionic conductances on the IHC, before and after the onset of hearing. (modified from Bulankina and Moser, 2012).

# 1.3 Diversity of spiral ganglion neuron (SGN) response and possible mechanisms

As mentioned above, the threshold and dynamic range of SGN in response to sound varies greatly, even among neurons showing similar CF, a hint that they are innervating the same IHC or ones in close proximity. The spontaneous rate, measured as the firing rate in the absence of sound, showed negative relationship with response threshold in cat (Liberman, 1978), guinea pig (Winter et al., 1990), gerbil (Ohlemiller and Echteler, 1990), rat (El Barbary, 1991) and mouse (Taberner and Liberman, 2005). Liberman (1978) found that, in cat, SGN can be roughly divided into three groups based on their spontaneous rate (high, medium, low), each corresponding to a different sensitivity. Later, Liberman (1982) observed that the medium- and low-spontaneous rate (higher threshold) neurons preferentially innervated the neural (facing incoming afferent fibers, see Figure 1.2) side of IHCs, while high spontaneous

rate neurons innervated the abneural (facing OHCs) side. This finding suggests the existence of a segregation of neural responses properties at the synaptic level. In the follow section, possible mechanisms will be discussed.

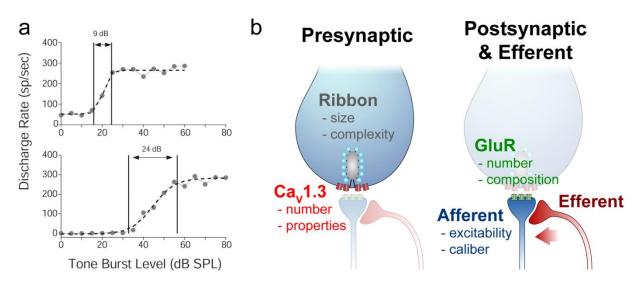


Figure 1.5: Diverse SGN response properties and examples of possible mechanisms

(a) Firing rate of two SGN in response to sound stimuli, demonstrating differences in spontaneous rate, threshold and dynamic range (marked by black lines) (Image taken from Taberner and Liberman, 2005). (b) Examples of possible mechanisms at the IHC-SGN synapse mediating the differences in SGN response properties. sp/sec: spike per second; GluR: glutamate receptor;  $Ca_V 1.3$ : voltage-gated calcium channel of  $Ca_V 1.3$  type

#### **1.3.1** Postsynaptic and efferent mechanisms

The postsynaptic membrane of the IHC ribbon synapse contains mainly AMPA-type glutamate receptors (Glowatzki and Fuchs, 2002; Grant et al., 2010). Juxtaposed to each AZ in an IHC is a bouton-like terminal of the SGN that contains a single patch of glutamate receptor of a few hundred nanometers in diameter (Meyer et al., 2009). It is conceivable that variability in the number of AMPA receptor channels could lead to a heterogeneous size distribution in excitatory postsynaptic currents (EPSCs), and thus the ability of individual postsynaptic event in triggering an AP. A recent study (Liberman et al., 2011) indicated a spatial gradient of glutamate receptor cluster size using an antibody against AMPA-receptor subunits 2 and 3 (GluA2 & GluA3; GluR2 & GluR3 in earlier nomenclature, see Collingridge et al., 2009). Based on the non-rectifying and linear voltage dependence of EPSC amplitude (Glowatzki and Fuchs, 2002; Grant et al., 2010), it can be inferred that the AMPA receptors

on SGN boutons contain GluA2 subunit (Cull-Candy et al., 2006). The expression of GluA3 and GluA4 in rat SGNs (Kuriyama et al., 1994; Knipper et al., 1996; Eybalin et al., 2004) and GluA4 in mouse (Huang et al., 2012) were also demonstrated.

In fact, postsynaptic recordings by Grant and colleagues (2010) have revealed that the average EPSC size in SGNs are highly variable in hearing (p19-21) rats (median amplitude from 97 to 473 pA), even when the presynaptic IHC were unstimulated. The authors attribute the heterogeneity in size distribution to the difference in number of vesicle released mediating each postsynaptic event (i.e. presynaptic mechanism, see below) while assuming a constant uniquantal EPSC size (~50 pA). Nevertheless, difference in uniquantal EPSC size (and thus the size distribution) due to receptor composition and/or number could be a possible postsynaptic mechanism in regulating heterogeneous response properties of SGN.

On the other hand, the excitability of SGN is another possible mechanism leading to heterogeneous response properties. It was shown in the cat that low and medium spontaneous rate fibers have a smaller diameter than high spontaneous rate fibers (Liberman, 1982). The difference in surface to volume ratio would influence the length constant of electrotonic spread along the neurite, and thus affecting excitability. *In vitro* measurements from rat SGN afferent boutons, however, showed a small spike threshold (-46  $\pm$  11 pA, n = 8) and reliable spikes triggering (>80%, n = 2) by spontaneous excitatory postsynaptic potentials (EPSPs; Rutherford et al., 2012).

Efferent activity, which would be disrupted in the *in vitro* preparation, can also modulate excitability of SGN afferents. Dopamine and its antagonists have been shown to modulate the spontaneous rate and threshold of SGN fibers *in vivo*, suggesting a tonic inhibition by dopaminergic LOC efferent system (Ruel et al., 2001). The whole LOC efferent function is probably more complex as the presence of other neurotransmitters, including acetylcholine, GABA and neuropeptides, have been implicated in the LOC neurons (Safieddine and Eybalin, 1992; Safieddine et al., 1996).

Both the difference in EPSC size and excitability will lead to a scaling of discharge rates (viz. change of success rate of events in triggering AP). This, together with saturation of evoked

rates due to e.g. refractoriness of AP, can produce a shift in spontaneous rate and dynamic range even if presynaptic release is assumed to be homogenous.

#### **1.3.2** Presynaptic heterogeneity

Presynaptic mechanisms were also proposed to contribute to diverse response properties in SGNs. Labeling of single SGNs in cat (Merchan-Perez and Liberman, 1996) suggests a difference in ultrastructure of the AZ with respect to the spontaneous rate and threshold of the neuron. They found that high-spontaneous rate fibers tend to contact AZs with smaller ribbons, while low spontaneous rate fibers tend to contact larger ribbons. The degree of synchronous multivesicular release in hair cells, indicated by presence and proportion of multiphasic EPSCs, was also suggested to be a modulatory mechanism for the behavior of postsynaptic SGN (Grant et al., 2010).

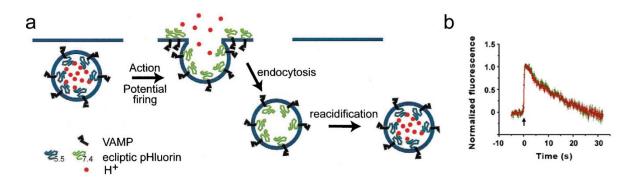
Frank and colleagues (2009; Meyer et al., 2009) performed confocal  $Ca^{2+}$  imaging in IHCs, with which the  $Ca^{2+}$  signal mediated by voltage-dependent influx at spatially segregated AZs can be individually discerned. They found pronounced diversity in the amplitude and voltage dependence of these AZ  $Ca^{2+}$  signal. Even within a single IHC, the amplitude of the  $Ca^{2+}$  signal could vary up to ten-fold. This suggests individual AZ within an IHC may differ in the number or voltage dependence of  $Ca^{2+}$  channels, which may underlie the heterogeneity in SGN response properties.

Unlike a neuron, which branches its axon and produce many bouton-like presynapses, the IHC is a small isopotential cell without apparent compartmentalization. How an IHC manages to differentially distribute  $Ca^{2+}$  channel and other synaptic components to individual AZs is a fascinating question. Therefore, it would be interesting to see how the properties of the synaptic  $Ca^{2+}$  signals develop in an IHC.

#### 1.4 Monitoring synaptic vesicle fusion in IHC

The heterogeneity of the presynaptic  $Ca^{2+}$  signal is a promising candidate mechanism for explaining the diverse response characteristics of SGN to sound. The obvious next step would be to figure out whether or how heterogeneous presynaptic  $Ca^{2+}$  influx translates into differences in the actual release of neurotransmitter. However, traditional whole-cell capacitance measurements (e.g. Parsons et al., 1994; Moser and Beutner, 2000) cannot

distinguish among fusion events at different AZs. More advanced electrophysiolgical methods such as on-cell capacitance measurements (Sheng et al., 2012) or recording of SGN afferent terminals (Glowatzki and Fuchs, 2002; Goutman and Glowatzki, 2007, 2011; Grant et al., 2010; Goutman, 2012) are technically challenging, therefore impractical for detecting AZ heterogeneity within a single IHC. Moreover, FM 1-43, a common optical probe for exocytosis, has been reported to pass through and block the mechanotransduction channels of hair cells (Nishikawa and Sasaki, 1996; Gale et al., 2001).The presence of FM dye in the cytosol means all membranous organelle will be labeled, hindering its use as a marker of recycling vesicles (but see Griesinger et al., 2002).



#### Figure 1.6: Use of pHluorin to monitor vesicle recycling

(a) Schematic showing how synaptopHluorin (a fusion between VAMP and ecliptic-pHluorin) changes its fluorescence intensity during vesicle cycling. Green and dark blue pHluoin symbols represent fluorescent and dark states of the protein. VAMP: vesicle associated membrane protein / synaptobrevin. (Image taken from Sankaranarayanan et al., 2000) (b) Fluorescence change of pHluorin upon single vesicle fusion. Arrow marks the time of stimulation. (Image taken from Zhu et al., 2009)

On the other hand, pHluorin, a pH-sensitive mutant of green fluorescence protein first developed by Miesenböck and colleagues (1998), has proven to be an useful tool in monitoring exocytosis and its recycling in cultured neuron (reviewed in Dreosti and Lagnado, 2011). The basis of this method is by targeting (super)ecliptic-pHluorin through fusion with a vesicular protein (Sankaranarayanan et al., 2000). The acidic environment in the synaptic vesicles (~pH5.6, Miesenböck et al., 1998) normally quenches the fluorescence of pHluorin (Figure 1.6a). Upon vesicle fusion, pHluorin molecules are exposed to the higher external pH of 7.4, causing an increase in fluorescence. The fluorescence signal then recovers during

subsequent endocytosis and reacidification of vesicles. Using this method, single synapse (Fernandez-Alfonso and Ryan, 2008), and even single vesicle (Figure 1.6b, Zhu et al., 2009) resolution has been achieved. Expression of such a reporter in mammalian hair cell, however, has not been reported so far. The establishment of a pHluorin-based reporter in IHC will be valuable in probing exocytosis at single AZ, paralleling the high resolution achieved in confocal  $Ca^{2+}$  imaging (Frank et al., 2009).

#### **1.5** Aim of the work

The aim of the work includes: (1) further characterization of presynaptic  $Ca^{2+}$  signal in the mouse IHC ribbon synapse during postnatal development; (2) identify whether heterogeneity of the presynaptic  $Ca^{2+}$  signals arises during murine postnatal development; (3) characterization of the genetically-encoded reporter vglut1-pHluorin expressed in IHC through viral transduction, with the aim of monitoring vesicle fusion at single AZ of IHCs, and ultimately utilizing it in studying  $Ca^{2+}$ -release coupling at single AZ through combination with  $Ca^{2+}$  imaging.

### 2 Material and Methods

#### 2.1 Animals

C57Bl/6 mice (aged 6-30 days) mice were used for most experiments. Ca<sup>2+</sup> channel α1D null mutant mice (Dou et al., 2004) were used as negative control for immunohistochemistry. For vglut1-pHluorin experiments, embryonic transuterine otocyst injections were performed on CD1 dams mated with C57BL/6J males. All experiments complied with national animal care guidelines and were approved by the University of Göttingen Board for animal welfare and the animal welfare of the state of Lower Saxony.

#### 2.2 Viral construct and virus production

Cloning of vglut1-pHluorin expression vector was performed by Kirsten Reuter. Briefly, vglut1-pHluorin cDNA (kindly provided by Robert Edwards) were subcloned into AAV-HBA-EWB vector using an EcoRI and a HindIII restriction site introduced through PCR. The AAV HBA- EWB vector (kindly provided by Sebastian Kügler) contains ITRs of AAV serotype 2 a human cytomegalovirus enhancer combined with a human  $\beta$  actin promoter (HBA), an eGFP (enhanced GFP) reporter protein, a woodchuck posttranscriptional regulatory element (WPRE) and a bovine growth hormone (BGH) polyadenylation sequence.

Virus production was performed by Sebastian Kügler of the Viral Vectors Lab, Department of Neurology, University Medicine Goettingen as described previously (Kügler et al., 2007). Capsid proteins used were of serotype 1 and 2 (AAV1/2).

#### **2.3** Embryonic transuterine otocyst injections

Embryonic transuterine otocyst injections were performed by Kirsten Reuter and Christiane Senger-Freitag. At postcoital day 11.5 pregnant dams were anesthetized with an intraperitoneal (i.p.) injection of 125 mg/kg body weight Ketamin (Ketamin Inresa, Inresa Arzneimittel GmbH) and 2.5 mg/kg body weight Xylazin (Rompun®, Bayer), the anesthesia was maintained by applying 1 L/min oxygen enriched with 0.4 - 1.2 Vol% Isofluran via a facial mask. Additionally, 50 mg/kg body weight carprofen were injected subcutaneously (s.c.). The anesthetized animal was shaved and the skin was sterilized at the abdomen and placed on a heated mat to prevent hypothermia. Once a stable level of anesthesia was reached,

judged by the lack of paw withdrawal reflex, the laparoptomy was performed. The uterus was externalized and kept moist by applying warm isotonic solution. A cold light source was used to visualize the embryos inside the uterus, each embryo was gently positioned such that the left side points up, exposing the anterior cardinal vein and fourth ventricle. These two structures were used as landmarks for the otocyst injection. The virus solution, colored with 5 mg/ml Fast Green (AppliChem), was then injected through a quartz glass injection pipette using a Pico Injector (Harvard Apparatus); The pipettes had been pulled on a P-2000 pipette puller (Sutter instruments) (settings: Heat 900, Fil 5, Vel 50, Del 126, Pul 175). The tips were snapped off at a diameter of ~20  $\mu$ m with a rough angle of 45 ° to create a thin sharp tip.

After the embryos were injected the uterus was re-internalized and the abdominal wall and skin were sutured with silk (size 06). The mice recovered and delivered 19-21 days postcoitum.

#### 2.4 Patch-clamp recordings

IHCs from apical coils of freshly dissected organs of Corti were patch-clamped as described (Moser and Beutner, 2000). The standard pipette solution contained (in mM): 115 Csglutamate, 13 TEA-Cl, 20 CsOH-HEPES, 1 MgCl<sub>2</sub>, 2 MgATP, 0.3 NaGTP, 10 EGTA, 0.4 Fluo-5N (or Fluo-4FF for fluorescence voltage-relationships (FV), action potentials (AP) and oscillating potentials; Penta-K<sup>+</sup> salts [Invitrogen] of dyes were used), and Carboxytetramethylrhodamine(TAMRA)-conjugated RIBEYE-binding peptide (40µM monomer or 2µM tandem dimer Zenisek et al., 2004; Francis et al., 2011) for calcium imaging. In case of different EGTA concentration used, as stated in text or figure legends, Csglutamate concentration was adjusted for constant osmolarity. The extracellular solution contained (in mM): 104 NaCl, 35 TEA-Cl, 2.8 KCl, 5 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 10 NaOH-HEPES, 10 D-glucose, pH 7.3. CaCl<sub>2</sub> was 10 mM for fluctuation analysis and 1.3 mM for Ca<sup>2+</sup> imaging of action potential and oscillating potential, with adjustment in NaCl concentration for osmolarity. For current clamp measurements, pipette solution contained (in mM): 145 Kgluconate, 20 KOH-HEPES, 1 MgCl<sub>2</sub>, 2 MgATP, 0.3 NaGTP, 0.5 EGTA, 0.4 Fluo-4FF (Penta- $K^+$  salts, Invitrogen), 2µM tandem dimer peptide (Francis et al., 2011); external solution (artificial perilymph) contained 144 NaCl, 5.8 KCl, 1.3 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 10 NaOH-HEPES, 10 D-glucose, pH 7.3.

Non-stationary fluctuation analysis (FA) was performed by Thomas Frank, similarly as previously described (Meyer et al., 2009) with bathed-applied BayK8644 (5  $\mu$ M, Tocris). Currents were low-pass filtered at 8.5 kHz or 2.9 kHz and sampled at 100 kHz (FA, oscillating potentials) or 50 kHz (Ca<sup>2+</sup> currents), respectively.

An EPC-9 amplifier and "Patchmaster" software (HEKA Elektronik, Lambrecht, Germany) was used for most voltage-clamp measurements; and an EPC-10 amplifier was used for current-clamp measurements. For current-clamp measurements, stray capacitance compensation was first estimated by auto  $C_{fast}$  in voltage-clamp mode and then decreased by 3 pF after switching into current clamp mode to avoid unwanted oscillation artifacts. For measurement of Ca<sup>2+</sup> currents in voltage-clamp, additional P/n protocol was performed to subtract leak current and residual uncompensated capacitance transients.

All voltages were corrected for liquid-junction potentials (LJP) and voltage-drops across series resistance ( $R_s$ ). LJPs were estimated using Patcher's Power Tools extension (Mendez and Würriehausen, Max-Planck-Institut für biophysikalische Chemie, Göttingen, Germany) for Igor Pro 6 (Wavemetrics, Lake Oswego, OR, USA). When potentials recorded in current-clamp were used as stimulus, the estimated LJP of the solutions used in current-clamp was first subtracted from the recorded traces to the true membrane potential. The estimated LJP for solutions used in voltage-clamp were added to obtain a "nominal" potential, which were then used as template traces in Patchmaster. When oscillating potentials were used as voltage clamp stimuli, true membrane potential after voltage drop across  $R_s$  were estimated by numerically solving the following differential equation using the Euler method, implemented in Igor Pro 6:

$$\frac{dV_m}{dt} = \frac{V(t)}{R_s C_m} - \frac{V_m}{R_p C_m} + \frac{V_{rev}}{R_m C_m}$$

where  $V_m$  is the membrane potential,  $V_{rev}$  is the reversal potential of leak conductance (fixed at -80 mV), V(t) is the potential recorded by the amplifier (resampled to 1 µs steps),  $R_s$  and  $C_m$  are the series resistance and membrane capacitance estimates from the  $C_{slow}$  compensation circuit,  $R_m$  is the membrane resistance at holding potential (fixed at 3 G $\Omega$ ), and  $R_p$  is the parallel combination of  $R_s$  and  $R_m$  [1/(1/R<sub>s</sub>+1/R<sub>m</sub>)].

Current-voltage and fluorescence-voltage relationships (see below) were first transformed into an activation function, by factoring in the driving force for  $Ca^{2+}$  obtained from the linear portion (-5 to +20 mV) of the  $Ca^{2+}$  current-voltage relationships:

$$I_{Ca} = g_{max} \left( V - V_{rev} \right)$$

where V is the holding potential,  $V_{rev}$  is the reversal potential of Ca<sup>2+</sup> and  $g_{max}$  is the maximum chord conductance. The activation functions were subsequently fitted by a Boltzmann function:

$$\frac{1}{1 + \exp(\frac{V_{\text{half}} - V}{\text{slope}})}$$

where  $V_{half}$  represents the half-activation potential and *slope* determines the steepness of the activation function.

Frequency dependence of AC oscillations (V<sub>m</sub> or I<sub>Ca</sub>) was fitted with a low-pass filter function:

$$S = \frac{A}{1 + f^2 / {f_0}^2}$$

where S is the signal analyzed, f and  $f_0$  are the stimulus and cut-off frequencies and A is a scaling constant.

Except for current-clamp experiments, cells with holding current greater than -50 pA were discarded.

# 2.5 Confocal imaging of Ca<sup>2+</sup> signal and pHluorin

Confocal Ca<sup>2+</sup> imaging was performed as described (Frank et al., 2009). In brief, synaptic Ca<sup>2+</sup> microdomains were identified as hotspots of Ca<sup>2+</sup> indicator fluorescence in XY scans using long (200 to 254 ms) step depolarizations to -7 mV. Closely-spaced AZs whose Ca<sup>2+</sup> signals could not be unequivocally separated (i.e. Ca<sup>2+</sup> microdomains with more than one nearby ribbon) were not considered for further analysis. We invoked Ca<sup>2+</sup> influx by 20 ms step depolarizations to the potential eliciting the maximal Ca<sup>2+</sup> channel activation (typically -7 mV), which avoids differences in Ca<sup>2+</sup> influx due to variability in voltage-dependence among IHC AZs. Line scans were performed at 0.7 kHz with 10 mM [EGTA]<sub>i</sub>. Amplitudes of  $\Delta F_{F5N}$  (in Figure 3.10) were estimated by the average of 3 peak-centered pixels in the last

10 ms during depolarization. FWHM estimates were extracted from Gaussian fits on average  $\Delta F_{F5N}$  profiles in the last 10 ms during depolarization. Voltage-dependence of synaptic Ca<sup>2+</sup> microdomains was studied using the Ca<sup>2+</sup> indicator Fluo-4FF for better sensitivity for lower levels of Ca<sup>2+</sup> influx. The laser was positioned at the peak pixel of each Ca<sup>2+</sup> microdomain as identified in XY scan (spot detection DiGregorio and Vergara, 1997; Frank et al., 2009)), and the cell was depolarized for 20 ms to different potentials with 5 mV increments. The dependence of  $\Delta F$  amplitude on the membrane potential (FV relationship) was done by fitting the activation function with a Boltzmann function (see above). Spot detection was also used for Ca<sup>2+</sup> signal under receptor potential like stimuli, and binned to an effective 7.5 kHz (134 µs bins) for higher temporal resolution. The binned traces were used for subsequent analysis with Fourier transformation.

