

Polarized Compton Scattering off the Nucleon

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1. Introduction

The smallest entities of strongly interacting matter are hadrons, either baryons that are aggregated of three quarks or mesons that are made from quark-antiquark pairs. The most stable baryons are protons and neutrons that are the major constituents of atomic nuclei together with electrons. And the lightest meson is the pion.

A major goal of hadron physics is an understanding of the structures of hadronic matter and their dynamical properties whose underlying theory is *quantum chromodynamics* (QCD). This interest follows two paths. One is concerned with the properties of families of hadrons as they exist freely, to accurately characterize the members of the hadron spectrum in mass and decay properties and to reflect the structures that arise from QCD. The other is to understand how these properties change when hadrons are immersed in a nuclei or a nuclear matter.

The coherent-elastic photon scattering, called Compton scattering, is a clean tool to investigate the internal structure of the nucleons, the nuclei and the nucleon-nucleon interaction for the following reasons: i) The photon has only the electromagnetic interaction with other objects that can be comprehended physically quite well. ii) Furthermore, the transversity of a real photon simplifies the interpreting of the experimental data. iii) On the experimental side, there has also been made considerable progress with the help of developments of the direct current electron accelerator and the energy tagging spectrometer.

The scattering process can be described by the S-matrix (scattering matrix) which transforms an initial state into a final state, where the particles may be treated as noninteracting ones in these states. The properties of the S-matrix elements may be derived from some general physical assumptions such as the unitarity and the causality. The unitarity condition says that the sum of the probabilities for all possible intermediate states must be equal to unity. The causality can be formulated such that the *effect* cannot precede the *cause*. In other words, some quantity vanishes over a range of values of its argument. This fundamental hypothesis leads to analytic property of the S-matrix elements when the variables on which they expand, i.e. energies and momenta of the particles, are extended to the complex values. And the application of Cauchy's integral to such analytic function results in a dispersion relation (DR).

The dispersion relations, which are a particularly suitable mathematical technique for dealing with the strongly interacting particles, provide a convenient language for a semi-phenomenological description of an experiment. For example, the forward Compton scattering amplitude is expressed via the total photoabsorption cross section by virtue of the optical theorem and a dispersion relation.

In order to get more information on the angle distribution of the scattering amplitude f , we can decompose the amplitude f into the various partial waves which can be transformed with each other. We will investigate the structure and the properties of the three decomposed amplitudes, called in this work the helicity, the multipole and the invariant amplitudes respectively, in the next chapter. In the third chapter, we will calculate the four parameters γ_{M1} , γ_{E1} , γ_{M2} and γ_{E2} called spin polarizabilities, based on the fixed- t unsubtracted dispersion relation, which characterize the photon scattering amplitude by the nucleon in the limit of the photon energy $\omega \rightarrow 0$ together with the electric α and magnetic β polarizability. The numerical results will be compared with the theoretical prediction of Heavy Baryon Chiral Perturbation Theory (HBChPT).

If the photon or the target or the both of them are polarized in an initial or in a final state, one can measure the asymmetries in addition to an unpolarized cross section. These polarized observables, that is these additionally measurable quantities, serve to provide information on the polarizabilities as well as the admixture ratio of the electric quadrupole and magnetic dipole $E2/M1$ in Δ -region. With the help of the photon and the nucleon polarization matrix, we will compute the observables of the polarized Compton scattering, and demonstrate numerical results for them together with the experimental data in the fourth chapter. In particular, we will present the kinematic regions, where the observables are especially sensitive to the parameters of the polarizabilities and the $E2/M1$ mixing ratio.

The results of the investigations are summarized again, in the last chapter.

In this work, we use such units that $\hbar = c = m = 1$.

2. Compton scattering amplitude and fixed- t unsubtracted DR

In a non-relativistic quantum mechanics, an intrinsic angular momentum of a particle, or spin \mathbf{s} , is introduced as an additional rotational degree of freedom decoupled from the usual kinematic degree of freedom like an orbital angular momentum \mathbf{l} . In a momentum representation, the state vector of a particle with a momentum \mathbf{p} and a spin \mathbf{s} is thus written in the form $|\mathbf{p}; \mathbf{s} m \rangle = |\mathbf{p} \rangle \otimes |\mathbf{s} m \rangle$, where $|\mathbf{s} m \rangle$ are the simultaneous eigenstates of the operators $\hat{\mathbf{s}}^2$ and \hat{s}_z with eigenvalues $s(s+1)$ and m , respectively.

In a relativistic process, a spin state of a particle having a non-vanishing rest mass may be labeled exactly as in the non-relativistic case by using the spin operators as defined in Refs. [7, 13]. While for massless particles, e.g. photon, which move with the velocity of light, the definition of the spin as an angular momentum of a particle at rest frame cannot be applied any longer, because there is no fixed reference system for these particles. In a relativistic quantum theory it is therefore not trivial to see how spin is to be described, nor how it is to be interpreted physically. To avoid these complications, a spin state is in this work classified by means of the quantum number λ called *helicity*. This method is available to particles with zero mass as well as to massive particles.

2.1. Definition and properties of helicity

A helicity is defined as the spin component along a direction of momentum $\hat{\mathbf{n}} = \mathbf{p}/|\mathbf{p}|$ of a particle:

$$\lambda = \mathbf{s} \cdot \hat{\mathbf{n}}. \quad (2.1)$$

In a relativistic theory, an orbital angular momentum \mathbf{l} and a spin \mathbf{s} for a free particle are not separately conserved, but only the total angular momentum \mathbf{j} is conserved [84, 50]. Therefore, λ can also be defined as a component of the total angular momentum in the direction of a motion:

$$\lambda = \mathbf{j} \cdot \hat{\mathbf{n}}. \quad (2.2)$$

The helicity states have the following properties under the transformations of a rotation, a reflection and the proper Lorentz transformation [7, 54]:

1. For a massive particle there are $(2s + 1)$ independent helicity states, i.e. $(2s + 1)$ values of λ for a given \mathbf{p} , while for a massless particle only two independent helicity states $\lambda = \pm s$ are allowed.
2. Under the ordinary rotation of the xyz -axis the helicity is invariant.
3. When a space reflection is applied, the sign of λ is changed.
4. By applying the Lorentz transformation in the direction of momentum \mathbf{p} the helicity remains unchanged, if the direction of \mathbf{p} is not reversed.

2.2. Kinematics

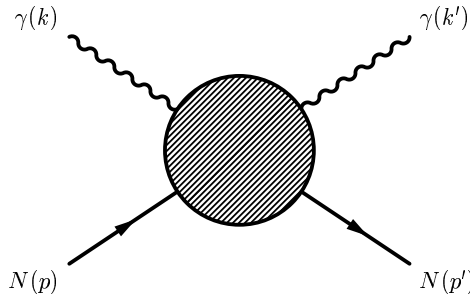


Figure 2.1.: *The schematic view of the kinematics for the nucleon Compton scattering $\gamma N \longrightarrow \gamma N$.*

For the elastic photon scattering by the nucleon in c.m.s.,

$$\gamma(k) + N(p) \longrightarrow \gamma(k') + N(p'), \quad (2.3)$$

we define the kinematical variables $k = (\omega, \mathbf{k})$ and $k' = (\omega, \mathbf{k}')$ as four-momentum of the incoming and the outgoing photon. $p = (E, \mathbf{p})$ and $p' = (E, \mathbf{p}')$ denote the initial and the final four-momentum of the target nucleon, respectively. The schematic illustration of the kinematics for this process is given in Fig. 2.1.

The process of Eq. (2.3) can then be described by the transition amplitude between the initial and the final states $T_{fi} \equiv \langle f|T|i \rangle$ which is related to the scattering matrix $S_{fi} \equiv \langle f|S|i \rangle$ as follows:

$$S_{fi} = \delta_{fi} + i(2\pi)^4 \delta^4(k' + p' - k - p) T_{fi} \quad (2.4)$$

Because the T_{fi} has to be invariant under the Lorentz transformation [67], it can be represented as the function of the invariant variables $\nu = (s - u)/4m$ and t , where the

usual Mandelstam variables [66] $s = (k + p)^2$, $t = (k - k')^2$ and $u = (k - p')^2$ are in c.m. frame given as

$$\begin{aligned} s &= (\omega + E)^2 = W_{tot}^2, \\ t &= -2\omega^2(1 - \cos \theta) = -|\mathbf{q}|^2, \\ u &= m^2 - 2(\omega E + \omega^2). \end{aligned} \quad (2.5)$$

Here, m is the mass of the nucleon, and θ is the c.m. scattering angle between the initial and the final photon directions. In the center of mass system the variable s is the square of the total energy W_{tot} of the system, and t is equal to the negative squared of the momentum transfer $\mathbf{q} = \mathbf{k}' - \mathbf{k}$. The energy and the three-momentum of the nucleon are expressed in terms of s as

$$\mathbf{p}^2 = \omega^2 = \frac{(s - m^2)^2}{4s}, \quad E^2 = \frac{(s + m^2)^2}{4s}. \quad (2.6)$$

The conventional c.m. scattering amplitude f_{cm} and the transition amplitude T_{fi} are connected to each other by a phase space factor Γ_{cm} as follows:

$$f_{cm} = \Gamma_{cm} T_{fi}, \quad \Gamma_{cm} = \frac{1}{8\pi W_{tot}}. \quad (2.7)$$

2.3. Helicity amplitudes

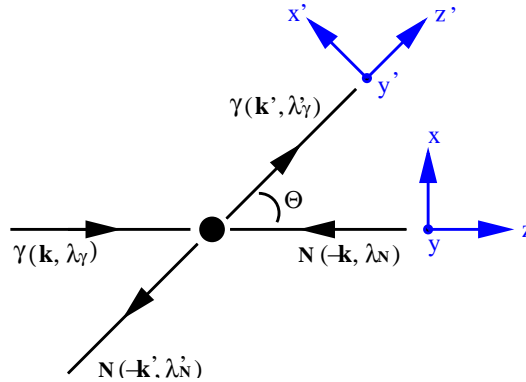


Figure 2.2.: The nucleon Compton scattering in c.m. frame

The incident photon moves along the positive z direction, and the scattering takes place in the xz plane, as shown in Fig. 2.2. Assuming also that the spin of the photon and that of the nucleon are quantized along the directions of the photon momentum, $\hat{\mathbf{n}} = \mathbf{k}/\omega$ before the scattering and $\hat{\mathbf{n}}' = \mathbf{k}'/\omega$ after the scattering respectively. The

transition matrix T can then be described by the *helicity* amplitudes $T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}$ which are defined as

$$T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N} \equiv \langle k' \lambda'_\gamma, p' \lambda'_N | T | k \lambda_\gamma, p \lambda_N \rangle. \quad (2.8)$$

Here, λ_γ and λ'_γ refer to the initial and the final helicities of the photon, while λ_N and λ'_N are those of the nucleon. Eq. (2.8) means that the helicity amplitudes are the transition amplitudes between the initial and the final states with fixed spin projections. Because of $\lambda_\gamma, \lambda'_\gamma = \pm 1$ and $\lambda_N, \lambda'_N = \pm 1/2$ there are sixteen helicity amplitudes. Using them, the T -matrix in the helicity space is represented as

$$T = \begin{pmatrix} T_{11/2, 11/2} & T_{11/2, 1-1/2} & T_{11/2, -11/2} & T_{11/2, -1-1/2} \\ T_{1-1/2, 11/2} & T_{1-1/2, 1-1/2} & T_{1-1/2, -11/2} & T_{1-1/2, -1-1/2} \\ T_{-11/2, 11/2} & T_{-11/2, 1-1/2} & T_{-11/2, -11/2} & T_{-11/2, -1-1/2} \\ T_{-1-1/2, 11/2} & T_{-1-1/2, 1-1/2} & T_{-1-1/2, -11/2} & T_{-1-1/2, -1-1/2} \end{pmatrix}. \quad (2.9)$$

Under the space inversion P and the time reversal T inversion,

$$P : \lambda_\gamma, \lambda_N \rightarrow -\lambda_\gamma, -\lambda_N \quad \text{and} \quad T : \lambda_\gamma, \lambda_N \rightarrow \lambda'_\gamma, \lambda'_N, \quad (2.10)$$

the helicity amplitudes satisfy [64, 55]

$$\begin{aligned} T_{-\lambda'_\gamma - \lambda'_N, -\lambda_\gamma - \lambda_N} &= (-1)^{\lambda - \lambda'} T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}, \\ T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N} &= (-1)^{\lambda - \lambda'} T_{\lambda_\gamma \lambda_N, \lambda'_\gamma \lambda'_N}, \end{aligned} \quad (2.11)$$

with $\lambda' = \lambda'_\gamma - \lambda'_N$ and $\lambda = \lambda_\gamma - \lambda_N$. The numbers of the independent amplitudes are thus reduced to six, here chosen to be [1]:

$$\begin{aligned} H_1 &= T_{11/2, 11/2}, & H_2 &= T_{-11/2, -11/2}, \\ H_3 &= T_{1-1/2, 11/2}, & H_4 &= T_{11/2, -11/2}, \\ H_5 &= T_{-1-1/2, 11/2}, & H_6 &= T_{1-1/2, -11/2}. \end{aligned} \quad (2.12)$$

Both amplitudes H_1 and H_2 are related to the helicity non-flip transition states, whereas the amplitudes H_5 and H_6 are connected to double helicity flip. The H_3 and H_4 describe the process of the photon helicity flip without and with the nucleon helicity flip respectively. These different structures owe to the various spin-dependent interactions, i.e. spin-orbit, spin-spin and spin-tensor interactions [14]. By means of Eq. (2.12) the matrix T reads

$$T = \begin{pmatrix} H_1 & -H_3 & H_4 & -H_5 \\ H_3 & H_2 & H_6 & H_4 \\ H_4 & -H_6 & H_2 & -H_3 \\ H_5 & H_4 & H_3 & H_1 \end{pmatrix}. \quad (2.13)$$

It has to be noted that the diagonal elements of the matrix in Eq. (2.13) are made of the helicity non-flip amplitudes, while the double helicity flip waves are provided by the far off-diagonal elements.

2.3.1. Partial wave expansion

As mentioned in section 2.1, the helicity states are invariant under any rotation. The initial states of the process of Eq. (2.3) can therefore be constructed by the states with the total angular momentum of the system J , their components along a specified z direction $M = J_z$ and the net helicity λ . Analogously, the final states can be described by the states with J' , $M' = J'_z$ and λ' :

$$\begin{aligned} |\hat{\mathbf{n}} \lambda_\gamma \lambda_N \rangle &= \sum_{J,M} \langle JM \lambda_\gamma \lambda_N | \hat{\mathbf{n}} \lambda_\gamma \lambda_N \rangle | JM \lambda_\gamma \lambda_N \rangle, \\ &= \sum_{J,M} D_{\lambda M}^J(\hat{\mathbf{n}}) | JM \lambda_\gamma \lambda_N \rangle \end{aligned} \quad (2.14)$$

The Wigner function $D_{\lambda M}^J(\hat{\mathbf{n}})$ can be reduced under the $SU(2)$ to d -function, where the photon momentum direction $\hat{\mathbf{n}}$ is represented by two spherical angles ϕ and θ :

$$D_{\lambda M}^J(\hat{\mathbf{n}}) = \exp\{iM\phi\} d_{\lambda M}^J(\theta). \quad (2.15)$$

If the direction of the $\hat{\mathbf{n}}$ is taken as z -axis, then we can set $\phi = 0$. With the help of the orthogonality relation of d -function,

$$\int_0^\pi d_{\lambda' M'}^{J'*}(\theta) d_{\lambda M}^J(\theta) \sin \theta d\theta = \frac{2}{2J+1} \delta_{J'J} \delta_{\lambda'\lambda} \delta_{M'M}, \quad (2.16)$$

the helicity amplitudes $T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}$ are decomposed into the partial waves defined as $T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}^J(s) \equiv \langle JM \lambda_\gamma \lambda_N | T(s) | J'M' \lambda'_\gamma \lambda'_N \rangle$, i.e. the T -matrix elements in an angular momentum representation for a given J , M and the corresponding rotation function $d_{\lambda'\lambda}^J(\theta)$:

$$\begin{aligned} T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}(s, \theta) &= \sum_{J,M} \langle \hat{\mathbf{n}}' \lambda'_\gamma \lambda'_N | JM \lambda_\gamma \lambda_N \rangle \langle JM \lambda_\gamma \lambda_N | T(s) | J'M' \lambda'_\gamma \lambda'_N \rangle \\ &\quad * \langle J'M' \lambda'_\gamma \lambda'_N | \hat{\mathbf{n}} \lambda_\gamma \lambda_N \rangle, \\ &= 8\pi\sqrt{s} \sum_J (2J+1) T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}^J(s) d_{\lambda'\lambda}^J(\theta), \end{aligned} \quad (2.17)$$

where $d_{\lambda'\lambda}^J(\theta)$ is associated with Jacobi polynomial as like

$$d_{\lambda'\lambda}^J(\theta) = \pm \sqrt{\frac{(J+M)!(J-M)!}{(J+N)!(J-N)!}} \left[\cos \frac{\theta}{2} \right]^{|\lambda+\lambda'|} \left[\sin \frac{\theta}{2} \right]^{|\lambda-\lambda'|} P_{(J-M)}^{|\lambda-\lambda'|, |\lambda+\lambda'|}(\cos \theta) \quad (2.18)$$

with

$$\begin{aligned} M &\equiv \text{maximum of } (|\lambda|, |\lambda'|), \\ N &\equiv \text{minimum of } (|\lambda|, |\lambda'|). \end{aligned} \quad (2.19)$$