Live imaging of organs of Corti transduced by the vglut1-pHluorin virus was performed with the same confocal setup with increased 488 nm laser power (0.5-2% of maximum intensity) for the excitation of pHluorin, and increased detection gain for the photomultiplier tube (PMT, 750 V). NH<sub>4</sub>Cl and pH-calibration experiments were performed with solutions based on artificial perilymph (see above). For NH<sub>4</sub>Cl experiments, 50 mM NH<sub>4</sub>Cl replaces equal molar NaCl in the solution. For calibration of vesicular pH, artificial perilymph was calibrated to pH 5.5, 6.5, 7.3, 8.0 and 9.0, with a pH meter. In non-neutral solutions, the pH buffer HEPES was replaced by MES (pH 5.5 & 6.5) or tricine (pH 8.0 and 9.0). In addition, 20  $\mu$ M FCCP (carbonyl cyanide 4-(trifluoromethoxy)phenylhydrazone) solutions were diluted into the artificial perilymph from a 10 mg/mL acetone stock. FCCP solutions were applied through a bath perfusion system and NH<sub>4</sub>Cl solution was either bath perfused or applied through a large glass pipette. Patch-clamp capacitance measurements on vglut1-pHluorin expressing IHCs were performed using the standard Cs-glutamate intracellular solution containing 0.5 mM EGTA, and extracellular solution containing 5 mM CaCl<sub>2</sub>.

## 2.6 Flash photolysis of caged Ca<sup>2+</sup>

Flash photolysis was performed by Tina Pangršič and the author as previously described (Nouvian et al., 2011). Briefly, to obtain step-wise increases in intracellular calcium, 100  $\mu$ s of pulsed laser light from a DPSL-355/1000 UV laser (Rapp OptoElectronic, Hamburg, Germany) were applied shortly after achieving the whole-cell configuration. Intracellular

calcium concentration was measured by dual-wavelength ratiometric fluorimetry using the calcium indicator dye mag-fura-2 (Invitrogen, Darmstadt, Germany). The dye was excited with monochromator light alternating between 340 and 380 nm using a TILL Photonics system (Gräfelfing, Germany) and  $[Ca^{2+}]_i$  was determined as previously described (Beutner et al., 2001). The pipette solution for flash-photolysis contained (in mM): 83 Cs-gluconate, 16 TEA-Cl, 18 Cs-HEPES (pH 7.2), 0.3 mag-fura-2, 10 DM-nitrophen (gift of A. Leonov and C. Griesinger, Göttingen; or Calbiochem, Darmstadt, Germany), 5 DPTA (1,3-diaminopropan-2-ol-tetraacetic acid) and 10 CaCl<sub>2</sub>. The extracellular solution for flash-photolysis contained (in mM): 97 NaCl, 35 TEA-Cl, 2.8 KCl, 10 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 10 Na-HEPES, 1 CsCl, 11.1 D-glucose (pH 7.2). The cells in which no  $C_m$  responses could be elicited were excluded from analysis.

Capacitance responses were fitted with a single or double exponential function in the following form:

$$\Delta C_m(t) = \begin{cases} \sum A_i \left(1 - \exp\left(-k_i(t - t_d)\right)\right), \ t > t_d \\ 0, \ t \le t_d, \end{cases}$$

for i = 1, 2 (double exponential) or i = 1 (single exponential). Here,  $A_i$  and  $k_i$  are the amplitude and rate constants for the *i*th component, respectively, and  $t_d$  is the delay of the response after the flash. All responses were first fitted with a double exponential function. In cases where the rate constant of the two components differed by less than a factor of four, the responses were subsequently fitted by a single exponential.

#### 2.7 Immunohistochemistry

Antibody staining and image acquisition for immunohistochemistry involving Ca<sub>v</sub>1.3 was performed by Mark A. Rutherford. The freshly dissected apical cochlear turns were fixed for 1 hour in 4% formaldehyde diluted in phosphate buffered saline (PBS; contains 137 mM NaCl, 2.7 mM KCl and 10 mM phosphate buffer, pH7.4; Sigma) at room temperature, or, for staining involving Ca<sub>v</sub>1.3, 25 minutes in 99% methanol at -20°C, and subsequently washed 3 times for 10 minutes each in PBS. Immunostaining was performed as described (Khimich et al., 2005). Explicitly, whole-mount preparations were incubated for 1 h in goat serum dilution buffer (GSDB; 16% normal goat serum, 450 mM NaCl, 0.3% Triton X-100, 20 mM

phosphate buffer, pH7.4) to block non-specific binding sites. Primary antibodies diluted in GSDB were applied overnight at 4°C. Preparations were washed 3 times in a wash buffer (450 mM NaCl, 0.3% Triton X-100, 20 mM phosphate buffer, pH7.4) for 10 minutes each. Secondary AlexaFluor-labelled antibodies (Molecular Probes, 1:200) were applied for 2 h at room temperature. In cases of staining involving goat primary antibodies, goat serum in GSDB was replaced with a donkey serum and donkey secondary antibodies were used. After washing again three times for 10 minutes in wash buffer and once for 5 minutes in 5mM phosphate buffer, the preparations were mounted on a glass slide using Mowiöl 4-88 (prepared according to supplier's instruction; Carl Roth, Karlsruhe, Germany).

The following antibodies were used: mouse anti-CtBP2 (1:200, BD Biosciences), rabbit anti-GluR2/3 (1:200, for GluA2/3, Chemicon), rabbit anti-Ca<sub>V</sub>1.3 (1:150, Alomone Labs), goat anti-CtBP2 (1:150, Santa Cruz Biotech), mouse anti-GluR2 (1:75, for GluA2, Chemicon), mouse anti-Sap7f407 to Bassoon (1:1000, Abcam), rabbit anti-BSN1.6 to Bassoon (1:1000, E.D. Gundelfinger), mouse anti-Na<sup>+</sup>/K<sup>+</sup>ATPase alpha 3 subunit (1:200, Thermo Scientific, Catalog #: MA3-915), guinea pig anti-vglut1(1:1000, Synaptic Systems, Catalog #: 135304), mouse anti-synaptophysin (1:400, Synaptic Systems, Catalog #: 101011), rabbit anti-vglut3 (1:500, Synaptic Systems, Catalog #: 135203), rabbit anti-otoferlin (1:500, C terminal, Synaptic Systems), mouse anti-GM130 (1:100, BD Transduction, Catalog #: 610822) and secondary antibodies conjugated with AlexaFluor fluorophores (1:200, Molecular Probes). Confocal images of immunolabeled vglut1-pHluorin transduced organs of Corti were acquired using a SP2 confocal microscope (Leica, Mannheim, Germany) with 488 nm (Ar) and 594 nm (DPSS) lasers for excitation and a 100x oil immersion objective (NA = 1.4).

Quantitative experiments were repeated until successful, i.e. if all antigens were stained with relative uniformity within each preparation. Preparations in which substantial bleaching in at least one of the fluorophore occurs were discarded. Each preparation yielded several images, each containing a row of 5-7 IHCs. Image stacks from two preparations per age, stained and acquired in parallel across age groups, were analyzed. Analysis of puncta intensity was performed in the optical section with the peak intensity and a Region-of-Interest (ROI) was

determined by fitting a 2D Gaussian function on a 1  $\mu$ m<sup>2</sup> region surrounding each RIBEYE/CtBP2 and Cav1.3 immunofluorescent spot as previously described (Frank et al., 2010). of membrane Comparison plasma versus ribbon-associated Ca<sub>v</sub>1.3 immunofluorescence was performed by first connecting all ribbons of an IHC which were within 3 µm of each other in 3D, and defining the connecting regions (each is a cylinder of 800 nm diameter) as basal plasma membrane. The average intensity of the plasma membrane region is then compared to that of the ribbon proximal region, defined as 3D spheres of 800 nm diameter centered at all connected ribbons. Three IHCs per age group were "reconstructed" and analyzed. Average voxel intensity of the whole 3D stack was used here as background and were subtracted from both averages before comparison.

Correlation maps (in Figure 3.20 and Figure 3.22) with extended color look up tables and their respective scatter plots were generated according to a concept described by Demandolx and Davoust (1997). In this extended dual-channel display, image was created in a hue-saturation-luminance (HSL) colorspace concept rather than a linear addition of red, green and blue (RGB). First, the fluorescence intensities of the two channels (x and y) were independently normalized to an 8-bit range from 0 to 255. The hue of each pixel was determined by mapping the value of arctan(y/x) from a range of  $[0, \pi/2]$  into magenta-red-yellow-green-cyan. The saturation was set to maximum and luminescence was calculated with a fuzzy "OR" logic as: x + y - xy/255, which will also be in the range from 0 to 255. The resulting HSL images were then converted to RGB for display using the ImageTransform operation in Igor Pro for display.

For deconvolution of confocal stacks (Figure 3.22), 10 iteration of the Richardson-Lucy algorithm was performed using the plugins DeconvolutionLab and PSF Generator (both from Biomedical Imaging Group, Lausanne Federal Institute of Technology) for ImageJ (Schneider et al., 2012). Point spread functions were generated using parameters of the SP2 microscope and a wavelength of 515 nm or 595 nm, corresponding to the average wavelength in the detection window of either channel.

#### 2.8 Electron microscopy

Electron microscopy was performed by Carolin Wichmann. Cochleae were explanted and perfusion-fixed for 1 h on ice with 4% PFA and 0.5 % glutaraldehyde in 1x PBS, pH 7.2.

Subsequently, the organs of Corti (apical cochlear coils) were explanted in 1x PBS and fixed overnight on ice with secondary fixative comprising 2% glutaraldehyde in 0.1 M sodium cacodylate buffer, pH 7.2. The samples were washed in sodium cacodylate buffer and postfixed on ice for 1 h with 1% osmium tetroxide ((v/v) in 0.1 M sodium cacodylate buffer), followed by a 1 h washing step in sodium cacodylate buffer and three brief washing steps in distilled water. The samples were stained en bloc with 1% (v/v) uranyl acetate in distilled water for 1 h on ice. After a brief wash with distilled water, samples were dehydrated at room temperature in increasing ethanol concentrations, infiltrated in Epon resin (100% EtOH/Epon 1:1 (v/v), 30 and 90 min; 100% Epon, overnight), and embedded for 48 h at 70°C. Following conventional embedding 65-75 nm sections were obtained approaching from the anterior edge. Slices were postfixed and stained with uranyl acetate/lead citrate following standard protocols. Micrographs were taken with a JEOL electron microscope (JEM 1011) equipped with a Gatan Orius 1200A camera using the Digital Micrograph software package at an 8000-fold magnification.

Quantitative image analysis was performed as follows: For the size of ribbons, the longest axis of each ribbon in a section, excluding the membrane-bound rootlet region, was measured. For ribbon-associated synaptic vesicles the first row of vesicles around the ribbon with less than a vesicle diameter distance from the ribbon surface was counted. For the PSD length, pre- and postsynaptic membrane appositions decorated with PSD and a ribbon were measured. Three-dimensional reconstruction was performed on 4-6 serial 70 nm sections with the free software Reconstruct (Fiala, 2005).

#### 2.9 Computational Modeling

RC circuit model for Figure 3.3 and kinetic model for flash photolysis data (Figure 3.17) were implemented in Igor Pro 6 by solving the respective sets of ordinary differential equations using a fifth-order Runge-Kutta method (IntegrateODE operation).

For a *n*-site sequential binding kinetic model (Beutner et al., 2001), a total of n+2 states were created (an array or "wave" with n+2 points in one dimension), denoted here as B<sub>0</sub>, B<sub>1</sub>, B<sub>2</sub>, ... B<sub>n</sub> and B<sub>n+1</sub> where the subscript indicates number of bound Ca<sup>2+</sup> ions, except with B<sub>n+1</sub> being the released state. Rate of change for the species are:

$$\frac{dB_0}{dt} = -R_0$$
$$\frac{dB_i}{dt} = R_i - R_{i+1}, \quad \text{for } i = 0, 1, \dots, n$$
$$\frac{dB_{n+1}}{dt} = R_{n+1}$$

where  $R_i$  are rate of the reaction between species  $B_i$  and  $B_{i+1}$ , given by:

$$R_{i} = (n - i)k_{\text{on}} a^{i} [\text{Ca}^{2+}][B_{i}] - (i + 1)k_{\text{off}} b^{i} [B_{i+1}], \text{ for } i = 0, 1, ..., n$$
$$R_{n+1} = \gamma [B_{n}]$$

Here,  $k_{on}$  and  $k_{off}$  are kinetic rate constants (in  $\mu$ M<sup>-1</sup>s<sup>-1</sup> and s<sup>-1</sup>, respectively) governing the forward and reverse binding reaction. These rate constants are modulated by the factors *a* and *b* depending on the number of bound Ca<sup>2+</sup> ions to create the effect of cooperative binding. For most trials *a* was set and fixed at 1. The rate constant  $\gamma$  denotes the rate of the final fusion step. Initial condition was set to {1, 0, 0, ..., 0} as appropriate for low [Ca<sup>2+</sup>] (assumed zero). The differential equations were then solved at different [Ca<sup>2+</sup>]<sub>i</sub>, and the time course of [B<sub>n+1</sub>] was fitted by the same function in section 2.6 to estimate release delay and rate constant. The time derivative of [B<sub>n+1</sub>] was used to estimate the time to peak release rate (ttpr).

A downhill simplex method was used to optimize the parameters as previously done (Beutner et al., 2001). A published algorithm written for C (Press et al., 1992 p.408–412) was adapted for Igor Pro 6. Conceptually, five (or six) initial sets of the four (or five) parameters ( $k_{on}$ ,  $k_{off}$ , b and  $\gamma$ , sometimes a) were first chosen. For each parameter set, the differential equations were evaluated at all [Ca<sup>2+</sup>] from experiments, and the rate constant, delay and ttpr were compared with experimental data. The deviation was calculated as an error function combining the root-mean-square difference in all three estimates (in either normal or log scale). Error minimization occurs as an "amoeboid" motion in parameter space. A new set of parameters were picked by "reflecting" the parameter set with the greatest error in parameter space. If the error with the new set is lower than the worst old set, a further "elongation" along the direction is performed. If the new set is worse than the worst old set, a contraction around

the best old set is performed. This process continues until the error of all parameter sets are within a defined fractional tolerance (set to  $10^{-4}$  in this implementation).

#### 2.10 Data analysis and statistical tests

Data are presented as mean  $\pm$  s.e.m., unless otherwise specified. Igor Pro 6 (Wavemetrics, Lake Oswego, OR, USA) was used for analysis of functional imaging and immunohistochemical data. Single unit recordings and ratiometric [Ca<sup>2+</sup>] measurements (in flash photolysis) were analyzed using MatLab (The MathWorks, Natick, MA, USA). Electron microscopy data was analyzed in Microsoft Excel (Microsoft, Redmond, WA, USA). Figures were assembled for display in Adobe Photoshop and Illustrator softwares. Normality of data set was assessed with the Jarque-Bera test. F test was used to assess equality of variance in normally distributed data set. Unpaired, two-tailed Wilcoxon rank test (Mann-Whitney test) was used to compare data significantly different from a normal distribution, or when variances of experimental groups were unequal. In case of normally-distributed equal-variance data, student's unpaired, two-tailed t-test was used to compare two samples (\* indicates p < 0.05). Comparison of dispersion was performed with a modified Levene's test (Brown and Forsythe, 1974), using median instead of mean for improved robustness under non-normality. In cases where sample means were unequal or for comparison across sets of experiments, relative dispersion was compared either by first normalizing to the median of each dataset or by testing on log-transformed data (Donnelly and Kramer, 1999). One way ANOVA followed by Tukey's test (for non-stationary fluctuation analysis) was used to detect differences in multiple comparisons.

#### **3** Results

# 3.1 Ca<sup>2+</sup> Signal in hair cell during development

#### 3.1.1 Naturalistic electrical activities and underlying calcium signals

As described previously (Kros et al., 1998; Beutner and Moser, 2001; Johnson et al., 2005), slow  $Ca^{2+}$  action potentials were observed in IHCs of prehearing mice under current clamp condition (Figure 3.1A, upper and middle panels). With the help of a fluorescent RIBEYE-binding peptide (Francis et al., 2011), one can position the confocal laser beam at the presynaptic ribbon-type AZ and visualize the synaptic  $Ca^{2+}$  transient during such action potentials (Figure 3.1A lower panel). The transients were short-lived and temporally coincided with the action potentials.

I also compared the  $Ca^{2+}$  transient evoked by an action potential template and a more standard step depolarization protocol (Figure 3.1B). In these experiments, the IHCs were voltageclamped while pharmacologically inhibiting of voltage-dependent K<sup>+</sup> and Na<sup>+</sup> conductances. The cells were then presented with a 20 ms step depolarization to -7mV and a previously recorded  $Ca^{2+}$  action potential in an alternate manner. Figure 3.1B shows the whole cell  $Ca^{2+}$ current and the  $Ca^{2+}$  signal at 8 active zones in 4 IHCs. Individual fluorescence traces were averages of 10 repetitions and the grand average of the 8 traces were shown as a black line (±s.e.m. as shaded area). As shown in the lower panel, the amplitude of synaptic  $Ca^{2+}$  signal during an AP is comparable to that of the step depolarization, a protocol that mobilizes the readily releasable pool within milliseconds (Moser and Beutner, 2000).

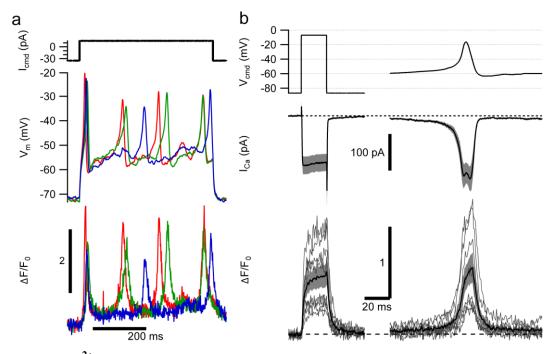
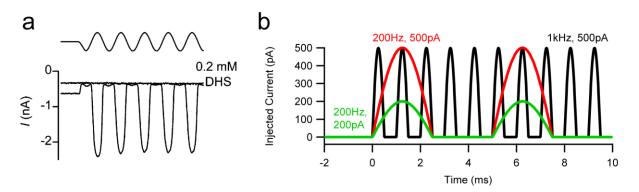


Figure 3.1: Ca<sup>2+</sup> signal in IHC of prehearing animal.

(a) Injection of small depolarizing current (upper panel) to a pre-hearing IHC (p10) elicited action potentials (middle panel). Lower panel shows the fluorescence change of Fluo-4FF at a synaptic ribbon, where a Ca<sup>2+</sup> transient (lower panel) was observed during each action potential (500  $\mu$ M [EGTA]i). (b) Ca<sup>2+</sup> current and AZ Ca<sup>2+</sup> signal of pre-hearing IHCs (p9-10) evoked by a step depolarization (left) and a recorded Ca<sup>2+</sup> action potential (right). Average Ca<sup>2+</sup> current (middle panel; ±s.e.m.; n=8) was recorded in the presence of TEA, Cs<sup>+</sup>, linopirdine, apamine and TTX, and further isolated by a P/N protocol. Bottom panels show individual and average traces of simultaneously measured Ca<sup>2+</sup> signal (change in Fluo-4FF fluorescence). Each trace is an average of 10 repetitions.

While the Ca<sup>2+</sup> action potential is the natural electric activity of a pre-hearing IHC, the natural environment for a mature IHC would be a sound evoked receptor potential. In order to study how current through mechanotransduction channel of hair bundle affects membrane potential, I created rectifying sinusoidal waveforms reminiscent of recorded transduction current (Jia et al., 2007; Johnson et al., 2011). These waveforms (Figure 3.2) have varying amplitudes and frequency, and were used as templates for whole-cell current-clamp measurement of IHCs *in vitro*. Since there are different estimates of resting membrane potential in IHC (Dallos, 1985; Oliver et al., 2003; Johnson et al., 2011), three holding potentials (-77mV, -67mV, -57mV) were used in these experiments. Before each stimulus, the IHC was voltage-clamped to one of the three holding potentials, and then switched to current-clamp mode using the "gentle

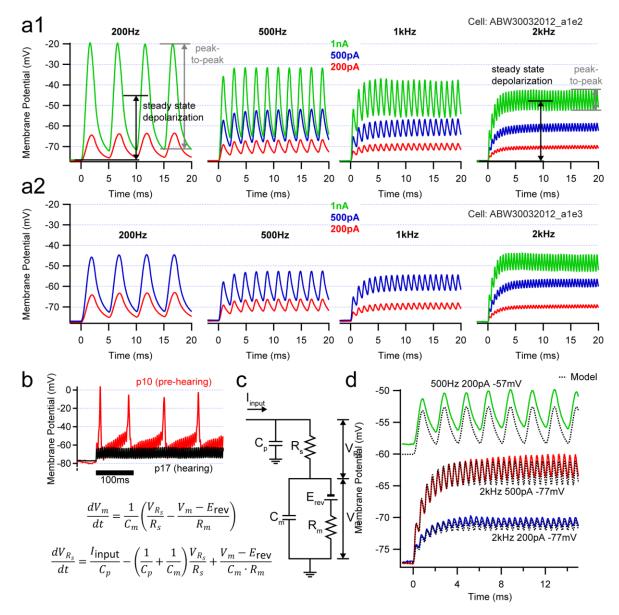
switch" function of EPC10 amplifier, which initiates with the holding current at the potential during voltage-clamp mode. The stimulus template was then applied "on top of" the holding current.





(a) Mechanotransduction current (lower panel) measured by Johnson and colleagues (2011) in an IHC during a 200Hz fluid jet stimulation (upper panel). Perfusion of 0.2mM dihydrostreptomycin (DHS), a blocker of mechanotransduction channel, abolishes all transduction current (flat trace in lower panel). (b) Examples of current templates with varying amplitude and frequencies.

Figure 3.3a shows the responses of two p15 IHCs to injection of mechanotransduction-like currents. For clarity, only responses with one holding potential (-77 mV) are shown on Figure 3.3a. Firstly, with increasing stimulus frequency, the amplitude of the oscillation (a.c. component) decreases (Figure 3.3a). The a.c. component of the response was quantified by measuring the peak-to-peak difference of the response. Secondly, both the a.c. component and the mean depolarization (d.c. component) increased with amplitude of the injected current. The d.c. component was measured as the difference between mean steady-state potential and the holding potential.



#### Figure 3.3: Response of IHCs to current injections mimicking natural mechanoelectrical transduction.

(**a1**) and (**a2**) averaged voltage response of two representative p15 IHCs to naturalistic (rectified sinusoidal) current injection of different amplitudes and frequencies at a holding potential of -77 mV. D.c. component of the response was measured as the mean depolarization at steady-state and the a.c. component as the peak-to-peak potential difference. Both d.c. and a.c. component increased with amplitude of injected current but the a.c. component decreased with frequency. (**b**) Different responses of a pre-hearing (p10, red) and a hearing (p17, black) IHC to the same stimuli (200 Hz, 200 pA). (**c**) RC circuit model of the whole-cell patch-clamp configuration. (**d**) Estimation of voltage response in silico by solving the two ordinary differential equations using passive electric properties of the IHC at holding potential.

Pre-hearing IHC responded with much stronger depolarization and showed action potentials with the smallest (200 pA) injection amplitude (Figure 3.3b).

Interestingly, most of the responses could be well reproduced *in silico* with a simple resistance-capacitance (RC) circuit model of the whole cell patch-clamp configuration (Figure 3.3

c-d), only using the passive electric properties ( $C_m$ ,  $E_{rev}$ ,  $R_m$ ) of the IHC, those of the recording electrode ( $R_s$ ,  $C_p$ ) and the current template ( $I_{input}$ ) as input parameters. The model is described by the two differential equations shown in Figure 3.3.  $R_s$  and  $C_m$  were estimated by the CSlow compensation in Patchmaster software.  $E_{rev}$  and  $R_m$  were estimated from a 10 mV biphasic test pulse around the holding potential during the brief voltage-clamp period before each stimulus.  $C_p$  was taken as the 3 pF of uncompensated stray capacitance during current clamp.

The measured a.c. and d.c. components of the responses from the two IHCs in Figure 3.3 are plotted in Figure 3.4, emphasizing the frequency and amplitude dependence of the a.c. component. Considering the IHC membrane acts as a low-pass filter, I fitted the a.c. response to each family of stimuli (same amplitude and holding potential) by a filter function in the form  $V = V_0/(1 + f^2/f_0^2)^{1/2}$ , where *V* is the a.c. response amplitude, *f* and *f*<sub>0</sub> are the stimulus and cutoff frequencies in Hz, and *V*<sub>0</sub> is *V* at *f* = 0. At higher frequencies, the a.c. component decreased at the typical rate of -20dB/decade, where the absolute amplitude was independent of holding potential. At lower frequencies, the amplitude decreased with higher holding potential, which increased the cutoff frequency *f*<sub>0</sub> from fit. This is probably due to a decrease in the membrane time constant upon K<sup>+</sup> channel activation (Kros et al., 1998). Table 1 summarizes the *f*<sub>0</sub> of responses from all mature (p15-17) IHCs measured. D.c. components increased with stronger injection amplitude, but decreased with more positive holding potentials (Figure 3.4). Again, a more depolarized potential led to K<sup>+</sup> channel activation, and shunted the injected depolarizing current.

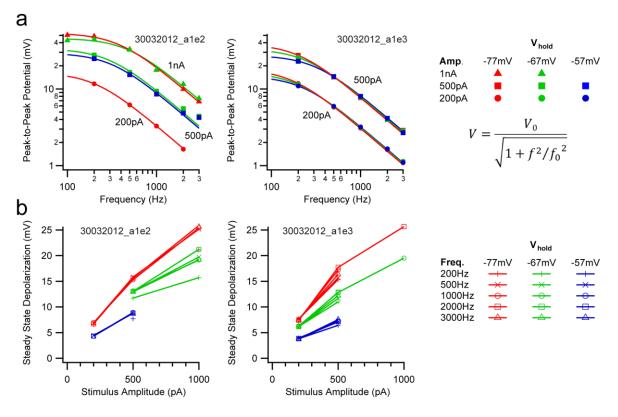


Figure 3.4: Peak-to-peak and steady-state depolarization of IHC voltage responses

(a) Log-log plot of the peak-to-peak oscillation (a.c. component) in membrane potential against stimulus frequency of the same IHCs in Figure 3.3. Solid lines represent best fits of a low pass filter function  $V = V_0 / (1+f^2/f_0^2)^{1/2}$  to data points acquired under the same stimulus amplitude and holding potential. Only datasets with at least 4 data points were plotted here. (b) Normal-normal plot of steady-state depolarization (d.c. component) against stimulus amplitude. The d.c. component increases with stimulus amplitude but decreases with more positive holding potential.

I then looked at the IHC  $Ca^{2+}$  current and the presynaptic  $Ca^{2+}$  signal ( $Ca^{2+}$  microdomain) under such oscillating potentials. In an attempt to separate their frequency-dependence from filtering contributed by the RC circuitry of the IHC membrane (see above), command voltage templates of various frequencies but identical amplitude were generated. To do so, the response of a p15 IHC to a 200 Hz, 1 nA rectified-sinusoidal current was "compressed" along the time axis to create stimulus of higher frequencies. These stimuli were then applied in voltage-clamp while monitoring the IHC  $Ca^{2+}$  current and  $Ca^{2+}$  indicator fluorescence. For each  $Ca^{2+}$  microdomain, stimuli of different frequencies were presented sequentially (either from 200 Hz to 2 kHz or from 2 kHz to 200 Hz) in an interleaved manner (the "sequence" was repeated 10 times).

	Cutoff Frquency, $f_{\theta}$ (Hz)							
Current Amplitude (pA)	200		500			1000		
Holding Potential (mV)	-77	-67	-57	-77	-67	-57	-77	-67
ABW26032012_a1e2	313.1	523.2	135.5	324.9	539.2	47.0		
ABW26032012_a1e3	558.1	750.7	1235.1	613.4	868.1	1116.4		
ABW26032012_a1e4	420.1	598.8	823.2	426.9	604.8	831.1		
ABW26032012_a1e5	308.1	399.9	489.7	387.9	420.5	521.5		
ABW30032012_a1e1						319.6		
ABW30032012_a1e2	206.2		191.7	199.2	296.5	316.2	380.9	481.2
ABW30032012_a1e3	170.4	196.5	222.2	202.9	245.1	309.6		
ABW30032012_a1e4	208.9	333.3	467.8	289.7	390.0	545.5	452.4	
Average	312	467	509	349	481	527	417	481
Standard Deviation, s.d.	138	198	398	145	212	356	_	_
(Hz).	100	170	570	110	_1_	220		
Sample Size, <i>n</i>	7	6	7	7	7	7	2	1
Standard Error, s.e.m. (Hz).	52.1	80.9	150.4	54.6	80.2	134.7	-	-

Table 1: Cutoff frequency of IHC voltage response to mechanotransduction-like current

Figure 3.5 shows, as an example, the time trace (panel a) and Fast Fourier Transform (FFT, panel b) of membrane potential ( $V_m$ ),  $Ca^{2+}$  current ( $I_{Ca}$ ) and  $Ca^{2+}$  indicator fluorescence ( $\Delta F/F_0$ ) for a  $Ca^{2+}$  microdomain, which showed modulation of the fluorescence up to 1 kHz of stimulation (arrow in bottom of panel b). The membrane potential was estimated *post hoc* from a RC circuit model similar to that in Figure 3.3c, to account for the error induced by series resistance ( $R_s$ ). The reasons of not performing normal online/offline  $R_s$  compensation methods are that (1) feedback-based  $R_s$  compensation may introduce oscillation into the system and that (2) currents through  $R_s$  would be dominated by capacitance transients not recorded by the PatchMaster software.

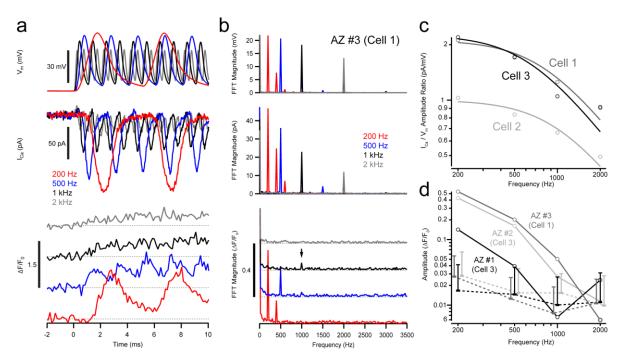


Figure 3.5: Synaptic calcium signal of mature IHCs with oscillating potential.

(a) Oscillating command voltages (upper panel) of different frequencies elicited frequency-modulated  $I_{Ca}$  (middle panel) in an IHC of a hearing mouse (p15). Peak  $I_{Ca}$  during each cycle diminished with increasing frequency. Lower panel shows the fluorescence change of Fluo-4FF in a Ca<sup>2+</sup> microdomain: a robust oscillation in  $\Delta F$  is observable at 500 Hz. (b) Fast Fourier transform (FFT) of the membrane potential (upper panel),  $I_{Ca}$  (middle panel) and  $\Delta F$  (lower panel) during the 50 ms stimulation. For  $\Delta F$ , a small peak was detectable even at 1 kHz, indicating a Ca<sup>2+</sup>-microdomain was able to produce oscillation at such frequency. (c) Amplitude of  $I_{Ca}$  (open circles) estimated as the FFT peak at the stimulus frequency, normalized to attenuation in  $V_m$  predicted by a RC circuit model. Solid lines are line fits to the same filter function as in Figure 3.4. Each shade of grey represents data from a single IHC. (d) Fluorescence oscillation (open circles and solid lines) of 3 Ca<sup>2+</sup> microdomains from 2 cells of similar  $I_{Ca}$  amplitude [Cells 1 and 3 in (e)], estimated as the FFT peak at the stimulus frequency. Dotted lines and error bars represent the mean and dispersion (3 × s.d.) of background noise in the vicinity (± 100 Hz) of the stimulus frequency, offset horizontally for clarity. In all three microdomains the fluorescence oscillation falls completely to noise level at 2 kHz.

As shown in this representative example, the  $Ca^{2+}$  current followed the membrane potential but amplitude of its modulation (a.c. component) progressively declined with increasing frequencies. Attenuation in the  $Ca^{2+}$  current (voltage-clamp) was analyzed by fitting a lowpass filter function to the data (see methods; examples in Figure 3.5c). An average  $f_0$  of 867 ± 166 Hz (n = 3 IHCs) was observed. For  $Ca^{2+}$  currents, the amplitude of FFT at the stimulus frequency was normalized to the estimated  $V_m$  (see above). The a.c. component of the Ca<sup>2+</sup> indicator fluorescence declined at even lower stimulus frequencies, being often visible at 500 Hz (5/8 Ca<sup>2+</sup> microdomains) and occasionally detectable at 1 kHz (peak in FFT; 3/8 Ca<sup>2+</sup> microdomains; 8/8 for 500 Hz). Figure 3.5d compares the magnitude of Ca<sup>2+</sup> signal observed at the stimulus frequency and the noise level, which was taken as the average plus three-times the s.d. magnitude around (±100 Hz) the stimulus frequency. I did not attempt to fit the Ca<sup>2+</sup> indicator fluorescence with the filter function as most data points at higher frequencies (1 kHz and 2 kHz) were below or near background noise level.

### 3.1.2 Biophysical properties of calcium current

Previous work identified that >90% of the voltage-gated  $Ca^{2+}$  influx in IHCs before and after the onset of hearing is carried by L-type voltage-gated channels containing the  $\alpha$ 1D pore forming subunit ( $Ca_V 1.3$  channels; Platzer et al., 2000; Brandt et al., 2003; Dou et al., 2004). However, even with the same  $\alpha$ 1 subunit,  $Ca^{2+}$  channels are prone to modulation mechanisms including splice isoforms, the presence of different auxiliary subunits and the binding of calmodulin or calcium binding proteins (CaBPs) (Yang et al., 2006; Cui et al., 2007; Juhasz-Vedres et al., 2011; Tan et al., 2011). Therefore, whole-cell patch-clamp recordings from IHCs were used to study the biophysical properties of the Ca<sup>2+</sup> current during postnatal development.

As shown in Figure 3.6a, peak-normalized  $Ca^{2+}$  current-voltage (IV) relationships of p10 and p14-16 IHCs overlapped with indistinguishable half-activation potentials (V<sub>half</sub>, Figure 3.6b; p10: -29.74 ± 0.35 mV; p14-16: -29.73 ± 0.36 mV p = 0.97, Student's t-test) as estimated by fitting a Boltzmann function to the fractional activation curves. Despite a subtle difference in their slope (p10: 7.10 ± 0.09 mV; p14-16: 7.39 ± 0.06 mV; p = 0.0049, Wilcoxon Rank Test), this indicates that the voltage-dependence of activation did not change upon onset of hearing. Inactivation of Ca<sup>2+</sup> influx, under highly buffered condition (10 mM [EGTA]<sub>i</sub>), was identical between p10 and p14-16 (Figure 3.6c).

To probe whether the subsequent maturational decline of the  $Ca^{2+}$  current (Fig. 1a) reflects a reduction in the number of channels, single channel current or maximal channel open probability Thomas Frank performed non-stationary fluctuation analysis of  $Ca^{2+}$  tail currents (Roberts et al., 1990; Brandt et al., 2005) in IHCs on postnatal days 9-10, 14-16 and 21-30.

He found a decrease in the number of  $Ca^{2+}$  channels during development that almost completely accounted for the reduction of the whole-cell  $Ca^{2+}$  current (Figure 3.7a,b). There was no significant change in the estimated single channel current (p = 0.103, ANOVA; Figure 3.7c) and only a small reduction in the estimated maximal open probability measured in the presence of the dihydropyridine agonist BayK8644 (p < 0.001, ANOVA; Figure 3.7c). These data together suggest that, during postnatal maturation, the number of  $Ca^{2+}$  channels of IHCs decreases while the biophysical properties of their  $Ca^{2+}$  channels remain largely unchanged.

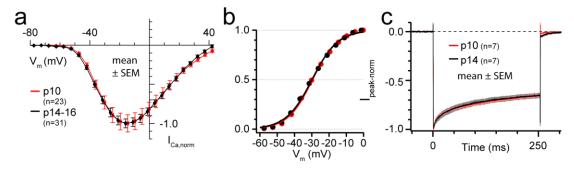


Figure 3.6: Unaltered biophysical properties of Ca<sup>2+</sup> current during development.

(a) Average steady-state IV for p10 (red) and p14-16 (black) IHCs in 5 mM  $[Ca^{2+}]_e$ , normalized to peak  $I_{Ca}$  (for panels a and b: p10, n = 23 IHCs; p14-16, n = 31 IHCs). (b) Activation curve for  $I_{Ca}$ , obtained by dividing the  $I_{Ca}$ -V curve in (a) by a line-fit to the linear portion (-5 to +20 mV). Solid lines represent fitting of a Boltzmann function in the form of  $1/(1 + \exp(V_{half} - V/slope))$ . (c) Average inactivation of  $I_{Ca}$  during a 254 ms depolarization in 5 mM  $[Ca]_e$  and highly buffered (10 mM  $[EGTA]_i$ ) condition. No obvious difference was observed between the inactivation kinetics of the two age groups (6 repetitions per cell; p10, n = 7 IHCs; p14-16, n = 7 IHCs).

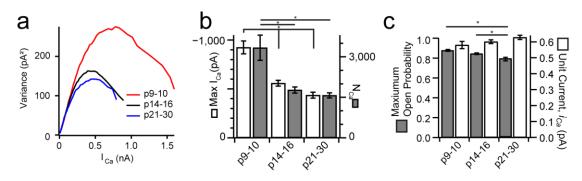


Figure 3.7: Non-stationary fluctuation analysis reveals channel number as major change in development

(a) Grand averages of variance vs. mean relationships in non-stationary fluctuation analysis of Ca<sup>2+</sup> tailcurrents. ( $[Ca^{2+}]_e = 10 \text{ mM}$ ,  $[BayK8644]_e = 5 \mu M$ ; For whole figure: p9-10, n = 13 IHCs, p14-16, n = 31 IHCs, p21-30, n = 27 IHCs) (b) The decrease in whole cell Ca<sup>2+</sup> current ( $I_{Ca}$ , empty bars) was largely matched by the reduction in number of Ca<sup>2+</sup>-channel (N<sub>Ca</sub>, solid bars; p9-10, n = 16 IHCs; p14-16, n = 33 IHCs; p21-30, n = 26 IHCs) during postnatal development. (c) There was no significant change in the estimated single channel current (in 10 mM [Ca<sup>2+</sup>]<sub>e</sub>, holding potential: -68 mV; p9-10: 0.58  $\pm$  0.02 pA; p14-16: 0.60  $\pm$  0.01 pA; p21-30: 0.63  $\pm$  0.01 pA; ANOVA, p = 0.103) and only a small reduction in the estimated maximal open probability measured in the presence of the dihdropyridine agonist BayK8644 (p9-10: 0.88  $\pm$  0.01; p14-16: 0.84  $\pm$  0.01; p21-30: 0.79  $\pm$  0.02; Tukey's test for multiple comparison, p9-10 vs p14-16, p = 0.27; p9-10 vs p20-30, p < 0.001; p14-16 vs p20-30, p = 0.006).

#### 3.1.3 Subcellular distribution of calcium channels

Given that a developmental decrease in  $Ca^{2+}$  channel number was observed (see section 3.1.2), the obvious next step was to investigate where these channel are located. With a combination of immunohistochemistry and live  $Ca^{2+}$  imaging, we showed that the  $Ca^{2+}$  channels are redistributed and progressively confined to active zones during postnatal development (Figure 3.8).

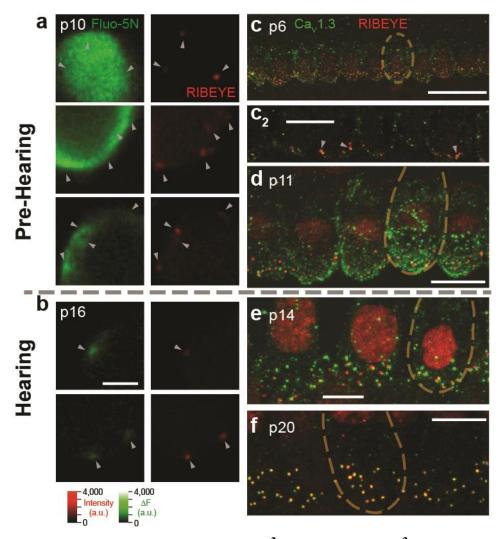


Figure 3.8: Maturational confinement of  $Ca^{2+}$  channel and  $Ca^{2+}$  signal towards active zone revealed by  $Ca^{2+}$  imaging and immunohistochemistry.

(**a-b**) Examples of XY scans across the basolateral portion of IHCs before (a, p10) and after (b, p14) the onset of hearing. Left and right panels show peptide-labelled synaptic ribbons (position marked with arrowheads in both left and right panels) and increase in Fluo-5N (400 $\mu$ M) fluorescence during a step depolarization to -7 mV (2 mM [EGTA]<sub>i</sub>). Note the relatively widespread sub-membrane Ca<sup>2+</sup> signal in immature IHCs as compared to the local Ca<sup>2+</sup> rise in mature IHCs. Still, the highest [Ca<sup>2+</sup>] was usually observed at the ribbons-occupied AZs. Scale bar: 3  $\mu$ m. (c) At p6, a projection of confocal images shows the basal halves of IHCs in the organ of Corti whole-mount, immunolabeled for Ca<sub>v</sub>1.3 (green) and RIBEYE/CtBP2 (red). Gold dashed lines in c-f demarcate the extent of an IHC. c<sub>2</sub>: Single sections show Ca<sub>v</sub>1.3 spots and also more diffuse staining throughout the cell membrane. (d) At p11 puncta of Ca<sub>v</sub>1.3 were concentrated in the basal poles near synaptic ribbons, while the entire inner hair cell membrane remained weakly labeled for Ca<sub>v</sub>1.3. (f) At p20 there was very little extrasynaptic labeling and the cell membrane was no longer diffusely immunoreactive for Ca<sub>v</sub>1.3. Each synaptic ribbon was

accompanied by a cluster of  $Ca_V 1.3$  and only few  $Ca_V 1.3$  clusters remained ribbonless. Images in d-f are maximum intensity projections. Scale bars: c, 20  $\mu$ m; c<sub>2</sub>, d, f, 10  $\mu$ m; e, 5 $\mu$ m.

Figure 3.8a shows the Ca<sup>2+</sup> signal observed when a pre-hearing (p10) IHC was depolarized to -7 mV. In comparison to the spatially-confined Ca<sup>2+</sup>-microdomains of mature IHCs (Figure 3.8b), I found a spatially-extended rise of  $[Ca^{2+}]_i$  in pre-hearing IHCs under the same conditions (400  $\mu$ M [Fluo-5N]<sub>i</sub>, 2 mM [EGTA]<sub>i</sub>, 5 mM [Ca<sup>2+</sup>]<sub>e</sub>). In many of the pre-hearing IHCs, peak fluorescence change of the Ca<sup>2+</sup> indicator was observed at the AZ, marked by a fluorescent RIBEYE binding peptide (Zenisek et al., 2004; Francis et al., 2011) (bottom row in Figure 3.8a, AZs marked by arrowheads), indicating that enrichment of Ca<sup>2+</sup>-channels at the AZ already occurred before the onset of hearing. This is further supported by immunohistochemistry at p6-11, showing spot-like synaptic Ca<sub>v</sub>1.3-immunofluorescence adjacent to each of the RIBEYE/CtBP2 immunofluorescent spots (arrowheads in Figure 3.8c<sub>2</sub>). However, we also noted Ca<sub>v</sub>1.3 immunoreactivity outside ribbon-occupied AZs of IHCs before the onset of hearing, which often appeared spot-like but could also be diffuse and spread out along the entire basolateral plasma membrane.

I attempted to quantify the density of  $Ca_V 1.3$  immunofluorescence at the synaptic region and in at the extrasynaptic plasma membrane. To do so, I identified the ribbon-type AZ with RIBEYE fluorescence and registered the center of each puncta in 3-dimensional (small spheres in Figure 3.9b). Immunofluorescence in the  $Ca_V 1.3$  channel within 400 nm of the each ribbon center was considered ribbon-associated. Because of difficulty in directly defining the plasma membrane region from the staining, it was approximated by connecting any ribbons within 3 µm distance (black lines in Figure 3.9b), which covers around 84% of the nearest neighbor distances in mature IHCs (calculated from distribution in Meyer et al., 2009), and regarding the fluorescence signal inbetween as membrane-associated (a cylinder of 800 nm diameter with concave ends; illustrated in Figure 3.9c). I found that at p6 the fluorescence density on the basal plasma membrane was around 43% (n = 6 IHCs) of that of the ribbonoccupied AZ, while at p21 it decreased to only 9% (n = 3 IHCs).