With the reduced helicity amplitudes τ_i , ($i = 1..6$) defined as

$$\tau_i = 8\pi\sqrt{s} \sum_J (2J+1) T_{\lambda_\gamma \lambda'_N, \lambda_\gamma \lambda_N}^J(s) P_{(J-M)}^{|\lambda-\lambda'|, |\lambda+\lambda'|}(\cos\theta) \quad (2.20)$$

the amplitudes $T_{\lambda_\gamma \lambda'_N, \lambda_\gamma \lambda_N}$ are expressed as

$$T_{\lambda_\gamma \lambda'_N, \lambda_\gamma \lambda_N}(s, \theta) = \left[\cos \frac{\theta}{2} \right]^{|\lambda+\lambda'|} \left[\sin \frac{\theta}{2} \right]^{|\lambda-\lambda'|} \tau_i. \quad (2.21)$$

The τ_i are free from singularities owing to the factors $\cos(\theta/2)^{|\lambda+\lambda'|} \sin(\theta/2)^{|\lambda-\lambda'|}$ [57, 87]. In concrete, the helicity amplitudes in terms of τ_i read as follows:

$$\begin{aligned} T_{11/2, 11/2} &= \cos^3 \frac{\theta}{2} \tau_1, & T_{-11/2, -11/2} &= \cos \frac{\theta}{2} \tau_2, \\ T_{1-1/2, 11/2} &= \cos^2 \frac{\theta}{2} \sin \frac{\theta}{2} \tau_3, & T_{11/2, -11/2} &= \cos \frac{\theta}{2} \sin^2 \frac{\theta}{2} \tau_4, \\ T_{-1-1/2, 11/2} &= \sin \frac{\theta}{2} \tau_5, & T_{-1-1/2, -11/2} &= \sin^3 \frac{\theta}{2} \tau_6. \end{aligned} \quad (2.22)$$

Due to the conservation of the total angular momentum

$$T_{\lambda_\gamma \lambda'_N, \lambda_\gamma \lambda_N}(s, \theta) \propto t^{|\lambda-\lambda'|/2}, \quad \text{as } \theta \rightarrow 0 \quad (2.23)$$

$$T_{\lambda_\gamma \lambda'_N, \lambda_\gamma \lambda_N}(s, \theta) \propto t^{|\lambda+\lambda'|/2}, \quad \text{as } \theta \rightarrow \pi \quad (2.24)$$

must be satisfied at the forward and backward angle, respectively. That is, at $\theta = 0$ only the amplitudes describing no helicity flip states are allowed, while at $\theta = \pi$ the amplitudes with double helicity flip are permitted.

2.4. Parity conservation and multipoles

By virtue of parity conservation only superpositions of the partial waves with opposite helicities have a definite parity [84]. These linear combinations of partial waves are called the *electric* and *magnetic* multipoles. In the notations of Ref. [85] the multipoles of Compton scattering are represented as $f_{T \rightarrow T'}^{J=L \pm 1/2} \equiv f_{TT'}^{L \pm}$, where $J = L \pm 1/2$ and TT' stand for the total angular momentum of the γN system and the multipoles of the initial and final photon, respectively. Because of $TT' = M$ or E there are such Compton multipoles as f_{EE}^{L+} , f_{MM}^{L+} , $f_{EE}^{(L+1)-}$, $f_{MM}^{(L+1)-}$, $f_{EM}^{L+} = f_{ME}^{(L+1)-}$ and $f_{EM}^{L-} = f_{ME}^{(L-1)+}$. Here, the wave f_{EM}^{L+} describes, for example, the transition between an electric 2^L -pole in an initial state to a magnetic $2^{L'}$ -pole of the same parity ($L' = L \pm 1$) in a final state. The other waves have an analogous meaning.

2.4.1. Unitarity bound

The unitarity condition for the partial waves says that the imaginary parts of the multipoles are given by the partial amplitudes of the intermediate states α :

$$2 \operatorname{Im} T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}^J = q \sum_{\alpha} T_{\alpha, \lambda'_\gamma \lambda'_N}^{J*} T_{\alpha, \lambda_\gamma \lambda_N}^J, \quad (2.25)$$

where q is the c.m. momentum of the intermediate particles. The sum is taken over the helicities and J . Therefore, the $\operatorname{Im} f_{TT'}^{L\pm}$ can be expressed by the multipoles of pion photoproduction. In the region of the Δ -resonance, single pion photoproduction $\gamma N \rightarrow \pi N$ provides the main contribution to the $\operatorname{Im} f_{TT'}^{L\pm}$ in the form

$$\begin{aligned} \operatorname{Im} f_{EE}^{L\pm} &= q \sum_c |E_{(L\pm 1)\mp}^{(c)}|^2, & \operatorname{Im} f_{MM}^{L\pm} &= q \sum_c |M_{L\pm}^{(c)}|^2, \\ \operatorname{Im} f_{EM}^{L\pm} &= q \sum_c \operatorname{Re} \left(E_{(L\pm 1)\mp}^{(c)} M_{(L\pm 1)\mp}^{(c)*} \right), & \operatorname{Im} f_{ME}^{L\pm} &= q \sum_c \operatorname{Re} \left(E_{L\pm}^{(c)} M_{L\pm}^{(c)*} \right). \end{aligned} \quad (2.26)$$

Here, the $E_{l\pm}$ and the $M_{l\pm}$ are the multipoles of single π -photoproduction in CGLN notation [49] with the parity $P = (-1)^{l+1}$ and the orbital momentum $l = L \pm 1$ of the πN -system. The sum is taken for both channels $\pi^+ n$ and $\pi^0 p$ in case of the proton, and $\pi^- p$ and $\pi^0 n$ for the neutron.

The helicity amplitudes at $\omega = 320$ MeV and their multipole decompositions $f_{TT'}^{L\pm}$ with respect to energies up to 500 MeV are illustrated numerically in Fig. 2.3 and 2.4, respectively. The solid and dashed lines present the real and imaginary parts of the amplitudes based on the pion amplitudes of the VPI group [73, 74], solution SAID-SP98K. On the other hand, the dotted curves for the $\operatorname{Re} f_{TT'}^{L\pm}$ and the dotted-dashed curves for the $\operatorname{Im} f_{TT'}^{L\pm}$ in Fig. 2.4 result from the photoamplitudes of Ref. [86]. The upper six subfigures show the proton amplitudes, while the lower six one display the neutron amplitudes. At a given energy the $\operatorname{Im} T_{\lambda'_\gamma \lambda'_N, \lambda_\gamma \lambda_N}$ are predominating, see Fig. 2.3. Indeed, the imaginary parts of $T_{-1/2, 11/2}$, $T_{11/2, -11/2}$ and $T_{-1-1/2, 11/2}$ have their maximum at the angles of around $\theta = \pi/2$. At $\theta = 0$ only the $T_{11/2, 11/2}$ and $T_{-11/2, -11/2}$ are different from zero, whereas at the angle $\theta = \pi$ just the double spin-flip amplitudes $T_{-1-1/2, 11/2}$ and $T_{1-1/2, -11/2}$ survive. This is, as mentioned in section 2.3, due to momentum conservation. Furthermore, the $T_{11/2, 11/2}$ and $T_{1-1/2, -11/2}$ have larger values than the $T_{11/2, -11/2}$ and $T_{-1-1/2, 11/2}$ at this forward and backward angle, respectively.

In the region of Δ , the dominant f_{MM}^{1+} as well as the small f_{ME}^{1+} show a typical resonance structure in which the corresponding real parts decrease rapidly with energies, see Fig. 2.4. In comparison with the two results obtained from the SAID solution and from Ref. [86] the difference appears mostly in the amplitudes f_{EE}^{1-} and f_{ME}^{1+} , while in the amplitudes f_{EE}^{1+} , f_{MM}^{1-} and f_{EM}^{1+} the main difference is shown above pion threshold. As to f_{MM}^{1+} both results agree quite well with each other.

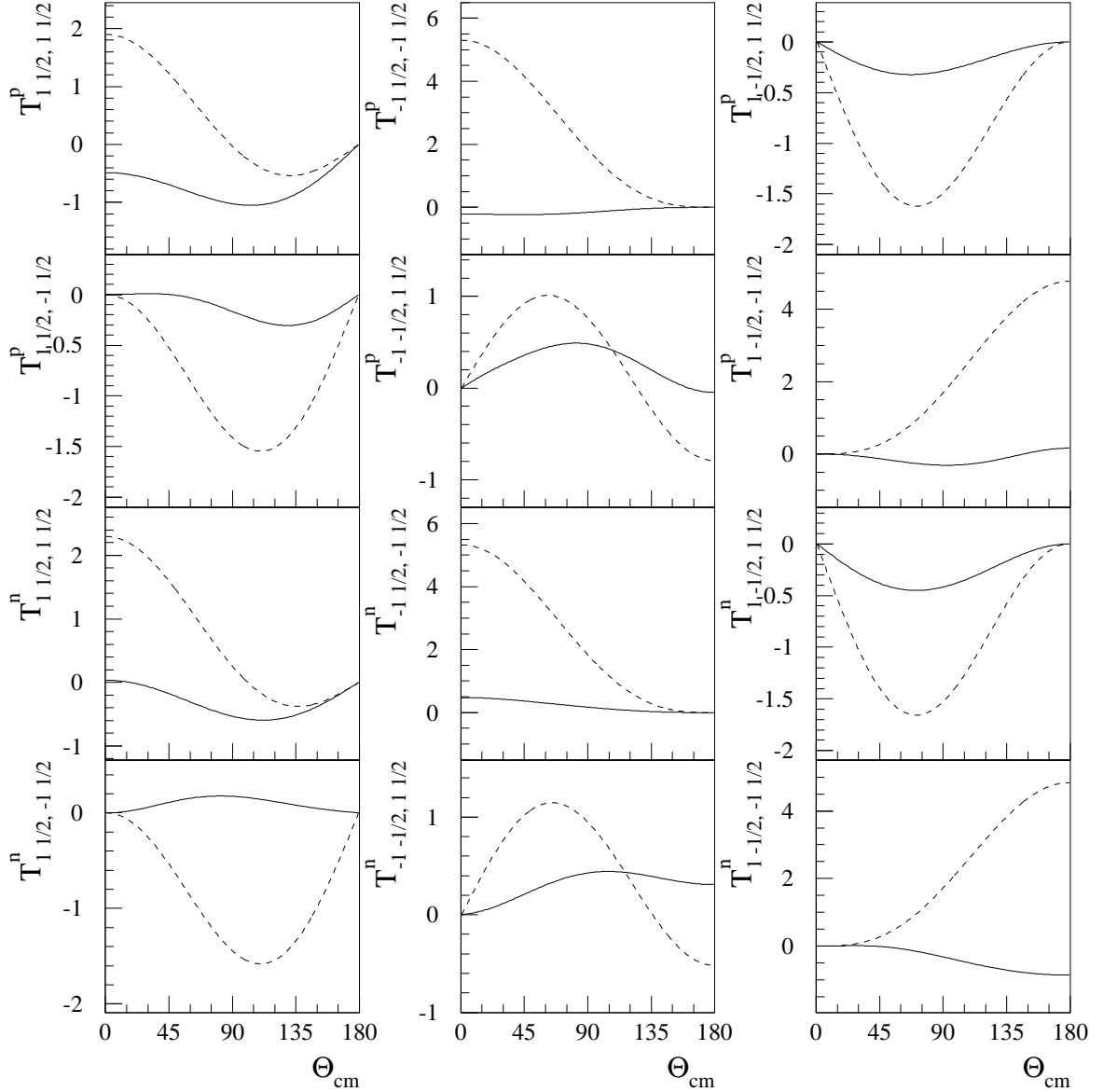


Figure 2.3.: *The helicity amplitudes of the Compton scattering off the proton (upper subfigures) and the neutron (lower subfigures) at $\omega = 320$ MeV. The real parts of the amplitudes are plotted as the solid lines, while the dashed lines show the imaginary parts.*

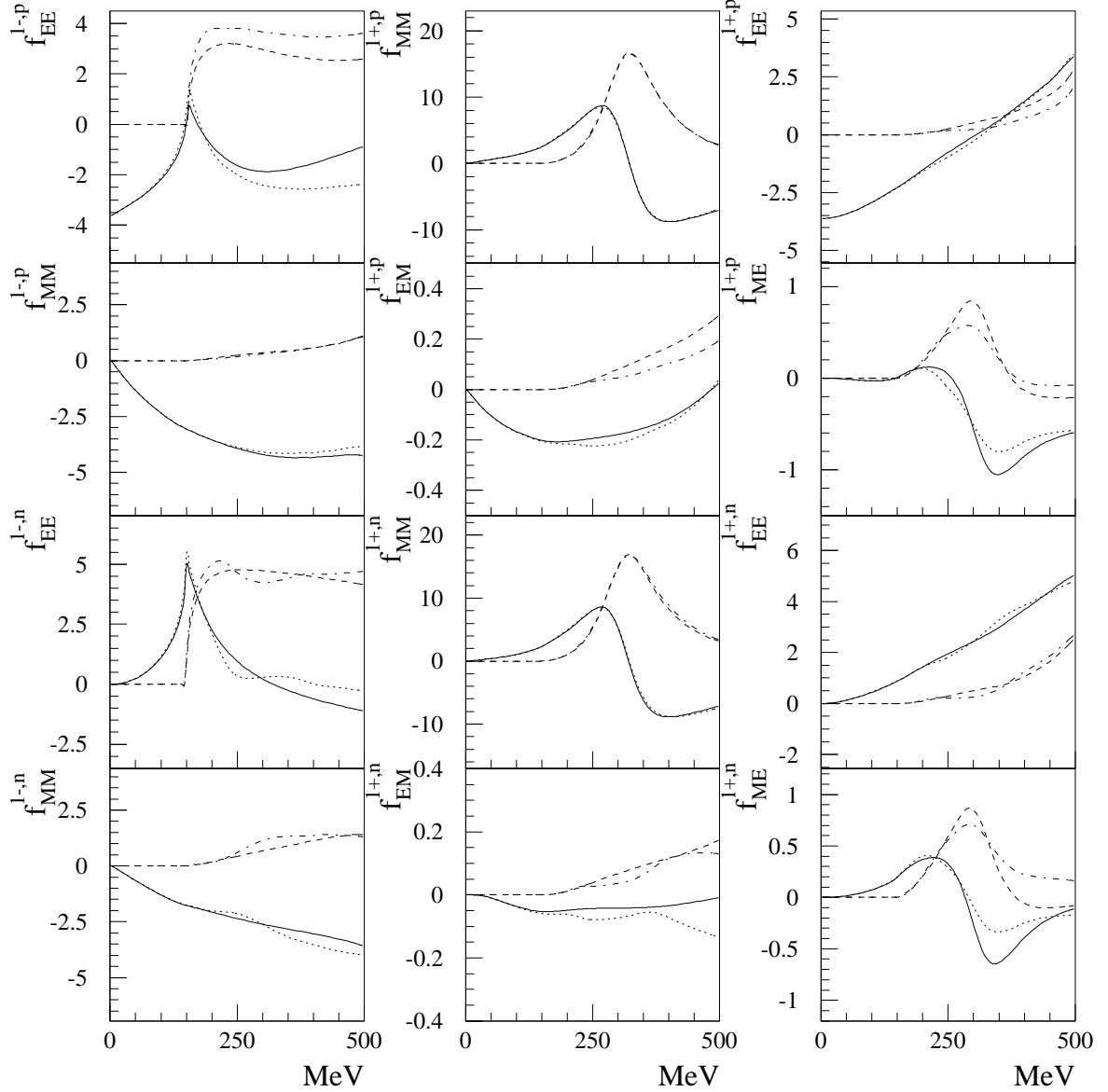


Figure 2.4.: *The partial waves of Compton scattering off the proton (upper subfigures) and the neutron (lower subfigures) at the c.m. angle $\theta_{cm} = \pi$. The amplitudes based on the pion multipoles by the VPI group [73, 74] are presented by the solid (real parts) and dashed lines (imaginary parts) respectively. On the other hand, the results using multipoles in Ref. [86] are displayed with the dotted lines for the real parts and with the dotted-dashed lines for the imaginary parts.*

2.5. Invariant amplitudes

At an arbitrary spin projection the Compton scattering amplitude T may be expressed in terms of scalar invariant coefficients of a set of basis tensors in a vector space given by the appropriate products of the matrices and polarization vectors:

$$T = \bar{u}'(p') e'^{*μ}(k') M_{μν} e^ν(k) u(p). \quad (2.27)$$

Here, u and u' are bispinors of the nucleon normalized as $\bar{u}u = 2m$, and e and e' are photon polarization vectors. The tensor $M_{μν}$ may be expanded with respect to a tensor basis $I_{μν}^i$

$$M_{μν} = \sum T_i I_{μν}^i, \quad (2.28)$$

where the coefficients of basis tensors, T_i , are called *invariant* amplitudes. T_i are invariant functions of the two variables ν and t as well as tensors $I_{μν}^i$. For an explicit construction of $I_{μν}^i$ four orthogonal vectors P', K, N and Q are defined by the 4-momentum of the photon k, k' and by that of the nucleon p, p' [71]:

$$\begin{aligned} P'_\mu &= P_\mu - K_\mu \frac{PK}{K^2}, & P &= \frac{1}{2}(p + p'), & K &= \frac{1}{2}(k + k'), \\ N_\mu &= \epsilon_{\mu\nu\alpha\beta} P^\nu Q^\alpha K^\beta, & Q &= \frac{1}{2}(k' - k) = \frac{1}{2}(p - p'). \end{aligned} \quad (2.29)$$