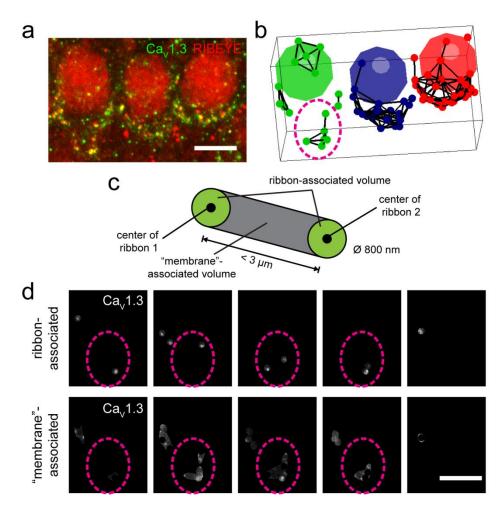


Figure 3.9: Estimation of density of membrane-associated vs ribbon-associated  $Ca_V 1.3$ 

(a) A projection of an example confocal stack used for analysis (p6).  $Ca_v 1.3$  staining is shown in green and RIBEYE staining is shown in red. Juxtaposing  $Ca_v 1.3$  and RIBEYE puncta were identified as synapses and the center of the ribbon at each synapse was estimated from 2D Gaussian fit to the confocal section showing brightest fluorescence (in focus). (b) A 3-dimensional representation of identified synaptic ribbons in (a). Large colored spheres represent nuclei and small spheres represent ribbons in the cell with the respective color. Black lines connect ribbons within 3  $\mu$ m distance. (c) Illustration of how ribbon-associated and membrane-associated volumes were defined. (d) Consecutive confocal sections in the  $Ca_v 1.3$  channel masked by either the ribbon-associated (upper panels) or membrane-associated (lower panels) volume. Purple broken ovals mark a clustered of ribbons identifiable in (b).

### 3.1.4 Calcium microdomain at active zones

If enrichment of  $Ca^{2+}$  channel to ribbon-occupied active zones (AZs) already occurred before the onset of hearing, one can restrict the  $Ca^{2+}$  signal to the AZ by including a high concentration of the  $Ca^{2+}$  chelator EGTA into the intracellular solution (Frank et al., 2009). In combination with fluorescent-labeling of presynaptic ribbons (Zenisek et al., 2004; Francis et al., 2011), I studied the synaptic  $Ca^{2+}$  influx at AZs of pre-hearing IHCs, which has been shown to be heterogeneous in mature IHCs (Frank et al., 2009).

AZ-associated  $Ca^{2+}$  microdomains were first identified in an XY scan and co-localization with the fluorescent RIBEYE-binding peptide (see Material and Methods). In all cases when ribbons were brightly labeled by the fluorescent peptide, I only observed  $Ca^{2+}$  microdomains that were associated with a ribbon. Identified  $Ca^{2+}$  microdomains were than further analyzed using either a line-scan (for size and amplitude measurements) or a point-scan (voltage dependence measurements) protocol.

Figure 3.10a shows several examples of the change in fluorescent intensity of Ca<sup>2+</sup> indicator at ribbon-occupied AZs under the line-scan protocol (20 ms depolarization to -7 mV), presented in pseudocolor. Panel b shows spatial profiles of the fluorescence change ( $\Delta F$ ) during the second half of the stimulus, where panel c shows the temporal profile of the  $\Delta F$ (average of 3 pixels centered at maximum). At first glance, the spatiotemporal properties of the synaptic Ca<sup>2+</sup>-microdomains of p10 and p14 IHCs elicited were generally comparable (Figure 3.10a-c). To quantify these parameters, a Gaussian function was fitted to each of the spatial profile (see Figure 3.10b) and the full-width of half maximum (FWHM) was used as a measurement of the size of the Ca<sup>2+</sup> microdomain. Amplitude was taken as the average  $\Delta F$  or baseline-normalized  $\Delta F$  ( $\Delta F/F_0$ ) during the second half of the stimulus. Onset kinetics was estimated by fitting a single exponential function to the temporal profiles (see Figure 3.10c). Decay kinetics was not assessed as the low signal-to-noise ratio after the end of the depolarization in line scan protocols prevents a good estimate.

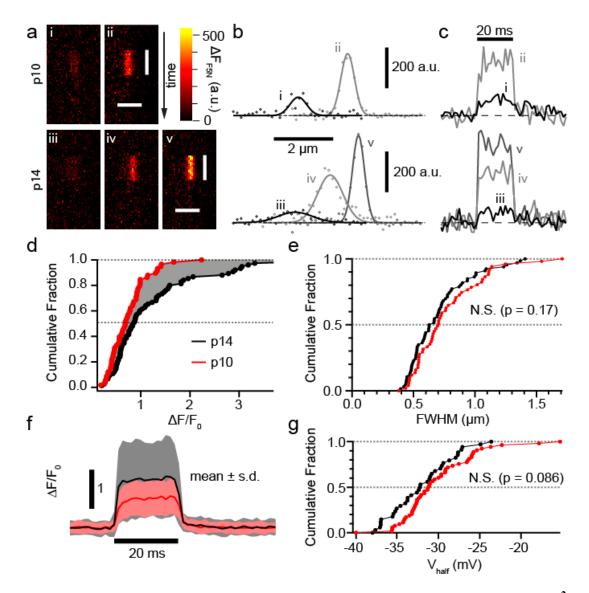


Figure 3.10: Developmental emergence of ribbon-occupied AZs with intense Ca<sup>2+</sup> influx

(a) Examples of Fluo-5N fluorescence change ( $\Delta F_{F5N}$ ) at synaptic sites upon a 20 ms depolarization to -7 mV in pre-hearing (p10) and hearing (p14) IHCs, using line scans. All images were baseline subtracted (average of 50 ms period before depolarization) and have the same color look-up scale. Vertical bars in (a) and (b) indicate the duration of depolarization. Horizontal scale bars in (a) and (b) are 2 µm. (b) Profile of  $\Delta F_{F5N}$  during the second half of depolarization of examples in (a). Solid lines show Gaussian fits to the line profile, which were used to estimate the spread of Ca<sup>2+</sup>-signal in (e). (c) Temporal change of Fluo-5N fluorescence at peak position. Roman numbers in (b) and (c) mark the traces corresponding to images in (a). (d) Cumulative distribution of  $\Delta F/F_0$  amplitude in pre-hearing (red trace, p10, n = 58 AZs) and hearing (black trace, p14-16, n = 75 AZs) IHCs, showing a selective emergence of high Ca<sup>2+</sup> influx synapses (right shift in upper portion of the distributions). (e) Cumulative distribution of full-width-at-half-maximum (FWHM) of  $\Delta F_{F5N}$  profiles, estimated by Gaussian fit. No significant difference was found

between the two age groups. (f) Average profile of  $\Delta F/F_0$  for p10 (red trace, n = 56) and p14-16 (black trace, n = 74). Shaded bands denote mean  $\pm$  s.d.. (g) Distribution of half-activation potential (V<sub>half</sub>) obtained from fluorescence-voltage measurements (see text).

The first striking result is that the mean  $\Delta F/F_0$  (Figure 3.10f, p = 0.007, Wilcoxon Rank Test) amplitudes of mature AZs were significantly greater than those of immature AZs in this data set. This trend is opposite to that observed in whole-cell Ca<sup>2+</sup> current (see section 3.1.2 and Figure 3.7). The onset kinetics was significantly slower in p10 Ca<sup>2+</sup> microdomains ( $\tau = 2.3 \pm 0.3$  ms, n = 48 vs 1.1 ± 0.1 ms at p14-16, n = 55; p = 7.0×10<sup>-5</sup>, Wilcoxon Rank Test). Moreover, a broader range of  $\Delta F$  amplitudes in mature IHCs (Figure 3.10a-d) with a significant difference in dispersion between the two datasets was observed (p10: CV = 0.52, n = 58; p14-16: CV = 0.82, n = 75; modified Levene's test using median [Brown and Forsythe, 1974], p = 0.0018). Interestingly, the greatest difference occurs at the upper half of the distribution, indicating a selective emergence of AZs with stronger Ca<sup>2+</sup> signal in more mature preparations. Their amplitude seemed to further increase during the 3<sup>rd</sup> postnatal week (Figure 3.12 with previously published datasets from Frank et al., 2009, 2010). However, no significant difference in dispersion was found between the median-normalized p20-31 group (Frank et al., 2010) and either of the p14 groups (new data and Frank et al., 2009).

No significant difference was found between the mean FWHM of p10 and p14-16 groups (p = 0.17, Wilcoxon Rank Test). In addition, I tested the voltage-dependence of the Ca<sup>2+</sup> signals using the Ca<sup>2+</sup> indicator Fluo-4FF (see Material and Methods, p.16), and found no significant difference in V<sub>half</sub> (Figure 3.10g and Figure 3.11c; p = 0.08, Wilcoxon Rank Test) or slope (p = 0.12, Wilcoxon Rank Test) in the fluorescence-voltage (FV) relationships.

I observed a negative correlation between the amplitude and FWHM of  $Ca^{2+}$  signal Figure 3.11a) but no significant correlation between amplitude and  $V_{half}$  (Figure 3.11b). I also compared the  $V_{half}$  estimated from FV and IV (Figure 3.11c) and found indication of positive correlation in both age groups (p10: r = 0.47, p < 0.001; p14-16: r = 0.31, p = 0.07)

Quantifying peptide-labeled ribbons in whole-cell experiments (Figure 3.8a-b), I found a developmental reduction in number of detectable ribbons from  $14.4 \pm 0.7$  at p10 (n = 12 IHCs) to  $11.8 \pm 0.5$  at p14 (n = 6 IHCs) in IHCs of the apical cochlea (approximately 300-1000  $\mu$ m

from apex). Analysis of juxtaposed RIBEYE and CaV1.3 immunofluorescence spots also revealed a developmental reduction of ribbon-occupied AZs from 21.1  $\pm$  1.2 at p6 (n = 18 IHCs) to 12.4  $\pm$  0.5 at p9 (n = 15 IHCs) to 10.3  $\pm$  0.4 at p14 (n = 25 IHCs) and 9.5  $\pm$  0.4 at p21 (n = 22 IHCs). As an estimate of the total amount of synaptic Ca<sup>2+</sup> influx, I multiplied the average  $\Delta$ F amplitude and ribbon number at p10 and p14. Interestingly, the two estimates closely matched with one another (p10: 134 a.u.  $\times$  14.4 = 1937 a.u.; p14: 161 a.u.  $\times$  11.8 = 1901 a.u.). Therefore, despite the massive reduction of IHC Ca<sup>2+</sup> current during postnatal maturation, IHCs seem to conserve the cumulative Ca<sup>2+</sup> influx across all ribbon-occupied AZs.

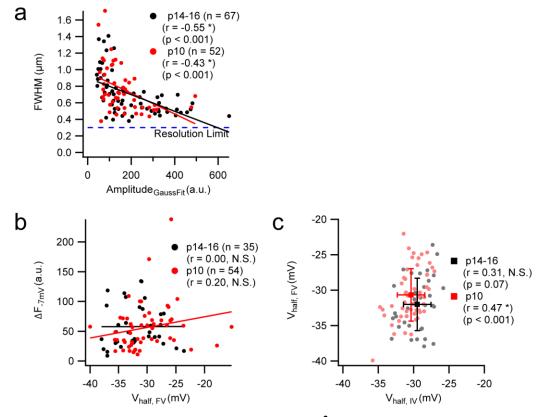


Figure 3.11: Correlation among parameters of Ca<sup>2+</sup> microdomain

(a) Negative correlation was observed between FWHM of  $Ca^{2+}$  microdomain and their amplitude. (b) No significant correlation was observed between amplitude of fluorescence change ( $\Delta F$ ) at -7mV and half-activation potential ( $V_{half, FV}$ ) in fluorescence-voltage relationship measurements. (c) Half-activation potential in FV and concomitantly measured IV ( $V_{half, IV}$ ) showed trends of positive correlation in both p10 and p14-16 age groups.

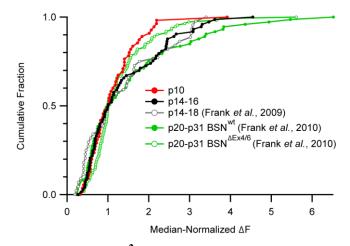


Figure 3.12: Distribution of  $Ca^{2+}$  microdomain amplitude compared with published datasets.

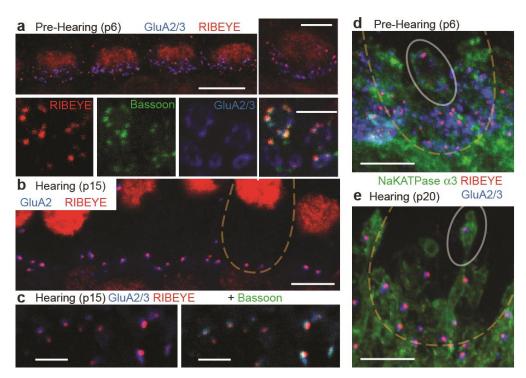
Cumulative distribution of  $\Delta F$  amplitudes normalized to their median. Figure includes data from wildtype of different age groups, taken from this study (p10, red; p14-16, black) and previous publications as indicated (Frank et al., 2009, 2010). Data from a mutant of presynaptic scaffold Bassoon (also from Frank et al., 2010) is included for comparison.

#### 3.1.5 Concomitant changes in synaptic morphology

With the help of Mark A. Rutherford, I looked at the distribution of several pre- and postsynaptic markers in organ of Corti whole mount preparations at various developmental stages. We labeled IHCs for AZ markers including RIBEYE and Bassoon, a scaffold protein contributing to ribbon anchorage (Khimich et al., 2005). We also labeled the postsynaptic density (PSD) with antibodies specific to either AMPA receptor subunit GluA2 only, or both GluA2 and GluA3. Afferent synapses of pre-hearing IHCs displayed several small pairs of AZs and PSDs that were often organized into circular structures greater than 1 µm in diameter (Figure 3.13a) larger than the size of their ring-like AMPA receptor clusters in mature synaptic contacts (< 1µm, Meyer et al., 2009). Some of the Bassoon-labeled AZs seemed to lack detectable RIBEYE immunofluorescence and likely represented ribbonless AZs. Visualization of the postsynaptic membrane by immunolabeling for the Na<sup>+</sup>/K<sup>+</sup>-ATPase  $\alpha$ 3 subunit, which specifically labels SGN in the organ of Corti (McLean et al., 2009), suggested that each of these circular structures may correspond to a synaptic contact formed by a single SGN neurite (Figure 3.13d). The contacts became spatially confined, eventually being comprised of one ribbon-occupied AZ and a single large PSD in mature preparations (Figure 3.13b, c and e). Different from the mature synapse, where typically a single ribbon faces the

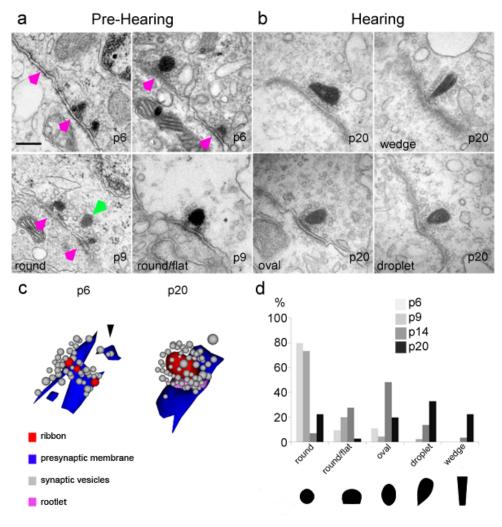
center of the ring-like singular AMPA receptor cluster (Meyer et al., 2009; also see Figure 3.13e), the ribbons of the immature synapse were located in its periphery, each juxtaposed with one of the several pre- and postsynaptic specializations (Figure 3.13a, d).

Similar finding was observed with electron microscopy performed in collaboration with Carolin Wichmann. Figure 3.14a shows representative electron micrographs illustrating the spatially extended synaptic contacts of pre-hearing IHCs. Multiple pairs of pre- and postsynaptic densities were observed, both ribbonless and ribbon- occupied (magenta arrowheads in Figure 3.14a). This is also illustrated by a 3D-reconstruction of an immature synapse from serial ultrathin sections (Figure 3.14c) showing 3 ribbon-occupied AZs and at least one small ribbonless AZ with vesicles (arrowhead). The immature ribbons typically assumed a spherical shape (Figure 3.14a, d) and were anchored by two rootlets, as previously described (Sobkowicz et al., 1982). After the onset of hearing the synaptic ribbon usually displayed a droplet- or wedge-like shape (Fig. 4b, d) and was anchored to the AZ along its entire long axis (Figure 3.14b, c). There was an increase in size of the ribbon, the number of ribbon-tethered vesicles per section, and the total length of the PSD with age (summarized in Table 2).



#### Figure 3.13: Developmental changes in morphology of hair cell afferent synapses

(a) Upper: At p6, the basal pole of each IHC was contacted by several patches of postsynaptic glutamate receptors (GluA2/3, blue) forming a circular structure. Single confocal section, enlarged at right. Lower: Each GluA2/3 spot was juxtaposed to an AZ spot, marked by protein Bassoon (green) and in some cases by a ribbon (RIBEYE/CtBP2, red). One complex circular structure was outlined in gray in the composite image. Scale bars: upper left, 10 µm; upper right, 5 µm; lower panels, 1 µm (b) Single confocal section at p15 showing juxtaposed immunofluorescence for RIBEYE/CtBP2 (red) and GluA2 (blue). Scale bar: 5 µm (c) Single confocal section with same antibody combination as in (a) at p15. The individual GluA spots seemed to have contracted into a single ring-like cluster, juxtaposed to a single ribbon. Gold dashed lines in panels c to e delineate the outline of IHCs. Scale bars: 1 µm (d) Projection of confocal images showing GluA2/3 (blue), RIBEYE/CtBP2 (red), and Na<sup>+</sup>/K<sup>+</sup>ATPase  $\alpha$ 3 subunit (green) at p6. The latter antibody labels the membranes of SGNs, each of which terminates onto the IHC with a bouton-type contact. The large circular structures formed by GluA spots partially encompass the perimeter of the bouton contacts (e.g. highlighted by gray oval). Scale bar: 5 µm e: At p20, each postsynaptic bouton (e.g. gray oval) contains only a single condensed glutamate receptor cluster that is juxtaposed to a single presynaptic ribbon. Scale bar: 5 µm.



#### Figure 3.14: Ultrastructural changes of IHC ribbon synapses in development

(**a**-**b**) Representative electron micrographs of IHC ribbon synapses in pre-hearing (**a**) and hearing (**b**) mice. (**a**) Before the onset of hearing, at p6 and p9, multiple appositions of small discontinuous pre- and postsynaptic densities were found (magenta arrowheads) typically accompanied by one or more round-shaped ribbon(s) anchored close to the presynaptic density. In some cases ribbons were "floating" in the cytoplasm at a greater distance from the membrane (green arrowhead). (**b**) After the onset of hearing, at p20, typically one ribbon occupied the presynaptic cytoplasm at the AZ. In comparison to before the onset of hearing, the mature pre- and postsynaptic densities were relatively extended and continuous. The micrograph on the upper left is a section that was cut parallel to the long-axis of a ribbon. The other sections were cut parallel to the ribbons' short axes, the perspective from which ribbon-shape was measured. (**c**) 3D reconstructions from serial sections before (left, p6) and after (right, p20) the onset of hearing. Presynaptic rootlet: density connecting the ribbon to the plasma membrane. (**d**) Through development the ribbon-shape changed from predominantly round to shapes like oval, droplet-like, or wedge-like, as shown in panels a and b. Scale bar of 200 nm in panel a applies to panels a-c.

 Table 2: Quantification of ultrastructural properties of developing IHC ribbon

 synapses

	PSD length (nm)	Ribbon size <sup>a</sup> (nm)	Ribbon-associated <sup>b</sup> vesicles per slice
рб	$433\pm30$	$106 \pm 4$	$6.6\pm0.4$
n <sub>AZ</sub>	40	40	64
p-value (p6 vs p9)	0.8187	0.03897	0.5582
p9	$421\pm43$	121 ± 6	$6.2 \pm 0.5$
n <sub>AZ</sub>	35	35	45
p-value (p9 vs p14)	0.000384	0.0023	2.49E-05
p14	$668\pm50$	106 ± 12	$10\pm0.8$
n <sub>AZ</sub>	26	26	29
p-value (p14 vs p20)	0.26943	0.45436	0.01063
p20	$614\pm23$	169 ± 6	$12.4\pm0.5$
n <sub>AZ</sub>	72	76	76

# **3.2** Ca<sup>2+</sup> dependence of exocytosis in developing IHC

It has been reported that during development, transmitter release of IHCs changes from a supralinear relationship to a linear relationship with respect to membrane potential (Johnson et al., 2005). However, controversy remains whether this is due to a switch in  $Ca^{2+}$  sensor as suggested by Johnson and colleagues (2010), or a difference in channel-vesicle coupling as demonstrated in the Calyx of Held (Fedchyshyn and Wang, 2005; Wang et al., 2008). Here, I attempted to generate insight by investigating (1) the intrinsic  $Ca^{2+}$  dependence of vesicle release, and (2) how different manipulation of  $Ca^{2+}$  influx affects release (apparent  $Ca^{2+}$  dependence), in both pre-hearing and mature IHCs..