$\epsilon_{\mu\nu\alpha\beta}$ is an antisymmetric tensor and $\epsilon_{0123} = 1$. The vectors P', Q and N are space-like because of their orthogonality to the time-like vector $K (K^2 = kk' > 0)$. The constraints of the Lorentz, gauge, P and T invariance lead to the following six independent covariants $I_{μν}^i$:

$$\begin{aligned} \frac{P'_\mu P'_\nu}{P'^2}, & \quad \frac{P'_\mu P'_\nu}{P'^2} \gamma K, & \quad \frac{N_\mu N_\nu}{N^2}, & \quad \frac{N_\mu N_\nu}{N^2} \gamma K, \\ \frac{P'_\mu N_\nu - P'_\nu N_\mu}{P'^2 K^2} i \gamma_5, & & \quad \frac{P'_\mu N_\nu + P'_\nu N_\mu}{P'^2 K^2} i \gamma_5 \gamma K. \end{aligned} \quad (2.30)$$

In terms of the tensors of Eq. (2.30) the amplitude T is expanded as

$$\begin{aligned} T = \bar{u}'(p') e'^{*μ}(k') \left\{ -\frac{P'_\mu P'_\nu}{P'^2} (T_1 + \gamma K T_2) - \frac{N_\mu N_\nu}{N^2} (T_3 + \gamma K T_4) \right. \\ \left. + i \frac{P'_\mu N_\nu - P'_\nu N_\mu}{P'^2 K^2} \gamma_5 T_5 + i \frac{P'_\mu N_\nu + P'_\nu N_\mu}{P'^2 K^2} \gamma_5 \gamma K T_6 \right\} e^\nu(k) u(p), \end{aligned} \quad (2.31)$$

with

$$\begin{aligned} K^2 &= -\frac{t}{4} = \frac{1}{8s} (s - m^2)^2 (1 - \cos \theta), \\ P'^2 K^2 &= \frac{1}{4} (su - m^4) = -\frac{1}{8s} (s - m^2)^2 (1 + \cos \theta), \\ N^2 &= P'^2 (K^2)^2 \sim \sin^2 \theta. \end{aligned} \quad (2.32)$$

By virtue of the crossing symmetry $s \leftrightarrow u$, i.e. $\nu \rightarrow -\nu$, T_i satisfies the condition

$$T_i(\nu, t) = \eta T_i(-\nu, t), \quad \eta = \begin{cases} -1 & : T_2, T_4 \\ +1 & : T_1, T_3, T_5, T_6. \end{cases} \quad (2.33)$$

Hence, T_2 and T_4 are the odd functions of ν , and the other amplitudes are the even functions.

Analyticity

T_i are *analytic* functions of the complex variables ν and t in the cut of νt planes and have no kinematic singularities [51, 59]. Aber they contain the kinematic zeros, which arise from the vanishing of denominators P' , K^2 and $P'^2 K^2$ at the angles $\theta = 0$ and π . To remove them the following linear combinations of T_i , that is A_i , are introduced [62, 69]:

$$\begin{aligned} A_1 &= \frac{1}{t} [T_1 + T_3 + \nu(T_2 + T_4)], \\ A_2 &= \frac{1}{t} [2T_5 + \nu(T_2 + T_4)], \\ A_3 &= \frac{m^2}{m^4 - su} \left[T_1 - T_3 - \frac{t}{4\nu}(T_2 - T_4) \right], \\ A_4 &= \frac{m^2}{m^4 - su} \left[2mT_6 - \frac{t}{4\nu}(T_2 - T_4) \right], \\ A_5 &= \frac{1}{4\nu} [T_2 + T_4], \\ A_6 &= \frac{1}{4\nu} [T_2 - T_4]. \end{aligned} \quad (2.34)$$

Eq. (2.34) means that the combination $T_1 + T_3 + \nu(T_2 + T_4)$ has, for example, a kinematic zero at $K^2 \sim t = 0$. Therefore, the expression divided by t has no additional constraints. Analogously, the amplitudes A_3 and A_4 must vanish at the angle π because of $(su - m^4) \propto (1 + \cos \theta)$. Indeed, the crossing symmetry of Eq. (2.33) results in $T_2 = T_4 = 0$ at $\nu = 0$, and then $A_5 = A_6 = 0$ at $\nu = 0$. As a result, the amplitudes A_i are free from both kinematic singularities and kinematic constraints. The invariant amplitudes A_i are also the analytic functions of ν and t due to the analyticity of the amplitudes T_i . Noticed that all of A_i are the even functions of ν .

2.6. Fixed- t dispersion relation

To calculate the amplitudes A_i we start from the fixed- t unsubtracted dispersion relation that are formulated by means of a Cauchy loop of finite size as [1]

$$\text{Re}A_i(\nu, t) = A_i^B(\nu, t) + A_i^{nB}(\nu, t), \quad (2.35)$$

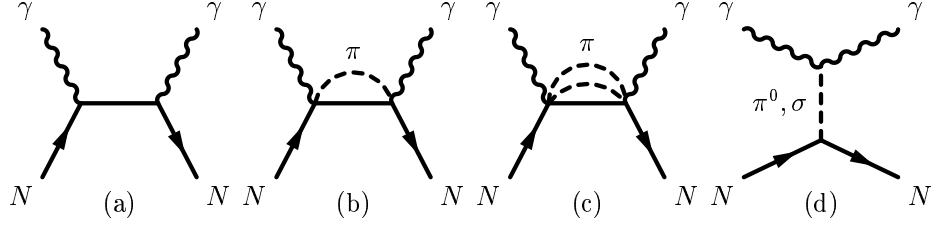


Figure 2.5.: *The Feynman diagrams of the nucleon Compton scattering. In diagram (a) the Born contribution in the s -channel is represented. Diagrams (b) and (c) show the 1π - and 2π intermediate states affecting on the integral part A_i^{int} respectively. The π^0 and the σ -meson exchange diagram in the $\gamma\gamma$ -channel is given in (d), which is related to asymptotic part A_i^{as} of dispersive calculation.*

where A_i^B and A_i^{nB} denote the Born part and the non-Born part of the amplitudes respectively. The Feynman Diagrams related to the intermediates states of the nucleon Compton scattering are represented in Fig. 2.5.

The Born contribution A_i^B describes the photon scattering off a *rigid* particle and has a purely pole form which is caused by single nucleon intermediate states in the s - or the u -channel at zero energy, see the first diagram in Fig. 2.5. This term is determined by the electric charge $\tau = (1 + \tau_3)/2$ and the anomalous magnetic moment of the nucleon $\kappa = 1.793\tau - 1.913(1 - \tau)$ [4]:

$$A_i^B(\nu, t) = \frac{a_i(t)}{(s - m^2)(u - m^2)} = \frac{r_i(t)}{t^2 - 16m^2\nu^2}, \quad (2.36)$$

with

$$\begin{aligned} r_1 &= 4me^2 \left(-2\tau + r_3 \frac{t}{4m^2} \right), & r_2 &= 4me^2 \left(2\kappa\tau + 2\tau + r_3 \frac{t}{4m^2} \right), \\ r_3 &= r_5 = 4me^2 (\kappa^2 + 2\kappa\tau), & r_4 &= 4me^2 \kappa^2, & r_6 &= -4me^2 (\tau^2 + 2\kappa\tau + 2\tau). \end{aligned} \quad (2.37)$$

Here $e^2 \simeq 4\pi/137$ and $su = m^4$.

2.6.1. Non-Born contribution

The part of non-Born contribution A_i^{nB} can be, in turn, divided into the usual dispersion integral part A_i^{int} and the asymptotic part A_i^{as} :

$$A_i^{nB}(\nu, t) = A_i^{int}(\nu, t) + A_i^{as}(\nu, t). \quad (2.38)$$

s -channel contribution

The integral part A_i^{int} is evaluated by an integral from pion photoproduction threshold $\nu_{thr} = \omega_{thr} + t/4m$ with $\omega_{thr} \simeq 150$ MeV to a finite upper limit ν_{max} , for which in an actual calculation $\omega_{max} = \nu_{max} - t/4m = 1.5\text{GeV}$ is employed:

$$A_i^{int}(\nu, t) = \frac{2}{\pi} P \int_{\nu_{thr}(t)}^{\nu_{max}(t)} \text{Im} A_i(\nu', t) \frac{\nu' d\nu'}{\nu'^2 - \nu^2}. \quad (2.39)$$

By virtue of the optical theorem and the unitarity relation $\text{Im} A_i$ can be reduced by photo-meson amplitudes or the cross section of the photoabsorption taken from the experiments. In the region of energy considered here it is saturated mainly by the single π -meson amplitudes and be supplemented by the double pion contribution, whose amplitude is calculated in the framework of the simple model of Ref. [1].

High energy behavior

The information about behaviors of the amplitudes A_i at energies $\nu \leq \nu_{max}$ are carried by the asymptotic part A_i^{as} which are formally given by an integral over the upper semicircle of radius ν_{max} in a complex plane:

$$A_i^{as}(\nu, t) = \frac{1}{\pi} \text{Im} \int_{\nu'=\nu_{max}(t)e^{i\phi}, 0<\phi<\pi} A_i(\nu', t) \frac{\nu' d\nu'}{\nu'^2 - \nu^2}. \quad (2.40)$$

According to the Regge theory [64, 57] the amplitudes A_3 , A_4 , A_5 and A_6 are assumed to be proportional to $\nu^{\alpha(t)-2}$ with a Regge pole trajectory $\alpha(t) \leq 1$ and approach then zero at large ν and fixed t . Eq. (2.40) can then be recasted to dispersion integral evaluated from $\nu_{max}(t)$ to ∞ :

$$A_i^{as}(\nu, t) = \frac{2}{\pi} \int_{\nu_{max}(t)}^{\infty} \text{Im} A_i(\nu', t) \frac{\nu' d\nu'}{\nu'^2 - \nu^2}, \quad (i = 3, 4, 5, 6). \quad (2.41)$$

The asymptotic part of $A_3 + A_6$ at zero angle can be exactly estimated from the total photoabsorption cross section σ_{tot} which reads in terms of the amplitudes A_3 and A_6 like

$$\sigma_{tot}(\nu) = -2\nu \text{Im} \{A_3(\nu, 0) + A_6(\nu, 0)\}. \quad (2.42)$$

it contributes about 6% to the sum of the electric and magnetic polarizabilities $\bar{\alpha}_N$ and $\bar{\beta}_N$ of the nucleon [22]:

$$\begin{aligned} \bar{\alpha}_N + \bar{\beta}_N &= \frac{1}{2\pi^2} \int_{\nu_{thr}}^{\infty} \sigma_{tot}(\nu) \frac{d\nu}{\nu^2}, \\ &= -\frac{1}{2\pi} \{A_{3,6}^{int}(0, 0) + A_{3,6}^{as}(0, 0)\}, \\ &\simeq 14.2 \times 10^{-4} \text{fm}^3, \quad \text{for proton.} \end{aligned} \quad (2.43)$$

As to the two other amplitudes A_1 and A_2 which behave as $\sim \nu^{\alpha(t)}$ in the limit of $\nu \rightarrow \infty$ their high energy parts are replaced by a finite number of energy independent poles in t -channel. On the basis of good descriptions of all available data on the nucleon Compton scattering A_1^{as} and A_2^{as} are modeled by the t -channel exchange of the scalar σ -meson and the pseudoscalar π^0 -meson respectively [69, 62, 1]:

$$A_2^{as}(\nu, t) \approx A_2^{\pi^0}(t) = \frac{g_{\pi NN} F_{\pi^0 \gamma \gamma}}{t - m_{\pi^0}^2} \tau_3 F_\pi(t), \quad (2.44)$$

where the product of coupling constants $g_{\pi NN} F_{\pi^0 \gamma \gamma} = (-0.333 \pm 0.012) \text{ GeV}^{-1}$, and the form factor $F_\pi(t)$ at the moderate t is given by

$$F_\pi(t) = \frac{\Lambda_\pi^2 - m_\pi^2}{\Lambda_\pi^2 - t} \quad (2.45)$$

with the cutoff parameter $\Lambda_\pi \approx 1 \text{ GeV}$ [61].

In the case of σ -exchange in A_1 , a simpler form of

$$A_1^{as}(\nu, t) \approx A_1^\sigma(t) = \frac{g_{\sigma NN} F_{\sigma \gamma \gamma}}{t - m_\sigma^2}. \quad (2.46)$$

is applied, where the mass of σ -meson m_σ , which restricts the t -dependence of $A_1^{as}(t)$, is phenomenologically given as 600 MeV. From the relation of A_1 with the electromagnetic polarizabilities

$$A_1^{nB}(0, 0) = A_1^{int}(0, 0) + A_1^{as}(0, 0) = -2\pi(\alpha_N - \beta_N), \quad (2.47)$$

and using the previously calculated value of $A_1^{int}(0, 0) \equiv (\alpha_N - \beta_N)^{int}$ the product of couplings constants $g_{\sigma NN} F_{\sigma \gamma \gamma}$ is extracted in a way of

$$g_{\sigma NN} F_{\sigma \gamma \gamma} = 2\pi m_\sigma^2 (\alpha_N - \beta_N)^{as}. \quad (2.48)$$

Fig. 2.6 display the real and the imaginary parts of the amplitudes A_i at $\theta = 30^\circ$ with solid and dotted lines respectively. The upper six figures are for the proton and the down six ones for the neutron. There are no considerable difference between the amplitudes $A_{i,p}$ and $A_{i,n}$ out of the real part of A_2 which has the opposite sign under the pion threshold.

Fig. 2.7 represe the contributions of two dominate pion multipoles E_{0+} (dashed lines) and M_{1+} (dotted lines) to $\text{Im}A_i$ at the anlgle $\theta = 30^\circ$ and with respect to the energies $150 \leq \omega \leq 500$. The interference of these two multipoles results in the oscillations of the $\text{Im}A_i$.

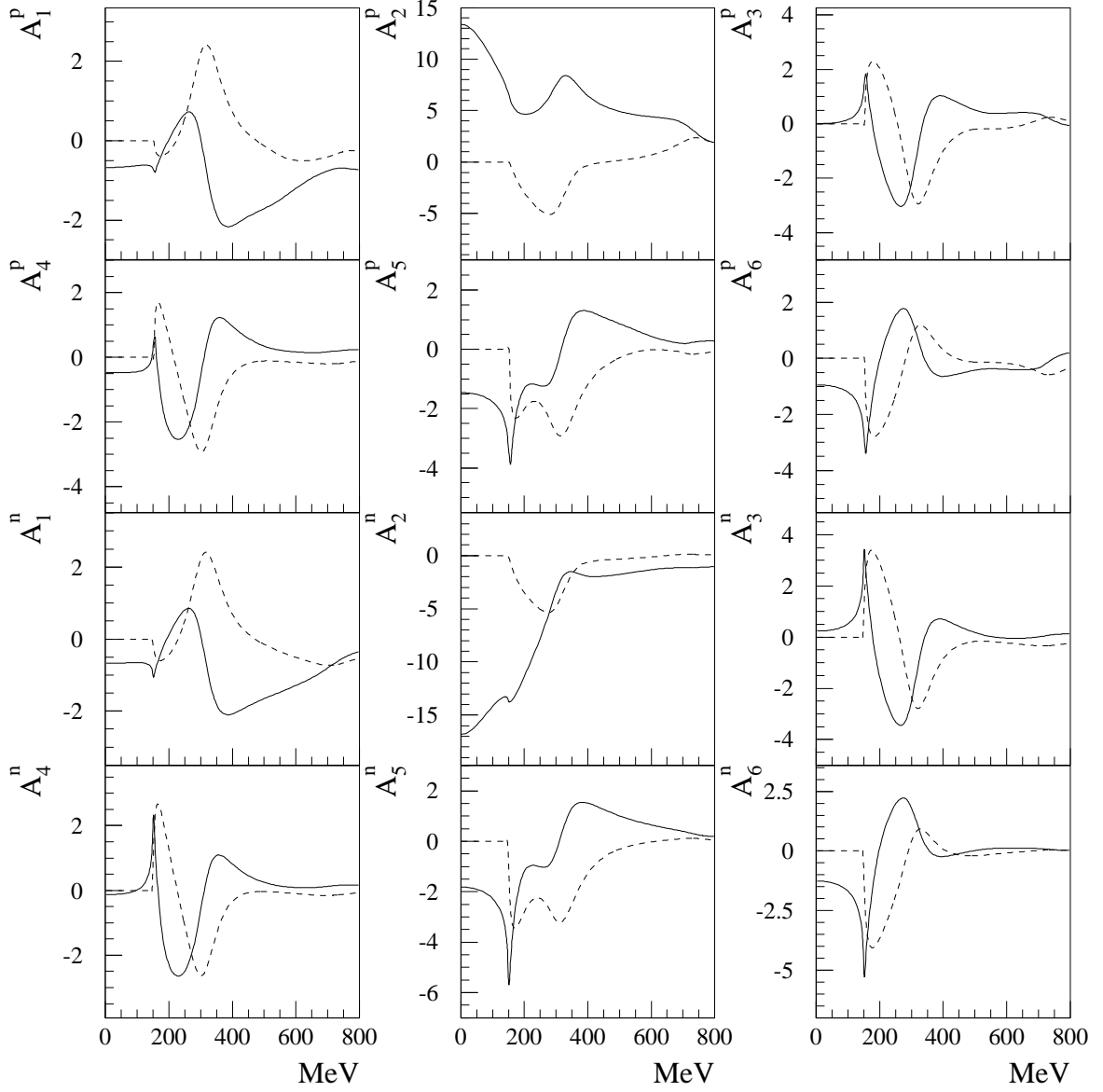


Figure 2.6.: *The invariant amplitudes of the Compton scattering off the proton (upper) and neutron (low) at the c.m. angle $\theta_{cm} = 30^\circ$. The real parts are represented with solid line and the imaginary parts with dashed line.*

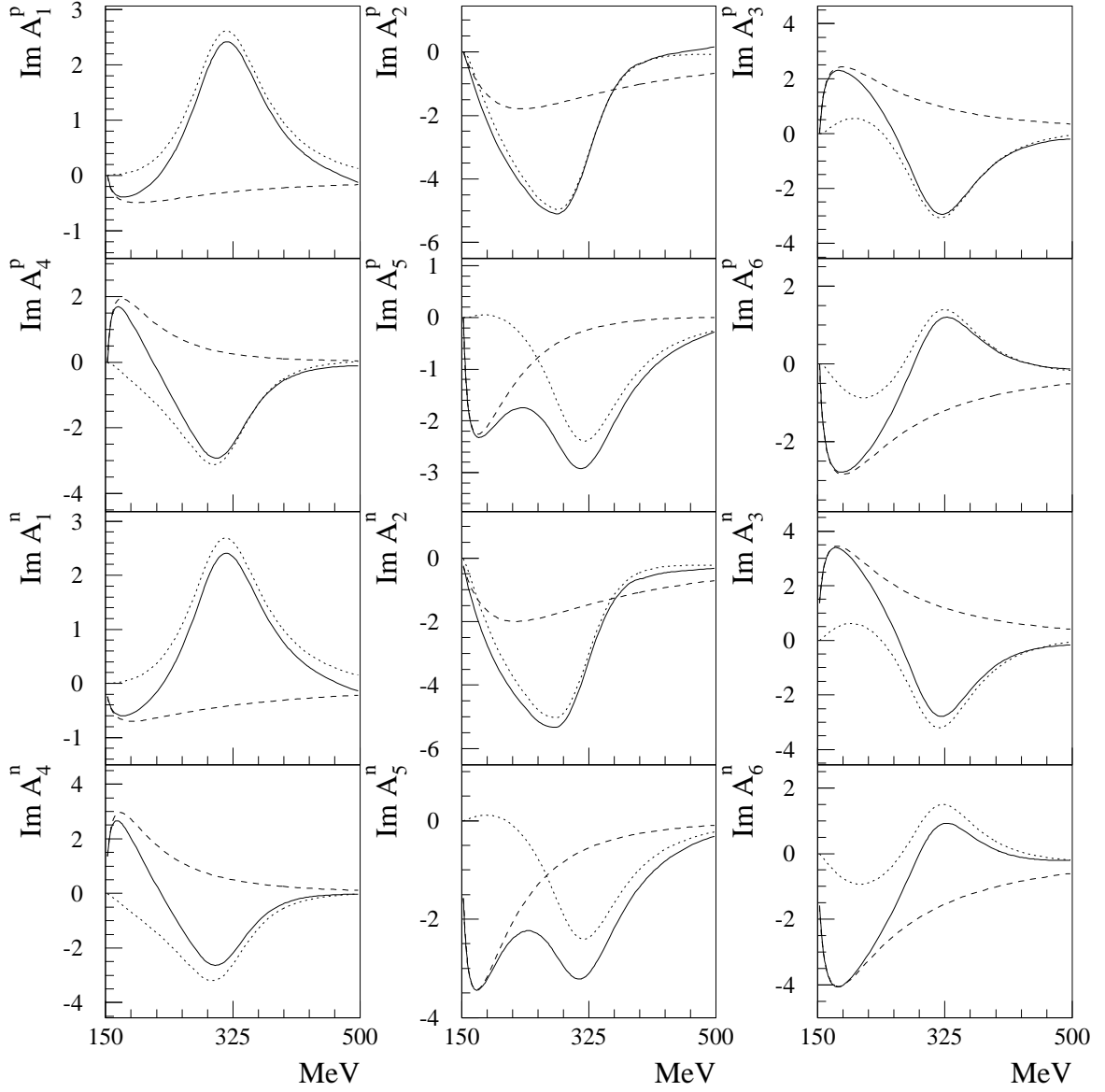


Figure 2.7.: *The contributions of the pion multipoles E_{0+} of dashed lines and M_{1+} of dotted lines to the $\text{Im}A_i$ of the proton (upper) and neutron (low).*

3. Low energy theory

Low energy amplitude of the nucleon Compton scattering can be described by the structure parameters such as the electric α_N and magnetic polarizabilities β_N at the second order $O(\omega^2)$ in an expansion of the scattering amplitude as well as the four spin polarizabilities γ_{E1} , γ_{M1} , γ_{E2} , and γ_{M2} first entered at the third order $O(\omega^3)$ [58, 75].

The quantities α and β describe deformations of the constituent charge and magnetic moment distributions of the nucleon in the presence of a static electromagnetic field. The current status of the electromagnetic polarizabilities of the nucleon has reported in [24, 23, 22, 35, 31, 3]:

$$\begin{aligned}\alpha_p &= 12.1 \pm 0.8 \pm 0.5, & \beta_p &= 2.1 \mp 0.8 \mp 0.5, \\ \alpha_n &= 12.6 \pm 1.5 \pm 2.0, & \beta_n &= 3.2 \mp 1.5 \mp 2.0\end{aligned}\tag{3.1}$$

in units of 10^{-4} fm^3 . The first error is the combined statistical and systematic, and the second is due to the model dependence. These values have been confronted with various theoretical estimates such as non-relativistic and bag quark models [9, 10, 48, 77], the cloudy bag and soliton models [45, 78, 79].

The spin polarizabilities arise from the interaction of the photon field with constituent spin of the nucleon, and so are sensitive to the nucleon spin structure.

In contrast to α_N and β_N , the direct experimental determination of these spin polarizabilities has not yet been achieved. On the theoretical side, spin polarizabilities have been extracted from a dispersion relation using existing photoproduction multipoles taken from unpolarized experiments [15, 2, 53].

In this section we will evaluate the spin polarizabilities within the fixed- t dispersion relation based on multipole analyses by VPI group and compare the resulting values with that of DR using another multipole of Ref. [68] and the theoretical investigation carried out within the Heavy Baryon Chiral Perturbation Theory (HBChPT).

3.1. Spin polarizabilities

Spin polarizabilities γ_i characterize the spin-dependent part of the non-Born contribution to the scattering amplitude $T_{fi}^{nB,spin}$. We expand the scattering amplitude $T_{fi} = T_{fi}^B + T_{fi}^{nB}$ in power of ν^2 and t . After the changing of the variables from ν^2

3. Low energy theory

and t to ω and $\cos \theta$ we order every expanded terms in power of ω . In center of mass system the part $T_{fi}^{nB,spin}$ can then be expressed by means of γ_i as follows:

$$\begin{aligned}
 T_{fi}^{nB,spin} = 8\pi W_{tot} \left\{ i\omega^3 \boldsymbol{\sigma} \cdot (\mathbf{e}^{*'} \times \mathbf{e})(\gamma_{M2} - \gamma_{E1}) + i\omega^3 \boldsymbol{\sigma} \cdot (\mathbf{s}^{*'} \times \mathbf{s})(\gamma_{E2} - \gamma_{M1}) \right. \\
 \left. - i\omega^3 (\boldsymbol{\sigma} \cdot \hat{\mathbf{k}} \mathbf{s}^{*'} \cdot \mathbf{e} - \boldsymbol{\sigma} \cdot \hat{\mathbf{k}}' \mathbf{e}^{*'} \cdot \mathbf{s})\gamma_{E2} \right. \\
 \left. - i\omega^3 (\boldsymbol{\sigma} \cdot \hat{\mathbf{k}}' \mathbf{s}^{*'} \cdot \mathbf{e} - \boldsymbol{\sigma} \cdot \hat{\mathbf{k}} \mathbf{e}^{*'} \cdot \mathbf{s})\gamma_{M2} \right\} \quad (3.2)
 \end{aligned}$$

with the two magnetic vectors \mathbf{s} and \mathbf{s}' given as

$$\mathbf{s} = \hat{\mathbf{k}} \times \mathbf{e}, \quad \mathbf{s}' = \hat{\mathbf{k}}' \times \mathbf{e}'. \quad (3.3)$$

From the relations of the coefficients R_i of the spin basis in Eq. (3.2) to amplitudes A_i listed in Appendix (E) the spin polarizabilities γ_{E1} , γ_{M1} , γ_{E2} and γ_{M2} are given *via* the following linear combinations of the low energy expansion of the non-Born part of the invariant amplitudes i.e. $a_i = A_i^{nB}(0, 0)$:

$$\begin{aligned}
 \gamma_{E1} &= -\frac{1}{8\pi m} [a_2 + a_4 - 2a_5 - a_6], \\
 \gamma_{M1} &= -\frac{1}{8\pi m} [a_2 + a_4 + 2a_5 - a_6], \\
 \gamma_{E2} &= -\frac{1}{8\pi m} [a_2 + a_4 + a_6], \\
 \gamma_{M2} &= -\frac{1}{8\pi m} [-a_2 + a_4 + a_6]. \quad (3.4)
 \end{aligned}$$

The transparent physical meaning of γ_i can be obvious by the multipole expansions of the amplitudes R_i , see in Appendix (E.6). By keeping only dipole-dipole and dipole-quadropole transition terms in Eq. (E.6) one retains then

$$\begin{aligned}
 \omega^3 \gamma_{E1} &= (f_{EE}^{1+} - f_{EE}^{1-})^{nB}, & \omega^3 \gamma_{M1} &= (f_{MM}^{1+} - f_{MM}^{1-})^{nB}, \\
 \omega^3 \gamma_{E2} &= (6f_{ME}^{1+})^{nB}, & \omega^3 \gamma_{M2} &= (6f_{EM}^{1+})^{nB}. \quad (3.5)
 \end{aligned}$$

As noticed in Eq. (3.5) the polarizabilities γ_{E1} and γ_{M1} represent spin-dependent transitions to the electric and magnetic dipole states respectively, while γ_{E2} and γ_{M2} describe the transitions of $M1 \rightarrow E2$ and $E1 \rightarrow M2$.

Forward and backward spin polarizabilities

In the case of the forward ($\hat{\mathbf{k}}' = \hat{\mathbf{k}}$) and the backward scattering ($\hat{\mathbf{k}}' = -\hat{\mathbf{k}}$), the amplitude of Eq. (3.2) reduces to

$$\left[T_{fi}^{nB,spin} \right]_{\theta=0} = 8\pi W_{tot}; \left\{ i\omega^3 \gamma \boldsymbol{\sigma} \cdot (\mathbf{e}^{*'} \times \mathbf{e}) \right\} \quad (3.6)$$

and

$$\left[T_{fi}^{nB,spin} \right]_{\theta=\pi} = 8\pi W_{tot}; \{ i\omega^3 \delta \boldsymbol{\sigma} \cdot (\mathbf{e}^{*'} \times \mathbf{e}) \}, \quad (3.7)$$

where the forward γ and backward δ spin- polarizability defined as the coefficients of $i\omega^3 \boldsymbol{\sigma} \cdot (\mathbf{e}^{*'} \times \mathbf{e})$ are

$$\begin{aligned} \gamma &= -\gamma_{E1} - \gamma_{M1} - \gamma_{E2} - \gamma_{M2} = \frac{1}{2\pi m} a_4, \\ \delta &= -\gamma_{E1} + \gamma_{M1} + \gamma_{E2} - \gamma_{M2} = -\frac{1}{2\pi m} [a_2 + a_5]. \end{aligned} \quad (3.8)$$

3.2. Sum rules

As shown in Eqs. (3.4) and (3.8), the spin polarizabilities are determined by the non-Born parts of the amplitudes $A_{2,4,5,6}$ at $\nu = t = 0$. And the amplitudes at the low energy limit $a_i = A_{3,4,5,6}^{nB}(0, 0)$ satisfy, as mentioned in section 2.5, an unsubtracted dispersion relation at fixed $t = 0$:

$$a_{3,4,5,6} = \frac{2}{\pi} \int_{\nu_{thr}(t)}^{\infty} \text{Im} A_i(\nu', 0) \frac{\nu' d\nu'}{\nu'^2 - \nu^2}. \quad (3.9)$$

which allow to evaluate forward spin polarizability γ as well as linear combinations of polarizabilities γ_{E1} , γ_{M1} , γ_{E2} and γ_{M2} such as

$$\begin{aligned} \gamma_{E1} + \gamma_{E2} &= -\frac{1}{4\pi m} [a_4 - a_5], \\ \gamma_{M1} + \gamma_{M2} &= \frac{1}{4\pi m} [a_4 + a_5], \\ \gamma_{E1} + \gamma_{M1} &= -\frac{1}{4\pi m} [a_4 - a_6], \\ \gamma_{E2} + \gamma_{M2} &= -\frac{1}{4\pi m} [a_4 + a_6]. \end{aligned}$$

through the knowledge of photoproduction cross sections only. Of especial, *via* optical theorem found a sum-rule for a forward spin-polarizability in a form

$$\gamma = \frac{1}{4\pi^2} \int_{\nu_{thr}}^{\infty} \frac{\sigma_{1/2} - \sigma_{3/2}}{\nu'^3} d\nu', \quad (3.10)$$

which is originated from Gell-Mann, Goldberg and Thirring (GGT) [65]. Here $\sigma_{1/2,3/2}$ are the photoabsorption cross sections for parallel and anti-parallel alignments of photon and target helicities.

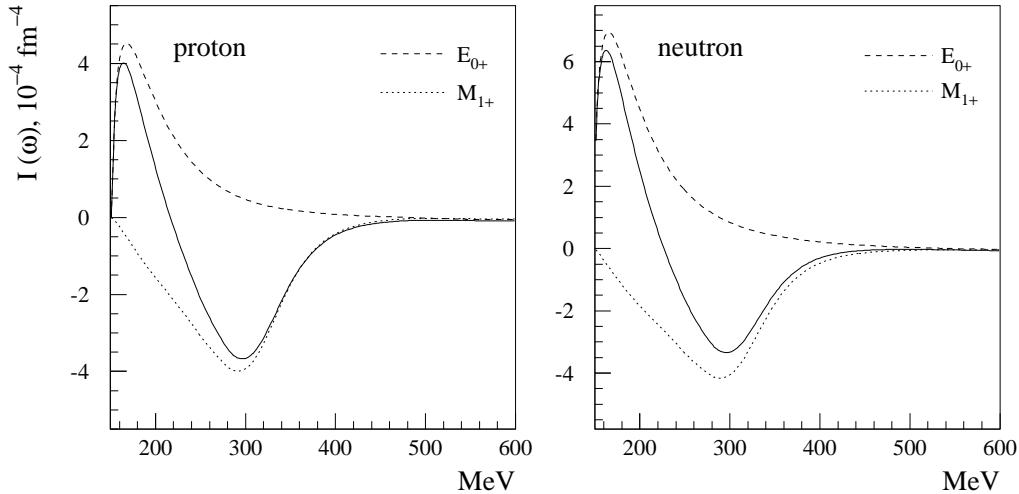


Figure 3.1.: The integrand of $\gamma = \int_{\nu_{thr}}^{\infty} I(\nu) d\nu^3$, $I(\nu) = \Delta\sigma(\nu)/4\pi^2\nu^3$ for the proton (above) and neutron (under), where $\Delta\sigma(\nu) = \sigma_{1/2} - \sigma_{3/2}$. The dashed line represent the E_{0+} -contribution to γ , while the M_{1+} -contribution to γ is plotted with dotted line.

In Fig. 3.1 the whole integrand in Eq. (3.10) are plotted with solid lines for the proton (left) and neutron (right). The integrand shows its maximum and minimum at energies $\omega \simeq 150$ MeV and $\omega \simeq 300$ MeV, respectively and practically fast vanishes above $\omega \simeq 500$ MeV. It may be due to the damping factor $1/\omega^3$ in the integrand. We have also plotted the contributions from E_{0+} and M_{1+} multipoles with dashed and dotted lines, respectively, which are by far the largest contributions to γ . It is noticed that there is large cancellation between the contributions from these two multipoles. Concretely, γ yields

$$\gamma = \begin{cases} +1.6(E_{0+}) - 2.9(M_{1+}E_{1+}) - 0.2(rest) = -1.5 & \text{proton,} \\ +2.8(E_{0+}) - 3.1(M_{1+}E_{1+}) - 0.1(rest) = -0.4 & \text{neutron.} \end{cases} \quad (3.11)$$

The term of *rest* refers to involving of all other multipole amplitudes.