# **3.2.1** Probing intrinsic Ca<sup>2+</sup>-dependence of exocytosis through Ca<sup>2+</sup> uncaging

To look at the intrinsic Ca<sup>2+</sup>-dependence of exocytosis, I, together with Tina Pangršič, performed UV-laser photolysis of caged Ca<sup>2+</sup> (DM-nitrophen) in IHCs of p6-8 and p14-18 IHCs. This method induces spatially homogenous elevations of cytosolic [Ca<sup>2+</sup>] to various levels, which elicits rapid exocytosis at different preparations (Heidelberger et al., 1994; Bollmann et al., 2000; Schneggenburger and Neher, 2000; Beutner et al., 2001). During the experiment, we measured changes in membrane capacitance ( $\Delta C_m$ ) and fluorescence of a low-

affinity ratiometric Ca<sup>2+</sup>-indicator (mag-fura-2) upon UV flash, giving us an estimation of vesicle fusion and post-flash cytosolic [Ca<sup>2+</sup>], respectively. A double exponential function with variable delay (see p19, Material and Methods) was used to fit the change in C<sub>m</sub>, from which rate constants and response delay were extracted. In cases where the rate constants of the two components differed by less than a factor of four (4), the traces were re-fitted with a single exponential function. The C<sub>m</sub> rise of approximately half (22 out of 40) of p6-8 IHCs and nearly all (32 out of 33) p14-18 IHCs showed a fast and a slow component. The total amplitude (results of fit) of the C<sub>m</sub> rise was largely independent of [Ca<sup>2+</sup>]<sub>i</sub> (Figure 3.16b; linear regression: r = 0.03, p = 0.84, after exclusion of an outlier), as it is the case in p14-25 IHCs (Beutner et al., 2001; and Figure 3.16b, r = -0.12, p = 0.49). However, it was approximately 3.6 times smaller than at p14-18 (324 ± 24 fF; n = 40 vs. 1161 ± 104 fF; n = 31). Moreover, when two kinetically distinct components were present, we found a greater contribution of the slow component) in p14-18 IHCs of the current dataset. Beutner and colleague (2001) reported an average of 30% contribution from the slow component in p14-25 IHCs.

We first compared the kinetics of exocytic responses with two exponents. As shown in Figure 3.16a, the rate constants of the first responses in p6-8 IHCs (filled red circles) largely overlap with those of p14-17 IHCs (filled black circles), indicating limited, if any, change in  $Ca^{2+}$  dependent kinetics of vesicle fusion. Rate constants from previously published data (Beutner et al., 2001; Pangršič et al., 2010) were overlaid as open circles for comparison. Interestingly, the rate constants of p6-8 IHCs fitted with a single exponential function (pink rhombi) overlap well with those of the second component in both p6-8 and p14-17 IHCs (red and black rhombi, respectively). This may suggest that IHCs with a single-exponential response lack a fast component of release. The reason is unclear and possible explanations will be discussed later.

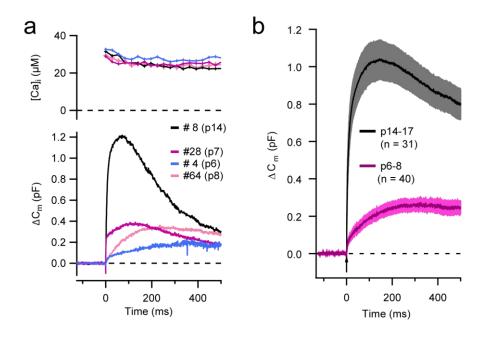


Figure 3.15: Capacitance response of IHC upon Ca<sup>2+</sup> uncaging before and after onset of hearing

(a) Examples of responses of IHCs before and after hearing onset upon UV-flash, with similar post-flash  $[Ca^{2+}]_i$ . All capacitance change ( $\Delta C_m$ ) in these examples except #64 (pink) can be fitted with a double exponential function. (b) Average  $\Delta C_m$  of pre-hearing (p6-8, magenta) and hearing (p14-17, black) IHCs upon UV-flash. Shaded areas represent mean  $\pm$  s.d.. Mean post-flash  $[Ca^{2+}]_i$ : p6-8 = 28.5  $\pm$  2.3  $\mu$ M; p14-17 = 24.0  $\pm$  1.4  $\mu$ M (N.S. p = 0.11).

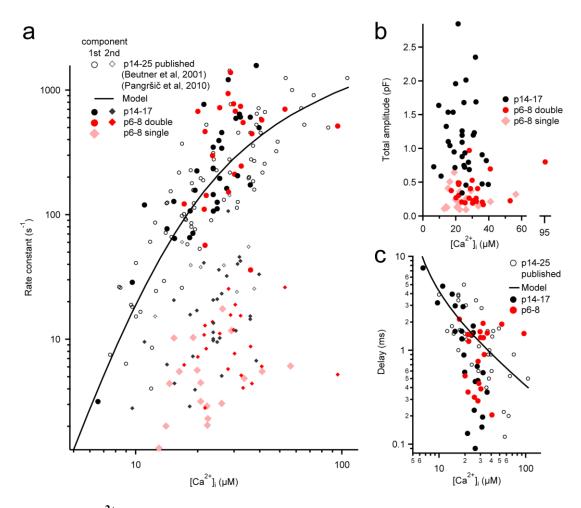


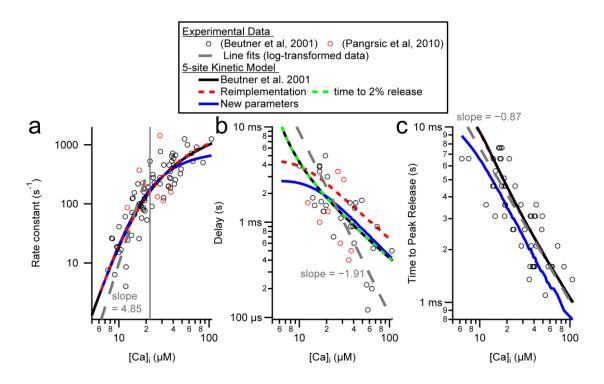
Figure 3.16: Ca<sup>2+</sup>-dependence of uncaging responses

(a) Rate constants from exponential fits to the capacitance response upon flash photolysis. Circles mark the rate constants for first (fast) component and rhombi mark those for the second (slow) components. Rates of responses in p6-8 IHCs which can only be fitted with a single component are also marked with rhombi. Note the overlap between pre-hearing (p6-8) and hearing (p14+) age groups. (b) Total response amplitudes (sum of first and second component, in case of double exponential fits) were largely independent of post-flash  $[Ca^{2+}]_i$ . (c) Delay of responses vs post-flash  $[Ca^{2+}]_i$ . See Material and Methods for definitions. Solid lines in (a) and (c) are model fits from a previous publication (Beutner et al., 2001).

#### 3.2.2 Mathematical analysis of binding kinetics

A five-site kinetic model was previously proposed to explain the observed flash responses in IHC (Beutner et al., 2001), and parameters concerning binding and fusion kinetics were extracted. Here, I attempted to re-implement the 5-site kinetic model described by Beutner and colleagues (2001). Intriguingly, while the simulated rate constants and time to peak release were successfully reproduced using the same parameters, the delay estimates (red

dashed line, Figure 3.17b) did not match that from the published article (black lines, Figure 3.17). Instead, the published data fits perfectly if delay was estimated as the time till 2% of available vesicles are released (green dashed line, Figure 3.17b). Thus, I optimized the parameters again with data from experiments performed under identical conditions (Beutner et al., 2001; Pangršič et al., 2010), using the downhill-simplex method (modified from Press et al., 1992 p.408–412, see Material and Methods for details). The new parameters (blue lines, Figure 3.17) improved in capturing the Ca<sup>2+</sup> dependence of delay over the old parameters. However, the maximal release rate at high  $[Ca^{2+}]$  was limited by the lower fusion rate  $\gamma$  (792 s<sup>-1</sup>) at the last step, which does not capture the further increase of rate constant especially apparent for the new dataset (black circles in Figure 3.16). The new datasets were not fitted by the model due to the limited number of datapoints at the lower  $[Ca^{2+}]$  range. Further considerations needs to be done, for example, the use of alternative models (Lou et al., 2005; Sun et al., 2007) and different experimental procedures (e.g. short laser pulse vs. arc lamp, sampling rate of capacitance measurement).





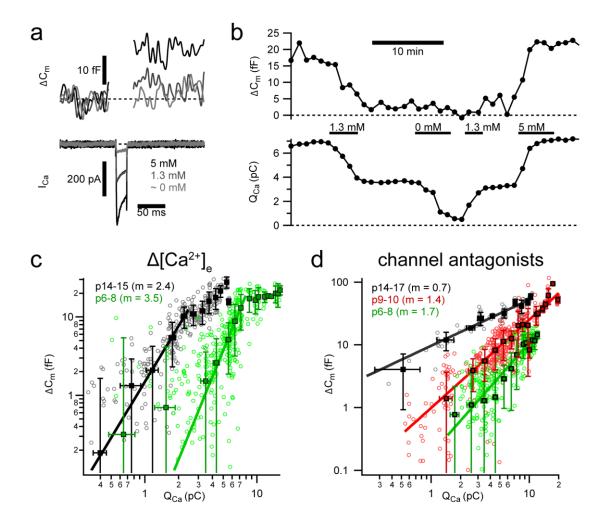
Log-log plots of (**a**) rate constant, (**b**) response delay, and (c) time to peak release vs post-flash  $[Ca^{2+}]_i$  from published datasets (Beutner et al., 2001; Pangršič et al., 2010). Overlaid are results from kinetic models implemented: published model (Beutner et al., 2001; black), reimplementation with same

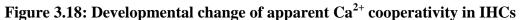
parameters (red and green dashed lines) and new parameters (blue solid lines). New parameters:  $k_{on} = 50.9 \ \mu M^{-1}s^{-1}$ ,  $k_{off} = 3705 \ s^{-1}$ , b = 0.44,  $\gamma = 792 \ s^{-1}$ . Grey dashed lines are line fits to data points using orthogonal distance regression. Line in (a) was fitted with first half of the datapoints to avoid saturating region at high [Ca<sup>2+</sup>].

#### 3.2.3 Apparent cooperativity

Since no apparent discrepancy was observed in the intrinsic  $Ca^{2+}$  dependence of vesicle release, I proceeded with experiments concerning the coupling of  $Ca^{2+}$  influx to vesicles. Here, I investigated the "apparent cooperativity" of release by measuring the amount of exocytosis of pre-hearing and mature IHCs under different manipulations of  $Ca^{2+}$  influx (Brandt et al., 2005). These different manipulations combined allow us to distinguish between two model of coupling between vesicle and  $Ca^{2+}$  channel, namely " $Ca^{2+}$  -microdomain" and " $Ca^{2+}$  -nanodomain" (for detailed explanation, see Figure 4.2 and section 4.2.1).

Figure 3.18a-b illustrates a typical experiment. A p7 IHC was voltage-clamped under perforated patch configuration achieved by including 250 µg/ml amphotericin in the pipette. The cells were depolarized to the potential eliciting maximum Ca<sup>2+</sup> current for 20 ms, in order to primarily recruit the readily-releasable pool of vesicles (RRP; Moser and Beutner, 2000; Beutner and Moser, 2001). By sequentially changing the extracellular [Ca<sup>2+</sup>] (Figure 3.18b), the single channel current (i<sub>Ca</sub>) is altered, resulting in a difference in whole-cell Ca<sup>2+</sup> influx and capacitance jump (I<sub>Ca</sub> and  $\Delta C_m$ , Figure 3.18a-b). Alternatively, Ca<sup>2+</sup> influx can be manipulated by slow perfusion of L-type Ca<sup>2+</sup> channel blockers, in which case the number of open channels will be selectively reduced.





(a) Perforated-patch recording of a pre-hearing (p7) IHC. Depolarizations elicited inward  $Ca^{2+}$  current (I<sub>Ca</sub>, lower panel) and triggered change in membrane capacitance ( $\Delta C_m$ , upper panel), a measure of vesicle fusion. Increasing extracellular [ $Ca^{2+}$ ] ([ $Ca^{2+}$ ]<sub>e</sub>) increases both I<sub>Ca</sub> and  $\Delta C_m$ . (b) Time course for  $\Delta C_m$  and integrated  $Ca^{2+}$  influx ( $Q_{Ca}$ ) of the same IHC in (a) for repetitive 20 ms depolarization to potential eliciting maximum I<sub>Ca</sub> at 60 s interval. Experiment started at 5 mM [ $Ca^{2+}$ ]<sub>e</sub> and periods where bath solution was slowly perfused with a different [ $Ca^{2+}$ ]<sub>e</sub> are marked by horizontal bars. (c) Plot of  $\Delta C_m$  against  $Q_{Ca}$ , in both pre-hearing (p6-8, green, n = 7 IHCs) and hearing (p14-15, grey, n=6 IHCs) IHCs when [ $Ca^{2+}$ ]<sub>e</sub> was altered. Each small circle represents an individual response to depolarization, while squares represent the binned average of each age group. Solid lines represent best fit power functions with the form:  $\Delta C_m = A(Q_{Ca})^m$  to the low  $Q_{Ca}$  portion of the data. The values of *m* are shown in brackets. (d) Same kind of plot as in (c) but in these experiments  $Ca_v 1.3$  channels were progressively blocked by slow perfusion of 10  $\mu$ M nifedipine or isradipine (p14-17, grey, n = 4 IHCs; p9-10, red, n = 7 IHCs; p6, green, n = 6 IHCs).

Plotting the  $\Delta C_m$  against the current integral (Q<sub>Ca</sub>) reveals the apparent Ca<sup>2+</sup>-dependence of release (Figure 3.18c-d). In case of a "Ca<sup>2+</sup>-microdomain" coupling (Borst and Sakmann, 1996), the relationship should reflect the supralinear intrinsic Ca<sup>2+</sup>dependence (Figure 3.16), no matter how the Ca<sup>2+</sup> influx was manipulated. In contrast, a "Ca<sup>2+</sup>-nanodomain" control (Bertram et al., 1996) the relationship will differ between the two manipulations (Augustine et al., 1991). In experiments where extracellular [Ca<sup>2+</sup>] was manipulated, a supralinear relationship between release and Ca<sup>2+</sup> influx was observed in both pre-hearing and mature IHCs (Figure 3.18c). When the number of channels are manipulated (Figure 3.18d), mature IHCs (p14-17) showed a rather linear relationship (pooled: m = 0.7; average: m = 1.01 ± 0.23; n = 4 IHCs) but those of p6-8 IHCs remained slightly supralinear (pooled: m = 1.7, n = 6 IHCs; average: m = 2.47 ± 0.31, n = 4 IHCs). This indicates that during development, the IHC ribbon synapse progressively switches to a tighter "nanodomain"-like coupling.

### 3.3 Characterization of vglut1-pHluorin (vGpH) Reporter in IHCs

While whole-cell capacitance measurements gave us a good impression of how the IHC ribbon synapse operates on average, it lacks the resolution to distinguish individual AZs in a single IHC. In order to elucidate whether the intracellular heterogeneity of synaptic  $Ca^{2+}$  signals translates into differences in exocytosis among the active zones and therefore is relevant for expanding the dynamic range of sound encoding, a genetically-encoded fluorescent reporter of exocytosis was tested in IHC.

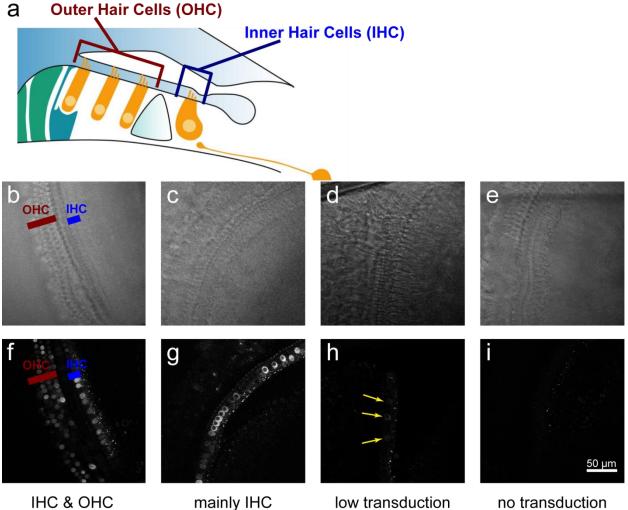
Using an adeno-associated virus (AAV) produced by the lab of Sebastian Kügler (construct prepared by Kirsten Reuter), Organs of Corti were transduced to express the fusion protein vglut1-pHluorin (vGpH) (through embryonic injection of otocysts by Kirsten Reuter and Christiane Senger-Freitag). The fusion construct was created by inserting pHluorin, a pH-sensitive variant of green fluorescent protein (GFP) (Miesenböck et al., 1998; Sankaranarayanan et al., 2000), into an intraluminal loop of the vesicular glutamate transporter vglut1 (Balaji and Ryan, 2007). In the following section I will characterize the feasibility of using vGpH as an optical measurement of exocytosis in transduced IHCs.

#### 3.3.1 Transduction efficiency, expression level and subcellular localization

First, the efficiency of transduction was investigated. Figure 3.19 shows several acutely excised preparations under live fluorescent imaging, demonstrating the spectrum of different

transduction efficiencies achieved. Even at resting condition, fluorescence of vGpHexpressing IHCs can be observed (Figure 3.19f-h). Transfection efficiency varied between one to a few cells in the whole apical half turn (>50 cells, e.g. Figure 3.19h), to more than 90% transfection. In some cases, OHCs were also transfected (Figure 3.19f, g).

Also notable is that within the same preparation, a variability of fluorescence intensity among IHCs was always observed (e.g. Figure 3.19f). Experiments with transient exposure of 50 mM NH<sub>4</sub>Cl, which alkalizes the intravesicular lumen and results in an increase in pHluorin fluorescence (Miesenböck et al., 1998), showed a similar percentage fluorescence increase (~2-fold) for IHCs with different baseline intensity (Figure 3.23b). Together with results from correlation analysis by immunohistochemistry (Figure 3.20), the variability of fluorescence was likely caused by a difference in expression level, probably due to different copy number of the expression construct.



transduced

transduced

rate

## Figure 3.19: Spectrum of viral transduction in organ of Corti through embryonic injection

(a) Illustration of a cross-section of the organ of Corti, marking the positions of IHCs and OHCs. (b-e) Brightfield images of acutely dissected organs of Corti from mice injected with the vGpH virus. (f-i) Confocal section of the corresponding Organs in (b-e). Transfected IHCs identified in (h) are marked with yellow arrowheads.

To assess whether vGpH was correctly targeted to synaptic vesicle, transduced Organs of Corti were stained with an antibody against the endogenous IHC glutamate transporter, vesicular glutamate transporter 3 (vglut3) (Seal et al., 2008), and an antibody against transgenic vglut1 (Figure 3.20). The intensity of vglut1-staining reveals that expression levels are variable among transfected IHCs, as shown above in live imaging (Figure 3.19). In Figure 3.20a, a correlation map of vglut1 and vglut3 immunofluorescence intensity is shown, in which the hue of each pixel was determined by the intensity ratio (see Methods). Region-ofinterest analysis at the basal end of the IHC yields an average correlation coefficient of  $0.67 \pm 0.04$  (n = 8 transduced IHCs, maximum intensity projection). It is apparent that each IHC seems to possess a specific hue, indicating a relative constant ratio between the two glutamate transporters within a given IHCs. Figure 3.20b exemplifies this finding by showing a scatter plot of pixel-wise comparison, where clusters of pixels with different slopes (and thus hue) appeared. Therefore, it can be concluded that the expression pattern of vGpH resembles that of endogenous vglut3 in transduced IHCs. On the other hand, the expression level of vGpH seems to have little impact on that of endogenous vglut3 (Figure 3.20c).

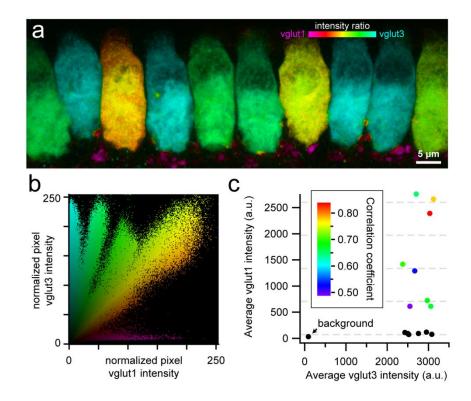
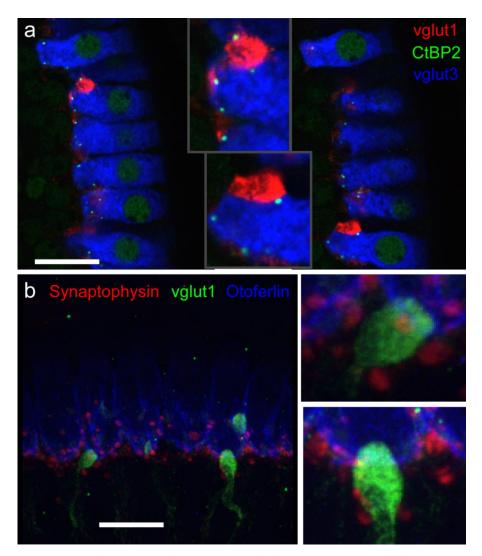


Figure 3.20: Expression of vGpH does not affect expression, and resembles the expression pattern, of endogenous vglut3.

(a) Immunohistochemistry labeling of vglut1 and vglut3 in a whole-mount organ of Corti, showing of a row of IHCs with different expression level of exogenous vGpH. Lookup table was set to change hue based on ratio of the two labeling intensities in the maximum intensity projection: from magenta (vglut1 only) to yellow (equal portion) to cyan (vglut3 only). (b) Scatter plot of pixels in (a), with corresponding color lookup. (c) Average intensity of vglut1 against vglut3 in the basal part of 14 cells. Expression of vGpH does not affect that of vglut3. Expression level may be quantal, as approximated by dashed lines.