3.3. Comparison with theoretical predictions

The nucleon spin polarizabilities calculated within fixed- t dispersion relation by using the SAID solution VPI-SP98K as input for the single-pion photoamplitude are

presented in Tables 3.1-3.3 and are compared with the theoretical predictions in the framework of HBChPT [82, 83, 80, 81]. Table 3.1 shows separately the contributions from one-pion (πN) and two-pion ($2\pi N$) intermediate states in the s -channel together with the asymptotic part in the t -channel $A_2^{as}(t)$. Table 3.2 and Table 3.3 give the forward and backward spin polarizability, γ_0 and γ_π , as well as the combinations of the spin polarizabilities of Eq. (3.10), which are independent of the amplitude A_2 , respectively.

In the s -channel, the main contribution to the γ_i comes from single π -production, whereas two-pion photoproduction gives rise to an only negligible effect to the γ_i , see Table 3.1. As to the single-pion channel, the result of Drechsel et al. [18] is also represented which is based on the pion multipoles of Hanstein, Drechsel and Tiator (HDT) [68]. There are no large differences in magnitudes of the polarizabilities with respect to the application of the two various inputs of multipoles. Nevertheless, the spin polarizabilities, especially the forward spin polarizability, from the multipoles by the VIP group show some difference from that obtained by HDT multipole amplitudes. This may be, as discussed in Ref. [18], due to the fact that in HDT the amplitude $A_{0+}(= E_{0+})$ for charged pions near the pion threshold is larger than that of SAID. Furthermore, two different upper limits of the integral in Eq. (3.10) such as $\omega_{max} = 500$ MeV at HDT and $\omega_{max} = 1500$ MeV at SAID also play a role in this discrepancy.

The chiral perturbation expansion to leading order (LO) $O(p^3)$ is determined by the graphs of the effective chiral Lagrangian with one-pion loop (πN) and the t -channel π^0 -exchange (π^0), where the latter part is in accordance with the high-energy contribution A_2^{as} in DR (see Table 3.1). The p denotes non-relativistic momenta. The proton and neutron forward spin polarizability from relativistic ChPT yielding $\gamma_0^{p,LO} = \gamma_0^{n,LO} = +4.6$ disagree dramatically with our values of $\gamma_0^p = -1.5$ and $\gamma_0^n = -0.4$. This disagreement is diminished by including correction terms such as Δ -isobar excitation, which is especially important for the low-energy phenomena of the polarizabilities because of the relatively small nucleon-delta mass splitting $\Delta = m_\Delta - m_N \simeq 2m_\pi$ and a large coupling to the πN -channel. In heavy baryon ChPT the Δ -pole is thus introduced as an explicit degree of freedom, and the chiral expansion is taken to third order in a small energy scale $\epsilon = (m_\pi, \Delta)$, which contains any $O(p^3)$ result in Ref. [82] plus additional terms involving the delta resonance. Not only the Δ -isobar excitation but also the $\Delta\pi$ -loop are kept to the same order in this expansion. Numerically, however, their contribution to the γ_i is, as shown in Table 3.1, ignorable.

The Δ -pole contribution to the magnetic dipole spin polarizability γ_{M1}^Δ , given by

$$\gamma_{M1}^\Delta = \frac{\mu_{\pi N \Delta}^2}{4\pi\Delta^2}, \quad (3.12)$$

is deduced to +2.4 [5, 81] or to +4.0 [80] depending on the values for the transition magnetic moment $\mu_{\pi N\Delta}$. In Table 3.1 we give the results with $\gamma_{M1}^\Delta = +4.0$ as well as the Δ -pole contribution to γ_{E2}^Δ . The sum of the πN -loop in LO and SLO term in HBChPT can be compared with the integral contribution in DR. In most cases, the γ_i within HBChPT are fairly similar to our results. Nevertheless, our finding of $\gamma_{E1}^p = -3.7$ evidently deviates from $\gamma_{E1}^p = -5.8$ predicted by HBChPT. Indeed, $\gamma_{M2}^{p,n}$ have opposite signs to that of a chiral expansion. These may account for the variety of the proton forward spin polarizability as well as for the discrepancy of $\gamma_{E1} + \gamma_{M1}$ and $\gamma_{E1} + \gamma_{E2}$, see Tables 3.2 and 3.3. The values of the backward spin polarizability following from DR and HBChPT are close to each other by virtue of the dominant contribution of the t -channel with the exception of our somewhat larger non- π^0 part of γ_π^n compared with that of HBChPT.

There have been two recent determinations of the proton backward spin polarizability of $\gamma_{\pi,exp}^p = -36.8 \pm 0.6_{stat.+syst.} \pm 2.0_{mod.}$ obtained from the LARA experiment of Ref. [29] using the data between $200 \text{ MeV} \lesssim \omega \lesssim 800 \text{ MeV}$ and $30^\circ \lesssim \theta_{lab} \lesssim 150^\circ$ and $\gamma_{\pi,exp}^p = -36.1 \pm 2.1_{stat.} \mp 0.4_{syst.} \pm 0.8_{mod.}$ from the TAPS measurement [20] with energies between 55 MeV and 165 MeV and angles $59^\circ \lesssim \theta_{lab} \lesssim 155^\circ$. The indices *stat* and *sys* denote the statistical and systematic error, respectively. And *mod* refers to the model-dependent one. These extracted $\gamma_{\pi,exp}^p$ are in quite good accordance with our result presented here. On the other hand, $\gamma_{\pi,exp}^p = -27.1 \pm 2.2_{stat.+syst.} \pm 2.6_{mod}$ deduced from the analysis of the LEGS group [2, 53] using data up to the 2π threshold (309 MeV) is appreciably different to our calculation for γ_π^p , and in particular, the non- π^0 part of $\gamma_{\pi,exp}^p$ yielding $\gamma_{\pi,exp}^{p,non-\pi^0} = 17.9 \pm 3.4$ is approximately twice as large as the theoretical prediction $\gamma_{\pi,the}^{p,non-\pi^0} \simeq +8$.

Table 3.1.: Separate contributions to the spin polarizabilities calculated within DR using SAID solution [73, 74] in comparison with the results of Ref. [18] and the predictions of HBChPT [81]. The contribution from one-pion photoproduction (πN) in DR corresponds to the sum of πN -loop (πN) in leading order (LO) and the Δ -pole term (Δ) in subleading order (SLO) of HBChPT. On the other hand, the contribution from two-pion photoproduction ($2\pi N$) in DR can be compared with the term of $\Delta\pi$ -loop ($\Delta\pi$) in HBChPT. The asymptotic parts in the t -channel ($A_2^{as}(t)$) of DR are comparable to the t -channel π^0 -exchange (π^0) of HBChPT. (All results are in units of 10^{-4} fm^4).

	Dispersion Relation					HBChPT				
	$A_2^{as}(t)$	non- π^0 contributions				LO		SLO		πN
		πN	$2\pi N$	sum	π^0	πN	Δ	$\Delta\pi$	+SLO	
	SAID	HDT								
γ_{E1}^p	+11.2	-4.1	-4.5	+0.4	-3.7	+11.0	-5.7	0.0	+0.6	-5.1
γ_{M1}^p	-11.3	+3.3	+3.4	-0.2	+2.9	-11.0	-1.1	+4.0	+0.2	+3.1
γ_{E2}^p	-11.2	+2.4	+2.3	-0.3	+2.1	-11.0	+1.1	+0.75	-0.3	+1.6
γ_{M2}^p	+11.3	-0.3	-0.6	+0.2	-0.1	+11.0	+1.1	0.0	-0.2	+0.9
γ_{E1}^n	+11.2	-5.9	-5.5	+0.4	-5.5	+11.0	-5.7	0.0	+0.6	-5.1
γ_{M1}^n	-11.3	+4.1	+3.4	-0.2	+3.9	-11.0	-1.1	+4.0	+0.2	+3.1
γ_{E2}^n	-11.2	+3.2	+2.6	-0.3	+2.9	-11.0	+1.1	+0.75	-0.3	+1.6
γ_{M2}^n	+11.3	-1.0	-0.6	+0.2	-0.8	+11.0	+1.1	0.0	-0.2	+0.9
γ_0^p	0.0	-1.3	-0.6	-0.2	-1.5	0.0	+4.6	-4.75	-0.3	-0.1
γ_0^n	0.0	-0.3	-0.1	-0.1	-0.4	0.0	+4.6	-4.75	-0.3	-0.1
γ_π^p	-45.0	+10.0	+10.8	-2.1	+7.9	-44.0	+4.6	+4.75	-0.5	+8.9
γ_π^n	+45.0	+14.2	+12.1	-1.4	+12.8	+44.0	+4.6	+4.75	-0.5	+8.9

3. Low energy theory

Table 3.2.: The results for the forward γ_0 and backward γ_π spin polarizabilities of the nucleon in the framework of DR (SAID), DR (HDT) and HBChPT. (all results are in units of 10^{-4} fm^4).

	Proton			Neutron		
	SAID	HDT	HBChPT	SAID	HDT	HBChPT
γ_0	-1.5	-0.6	-0.5	-0.4	-0.1	-0.5
γ_π	-37.1	-34.2	-35.1	+57.8	+57.1	+52.9

Table 3.3.: Linear combinations of the nucleon spin polarizabilities which are not affected by the asymptotic part of the amplitude A_2 . (all results are in units of 10^{-4} fm^4).

γ_i	SAID		HDT		HBChPT
	proton	neutron	proton	neutron	
$\gamma_{E1} + \gamma_{M1}$	-0.7	-1.8	-1.1	-2.1	-4.0
$\gamma_{E2} + \gamma_{M2}$	+2.2	+2.2	+1.7	+2.0	+2.0
$\gamma_{E1} + \gamma_{E2}$	-1.5	-2.7	-2.2	-2.9	-4.4
$\gamma_{M1} + \gamma_{M2}$	+3.0	+3.1	+2.8	+2.8	+2.4

4. Polarized nucleon Compton scattering

In the experiments of Refs. [29, 20, 2, 53], the proton spin polarizability has been determined indirectly from an extrapolation of *unpolarized* Compton scattering. As shown in Eq. (3.10), the full set of γ_i can however be extracted by polarized Compton scattering off the polarized target.

The combination of polarized photon beams with a polarized target also opens the possibilities for new approaches to small amplitudes, which often contain interesting information on subtle dynamical effects. Without polarization, a cross section is given only by the incoherent sum of the squares of reaction matrix elements, and small amplitudes are thus masked by the dominant ones. But, the polarization observables in general include interference terms of the various matrix elements in different ways. The small amplitudes may thus be considerably amplified by the interference with dominant matrix elements, and then polarization observables are sensitive to the strength of the small amplitudes.

In the present work, the strength of the resonant electric quadrupole component (E2) in the $\gamma N \rightarrow \Delta$ transition is of special interest which might only be a few percent of the dominant magnetic dipole transition (M1). This E2 component suggests in most nucleon models the deformation of the nucleon in its ground state, or in its first-excited state, i.e. the $P_{33}(1232)$ resonance, or in both, and therefore carries important information on the nucleon structure. The determination of the $E2$ component in the region of Δ is particularly complicated due to the presence of interfering processes, termed *background* contributions. These background processes give rise to the additional quadrupole amplitude which does not fulfill the requirement of unitarity (Fermi-Watson theorem). Unfortunately, both multipoles $M1$ and $E2$, in particular the amplitude $E2$, contain nonnegligible background contributions, and the background-resonance decomposition is very model-dependent [60].

Further insight into the electric quadrupole admixture of the N to Δ transition could be obtained by a precise determination of the resonant $E2/M1$ -ratio. In most nucleon models, the predictions for the value of $E2/M1$ display considerable differences. For example, the constituent quark model predicts the values of $E2/M1$ from -0.1% up to -1.2% [36, 11, 9, 12, 39], whereas the Skyrme model yields the largest negative values between -2% and -5% [5, 89]. The predictions of chiral-bag models [56, 70],

a quark model that includes two-body currents [6] and other models [33, 88, 37] range between -0.9% and -3.5% .

In this chapter, we will demonstrate numerically the dependence of the observables on both quantities of the polarizabilities and the $E2/M1$ -ratio. Due to the convenience of the calculations, the observables are at first given in terms of helicity amplitudes and are in turn represented either by invariant amplitudes for an investigation of the response on the γ_i , or by multipoles in order to study the sensitivity to the mixing ratio, respectively.

4.1. Polarization matrix

4.1.1. Photon

The polarization state of a photon with a momentum k is described by a polarization vector $e = (0, \mathbf{e})$ that satisfies the transversality condition $k e = 0$. In a right-handed xyz -frame, where a photon moves along the z direction, the three-polarization vector \mathbf{e} can be written as a linear combination of either two mutually perpendicular linear polarizations $\mathbf{e}_x, \mathbf{e}_y$ or the right-hand and left-hand circular polarizations $\mathbf{e}_+, \mathbf{e}_-$:

$$\begin{aligned} \mathbf{e} &= e_x \mathbf{e}_x + e_y \mathbf{e}_y, \\ &= e_+ \mathbf{e}_+ + e_- \mathbf{e}_-, \end{aligned} \quad (4.1)$$

where

$$\mathbf{e}_\pm = \mp \frac{1}{\sqrt{2}} (\mathbf{e}_x \pm i \mathbf{e}_y). \quad (4.2)$$

The squares of the coefficients $e_x (e_+)$ and $e_y (e_-)$ determine the probabilities with which a photon has the polarization $\mathbf{e}_x (\mathbf{e}_+)$ and $\mathbf{e}_y (\mathbf{e}_-)$, respectively. A partially polarized state of a photon is better specified by a density matrix $\rho_\gamma \equiv \mathbf{e} \mathbf{e}^*$ that can be expressed by means of the Stokes vector $\boldsymbol{\xi} = (\xi_1, \xi_2, \xi_3)$ [54, 76]:

$$\begin{aligned} \rho_\gamma &= \frac{1}{2} (\mathbf{1} + \boldsymbol{\xi} \cdot \boldsymbol{\sigma}), \\ &= \frac{1}{2} \begin{pmatrix} 1 + \xi_3 & \xi_1 - i \xi_2 \\ \xi_1 + i \xi_2 & 1 - \xi_3 \end{pmatrix}, \end{aligned} \quad (4.3)$$

where $\mathbf{1}$ is the 2×2 unit matrix, and the three components of the Pauli matrix $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$ are

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (4.4)$$

Using Eqs. (4.1) and (4.2) the parameters ξ_i are described as

$$\begin{aligned}\xi_1 &= 2\text{Re}(e_x e_y^*) = 2\text{Im}(e_+ e_-^*), \\ \xi_2 &= 2\text{Im}(e_x e_y^*) = -(|e_+|^2 - |e_-|^2), \\ \xi_3 &= (|e_x|^2 - |e_y|^2) = -2\text{Re}(e_+ e_-^*).\end{aligned}\quad (4.5)$$

The parameter ξ_2 represents the degree of the circular polarization. In the case of a right and left circular polarization ξ_2 has values of +1 and -1, respectively, and $\xi_2 = \pm 1$ corresponds to the helicity states $\lambda_\gamma = \pm 1$. The degree of the linear polarization is given by the parameter $\xi_l = \sqrt{\xi_1^2 + \xi_3^2}$ with

$$\xi_3 = \xi_l \cos 2\varphi, \quad \xi_1 = \xi_l \sin 2\varphi. \quad (4.6)$$

Here, φ denotes the angle between the electric field and the scattering plane. Namely, the parameter $\xi_3 = \pm 1$ describes the degree of the linear polarization either parallel ($\varphi = 0$) or perpendicular ($\varphi = \pi/2$) to the scattering plane, and $\xi_1 = \pm 1$ refers to the degree of the linear polarization at angle $\varphi = \pm\pi/4$ with respect to the scattering plane.

All three Stokes parameters ξ_i take values between -1 and 1, especially for an unpolarized state $\xi_1 = \xi_2 = \xi_3 = 0$. The total degree of the polarization is given by $|\xi| = \sqrt{\xi_1^2 + \xi_2^2 + \xi_3^2}$, which is $|\xi| < 1$ in a mixed state and $|\xi| = 1$ in a completely polarized case.