**Figure 3.21: Bouton-like vglut1 immunoreactivity appears to be afferent terminals.** (a) Confocal projection of a transduced whole-mount organs of Corti stained with antibodies against vglut1 (red), CtBP2/RIBEYE (green) and vglut3 (blue).. Vglut1-positive boutons were observed juxtapose to synaptic ribbon revealed by CtBP2/RIBEYE staining. (b) Confocal projection of a transduced whole-mount organs of Corti stained for syanptophysin (red), vglut1 (green) and otoferlin (blue). Synaptophysin stained efferent terminals which did not localize but appeared to contact the vglut1-positive boutons. Scale bars: 10 µm

Vglut1 staining was also sometimes observed in small bouton-like structure around the basal portion of IHCs. Based on their juxtaposition to synaptic ribbons (Figure 3.21a) and non-co-localization with synaptophysin (Figure 3.21b), these structures likely include afferent terminals of transfected SGN, or, maybe less likely, SGNs which endogenously express and target vglut1 to afferent terminals. Moreover, due to the variability of transfection, we also

cannot exclude that some of the vglut1-positive (magenta) structures in Figure 3.20 could be efferent terminals, which have a similar morphology as shown by synatophysin staining in Figure 3.21b.

The seemingly ubiquitous presence of vGpH within transfected IHCs (Figure 3.20) prompted the question whether the expressed protein is localized in subcellular compartments other than synaptic vesicles. It was shown in rat (Siegel and Brownell, 1986; Schug et al., 2006) that the *cis*-Golgi network (CGN) concentrated in the apical part of IHC and apparently colocalized with another vesicular marker otoferlin at epifluorescence resolution. If the same is true in murine IHCs, enrichment in Golgi apparatus could possibly explain the apical expression of vglut1-pHluorin, where no afferent synapses are found.

Indeed, confocal images of a vGpH expressing IHC stained with GM130, a *cis*-Golgi marker, (Figure 3.22a, deconvolved) revealed the apical localization of CGN in IHC. However, with a closer inspection of the staining pattern (Figure 3.22b-d), it is apparent that vGpH is not enriched in CGN. Immunoreactivity of GM130 even appears to preferentially localize in region devoid of vGpH. This is exemplified by the line profiles of fluorescence intensity (Figure 3.22e)

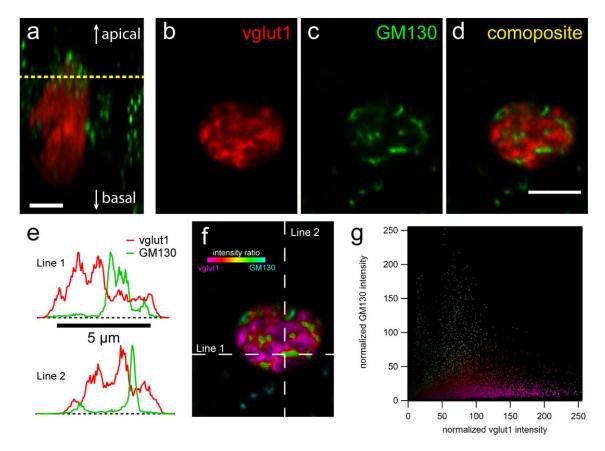


Figure 3.22: vGpH is not enriched in Golgi of transfected IHCs.

(a) Lateral projection of a confocal stack imaging a transduced IHC stained with anti-vglut1 (red) and anti-GM130 (green) antibodies. Images were deconvolved by 20 iterations of the Richardson-Lucy method. Scale bar: 4  $\mu$ m. (**b-d**) Optical section at yellow dashed line in (a). (e)Line profiles or the intensities of vglut1 and Gm130 staining, positions shown in (f). (f) Correlation map between the two staining where hue changes from magenta (vglut1 only) to yellow (equal portion) to cyan (GM130 only). (g) Scatter plot of the pixel intensities of the optical section with same lookup as (f).

#### 3.3.2 Measurement of intravesicular pH

As another attempt to characterize the subcellular targeting of vGpH, I tried to measure the luminal pH of the vGpH-targetted compartment. Extracellular solutions of various pH containing 20  $\mu$ M carbonyl cyanide 4-(trifluoromethoxy)phenylhydrazone (FCCP), a protonophore and known mitochondrial blocker, were sequentially applied to a transduced organ of Corti (Figure 3.23a). FCCP blocks mitochondrial production of ATP by acting as a protonophore and depleting the proton gradient across the inner membrane. The same action should therefore also equilibrate the pH across cell membrane of intracellular organelles. By applying solutions with different pH and measuring the fluorescence of pHluorin molecules,

we can estimate the resting pH of the compartment (Figure 3.23b-c). Each solution was applied for five minutes to allow equilibration and IHC nuclei were used as landmarks to correct for drifts in the vertical position. From the plots of Figure 3.23 the resting pH was estimated to be around pH 6.5, and a brief application of 40 mM NH<sub>4</sub>Cl elevate the pH to around 7.5, leading to around 2-fold increase in fluorescence. This estimate is much higher than a previously measured pH of 5.5 in synaptic vesicle of neurons (Miesenböck et al., 1998)

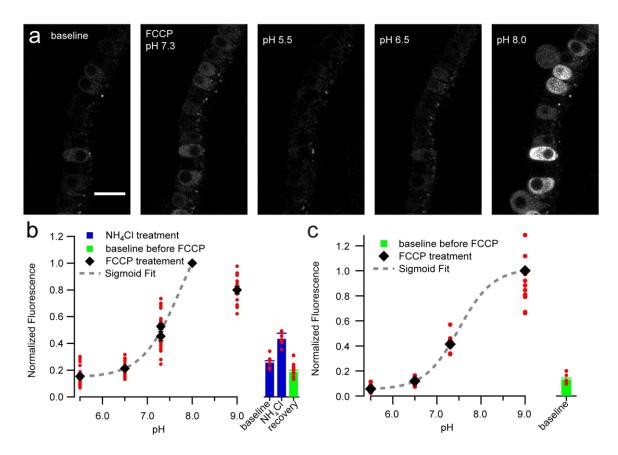


Figure 3.23: Calibrating pH of intracellular compartment by bath application of protonophore FCCP.

(a) Raw confocal images of transduced IHCs under perfusion of artificial perilymph (baseline) and solutions of different pH with 20  $\mu$ M FCCP. (b) Left panel: Average normalized fluorescence intensity of IHCs against pH of FCCP solution. Right panel: Average intensity during NH<sub>4</sub>Cl treatment under the same normalization. Experiment was performed in the following order: Ringer, NH<sub>4</sub>Cl, Ringer, pH7.3, pH5.5, pH6.5, pH7.3, pH8.0, pH9.0. Lower fluorescence intensity was observed at pH9.0 due to deterioration and swelling of cells, with possible increased bleaching at pH8.0. (c) Same experiment repeated starting from pH9.0. In both (b) and (c) the baseline fluorescence is similar to that at around pH6.5. Note the different normalization used in (b) and (c).

#### **3.3.3** Depolarization evoked changes in fluorescence

In order to assess whether vGpH in viral-transduced IHC can report synaptic vesicle fusion, I performed whole-cell patch-clamp recordings on these IHCs. Similar to Ca<sup>2+</sup> imaging, a TAMRA-conjugated RIBEYE-binding peptide was introduced into the cell to visualize synaptic AZ. Figure 3.24 shows an example in which a step depolarization induced a step-wise change in membrane capacitance ( $\Delta C_m$ ) as well as an increase of pHluorin fluorescence ( $\Delta F_{vGpH}$ ) at the AZ (Figure 3.24c-d). In a number of trials (including the example in Figure 3.24), repetitive stimulation revealed hotspots of  $\Delta F_{vGpH}$ . In many cases, however,  $\Delta F_{vGpH}$  signal diminishes after one or two repetition despite persistent increase in capacitance.

To access the effect of bleaching, the same imaging protocol (scan rate, image size, laser power) was performed on transduced IHCs exposed to 50 mM  $NH_4Cl$ . Figure 3.25a shows the average per frame fluorescence of the time series, fitted with a double exponential function:

## $y = y_0 + A_1 \exp(-t/\tau_1) + A_2 \exp(-t/\tau_2)$

In all traces, a rapid decline in fluorescence ( $\tau_1 = 0.4$  s) was observed, followed by a slower decline ( $\tau_2 = 23.6$  s). The rapid component represents a small fraction ( $A_1 = 0.11$ ) of the fluorescence while the slower component represents around half ( $A_2 = 0.48$ ). The presence of multiple components in have been reported in FRAP (fluorescence recovery after photobleaching) experiments for GFP and its variants, and the first rapid component was attributed to a reversible photoswitching process (Sinnecker et al., 2005; Mueller et al., 2012). The second component, on the other hand, represents the decline in fluorescence due to irreversible photobleaching. From the time constant  $\tau_2$  and amplitude  $A_2$ , I calculated an initial rate of 0.02 s<sup>-1</sup>, translating into a 0.2% per frame bleaching rate (10 Hz scanning rate). This is much higher than in another study using vGpH under epifluorescence, in which single vesicle resolution was achieved (0.01% per frame at 5.9 Hz, Balaji and Ryan, 2007).

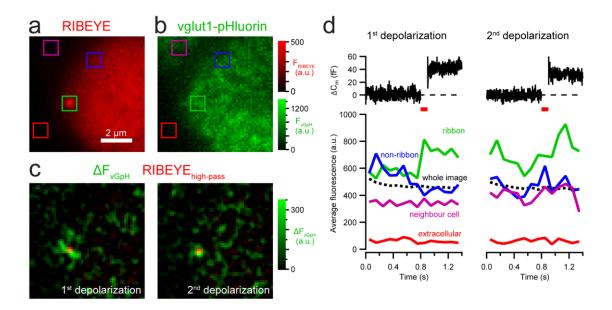


Figure 3.24: Depolarization of vGpH expressing IHCs reveals hotspots of vesicle fusion.

(a) Fluorescence of TAMRA-conjugated RIBEYE-binding peptide (monomer) in a vglut1-pHluroin expressing IHC under whole-cell patch-clamp configuration. A synaptic ribbon can be identified (green box). Colored boxes mark regions-of-interest (ROIs) analyzed in (d). (b) Simultaneously acquired fluorescence of vGpH. Both (a) and (b) are averages of 15 frames acquired at 10 Hz during the entire stimulus protocol shown in (d). (c) Increase in vGpH fluorescence ( $\Delta F_{vGpH}$ , green) after a 100 ms depolarization to -17 mV, calculated as the difference between the 5-frame averages before and after the depolarization. The  $\Delta F_{vGpH}$  channel were subjected to a 5x5 pixel Gaussian filter for display. RIBEYE channel (red) was subjected to a high pass filter to highlight position of ribbon (subtraction of image blurred by 3 passes of a 5 × 5 pixel Gaussian filter). Two repetitions show similar "hotspot" of  $\Delta F_{vGpH}$  at the ribbon (d) Whole cell capacitance change ( $\Delta C_m$ , top) and vGpH fluorescence at different ROIs (bottom) during the stimuli. Note the stepwise increase in fluorescence at the ribbon during the first depolarization. Fluorescence change is less obvious in the second depolarization, despite robust  $\Delta C_m$  (first: 40.8 fF; second: 32.0 fF). For this experiment, 1% of maximum intensity was used for the 488 nm laser line.

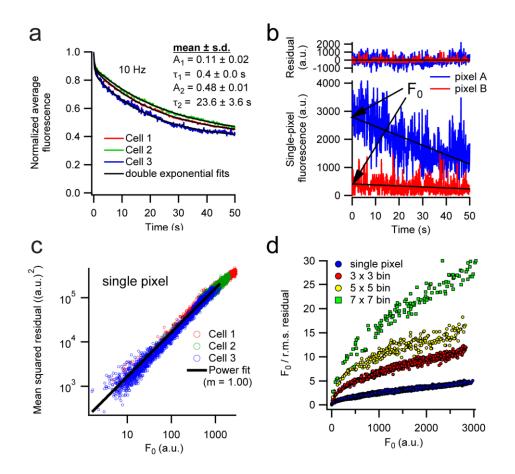


Figure 3.25: Assessment of bleaching rate and signal-to-noise ratio of pHluorin imaging

(a) Normalized average per frame fluorescence of 3 time series of vGpH expressing IHCs exposed to 50 mM NH<sub>4</sub>Cl, acquired at same settings as in Figure 3.24 (10 Hz, 1% maximum laser intensity and 56 × 56 pixel frame). Bleaching was assessed by fitting a double exponential function to the traces. A small but rapid decline in fluorescence ( $\tau_1 = 0.4$  s) was observed in the beginning of the time series, followed by a slower persistent decline ( $\tau_2 = 23.6$  s). From  $\tau_2$ , an estimate of 0.2% per frame bleaching rate was obtained. (b) Fluorescence traces (bottom) of two pixels from cell 1. A line fit (black traces) was used to estimate the baseline fluorescence (F<sub>0</sub>) and noise (residual, top). (c) Plotting the mean squared residual against F<sub>0</sub> in logarithmic scale reveals a slope (m) of one, an indication of Poisson noise. (d) Spatial binning improves Signal-to-noise ratio. The ratio of F<sub>0</sub> to the root-mean-square (r.m.s.) of residual was plotted against F<sub>0</sub>. SNR of single pixel (blue symbols) was low even at high intensity. Analysis was repeated with binning of small squared ROIs (size indicated in legend). Exponential fits were used for the less noisy 7 × 7 bins, which was also the ROI size used in Figure 3.24.

The noise level of the imaging system was also assessed. As shown in Figure 3.25b, the fluorescence intensity was extracted for individual pixels. Each trace was then fitted with a

line, which is more robust than an exponential function for low intensity traces. The baseline fluorescence  $F_0$  was taken as the y-intercept of line fit. Noise can be estimated by the residual from the fit. Mean squared residuals for individual pixels are calculated and plotted against  $F_0$  in Figure 3.25c, which showed linear relationship (Power fit:  $y = AF_0^m$ , m = 1.00). This suggests the noise is dominated by the Poisson shot noise, instead of multiplicative Gaussian noise that could result during amplification. Signal-to-noise ratio (SNR), here approximated by dividing  $F_0$  by the root-mean-square (r.m.s.) of the fit residuals, was rather low for single pixels, which can be improved by binning Figure 3.25d. Here,  $F_0$  was defined as the "signal" because no stimulation was performed. This SNR estimate is therefore an overestimation because (1) the average fluorescence was lower than  $F_0$  due to bleaching; and (2) baseline fluorescence will contribute to the shot noise for a  $\Delta F$  signal.

# 4 Discussion

### 4.1 Release machinery of IHC ribbon synapse during postnatal development

It has been reported that during postnatal development of mouse (Beutner and Moser, 2001; Johnson et al., 2005) and gerbil (Johnson et al., 2009) IHCs, the efficiency of  $Ca^{2+}$  influx in triggering exocytosis increases. In addition, a linearization or reduction in apparent  $Ca^{2+}$  cooperativity of release was reported in these studies, depending on the species and tonotopic region. The lack of synaptotagmin IV (Syt4), myosin VI (myoVI) or mutation in the transmembrane cochlear-expressed gene 1 (tmc1) have been observed to abolish this linearization (Marcotti et al., 2006; Roux et al., 2009; Johnson et al., 2010). However, IHCs of adult tmc1 and myoVI mutants exhibit other immature phenotypes, hinting at a gross developmental defect (Marcotti et al., 2006; Roux et al., 2009). From data on the Syt4 mutant, Johnson and colleagues (2010) proposed a developmental switch of molecular machinery, but no direct evidence on difference in  $Ca^{2+}$  dependence of release have been demonstrated between IHCs before and after hearing onset. In addition, otoferlin, a putative  $Ca^{2+}$  sensor in IHCs, is required for exocytosis in IHCs from 4<sup>th</sup> postnatal day (Beurg et al., 2010), way before the onset of hearing. In order to probe whether a postnatal change in  $Ca^{2+}$  sensor properties occurs, we preformed  $Ca^{2+}$ -uncaging in p6-8 and p14-17 IHCs (see section 3.2.1)

#### 4.1.1 Developmental changes of in flash responses

The observed smaller amplitude in p6-8 IHCs (Figure 3.15) can be partly explained by the lower number of available vesicles. A p14 ribbon observed in electron microscopy occupied on average four ultrathin sections (70 nm), while a p6 ribbon typically spanned 1.5 sections. Taking into consideration the halving of the ribbon number from p6 to p14 (see section 3.1.4) and data in Table 2, it can be estimated that a two-fold developmental increase in the total number of ribbon-tethered vesicles per IHC occurs during this period (~210 in p6 vs ~410 in p14). Nevertheless, the reason behind the huge discrepancy between the size of RRP (10-20 fF, Moser and Beutner, 2000; Beutner and Moser, 2001; Johnson et al., 2005) and the size of the flash responses (p6: 324 fF; p14-17: 1161 fF; and p14-25: 1520 fF in Beutner et al., 2001) has remained elusive. Using a conversion factor of 45 aF (mature) and 48 aF (immature) per vesicle (Neef et al., 2007), the estimated numbers of ribbon-associated vesicle match

better with RRP (220-440 vesicles) than the flash response (6750 or 25800-33800 vesicles). The flash responses, therefore, likely include replenishing vesicles. In addition, the possibility of  $Ca^{2+}$  dependent extrasynaptic exocytosis cannot be excluded.

The C<sub>m</sub> increase in most mature (31 of 32; p14-17) IHCs could be approximated by a double exponential function, while responses in almost half (18 of 40) of the prehearing (p6-8) IHCs exhibited only a single component. We observed that the rate constants of responses with only one exponential component were comparable to that of the second (slower) component of two-component responses. Interestingly, similar observations were reported earlier in bovine chromaffin cells (Heinemann et al., 1994). Heinemann and colleagues (1994) postulated two hypotheses. First, the two capacitance time courses may correspond to two different cell types. Alternatively, the two components may correspond to parallel pathways of secretion, and some cells only have the slower pathway while others have both. Considering the first hypothesis, a mixture of cell type is improbable in our case as the response in mature IHCs does not such segregation. The existence two populations could also be due to variability in a developmental switch of release mechanism. Although both types of responses were observed in p6, p7 and p8 (single/total: 1/6, 14/27, 3/7, respectively), a large variability in maturational time course among IHCs can mediate such observation. In addition, further supporting this hypothesis is the observed increase in contribution of the fast component (p6-8: 31%; p14-18: 49%; p14-25: 70% in Beutner et al., 2001) for cells showing two components. It would be interesting to see whether more two-component IHCs would be observed in intermediate age (e.g. p10) than in p6-8.

Another possibility, corresponding to the second hypothesis, is that some of the cells have already depleted their fast component due to the relatively high pre-flash  $[Ca^{2+}]$  in the current dataset ( $[Ca^{2+}]_{pre}$ ; p6-8: 5.2 ± 0.5 µM, n = 40; p14-17: 4.9 ± 1.0 µM, n = 16). Although the use of the low affinity indicator mag-fura-2 (K<sub>d</sub> = 35.1 µM, Naraghi, 1997) did not allow accurate measurement of baseline  $[Ca^{2+}]$ , a qualitative inspection reveals that IHCs with a single component in their flash responses actually has a lower average  $[Ca^{2+}]_{pre}$  (3.8 ± 0.4 µM) than that of their double exponent counterparts (6.3 ± 0.8 µM). Response amplitude did not seem to correlate with  $[Ca^{2+}]_{pre}$  (r = -0.06, p = 0.71; Figure 4.1b). This argues against the depletion of fast component by high baseline  $[Ca^{2+}]$ . On the contrary, the higher  $[Ca^{2+}]_{pre}$  may seem to

facilitate and speed up release, creating a faster first component in two-component cells. This hypothesis is in agreement with the facilitation observed with pre-depolarization in pair-recordings (Goutman and Glowatzki, 2011). Nevertheless, it remains speculative and need to be further tested with experiments.

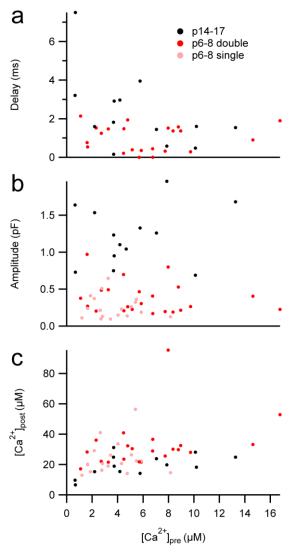


Figure 4.1: Properties of Ca<sup>2+</sup> uncaging responses versus pre-flash [Ca<sup>2+</sup>]<sub>i</sub>

(a) Response delay (Pearson's correlation, r = -0.15, p = 0.37), (b) amplitude (r = -0.06, p = 0.71), and (c) post-flash [Ca<sup>2+</sup>] (r = 0.40, p = 0.01) plotted as a function of [Ca<sup>2+</sup>]<sub>pre</sub>. Black circles represent responses from subset of mature (p14-17) IHCs where [Ca<sup>2+</sup>]<sub>pre</sub> data was available. Red and pink circles represents responses from pre-hearing (p6-8) IHCs which has a double (red) or single (pink) exponential time course. Note that the low [Ca<sup>2+</sup>]<sub>pre</sub> cannot be accurately determined with mag-fura-2 (K<sub>d</sub> = 35.1  $\mu$ M).

Although the limited range of postflash  $[Ca^{2+}]$  in experiments presented here was not sufficient for the derivation of a kinetic model, rate constants and delay of the responses in pre-hearing (p6-8) and mature (p14-17) IHCs were largely similar (Figure 3.16). This provides evidence that release of vesicles in IHCs is likely mediated by the same  $Ca^{2+}$  sensor in both two age groups. Similar experiments on the calyx of Held synapse have shown a slight developmental shift in  $Ca^{2+}$  sensitivity in mice (p9-11 vs p16-19, Wang et al., 2008) but not in rats (p8-9 vs p12-15, Kochubey et al., 2009).

# 4.1.2 Ca<sup>2+</sup> dependence of release in IHC and molecular candidates for Ca<sup>2+</sup> sensor

As described before (Beutner et al., 2001), the release of vesicles in the IHC exhibits cooperativity for  $Ca^{2+}$  binding, showing a slope of 4-5 in the lower  $[Ca^{2+}]$  region measured (re-plotted in Figure 3.17). In the higher  $[Ca^{2+}]$  region, the release rate levels off and was proposed to be limited by the maximum release rate  $\gamma$ , which represent the intrinsic velocity of the fusion reaction.. In the new dataset (black circles in Figure 3.16), the release rate seemingly continued to increase with a steep slope at around 30  $\mu$ M [Ca<sup>2+</sup>] for both prehearing and mature IHCs. In addition, the delay to exocytic response was also shorter in the new dataset than reported by Beutner and colleagues (2001).Whether this is due to the utilization of a shorter laser pulse for uncaging instead of the temporally spreadout illumination (~1 ms pulse, hardware specification by Rapp OptoElectronic) arc lamp, or the relatively elevated pre-flash  $[Ca^{2+}]$  is yet to be determined. The 2 kHz capacitance sampling rate (see Material and Methods and Beutner et al., 2001) also limited the experimentally deduced rate constant. Future experiments should address the possibility of higher release rate constants by using sine waves of higher frequencies for capacitance measurements. Even more ideal, in terms of temporal resolution, would be the establishment of  $Ca^{2+}$  uncaging experiment using paired pre- and postsynaptic recordings (Goutman and Glowatzki, 2007), similar to those routinely performed in the calyx of Held synapse (e.g. Bollmann et al., 2000; Schneggenburger and Neher, 2000; Lou et al., 2005; Sun et al., 2007).

The exact identity of the  $Ca^{2+}$  sensor in IHC is, however, under discussion (Roux et al., 2006; Beurg et al., 2010; Johnson et al., 2010; Pangršič et al., 2010). Synaptotagmin IV (Syt4) was proposed to be the sensor responsible for linearization of  $Ca^{2+}$  -dependence (Johnson et al., 2010), due to the inability of its C<sub>2</sub>A domain to bind  $Ca^{2+}$  (Südhof, 2001). However, this hypothesis failed to explain the high cooperativity of intrinsic  $Ca^{2+}$ -dependence (Beutner et al., 2001). Moreover, Syt4 was found to localize specifically with BDNF(brain-derived neurotrophic factor)-containing but not synaptophysin-marked vesicles in hippocampal neurons (Dean et al., 2009), and thus is believed to be involved in modulation rather than direct synaptic transmission. Otoferlin, a transmembrane protein containing multiple C<sub>2</sub> domains, is another candidate  $Ca^{2+}$  sensor in IHC. C<sub>2</sub>-domains of otoferlin appeared to promote SNARE-mediated fusion in a reconstitutive system (Johnson and Chapman, 2010; but note the independence of release in IHC from neuronal SNAREs: Nouvian et al., 2011). In knockout mice of otoferlin, exocytosis in IHCs was abolished after postnatal day 4 (Beurg et al., 2010). A study on an additional otoferlin mouse mutant suggested additional roles of otoferlin in replenishment and possibly priming of synaptic vesicle (Pangršič et al., 2010). The multifunctionality of otoferlin is not unlikely, given its multi-domain structure and the many binding partners (for a review on otoferlin, see Pangršič et al., 2012). However, one should be cautious in pinpointing the IHC  $Ca^{2+}$  sensor of release and be open to other potential candidates.

## 4.2 From microdomain to nanodomain control of vesicle fusion

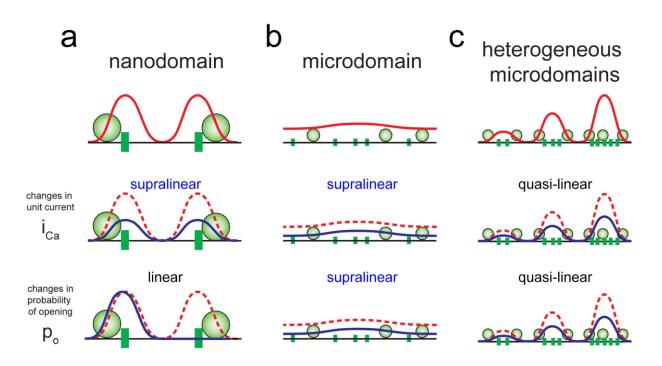
Through a combination of immunohistochemistry and  $Ca^{2+}$  imaging, I demonstrated that  $Ca^{2+}$  channels and  $Ca^{2+}$  influx are progressive confined to AZ during postnatal development of IHC (Figure 3.8). Moreover, although whole-cell  $Ca^{2+}$  current declines during the second postnatal week (Figure 3.7; Beutner and Moser, 2001; Marcotti et al., 2003; Johnson et al., 2005), total synaptic  $Ca^{2+}$  influx did not increase (section 3.1.4). The apparent developmental increase in efficiency of whole-cell  $Ca^{2+}$  current in triggering exocytosis (Beutner and Moser, 2001; Johnson et al., 2005) can therefore be due to the reduction of extrasynaptic channels, which are not contributing to fast  $Ca^{2+}$ -triggered exocytosis. The  $Ca^{2+}$  influx from extrasynaptic channels, however, may be involved during sustained release which could be inhibited by high concentration of EGTA (Moser and Beutner, 2000) and has similar dependence on cumulative  $Ca^{2+}$  influx in prehearing and hearing IHCs (Beutner and Moser, 2001).

# **4.2.1** Developmental decrease in apparent Ca<sup>2+</sup> cooperativity of release

Earlier experiments have also probed at the apparent cooperativity of release in developing mouse IHCs (Johnson et al., 2005), and found a developmental linearization of release-influx

relationship. However, the use of long depolarization (100 ms) and rupture-patch recording confounded the interpretation of results. Release measured after long depolarizations involve replenishment of the RRP, which may have a distinct  $Ca^{2+}$ -dependence (Moser and Beutner, 2000). The use of a pre-defined amount of exogenous  $Ca^{2+}$  buffer is needed for rupture patch experiments, and may not represent the endogenous buffering situation especially across different age groups (Hackney et al., 2005). In this study, I performed experiments under perforated patch configuration to retain endogenous buffering, and restricted to short 20 ms depolarization, which selectively invokes RRP even at high extracellular  $[Ca^{2+}]$  (10 mM, Moser and Beutner, 2000). In addition,  $Ca^{2+}$  influx was manipulated in ways that selectively changes the single channel current (through change of extracellular  $[Ca^{2+}]$ ) and channel open probability (through perfusion of L-type  $Ca^{2+}$  channel antagonist isradipine or nifedipine). This approach also allowed one to distinguish between a  $Ca^{2+}$  microdomain and a  $Ca^{2+}$  nanodomain control of vesicle fusion (see Figure 4.2 and following).

As shown in Results section, manipulation of single channel current, and thus the  $[Ca^{2+}]$  of the domain at the site of  $Ca^{2+}$  influx, reveals a supralinear relationship between release and  $Ca^{2+}$  influx in both pre-hearing and mature IHCs (Figure 3.18c). This was expected for both the  $Ca^{2+}$  microdomain and  $Ca^{2+}$  nanodomain models (Figure 4.2a, b, middle panels), since the slope in the logarithmic plot should reflect the supralinear intrinsic  $Ca^{2+}$  dependence of release (Figure 3.16 and Beutner et al., 2001). When the open probability of channels was manipulated through slow perfusion of antagonists, a change from supralinear relationship to linear relationship was observed (Figure 3.18d). Together, these results suggest in vesicle release in prehearing IHCs require concerted opening of multiple channels ( $Ca^{2+}$  microdomain control), and mature into a tighter " $Ca^{2+}$  nanodomain" control after hearing onset. Supporting the idea of tighter coupling, it was shown that rapid exocytosis in prehearing IHCs were more sensitive to  $Ca^{2+}$  buffering than mature IHCs (ratio of  $\Delta C_m$  under endogenous or 5 mM EGTA buffering, 10 ms depolarization: p6, 0.63; p14-25, 0.90; 20 ms: p6, 0.68; p14-25, 0.73; from Beutner and Moser, 2001).



# Figure 4.2: How different $Ca^{2+}$ influx manipulation affect release in nanodomain and microdomain coupling

(a) Nanodomain model of coupling between  $Ca^{2+}$  channel and synaptic vesicle. Each vesicle (green circles) is tightly coupled to one or a few channels (green bars) and the  $Ca^{2+}$  nanodomain (red/blue lines) created upon opening of a single is sufficient to trigger fusion. Middle: changes in single channel current  $i_{Ca}$  lead to a change in the concentration profile (amplitude of red/blue lines) of the nanodomain, approximately proportional to  $i_{Ca}$ . Release- $Ca^{2+}$  influx relationship should therefore reflect the intrinsic supralinear  $Ca^{2+}$  dependence of release (Figure 3.16 and Beutner et al., 2001). Bottom: a change in channel open probability would instead change the number of nanodomain, leading to linear release- $Ca^{2+}$  influx relationship. (b) Microdomain model of coupling. Release of each vesicle is triggered by  $Ca^{2+}$  microdomain contributed influx through many channels. Middle and bottom: in both manipulations [ $Ca^{2+}$ ] scales with  $Ca^{2+}$  influx, showing supralinear release- $Ca^{2+}$  influx relationship. (c) A model proposed by Heil and Neubauer (2010). Each AZ has a supralinear release- $Ca^{2+}$  influx relationship, but due to difference in their sensitivity sum up to a quasi-linear release- $Ca^{2+}$  influx relationship. Release- $Ca^{2+}$  influx relationship, but due to difference in their sensitivity sum up to a quasi-linear release- $Ca^{2+}$  influx relationship, but due to head between their sensitivity sum up to a quasi-linear release- $Ca^{2+}$  influx relationship.

An interesting alternative hypothesis has been proposed by Heil and Neubauer (2010). They suggested that the quasi-linearity of hair cell release may also be achieved, by summing up release at AZs with different sensitivity, each of which is supralinear on its own. This idea becomes even more appealing with the observed developmental increase in heterogeneity of synaptic  $Ca^{2+}$  signal (see section 3.1.4 and Figure 3.10). However, if we assume that the

release linearity in mature IHCs was due to the summation of many AZs under strict microdomain (supralinear) control, it should be preserved provided that  $Ca^{2+}$  influx at all AZs is similarly affected (Figure 4.2c). This was not the case when  $[Ca^{2+}]_e$  was changed (Figure 3.18c and Brandt et al., 2005), where a supralinear relationship was instead observed. We cannot rule out the contribution of AZ heterogeneity, as a small decrease in cooperativity was observed in manipulation of  $i_{Ca}$  (Figure 3.18c). It, however, cannot be the sole mechanism mediating the linearization of synaptic transfer function.

#### 4.2.2 Possible mechanisms for switch from microdomain to nanodomain

Similar phenomenon of switching into tighter, nanodomain-like release coupling was observed in the Calyx of Held in mice (Fedchyshyn and Wang, 2005) and rat (Kochubey et al., 2009). In addition, it was later found in calyx of Held that a knockout or acute inhibition of septin 5 conferred mature apparent cooperativity in immature calyces (Yang et al., 2010). The protein was thought to control spatial coupling by preventing the close association of synaptic vesicles to AZs, and thus  $Ca^{2+}$  channels therein (Yang et al., 2010). This also argues for a biologically controlled coupling during development, rather than an "underdeveloped" synapse in the prehearing animal. However, whether this is applicable to the IHCs is yet to be determined.

Another factor that may contribute to changes in release coupling is the developmental shift in endogenous  $Ca^{2+}$  buffer concentrations. Less buffer creates a longer length constant for the  $Ca^{2+}$  domain around each  $Ca^{2+}$  channel (Naraghi and Neher, 1997), allowing more "domain-overlap" and thus more  $Ca^{2+}$  channel that can influence release of a single vesicle (Matveev et al., 2011). However, a study in rat organ of Corti using immunogold-labeling and electron microscopy have estimated a developmental decline of  $Ca^{2+}$  buffering proteins in IHCs (Hackney et al., 2005), opposite to what we would expect. On the other hand, Hackney and colleagues (2005), showed that this decline was mainly realized by the disappearance of the fast, high-affinity buffer calbindin (Kd = 393 nM, Faas et al., 2011). How and whether a switch in buffer type can contribute to the release coupling will be an interesting question awaiting further investigation.

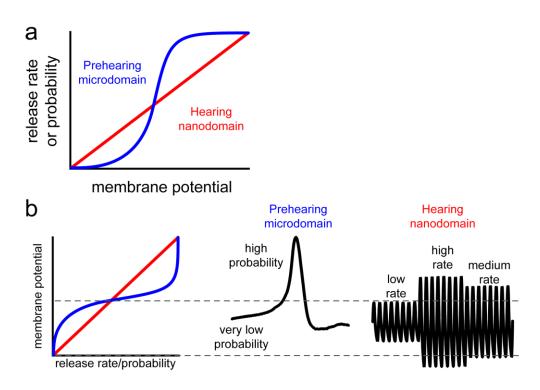


Figure 4.3: Proposed biological relevance in linearization of release cooperativity in IHC

(a) Schematic showing relationship between release rate (or approximately equivalent, release probability) and membrane potential in a supralinear prehearing IHC (blue line) and a linear mature IHC (red). Saturation or pool depletion lead to a sigmoidal shape in the supralinear case. For simplicity, non-linearity of  $Ca^{2+}$  channel activation was not considered here. (b) Relating the relationship in (a) to natural electrical activity of prehearing and mature IHCs. High cooperativity in prehearing IHC ensures release only at peak of an AP, while linear cooperativity in hearing IHC provide a graded release rate suitable for encoding a wide dynamic range of sound intensities.

Biologically, the developmental shift from a supralinear to linear "synaptic transfer function" (release vs  $Ca^{2+}$  influx) in IHCs may complement their functional switch. During early postnatal period, transmitter release in IHCs is evoked by  $Ca^{2+}$  APs, which leads to bursting of postsynaptic SGNs (Tritsch et al., 2010). The relatively depolarized resting membrane potential (around -60 to -55 mV, Figure 3.1 and Johnson et al., 2012) in the prehearing IHC would lead to the activation of  $Ca^{2+}$  channel, and a high cooperativity would ensure that release only happens during a  $Ca^{2+}$  AP when the membrane is strongly depolarized (Figure 4.3b). On the other hand, the linear synaptic transfer function allows the mature IHC to create different release rate based on the strength of stimulation. This would increase the dynamic range for which the IHC can operate, as suggested for hair cell and other sensory ribbon-type

synapses which sustained release under tonic stimuli (Witkovsky et al., 1997; Moser and Beutner, 2000; Thoreson et al., 2003; Brandt et al., 2005; Johnson et al., 2005; Jarsky et al., 2010).

# 4.3 Refinement of synaptic scaffold and postsynaptic density during development

During postnatal development, the synapse between an IHC and a SGN afferent terminal originally form multiple AZs and postsynaptic densities (PSD), and later mature into a single AZ/PSD pair (Figure 3.13 and Figure 3.14). The precise mechanisms mediating the transition into a single synaptic contact remain to be investigated. However, this process seems to be independent of presynaptic exocytosis, as discrete glutamate receptor clusters were still observed in p15 otoferlin knockout mice, which lacks depolarization-triggered exocytosis (Roux et al., 2006). The pore forming  $\alpha$ 1 subunit of Ca<sub>V</sub>1.3 channels also seems not to be directly involved, as the formation of ribbon-GluA pair was normal in the knockout animal, until degeneration occurs between p30-55 (Nemzou et al., 2006).

There are at least two non-exclusive hypotheses: 1) merging of immature contacts and 2) pruning of all but one of the immature contacts. Merging may be mediated by interactions of scaffolds at the presynaptic and/or postsynaptic level and possibly involves trans-synaptic regulation. Such cluster-cluster interaction may join multiple small ribbons, presynaptic Ca<sup>2+</sup> channel clusters and postsynaptic GluA clusters into larger singular structures. Such a mechanism seems plausible for maturation of the large complex rings of GluA clusters (Figure 3.13a) to small ring-like GluA clusters (Meyer et al., 2009). Moreover, strong RIBEYE-RIBEYE interactions observed previously (Magupalli et al., 2008) and reports on structural assembly of retinal ribbon during synaptogenesis (Regus-Leidig et al., 2009) suggested the possibility of a yet-to-be-demonstrated fusion between AZ-anchored ribbons. Finally, AZ merging could readily explain the gain of large AZ with intense Ca<sup>2+</sup> signals.

Pruning is a well-established mechanism for refining synaptic connections in general (Goda and Davis, 2003) and also a popular hypothesis for the maturation of IHC afferent connectivity (Sobkowicz et al., 1982; Nemzou et al., 2006; Huang et al., 2007; Sendin et al., 2007; Defourny et al., 2011; Bulankina and Moser, 2012). The presence of ribbons may serve to stabilize the contacts, such that ribbonless contacts will be preferentially pruned. However,

the mechanisms underlying the pruning and selection on one of the ribbon-occupied synaptic contacts are unclear.

# 4.4 The synaptic $Ca^{2+}$ signal and its developmental increase in heterogeneity

As discussed above, the IHC assumes different roles during murine postnatal development. The switch in electric activity and synaptic morphology prompted the question whether the  $Ca^{2+}$  signal at the presynaptic AZ, the trigger for exocytosis of vesicles, changes according to the function of IHCs. In this study, I inspected the properties of synaptic  $Ca^{2+}$  signal in IHCs before and after the onset of hearing using high resolution confocal imaging, and attempted to compare them both quantitatively and under naturalistic situation at each age.

# 4.4.1 AP-associated Ca<sup>2+</sup> transients and their potential functions

A recent study (Johnson et al., 2012), has demonstrated  $Ca^{2+}$  transients associated with single AP, using line scans and a high affinity dye Fluo-4 [dissociation constant (K<sub>d</sub>) = 345 nM; Molecular Probes]. They were able to report a rather global increase across the basal part of the IHC. However, the high affinity dye would be saturated at the micromolar range of [Ca<sup>2+</sup>] required for triggering exocytosis in IHC (Beutner et al., 2001). Using a low affinity dye (Fluo-4FF, K<sub>d</sub> = 9.7 µM; Molecular Probes), I was also able to observe Ca<sup>2+</sup> transients at synaptic AZ during single APs in pre-hearing IHCs (Figure 3.1). The high K<sub>d</sub> of Fluo-4FF allows a quantitative comparison of the synaptic Ca<sup>2+</sup> signal during an action potential. I demonstrated that the synaptic Ca<sup>2+</sup> signal during an AP is of similar amplitude to that of a step depolarization to -7 mV, further supporting the notion that AP in pre-hearing IHCs can trigger release of glutamate (Beutner and Moser, 2001; Brandt et al., 2003; Johnson et al., 2005).

Besides triggering vesicle release and consequently driving bursts of AP in afferent SGNs, the  $Ca^{2+}$  influx in pre-hearing IHCs promotes cell development (Brandt et al., 2003; Neef et al., 2009). In knockout mouse of the pore forming subunit  $\alpha_{1D}$  of  $Ca_V 1.3$  channels (Brandt et al., 2003; Nemzou et al., 2006), or a genetic deletion of the auxiliary subunit  $\beta_2$  (Neef et al., 2009),  $Ca^{2+}$  current in IHC was significantly reduced and a mixture of immature and mature phenotype was observed even after the age of hearing onset in wildtype. For instance, in both cases IHCs showed a persistence of efferent innervation and a significant reduction or lack of BK channel expression, both an immature phenotype. On the other hand, the developmental

upregulation of KCNQ channels was observed in both mutants similar to mature wildtype IHCs. This indicates that the  $Ca^{2+}$  influx evoked by spontaneous AP in pre-hearing IHC is probably important for a subset of developmental changes, while other aspects of IHC proceed relatively independent of such  $Ca^{2+}$  signals It would be interesting to see how subtler disruption of  $Ca^{2+}$ -APs in IHCs, e.g. knockout of voltage-gated Na<sup>+</sup> channels (Raouf et al., 2012) or overexpression of SK2 channels (Maison et al., 2007), changes the IHC maturation program.

# 4.4.2 Ability of mature synaptic Ca<sup>2+</sup> signaling to follow high frequency

One of the most impressive feats of the auditory system is their ability to encode temporal fine structure of a sound. The ability of auditory nerve fibers to phase-lock to the stimulus, has been shown in multiple mammalian species, including cats (Johnson, 1980), guinea pigs (Palmer and Russell, 1986), squirrel monkeys (Rose et al., 1967) and mice (Taberner and Liberman, 2005). The reliability of phase-locking is important for various computation in central auditory system, for example in sound source localization (Köppl, 2009; Grothe et al., 2010) or in pitch perception (Reichenbach and Hudspeth, 2012). Given that auditory nerve fibers receive excitatory input exclusively from IHCs (see IntroductionIntroduction), the release of neurotransmitter from an IHC should also be able to follow stimuli at frequencies for which phase-locking is observed. In fact, a recent study using pair-recordings of IHC and afferent bouton terminal (Goutman, 2012) showed that release of the IHC ribbon synapse could phase-lock to a train of periodic depolarization, relatively insensitive to stimulus amplitude.

I investigated the synaptic  $Ca^{2+}$  signal of mature IHCs under receptor-potential-like stimuli, and was able to observe oscillation of  $Ca^{2+}$  signal up to 1 kHz despite attenuation. The ability of the release of an IHC to follow high frequency stimuli is limited by filtering properties of processes during sensory transduction, namely mechanoelectrical transduction, membrane capacitance, channel activation kinetics and diffusion-buffering of  $Ca^{2+}$  ions. Ultimately, the binding of  $Ca^{2+}$  to the  $Ca^{2+}$  sensor of release and the rate of the fusion process further limit the bandwidth.

The adaptation of mechanoelectrical transduction mainly acts as a high pass filter (Jia et al., 2007) and is experimentally bypassed during whole-cell patch-clamp recordings. Downstream

to transduction current, the plasma membrane of an IHC acts as a low pass filter (Figure 3.3 and Figure 3.4). In the current clamp experiments, pre-hearing (p10) IHCs typically have a membrane resistance ( $R_m$ ) of around 500 M $\Omega$  at -87 mV, which declines to around 100 M $\Omega$  in p14-17 IHCs, probably due to expression of potassium conductances (Kros et al., 1998; Oliver et al., 2003).  $R_m$  and the membrane capacitance ( $C_m$ ) combined determine the characteristics of the low-pass filter, with the membrane time constant equals to the product  $R_mC_m$ . As shown earlier (Figure 3.4 and Table 1), I observed higher cutoff frequencies at more positive holding potentials, and at larger stimulus amplitude. This was probably due to the activation of K<sup>+</sup> conductances, which lowered  $R_m$  and thus reducing the membrane time constant (higher cutoff). Interestingly, as demonstrated in Figure 4.4, a lower  $R_m$  will not help increasing a.c. response amplitude, which is important for frequency-modulated activation of Ca<sup>2+</sup> channels. The phase lag of the response slightly decreased at any given frequency, which may not be critical for phase-locking in of release in IHCs as long as the phase is constant. However, a closely controlled phase-shift might be essential for the function of OHCs, which need to provide power output for sound amplification.