With respect to a coordinate system chosen as

$$z(z') = \mathbf{k}(\mathbf{k}'), \quad y = \mathbf{k} \times \mathbf{k}', \quad x(x') = \mathbf{k} \times \mathbf{k}' \times \mathbf{k}(\mathbf{k}'), \quad (4.7)$$

Stokes parameters transform under the parity as [15]

$$\xi_1 \xrightarrow{P} -\xi_1, \quad \xi_2 \xrightarrow{P} -\xi_2, \quad \xi_3 \xrightarrow{P} \xi_3, \quad (4.8)$$

under the time inversion ($\mathbf{k} \rightarrow -\mathbf{k}, \mathbf{e} \rightarrow -\mathbf{e}^*$) as

$$\xi_1 \xrightarrow{T} -\xi_1, \quad \xi_2 \xrightarrow{T} \xi_2, \quad \xi_3 \xrightarrow{T} \xi_3, \quad (4.9)$$

and under crossing symmetry ($\mathbf{k} \rightarrow \mathbf{k}', \mathbf{e} \rightarrow \mathbf{e}^*$) as

$$\xi_1 \xrightarrow{\text{cross}} \xi'_1, \quad \xi_2 \xrightarrow{\text{cross}} -\xi'_2, \quad \xi_3 \xrightarrow{\text{cross}} \xi'_3. \quad (4.10)$$

4.1.2. Nucleon

The nucleon polarization density matrix ρ_N is characterized by the 4-polarization vector $S = (S_0, \boldsymbol{\varsigma})$ which is orthogonal to the nucleon momentum $p = (E, \mathbf{p})$ [84]:

$$\begin{aligned}\rho_N &\equiv u_{\lambda_N}(p) \bar{u}_{\lambda_N}(p), \\ &= \frac{1}{2} (\gamma p + m)(1 + \gamma_5 \boldsymbol{\gamma} S),\end{aligned}\quad (4.11)$$

where

$$\gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \boldsymbol{\gamma} = \begin{pmatrix} 0 & \boldsymbol{\sigma} \\ -\boldsymbol{\sigma} & 0 \end{pmatrix}, \quad \gamma^5 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}. \quad (4.12)$$

$u_{\lambda_N}(p)$ in Eq. (4.11) is the Dirac's spinor that satisfies

$$(\boldsymbol{\gamma} p - m)u_{\lambda_N}(p) = 0. \quad (4.13)$$

In the nucleon rest frame, the density matrix of Eq. (4.11) is reduced to

$$\begin{aligned} \rho_N &= \frac{1}{2} m (\gamma^0 + 1)(1 - \gamma^5 \boldsymbol{\gamma} \cdot \boldsymbol{\varsigma}), \\ &= \frac{1}{2} (\mathbf{1} + \boldsymbol{\sigma} \cdot \boldsymbol{\varsigma}). \end{aligned} \quad (4.14)$$

$\boldsymbol{\varsigma}$ is a 3-polarization vector that is related to S by boost transformation as follows:

$$\mathbf{S} = \boldsymbol{\varsigma} + \frac{S_0}{E + m} \mathbf{p}, \quad S_0 = \frac{\mathbf{p} \cdot \mathbf{S}}{E} = \frac{\mathbf{p} \cdot \boldsymbol{\varsigma}}{m}. \quad (4.15)$$

In a pure state, $|\boldsymbol{\varsigma}| = 1$ and in a mixed state, $|\boldsymbol{\varsigma}| \leq 1$.

4.2. Polarization observables

4.2.1. General forms

A differential cross section $d\sigma/d\Omega$, defined as a square of a scattering amplitude, can be obtained from a density matrix of a final system ρ_f :

$$\begin{aligned} \frac{d\sigma}{d\Omega} &\equiv \Gamma^2 |T_{fi}|^2, \\ &= \Gamma^2 \text{Tr} (T^\dagger \rho_i T), \\ &= \Gamma^2 \text{Tr} \rho_f \end{aligned} \quad (4.16)$$

with

$$\rho_f \equiv T^\dagger \rho_i T. \quad (4.17)$$

In the case of a two-particle reaction, an initial polarization matrix ρ_i is given by a direct product of two tensors:

$$\rho_i = \rho_l \otimes \rho_m, \quad (4.18)$$

where $\text{Tr} \rho_i = 1$. Thus, the initial density matrix of the process $\gamma N \rightarrow \gamma N$ is reduced to the tensor product of the ρ_γ and ρ_N . Using the expressions in Eqs. (4.3) and (4.14)

the following initial density matrix is found:

$$\begin{aligned}
 \rho_i &= \rho_\gamma \otimes \rho_N, \\
 &= \frac{1}{4} \left(\mathbf{1} + \sum_{j=1}^3 \xi_j \sigma_j \right) \left(\mathbf{1} + \sum_{k=x}^z \varsigma_k \sigma_k \right), \\
 &= \frac{1}{4} \left(\mathbf{1} + \sum_{j=1}^3 \xi_j \sigma_j \mathbf{1} + \sum_{k=x}^z \varsigma_k \mathbf{1} \sigma_k + \sum_{j=1}^3 \sum_{k=x}^z \xi_j \varsigma_k \sigma_{jk} \right). \tag{4.19}
 \end{aligned}$$

The spin matrices $\sigma_{jk} = \sigma_j \otimes \sigma_k$, appearing in the calculation of Compton polarization observables, are summarized in Appendix F. From Eqs. (4.16) and (4.19) it follows that

$$\begin{aligned}
 \frac{d\sigma}{d\Omega} &= \frac{1}{4} \Gamma^2 \text{Tr} \left(T^\dagger \left\{ \mathbf{1} + \sum_{j=1}^3 \xi_j \sigma_j \mathbf{1} + \sum_{k=x}^z \varsigma_k \mathbf{1} \sigma_k + \sum_{j=1}^3 \sum_{k=x}^z \xi_j \varsigma_k \sigma_{jk} \right\} T \right), \\
 &= \frac{1}{4} \Gamma^2 \text{Tr} (T^\dagger T) \left\{ \mathbf{1} + \sum_{j=1}^3 \xi_j \Sigma_j + \sum_{k=x}^z \varsigma_k \Sigma_k + \sum_{j=1}^3 \sum_{k=x}^z \xi_j \varsigma_k \Sigma_{jk} \right\}, \\
 &= \frac{d\bar{\sigma}}{d\Omega} \left(\mathbf{1} + \sum_{j=1}^3 \xi_j \Sigma_j + \sum_{k=x}^z \varsigma_k \Sigma_k + \sum_{j=1}^3 \sum_{k=x}^z \xi_j \varsigma_k \Sigma_{jk} \right), \tag{4.20}
 \end{aligned}$$

where the photon Σ_j , the nucleon Σ_k and the photon-nucleon Σ_{jk} asymmetries are defined as

$$\Sigma_j \equiv \frac{\text{Tr} (T^\dagger \sigma_j \mathbf{1} T)}{\text{Tr} (T^\dagger T)}, \quad \Sigma_k \equiv \frac{\text{Tr} (T^\dagger \mathbf{1} \sigma_k T)}{\text{Tr} (T^\dagger T)}, \quad \Sigma_{jk} \equiv \frac{\text{Tr} (T^\dagger \sigma_{jk} T)}{\text{Tr} (T^\dagger T)}, \tag{4.21}$$

respectively. In the unpolarized case ($\rho_\gamma = \rho_N = 1/2$) the unpolarized differential cross section $d\bar{\sigma}/d\Omega$ results in

$$\frac{d\bar{\sigma}}{d\Omega} = \frac{1}{4} \Gamma^2 \text{Tr} (T^\dagger T) \tag{4.22}$$

Hereafter, we will represent the observables omitting the phase factor Γ^2 .

For polarized Compton scattering, in which either a photon or a nucleon or both of a photon and a nucleon in an *initial* state are polarized, there are only eight sets of the independent observables [15], which are listed in Table 4.1. As shown in this table, there are two single polarization observables. One is the asymmetry Σ_3 for the photon linearly polarized either parallel (x axis) or perpendicular (y axis) to scattering plane (here xz plane). The other is the nucleon asymmetry Σ_y along the $\pm y$ directions. They are expressed by means of cross sections as

$$\Sigma_3 = \left(\frac{d\sigma^\parallel - d\sigma^\perp}{d\sigma^\parallel + d\sigma^\perp} \right)_{\varsigma=0}, \quad \Sigma_y = \left(\frac{d\sigma_{+y} - d\sigma_{-y}}{d\sigma_{+y} + d\sigma_{-y}} \right)_{\xi=0}. \tag{4.23}$$

4. Polarized nucleon Compton scattering

Table 4.1.: The set of the independent observables of nucleon Compton scattering. The quantities x , y and z denote the directions of the nucleon polarization. For the photon, 2 refers to the circular polarization, and 3 stands for the linear polarization parallel or perpendicular to the scattering plane. The number 1 means the linear polarization of the photon with the angle $\varphi = \pi/4$ with respect to the scattering plane.

photon nucleon	unpolarization	linear polarization		circular polarization $\xi_2 = \pm 1$
		$\xi_3 = \pm 1$	$\xi_1 = \pm 1$	
unpolarization	$\frac{d\bar{\sigma}}{d\Omega}$	Σ_3		
$\varsigma_{1=x}$			Σ_{1x}	Σ_{2x}
$\varsigma_{2=y}$	Σ_y	Σ_{3y}		
$\varsigma_{3=z}$			Σ_{1z}	Σ_{2z}

In the case of double polarized Compton scattering there are in total five independent measurable quantities. For the incoming photon linearly polarized with respect to the $x(y)$ axis and the target-nucleon polarized in the direction of $\pm y$ axis, the beam-target asymmetry Σ_{3y} is represented as

$$\Sigma_{3y} = \frac{(d\sigma^{\parallel} - d\sigma^{\perp})_{+y} - (d\sigma^{\parallel} - d\sigma^{\perp})_{-y}}{(d\sigma^{\parallel} + d\sigma^{\perp})_{+y} + (d\sigma^{\parallel} + d\sigma^{\perp})_{-y}}. \quad (4.24)$$

If the photon is linearly polarized at $\varphi = \pm \pi/4$ with respect to the scattering plane, and the nucleon is polarized along the x or z direction, respectively, there are then two measurable asymmetries Σ_{1x} and Σ_{1z} given by

$$\Sigma_{1x} = \frac{d\sigma_x^{\pi/4} - d\sigma_x^{-\pi/4}}{d\sigma_x^{\pi/4} + d\sigma_x^{-\pi/4}}, \quad \Sigma_{1z} = \frac{d\sigma_z^{\pi/4} - d\sigma_z^{-\pi/4}}{d\sigma_z^{\pi/4} + d\sigma_z^{-\pi/4}}. \quad (4.25)$$

For the circularly polarized photon off the nucleon polarized in x or z direction, the asymmetries Σ_{2x} and Σ_{2z} , formulated as

$$\Sigma_{2x} = \frac{d\sigma_x^R - d\sigma_x^L}{d\sigma_x^R + d\sigma_x^L}, \quad \Sigma_{2z} = \frac{d\sigma_z^R - d\sigma_z^L}{d\sigma_z^R + d\sigma_z^L}, \quad (4.26)$$

can be measured. By virtue of the relations,

$$d\sigma_i^R = d\sigma_{-i}^L, \quad d\sigma_i^\varphi = d\sigma_{-i}^{-\varphi}, \quad (i = \pm x, \pm z) \quad (4.27)$$

the asymmetries of Eqs. (4.25) and (4.26) have the opposite signs under the transformations of $x \rightarrow -x$ and $z \rightarrow -z$.

By introducing the quantities [15] of

$$\begin{aligned} F_1 &= \frac{d\sigma^{\pi/4} - d\sigma^{-\pi/4}}{2d\bar{\sigma}} = \Sigma_{1x}\varsigma_x + \Sigma_{1z}\varsigma_z, \\ F_2 &= \frac{d\sigma^R - d\sigma^L}{2d\bar{\sigma}} = \Sigma_{2x}\varsigma_x + \Sigma_{2z}\varsigma_z, \\ F_3 &= \frac{d\sigma^{\parallel} - d\sigma^{\perp}}{2d\bar{\sigma}} = \Sigma_3 + \Sigma_{3y}\varsigma_y, \end{aligned} \quad (4.28)$$

the differential cross section of Eq. (4.20) can then be written in a compact form of

$$\frac{d\sigma}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 + \Sigma_y\varsigma_y + \mathbf{F} \cdot \boldsymbol{\xi}\}, \quad (4.29)$$

which leads to the following differential cross sections in the case of each polarization mentioned above

$$\frac{d\sigma^{\parallel(\perp)}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_3\}, \quad (4.30)$$

$$\frac{d\sigma_{y(-y)}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_y\}, \quad (4.31)$$

$$\frac{d\sigma_{y(-y)}^{\parallel}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_y + \Sigma_3 \pm \Sigma_{3y}\}, \quad (4.32)$$

$$\frac{d\sigma_{y(-y)}^{\perp}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_y - \Sigma_3 \mp \Sigma_{3y}\}, \quad (4.33)$$

$$\frac{d\sigma_x^{\pi/4(-\pi/4)}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_{1x}\}, \quad (4.34)$$

$$\frac{d\sigma_z^{\pi/4(-\pi/4)}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_{1z}\}, \quad (4.35)$$

$$\frac{d\sigma_x^{R(L)}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_{2x}\}, \quad (4.36)$$

$$\frac{d\sigma_z^{R(L)}}{d\Omega} = \frac{d\bar{\sigma}}{d\Omega} \{1 \pm \Sigma_{2z}\}. \quad (4.37)$$

4.2.2. Formalismus in helicity and invariant amplitudes

With the help of the T -matrix of Eq. (2.13) represented by the helicity amplitudes or of the relation between the amplitudes H_i and A_i of Eq. (D.1), one can get the observables in terms of the helicity H_i or the invariant A_i amplitudes.

The c.m. unpolarized differential cross section $d\bar{\sigma}/d\Omega^*$ reads then

$$\frac{d\bar{\sigma}}{d\Omega^*} = \frac{1}{2} \left\{ |H_1|^2 + |H_2|^2 + 2|H_3|^2 + 2|H_4|^2 + |H_5|^2 + |H_6|^2 \right\}, \quad (4.38)$$

$$\begin{aligned} &= \frac{1}{4} \left\{ (4m^2 - t)(t^2|A_1|^2 + \eta^2|A_3|^2) - t^3|A_2|^2 + \eta^3|A_4|^2 \right. \\ &\quad - 4\nu^2 t(t + 8\nu^2)|A_5|^2 + 2\eta(t^2 + 2m^2\eta)|A_6|^2 \\ &\quad \left. + 4\text{Re} \left[2\nu^2 t^2 (A_1 + A_2) A_5^* + \frac{1}{2} \eta^2 (4m^2 A_3 + t A_4) A_6^* \right] \right\}. \end{aligned} \quad (4.39)$$

As shown in Eq. (4.38) an unpolarized differential cross section is simply given as the sum of a squares of the individual helicity amplitude $|H_i|^2$, and is then averaged over the four possible initial states. The $|H_i|^2$ is not measurable directly because the polarizations in a final state are determined by the interaction between the particles. Thus, it is allowed to measure only the different linear combinations of them, i.e differential cross sections or asymmetries. The explicite expressions of the single and double polarization observables are as follows:

$$\begin{aligned} \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_3 &= \frac{1}{4} \text{Tr} (T^\dagger \sigma_x \mathbf{1} T), \\ &= -\text{Re} \left\{ (H_1 + H_2) H_4^* + (H_5 + H_6) H_3^* \right\}, \end{aligned} \quad (4.40)$$

$$= \frac{\eta t}{2} \text{Re} \left\{ ((4m^2 - t) A_1 + 4\nu^2 A_5) A_3^* + 4m^2 A_1 A_6^* \right\}, \quad (4.41)$$

$$\begin{aligned} \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_y &= \frac{1}{4} \text{Tr} (T^\dagger \mathbf{1} \sigma_y T), \\ &= \text{Im} \left\{ (H_1 + H_2) H_3^* - (H_5 + H_6) H_4^* \right\}, \end{aligned} \quad (4.42)$$

$$= -2m\nu \sqrt{-\eta t} \text{Im} \left\{ t A_1 A_5^* + \eta A_3 A_6^* \right\}, \quad (4.43)$$

$$\begin{aligned} \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{3y} &= \frac{1}{4} \text{Tr} (T^\dagger \sigma_{xy} T), \\ &= -\text{Im} \left\{ H_1 H_5^* + H_2 H_6^* - 2H_3 H_4^* \right\}, \end{aligned} \quad (4.44)$$

$$\begin{aligned} &= \frac{m}{4} \sqrt{-\eta t} \text{Im} \left\{ -8\nu \left[(t A_1 - (t + 4\nu^2) A_5) A_6^* + \eta A_3 A_5^* \right] \right. \\ &\quad \left. + \frac{2}{m} (t A_2 - 4\nu^2 A_5) (\eta A_4^* + t A_6^*) \right\}, \end{aligned} \quad (4.45)$$

$$\begin{aligned}\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{1x} &= \frac{1}{4} \text{Tr} (T^\dagger \sigma_{yx} T), \\ &= \text{Im} \left\{ H_1 H_5^* - H_2 H_6^* \right\},\end{aligned}\tag{4.46}$$

$$\begin{aligned}&= \frac{\sqrt{-\eta t}}{4(s-m^2)} \text{Im} \left\{ 4m (tA_2 - 4\nu^2 A_5) (m\eta A_3^* - (\nu t - m(t + 4\nu^2)) A_6^*) \right. \\ &\quad \left. + \left(t(t - 4m(m + \nu)) A_1 + 4\nu (m(t + 4\nu^2) - \nu t) A_5 \right) \right. \\ &\quad \left. (\eta A_4^* + tA_6^*) \right\},\end{aligned}\tag{4.47}$$

$$\begin{aligned}\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{1z} &= \frac{1}{4} \text{Tr} (T^\dagger \sigma_{yz} T), \\ &= \text{Im} \left\{ (H_1 - H_2) H_4^* + (H_5 - H_6) H_3^* \right\},\end{aligned}\tag{4.48}$$

$$\begin{aligned}&= -\frac{1}{8m^2(s-m^2)} \text{Im} \left\{ 4mt(tA_2 - 4\nu^2 A_5) \left[-m\eta(m^2 + s) A_3^* \right. \right. \\ &\quad \left. \left. + (2\nu(m^2 - s)^2 + (m^2 + s)(\nu t - m(t + 4\nu^2))) A_6^* \right] \right. \\ &\quad \left. + \left[4mt^2 (2(m^2 - s)^2 + t(m^2 + s)) A_1 \right. \right. \\ &\quad \left. \left. - t(2(m^2 - s)^2 + (m^2 + s)(t - 2\nu)) (tA_1 - 4\nu^2 A_5) \right. \right. \\ &\quad \left. \left. - 2\nu t^2 (m^2 + s) A_5 \right] (\eta A_4^* + t4\nu^2 A_5^*) \right\},\end{aligned}\tag{4.49}$$

$$\begin{aligned}\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2x} &= \frac{1}{4} \text{Tr} (T^\dagger \sigma_{zx} T), \\ &= \text{Re} \left\{ (H_5 - H_6) H_4^* - (H_1 - H_2) H_3^* \right\},\end{aligned}\tag{4.50}$$

$$\begin{aligned}&= \frac{\sqrt{-\eta t}}{4(s-m^2)} \text{Re} \left\{ 4m^2 t^2 A_2 A_1^* - \eta (t - 4m(m + \nu)) A_3 (\eta A_4^* + tA_6^*) \right. \\ &\quad \left. - 16mt\nu^2 A_5 (mA_1^* - \nu A_5^*) \right\},\end{aligned}\tag{4.51}$$

$$\begin{aligned}\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} &= \frac{1}{4} \text{Tr} (T^\dagger \sigma_{zz} T), \\ &= \frac{1}{2} \left\{ |H_2|^2 + |H_6|^2 - |H_1|^2 - |H_5|^2 \right\},\end{aligned}\tag{4.52}$$

$$\begin{aligned}&= \frac{1}{8m^2(s-m^2)} \left\{ 4mt \left[mt(m^2 + s)(tA_2 - 4\nu^2 A_5) A_1^* \right. \right. \\ &\quad \left. \left. + 4\nu^3 (2(m^2 - s)^2 + (m^2 + s)t) A_5 A_5^* \right] \right. \\ &\quad \left. + \eta \left[(2(m^2 - s)^2 + (m^2 + s)t) ((4m^2 - t) A_3 + 4m^2 A_6) \right. \right. \\ &\quad \left. \left. + 4m(m^2 + s)\nu t \right] (\eta A_4^* + tA_6^*) \right\}.\end{aligned}\tag{4.53}$$