In addition to filtering due to the RC-circuit of the membrane, the activation and deactivation of  $Ca^{2+}$  channels also have finite kinetics (Nouvian, 2007; Johnson and Marcotti, 2008; Zampini et al., 2010) and will not be able to follow high frequency changes in membrane potential. This is indeed observed in experiments shown in Figure 3.5c, where the  $Ca^{2+}$  current was attenuated at higher frequencies.

Last but not least, the observed Ca<sup>2+</sup> signal shown in Figure 1.3 is likely an underestimate of the underlying free [Ca<sup>2+</sup>], due to (1) limited binding kinetics of the Ca<sup>2+</sup> indicator Fluo-4FF ( $k_{on} = 140 \ \mu M^{-1} s^{-1}$ ;  $k_{off} = 1400 \ s^{-1}$ ; Murat Alp, personal communication), and (2) spatial averaging of fluorescence signal. Even assuming a relatively high average [Ca<sup>2+</sup>] of 10  $\mu$ M, which increases the overall reaction rate, the time constant  $\tau$  for the binding reaction will be approximately 0.36 ms. This gives a cutoff frequency  $f_0$  of 445 Hz ( $f_0 = 1/2\pi\tau$ ) and may explain the decline of Ca<sup>2+</sup> signal modulation at high frequency. Spatial averaging occurs because the point spread function of the confocal system is diffraction limited (~0.30  $\mu$ m FWHM in lateral directions, ~1.25  $\mu$ m in axial direction, Frank et al., 2009). The further away from the site of Ca<sup>2+</sup> influx, the smaller change in [Ca<sup>2+</sup>] would be induced due to diffusion

itself and additional time for binding by slower buffers (Naraghi and Neher, 1997). Therefore the average fluorescence from the confocal point spread function may underestimate the  $[Ca^{2+}]$  at synaptic vesicles, which locate in nanometer proximity to  $Ca^{2+}$  channels (Brandt et al., 2005).

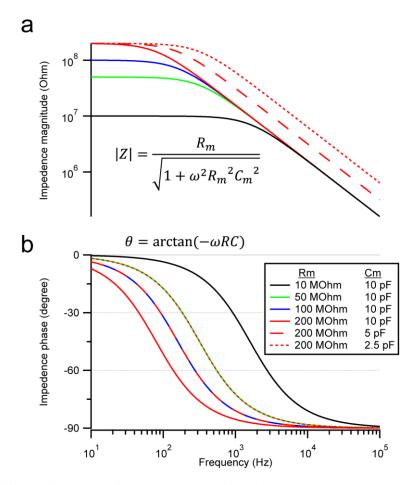


Figure 4.4: Effects of R<sub>m</sub> and C<sub>m</sub> on low-pass filtering

(a) The magnitude, |Z|, of the impedance in a parallel connection of a resistor  $R_m$  and a capacitor  $C_m$ , versus frequency, calculated with the equation in the figure. In the equation,  $\omega$  is the angular frequency in rad s<sup>-1</sup>.Lower  $R_m$  reduces |Z| at low frequency and increases the cutoff frequency, but has no effect at high frequencies. A decrease in  $C_m$  increases |Z| at high frequencies and also increases the cutoff frequency, but does not affect |Z| at low frequencies (**b**) Phase shift of the response with respect to the input, calculated by the equation on the figure.

# 4.4.3 Quantitative measurement of synaptic Ca<sup>2+</sup> signal and possible errors

The quantitative measurement of the synaptic  $Ca^{2+}$  signal, might have been biased towards synapses with smaller  $\Delta F$  values in pre-hearing IHCs because I tried to avoid  $Ca^{2+}$ microdomains with two nearby ribbons. These  $Ca^{2+}$  microdomains could represent synapses with multiple ribbons as indicated by images in Figure 3.13 and Figure 3.14. Figure 3.14We do not know whether the developmental decrease in ribbon number represents the pruning of extra ribbons/synapses or the conglomeration of ribbons at the same IHC-SGN contact. If the latter case is true, then the AZs with strong  $Ca^{2+}$  influx emerged after the onset of hearing (Figure 3.10) could already possess a larger  $Ca^{2+}$  channel complement.

Another possible source of experimental variability comes from the position where the Ca<sup>2+</sup> indicator fluorescence was sampled. Stronger change in indicator fluorescence can be observed closer to the center of the Ca<sup>2+</sup> channel cluster (Frank et al., 2009). Line-scans were preferentially used in this study over point scans to minimize the error due to lateral misalignment of the Ca<sup>2+</sup> microdomain and the position of measurement. Misalignment in the axial position can also occur, possibly contributed to the negative correlation observed between the FWHM and the amplitude of the Ca<sup>2+</sup> signal (Figure 3.11a). However, such correlation was observed in both age group, and when data points with large FWHM (> 1  $\mu$ m) were removed, the ratio of mean  $\Delta$ F amplitude remained unchanged (p10 : p14-16; from 0.82 to 0.82).

The size of the synaptic ribbon may also affect the quantitative measurement of  $Ca^{2+}$  influx. Previous report have suggested an enrichment of a related  $Ca^{2+}$  indicator Fluo-3 at the synaptic ribbon (Issa and Hudspeth, 1996). Although I did not observe an obvious increase of baseline fluorescence (F<sub>0</sub>) at the ribbon (Figure 4.5), any effect of higher dye concentration should be minimized by normalization to F<sub>0</sub> (Figure 3.10d, f). Alternatively, the synaptic ribbon was suggested to function as a physical diffusion barrier for Ca<sup>2+</sup> ion, providing a mechanism for high [Ca<sup>2+</sup>] and synchronous multivesicular release (Graydon et al., 2011). In the framework of this hypothesis and given the developmental increase in ribbon size (Figure 3.14 and Table 2), the observed increase in Ca<sup>2+</sup> signal amplitude may not directly reflect increase in AZ Ca<sup>2+</sup> influx, but could instead be partly contributed by stronger spatial confinement under the ribbon. However, the qualitative interpretation of stronger Ca<sup>2+</sup> signal mediating stronger synapse would still hold, as the increase in multivesicular release should give rise to larger and more monophasic EPSCs, which increases the probability of spike generation at the postsynaptic SGN (Grant et al., 2010; Rutherford et al., 2012).

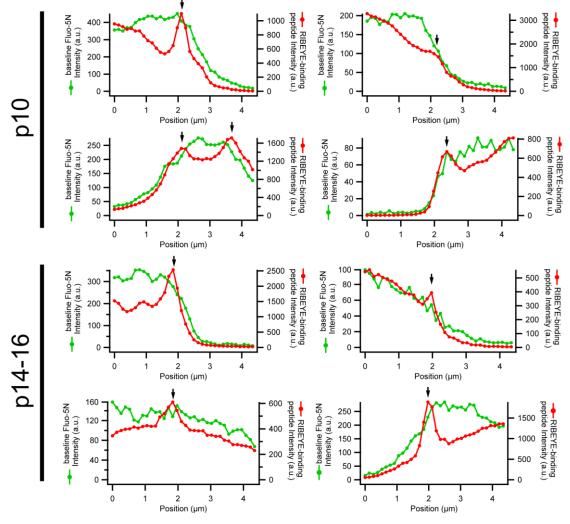


Figure 4.5: Comparison of RIBEYE-binding peptide intensity and baseline fluorescence of Fluo-5N

Average baseline fluorescence of Fluo-5N (green) and TAMRA-conjugated RIBEYE-binding peptide (monomer, red) for several example line scans. Arrows mark positions of identified ribbons. No obvious enrichment of Fluo-5N baseline fluorescence was observed.

#### 4.4.4 Presynaptic heterogeneity as a mechanism to decompose auditory information

As discussed earlier, SGNs differ in sound-sensitivity and dynamic range and are thought to jointly encode the large dynamic range spanning six orders of magnitude in sound pressure (reviewed in Meyer and Moser, 2010). While an IHC is thought to be contacted by 5-30 SGN

afferents with different spontaneous rates and thresholds, all AZs of the small isopotential IHC experience the same membrane potential. Here, I confirmed the previously reported heterogeneity of  $Ca^{2+}$  signal strength among IHC synapses (Frank et al., 2009), and found a developmental increase of variability through the gain of AZ with stronger  $Ca^{2+}$  influx. Previous measurements in the early postnatal cat, another altricial species, have also reported an emergence of high spontaneous rate auditory nerve fibers, which functionally correlate with higher sensitivity (Walsh and McGee, 1987). I propose that AZ with a higher number of  $Ca^{2+}$  channel and, hence, stronger  $Ca^{2+}$  signal, drive SGNs with higher spontaneous rate and sound sensitivity.

How could a difference in  $Ca^{2+}$  channel number affect synaptic strength? Assuming a tight coupling of  $Ca^{2+}$  channel to vesicle in the IHC (Figure 3.18 and Brandt et al., 2005), a larger AZ would possess more functional release units, each composed of  $Ca^{2+}$  channel(s) and a release ready vesicle. That will increase the chance of an AZ to release any vesicle during a stimulus even with a constant release probability. This is consistent with a higher spontaneous rate postsynaptic neuron. In addition, an recent study by Sheng and colleagues (2012) in the calyx of Held has demonstrated that vesicle release probability at individual AZ increases with  $Ca^{2+}$  channel number, providing evidence that the strength of an AZ can be controlled by its channel complement.

While the discussion above has been focusing on the magnitude of  $Ca^{2+}$  influx, other mechanisms cannot be excluded. For instance, differences in voltage-dependence of synaptic  $Ca^{2+}$  influx have been demonstrated in this study (Figure 3.10) and previously (Frank et al., 2009). This could give rise to variability in sensitivity of AZ to IHC receptor potential, as  $Ca^{2+}$  channels with more hyperpolarized  $V_{half}$  will be activated by a smaller depolarization, giving the AZ a higher sensitivity. Evidence from postsynaptic recording have also shown that SGNs can receive different modes of release (multiphasic or monophasic EPSCs) in variable proportion (Grant et al., 2010). In addition, differences in postsynaptic properties, such as efferent modulation (Ruel et al., 2001; Puel et al., 2002), number of glutamate receptors (Liberman et al., 2011) and its long-term adaptation (Chen et al., 2009), may co-exist and contribute to fine tuning the sensitivity of the auditory system.

## 4.4.5 Possible mechanisms mediating presynaptic heterogeneity

If the difference in  $Ca^{2+}$  channel number among AZ of an IHC is biologically relevant, one would ask how such heterogeneity is achieved mechanistically and how a cell controls the channel distribution among synapses. It is tempting to speculate that the determination of  $Ca^{2+}$  channel complement is mediated through interaction of presynaptic scaffold and/or transsynaptic signaling.

Presynaptically, the synaptic ribbon appears to stabilize the clustering of Ca<sup>2+</sup> channel at the IHC active zone (Frank et al., 2010), and a correlation between intensity of RIBEYE-binding peptide and amplitude  $Ca^{2+}$  signal was indicated (Frank et al., 2009). Unfortunately in the smaller prehearing IHCs, the proximity of nuclei, which is also labeled by the monomeric peptide, precluded such quantification in the current study. A study on zebrafish lateral line hair cells has reported that manipulation of RIBEYE expression level leads to a disruption of Ca<sup>2+</sup> channel abundance and localization (Sheets et al., 2011). This interaction appeared to be bidirectional and rather dynamic, as the activity of Ca<sub>V</sub>1.3 channels also affects ribbon size (Sheets et al., 2012). Mutants of presynaptic scaffold protein Bassoon (Khimich et al., 2005; Frank et al., 2010), and an Usher-syndrome related protein Harmonin (Gregory et al., 2011), were also shown to affect the expression level of  $Ca^{2+}$  channel. In particular, disruption of Bassoon in IHCs reduced the number of  $Ca^{2+}$  channels and their ultrastructural organization at the AZ (Frank et al., 2010). Although Bassoon was shown not to directly interact with Ca<sub>v</sub>1.3 channels in a heterologous expression system, its effect may have mediated through the disruption of ribbons (Khimich et al., 2005; Frank et al., 2010) or other components of the cytomatrix of active zone (Gundelfinger and Fejtova, 2012), such as Rab3-interacting molecule (RIM). On the other hand, Harmonin, a putative interaction partner of Ca<sub>V</sub>1.3 channel, was found to be present only in a subset of IHC ribbon synapses, with a developmental increase in the number of Harmonin-positive synapses (Gregory et al., 2011). In the same study,  $Ca^{2+}$  imaging reported an increase in the average synaptic  $Ca^{2+}$  influx in Harmonin mutant, suggesting that the presence of Harmonin may function to limit the recruitment of Ca<sub>v</sub>1.3 to a subset of AZs. However, such hypotheses simply push the question further up the regulatory pathway, to the mechanism by which these presynaptic AZ proteins were differentially distributed. Future experiments should also address the possibility of transsynaptic signaling and influence of trophic factors.

Alternatively, one might presume a self-organization of AZ, in which newly synthesized Ca<sup>2+</sup> channel are preferentially attached to larger AZ, while a similar rate of degradation applies across all AZs. This is reminiscence of a preferential attachment model in the study of internet network topology, in which a power-law distribution of cluster sizes was observed (Barabási and Albert, 1999). However, the distributions of  $\Delta F$  amplitudes observed in the current study (Figure 3.12) were actually better approximated by log-normal distributions. A study on dendritic spines observed that their sizes change dynamically, while maintaining a log-normal distribution as a population (Loewenstein et al., 2011). Regrettably, the model by Loewenstein and colleagues (2011) did not provide insight to the mechanism in maintaining such a dynamic equilibrium.

## 4.5 Utility of vGpH in reporting vesicle recycling in IHCs

To further relate presynaptic heterogeneity observed in IHC synaptic  $Ca^{2+}$  influx, I attempted to use an optical probe of exocytosis vGpH to measure vesicle fusion at an individual AZ level. In the following, utility of this reporter in IHC will be discussed and suggestions on further optimization or alternatives will be given.

#### 4.5.1 Extensive subcellular localization of vGpH in transduced IHCs

Unlike in cultured hippocampal neurons where vGpH is specifically targeted in presynaptic terminals (Voglmaier et al., 2006), both live-imaging and immunohistochemistry reveals a rather ubiquitous subcellular localization of the protein (see section 0). However, the expression pattern of vGpH was well correlated with the endogenous glutamate transporter vglut3 (Figure 3.20). The apparent ambiguity may stem from the intrinsic protein trafficking scheme in the IHC, which lacks compartmental specialization of the presynapse. In the central nervous system, vglut3 is found in soma and dendrite of both glutamatergic and non-glutamertergic neurons, as well as astrocytes (reviewed in Benarroch, 2010). The non-synaptic localization calls for a function different from loading of synaptic vesicles. The same could be true for IHC, and not all vglut3-containing organelles in the IHC may be synaptic vesicles.

Nevertheless, live imaging of vGpH expressing IHCs showed depolarization-evoked increase in fluorescence, which specifically localized at the AZ (Figure 3.24). This shows that at least a subset of vGpH containing organelles was able to participate in exocytosis, and potentially

contribute to glutamate release. The vGpH around the nucleus level of transduced IHCs seemed to reside in less acidic organelle (~pH 6.5, Figure 3.23) as compared to the vesicle lumen in neuronal synapses (pH 5.5, Miesenböck et al., 1998). Rather, similar pH have been reported in the Golgi apparatus, using synthetic dyes in HeLa cells (pH 6.4, Wu et al., 2000) or ratiometric pHluorin in neurons and keratinocytes (pH 6.2, Miesenböck et al., 1998; pH 6.3, Disbrow et al., 2005). However, co-staining of *cis*-Golgi-network with anti-GM130 antibody showed an apparent exclusion of the vGpH (Figure 3.22). The identity of organelles housing vGpH remains elusive, and they may not necessarily be a homogenous population.

#### 4.5.2 Signal-to-noise ratio for vGpH imaging

One advantage of vGpH confers in neuron, over the smaller synaptopHluorin (Miesenböck et al., 1998; Sankaranarayanan et al., 2000), is its higher signal-to-noise ratio due to less surface expression (Voglmaier et al., 2006; Balaji and Ryan, 2007). In this regard, the relatively broad intracellular expression of vGpH in IHCs (Figure 3.20) would mitigate this advantage simply by having higher intracellular baseline fluorescence.

Unfortunately, the confocal imaging system used in this study did not provide a good signalto-noise ratio, even with high laser intensity incurring significant amount of bleaching (Figure 3.25). The fact that the pHluorin signal was dominated by Poisson shot noise precludes improvement of signal to noise by increase amplification at the detector level. Instead, signal has to be optimized by increasing the number of detectable photons. Usually, this can be achieved by either increase emission from the fluorophore or improve the collection of emitted photons. Since significant bleaching already occurs in the current setting, the excitation laser power cannot be increased further. That leaves the problem to (1) reducing the loss of photons through the imaging system or (2) using a detector with higher quantum yield. Alternatively, switching to a two-photon excitation system may improve this situation because it removes the need of a detection pinhole, which normally blocks majority of emitted photons.

#### 4.5.3 Alternative optical reporters for single AZ release

A red-shifted pH-sensitive fluorescent protein mOrange2 has been recently used for measuring exocytosis in combination with  $Ca^{2+}$  imaging (Hires et al., 2011). In this study, the pHluorin in vGpH construct were replaced by two tandem copies of mOrange2, and the resulting fusion protein was used in combination with simultaneous  $Ca^{2+}$  imaging with

another genetically-encoded reporter SyGCaMP3. The use of a red-shifted protein may be advantageous for IHCs as concurrent  $Ca^{2+}$  imaging was one of the major goal. However, one should consider whether the other vesicular protein should be used, given the widespread subcellular localization of vGpH (Figure 3.20). Since the IHC synapse lacks many of the neuronal vesicular markers (see Introduction, p6), finding a protein specifically localized to synaptic vesicle may prove difficult. Otoferlin, a putative  $Ca^{2+}$  sensor for release in IHC, has a relatively strong membrane expression (Figure 3.21b). If the membrane localization represents surface expression, it may be detrimental to signal-to-noise ratio for pHluorin imaging as discussed for synaptopHluorin (see above and VogImaier et al., 2006; Balaji and Ryan, 2007; Zhu et al., 2009).

Dextran-coupled fluorophores are another alternative to FM dyes (for their problems, see section 1.4) for labeling recycling vesicles, as demonstrated in a recent study on rod photoreceptors (Chen et al., 2013). Similarly to FM-dyes, the dextran-coupled dye is first applied externally in conditions where vesicle recycling occurs, and the unloading of labeled vesicles is used as an indication of exocytosis. This method has the advantage of selectively labeling vesicles undergoing active recycling, potentially circumventing the baseline fluorescence from possible non-synaptic organelle observed in vGpH expressing IHCs.

Other than vesicle fusion, the release of neurotransmitter can also be monitored. Recently, genetically-encoded sensor for glutamate have been developed (Okumoto et al., 2005; Hires et al., 2008; Marvin et al., 2013). In particular, the functionality of single fluorophore version iGluSnFR in neurons was demonstrated in worm, zebrafish and mice *in vivo* (Marvin et al., 2013). One property of using glutamate imaging instead of pHluorin, is that it could uncouple signal of vesicle exocytosis from membrane-stranded vesicular protein, which are retrieved in a time scale of seconds in IHCs (Moser and Beutner, 2000; Pangršič et al., 2010) and in neurons (Balaji and Ryan, 2007; Zhu et al., 2009). It would be, however, unsuitable for observing endocytosis of vesicles, and the expression and correct targeting of such reporter in SGNs have yet to be tested.

# Summary

Hearing over a wide range of sound intensities is thought to require complementary coding by functionally diverse spiral ganglion neurons (SGNs), each changing its firing rate only over a limited sub-range. The means for establishing SGN diversity are not well understood, but likely involves differences among their inputs – the presynaptic active zones (AZs) of inner hair cells (IHCs). In this study, I investigated the  $Ca^{2+}$  signal in IHCs, which were previously demonstrated to be heterogeneous among AZs in mature IHCs. In particular, I explored the changes of synaptic  $Ca^{2+}$  signal during postnatal development of the mouse cochlea, and probed the functional consequence thereof. Moreover, I attempted to establish analogous measurement of exocytosis using the pH-sensitive fluorescent protein pHluorin.

The results showed that depolarization-evoked  $Ca^{2+}$  signal in IHC became progressively confined to AZs during development, mostly mediated by a loss of extrasynaptic channels revealed by immunohistochemistry of  $Ca_V 1.3$  channels. Parallel to the confinement of  $Ca^{2+}$ channel, the IHC switches from a  $Ca^{2+}$  microdomain control of exocytosis to a tighter  $Ca^{2+}$ nanodomain control, without a change in intrinsic  $Ca^{2+}$  dependence of vesicle fusion.

At the level of individual AZs,  $Ca^{2+}$  action potentials in prehearing IHCs drove robust  $Ca^{2+}$  transients. On the other hand, receptor-potential-like stimuli were able to drive synaptic  $Ca^{2+}$  signals in mature IHCs up to 1 kHz, even given experimental limitations. An increase in heterogeneity of synaptic  $Ca^{2+}$  influx among the AZs of IHCs was observed, mediated by the strengthening of  $Ca^{2+}$  signaling at a subset of AZs through a gain of  $Ca^{2+}$  channels. The emergence of SGN with high spontaneous rate around the onset of hearing suggests that AZ with stronger  $Ca^{2+}$  influx drive these postsynaptic SGNs which are more sensitive to sound. However, other mechanisms including differences in voltage-dependence of  $Ca^{2+}$  channel, number of postsynaptic glutamate receptors and efferent modulation cannot be excluded.

Depolarization of mature IHCs expressing vglut1-pHluorin revealed localized exocytic activity at the AZs, similar to the confined  $Ca^{2+}$  signal. However, the signal-to-noise ratio is yet to be improved for quantitative measurement of exocytosis. The establishment of a single AZ measurement of vesicle release will help to confirm whether the heterogeneity of synaptic  $Ca^{2+}$  signal indeed lead to difference in output.

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