4. Polarized nucleon Compton scattering

As said, $H_3 = H_4 = H_5 = H_6 = 0$ at $\theta = 0$ ($t = 0$) and $H_1 = H_2 = H_3 = H_4 = 0$ at $\theta = \pi$ ($\eta = 0$). In Eqs. (4.38)-(4.53), it is hence simply recognizable that all observables, excepting the c.m. unpolarized differential cross section, Σ_{1z} and Σ_{2z} , are equal to zero at these two extreme angles. Notice also that below the pion threshold ($\omega = 150$ MeV) there are only three asymmetries, Σ_3 , Σ_{2x} , and Σ_{2z} , which are different from zero. Indeed, Σ_{2z} is the only measurable quantity in this region of energy and at the angles $\theta = 0$ and π . From

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=0} &= \frac{1}{2} \left\{ |H_1|^2 + |H_2|^2 \right\}, \\ \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=0} &= \frac{1}{2} \left\{ |H_2|^2 - |H_1|^2 \right\}, \end{aligned} \quad (4.54)$$

and

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=\pi} &= \frac{1}{2} \left\{ |H_5|^2 + |H_6|^2 \right\}, \\ \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=\pi} &= \frac{1}{2} \left\{ |H_6|^2 - |H_5|^2 \right\}. \end{aligned} \quad (4.55)$$

followed

$$\begin{aligned} |H_1|^2 &= \left[\frac{d\bar{\sigma}}{d\Omega^*} (1 - \Sigma_{2z}) \right]_{\theta=0} = \left[\frac{d\sigma_z^L}{d\Omega^*} \right]_{\theta=0}, \\ |H_2|^2 &= \left[\frac{d\bar{\sigma}}{d\Omega^*} (1 + \Sigma_{2z}) \right]_{\theta=0} = \left[\frac{d\sigma_z^R}{d\Omega^*} \right]_{\theta=0}, \end{aligned} \quad (4.56)$$

and

$$\begin{aligned} |H_5|^2 &= \left[\frac{d\bar{\sigma}}{d\Omega^*} (1 - \Sigma_{2z}) \right]_{\theta=\pi} = \left[\frac{d\sigma_z^L}{d\Omega^*} \right]_{\theta=\pi}, \\ |H_6|^2 &= \left[\frac{d\bar{\sigma}}{d\Omega^*} (1 + \Sigma_{2z}) \right]_{\theta=\pi} = \left[\frac{d\sigma_z^R}{d\Omega^*} \right]_{\theta=\pi}. \end{aligned} \quad (4.57)$$

As a result, the magnitudes of the helicity amplitudes H_1 , H_2 , H_5 and H_6 can therefore be extracted from the polarized cross sections $d\sigma_z^R/d\Omega^*$ and $d\sigma_z^L/d\Omega^*$ at these two angles.

On the other hand, the cross section $d\bar{\sigma}/d\Omega^*$ and the asymmetry Σ_{2z} are also determined by the amplitudes $A_{3,4}$ and A_6 at the angle $\theta = 0$ and by the amplitudes $A_{1,2}$ and A_5 at the angle $\theta = \pi$, respectively:

$$\left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=0} = m^2 \eta^2 (|A_3|^2 + |A_6|^2) + \frac{1}{4} \eta^3 |A_4|^2 + 2m^2 \eta^2 \text{Re} [A_3 A_6^*], \quad (4.58)$$

$$\left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=0} = \eta^2 (m^2 - s) (A_3 + A_6) A_4^*. \quad (4.59)$$

And

$$\left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=\pi} = \frac{1}{4} \left\{ (4m^2 - t)t^2 |A_1|^2 - t^3 |A_2|^2 - 4\nu^2 t(t + 8\nu^2) |A_5|^2 + 8\nu^2 t^2 \text{Re} [(A_1 + A_2)A_5^*] \right\}, \quad (4.60)$$

$$\left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=\pi} = \frac{t}{2m(s - m^2)} \left\{ mt(m^2 + s)(tA_2 - 4\nu^2 A_5)A_1^* + 4\nu^3 \left(2(m^2 - s)^2 + (m^2 + s)t \right) A_5 A_5^* \right\}. \quad (4.61)$$

In order to investigate the sensitivity of the observables to the invariant amplitudes, we turn the strength of the each invariant amplitude A_i from 100% to 101% and illustrate the difference between the results by using the invariant amplitude with the unchanged strength and that obtained from the amplitudes A_i having increased strength in Fig. 4.1-4.6 by means of the solid ($\theta = 30^\circ$), dashed ($\theta = 60^\circ$), dotted ($\theta = 120^\circ$) and dashed-dotted lines ($\theta = 150^\circ$), respectively.

At the small angles ($\theta = 30^\circ$ and 60°) the maximum response to a change of $A_{3,4}$ and A_6 is, as expected, shown in the asymmetry Σ_{2z} . Furthermore, the effects of the amplitudes A_3 and A_6 on Σ_{2z} are most visible just above and below the pion threshold, i.e. $\omega \simeq 130$ MeV as well as about $\omega \simeq 170$ MeV. Since the sum of the A_3 and A_6 is connected with the $\alpha + \beta$ at the angle $\theta = 0$, the measurement of the asymmetry Σ_{2z} in this kinematical region could offer important information on the electromagnetic polarizability. On the other hand, the influence of changing the A_4 amplitude is seen not only for Σ_{2z} at higher energies, but also for Σ_{1x} at the large angle $\theta = 120^\circ$ and above the energy of the second resonance ($\omega \simeq 750$ MeV). The sensitivity of the amplitudes A_3 and A_6 are generally observed in the low energy region and at the small angles in nearly all asymmetries. In the case of the unpolarized differential cross section, the dependence on the amplitudes $A_{3,4}$ and A_6 is specifically large at $\omega \simeq 320$ MeV and around the energies $\omega \simeq 750$ MeV, respectively.

At the large backward angles of $\theta = 120^\circ$ and 150° the change of the strength of the amplitudes A_2 and A_5 has the largest influence on Σ_{2z} at the energy $\omega \simeq 730$ MeV. This means that the measurement of the asymmetry Σ_{2z} in this high energy region and at the larger angles is appropriate to get more knowledge on the proton backward spin polarizability γ_π^p . In the case of the amplitude A_1 , the asymmetry Σ_{2z} as well as Σ_3 are sensitive to a change of the strength of this amplitude, in particular below the one-pion threshold and in the region of Δ -resonance, respectively. Among the three amplitudes A_1 , A_2 and A_5 , the amplitude A_1 affects mostly the unpolarized differential cross sections $d\bar{\sigma}/d\Omega^*$.

As a result, the experiment using the circularly polarized photon and the proton-target polarized in z direction is mostly adequate to determine the value of the polarizabilities.

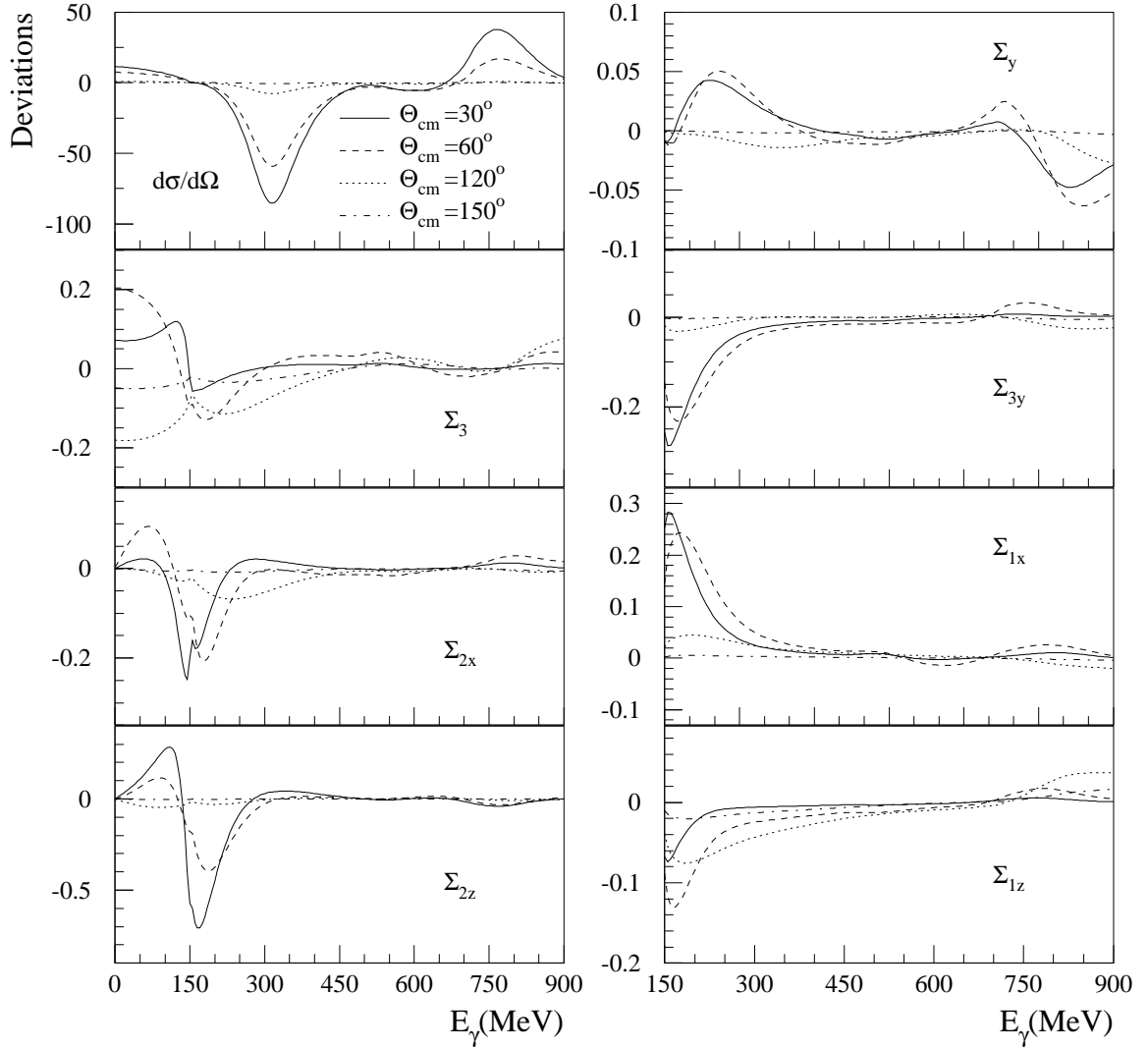


Figure 4.1.: *The relative difference between the results for the observables of proton Compton scattering obtained from the invariant amplitude A_3 with the unchanged strength and that obtained from the amplitudes A_3 with the strength increased by 1% at four different angles $\theta_{cm} = 30^\circ$ (solid lines), 60° (dashed lines), 120° (dotted lines) and 150° (dashed-dotted lines).*

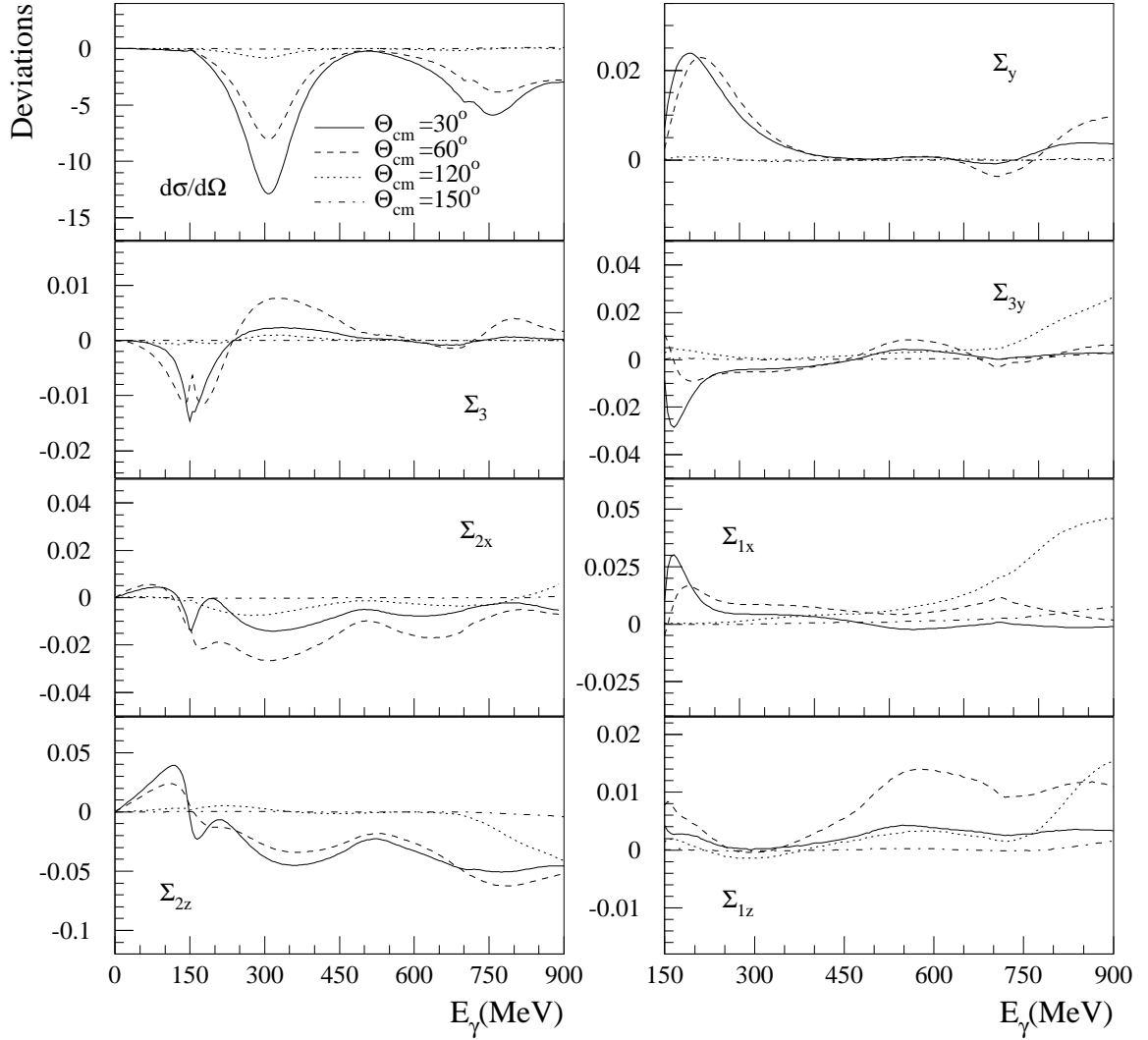


Figure 4.2.: The relative difference between the results for the observables of proton Compton scattering obtained from the invariant amplitude A_4 with the unchanged strength and that obtained from the amplitudes A_4 with the strength increased by 1% at four different angles $\theta_{cm} = 30^\circ$, 60° , 120° and 150° . The notations are the same as in Fig. 4.1.

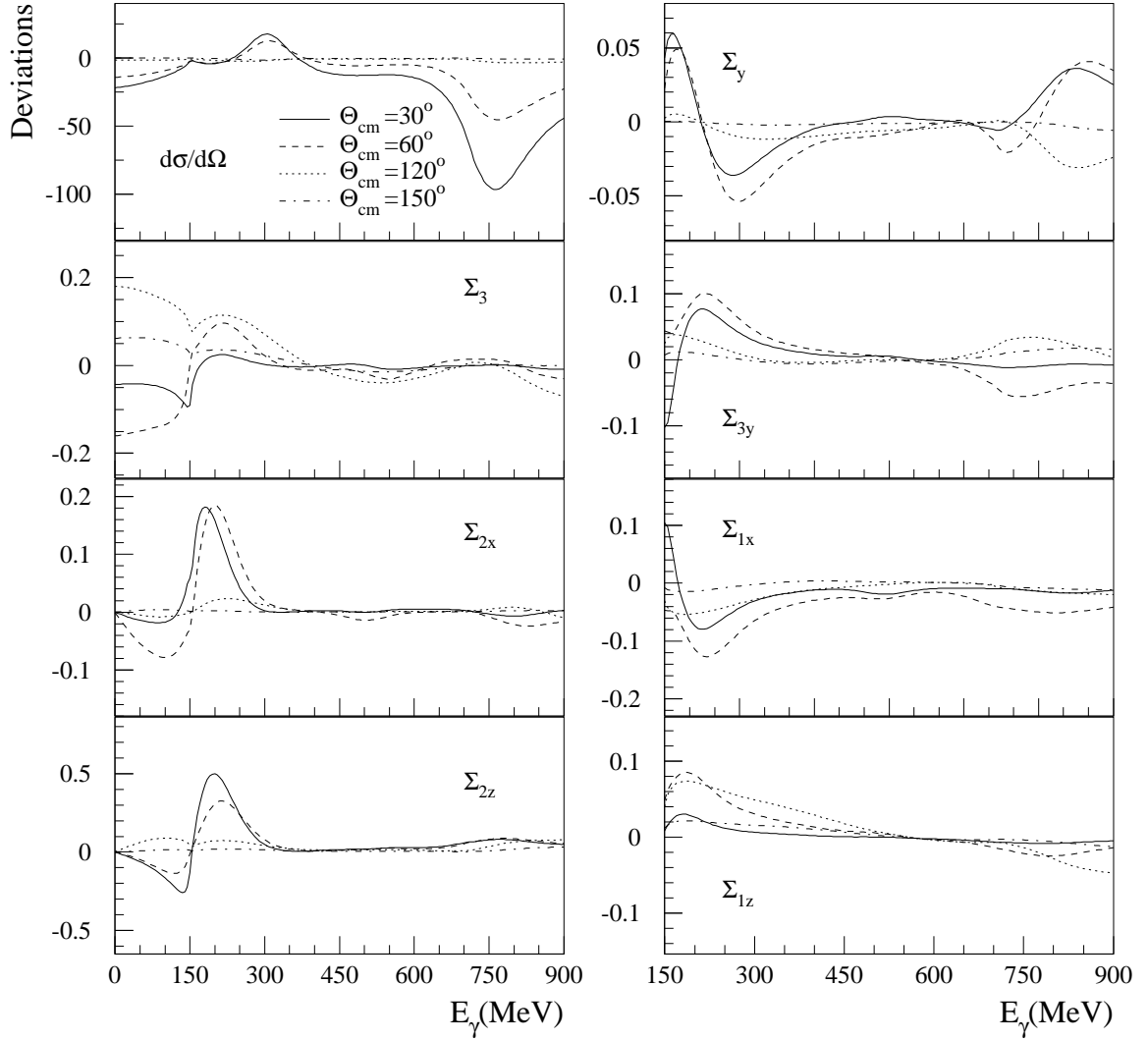


Figure 4.3.: The relative difference between the results for the observables of proton Compton scattering obtained from the invariant amplitude A_6 with the unchanged strength and that obtained from the amplitudes A_6 with the strength increased by 1% at four different angles $\theta_{cm} = 30^\circ, 60^\circ, 120^\circ$ and 150° . The notations are the same as in Fig. 4.1.

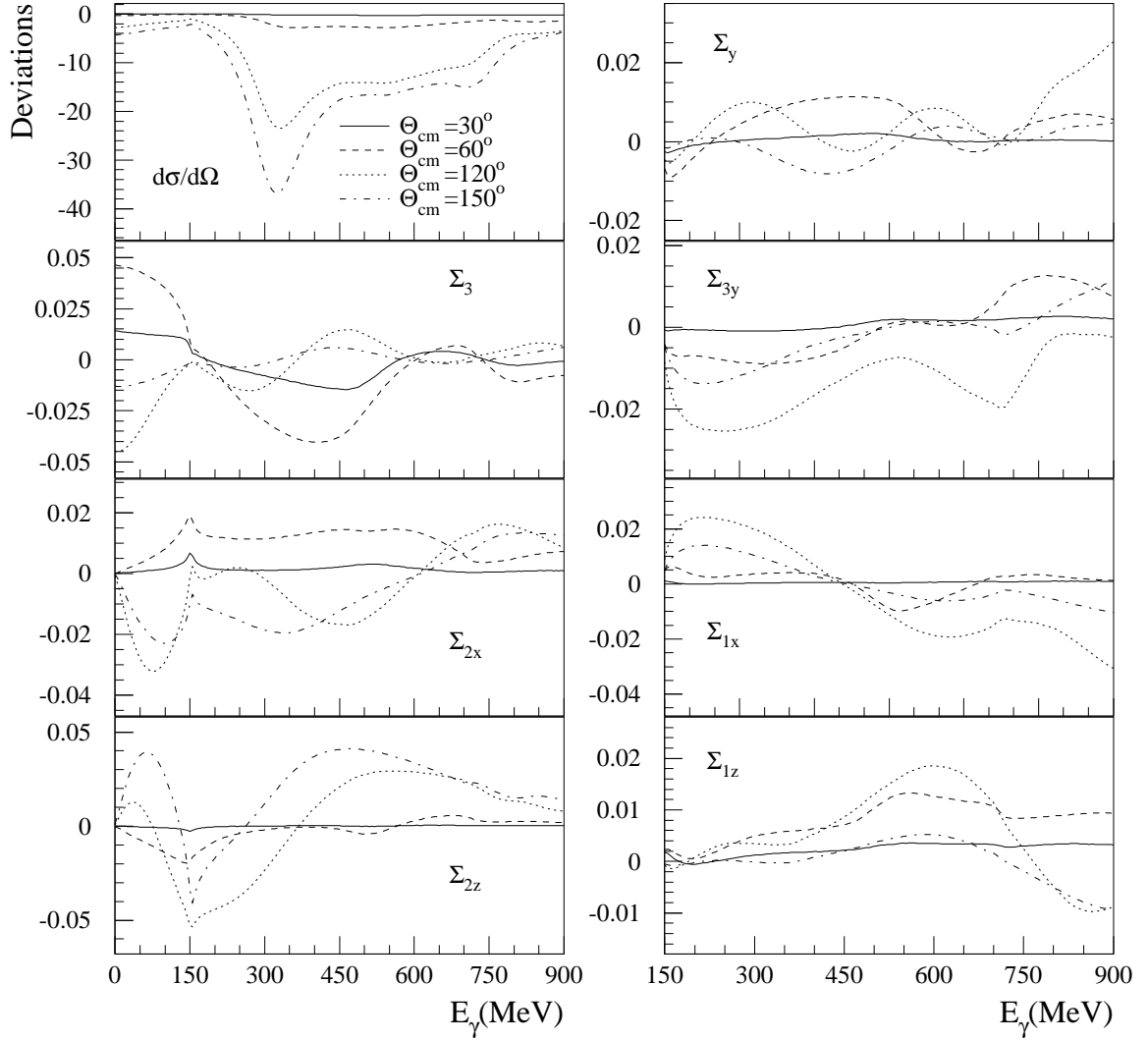


Figure 4.4.: The relative difference between the results for the observables of proton Compton scattering obtained from the invariant amplitude A_1 with the unchanged strength and that obtained from the amplitudes A_1 with the strength increased by 1% at four different angles $\theta_{cm} = 30^\circ$, 60° , 120° and 150° . The notations are the same as in Fig. 4.1.

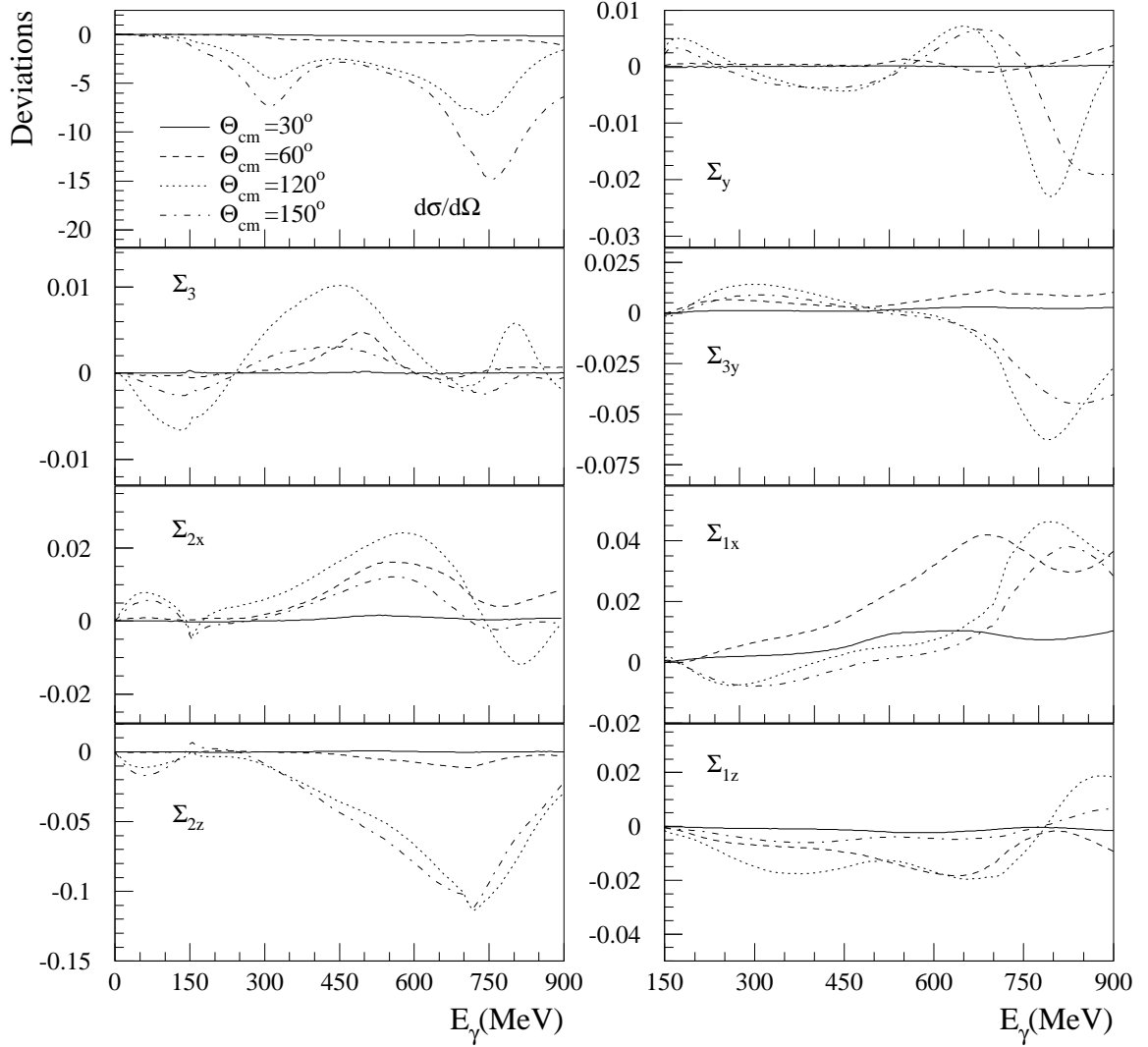


Figure 4.5.: The relative difference between the results for the observables of proton Compton scattering obtained from the invariant amplitude A_2 with the unchanged strength and that obtained from the amplitudes A_2 with the strength increased by 1% at four different angles $\theta_{cm} = 30^\circ$, 60° , 120° and 150° . The notations are the same as in Fig. 4.1.

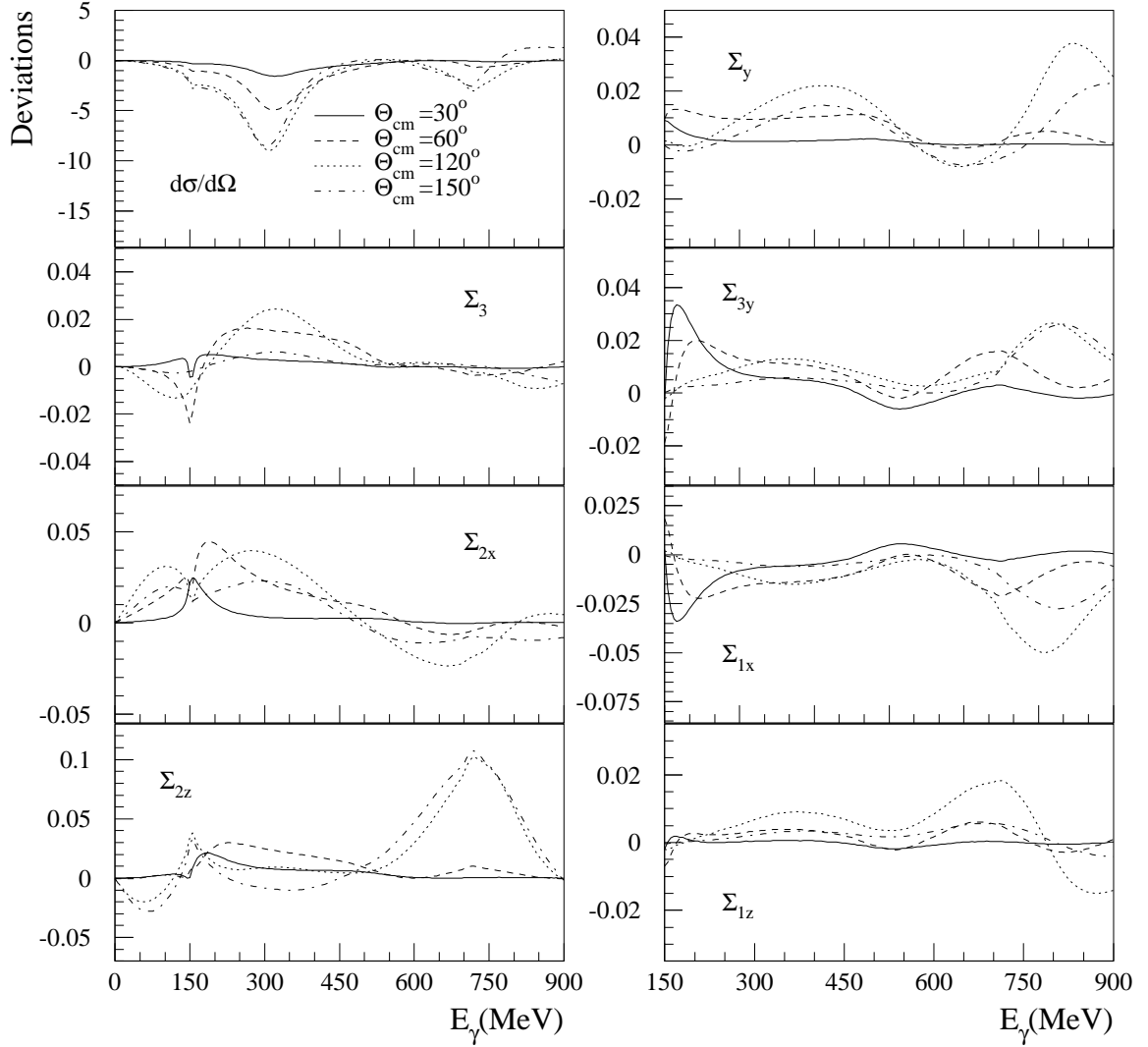


Figure 4.6.: *The relative difference between the results for the observables of proton Compton scattering obtained from the invariant amplitude A_5 with the unchanged strength and that obtained from the amplitudes A_5 with the strength increased by 1% at four different angles $\theta_{cm} = 30^\circ, 60^\circ, 120^\circ$ and 150° . The notations are the same as in Fig. 4.1.*

4.2.3. Observables by multipole representation

The Compton observables can be expressed by the multipole decompositions. Restricting the expansion to s and p waves and retaining only terms with the dominant f_{MM}^{1+} , one gets the following c.m. unpolarized cross section represented as a power series in $\cos \theta$

$$\frac{d\bar{\sigma}}{d\Omega^*} = a + b \cos \theta + c \cos^2 \theta \quad (4.62)$$

where

$$\begin{aligned} a &= \frac{7}{2} |f_{MM}^{1+}|^2 + \text{Re}[(f_{MM}^{1-} + 6f_{ME}^{1+})(f_{MM}^{1+})^*], \\ b &= 2\text{Re}[(f_{EE}^{1+} - 25f_{EE}^{1-} + 6f_{EM}^{1+})(f_{MM}^{1+})^*], \\ c &= \frac{3}{2} |f_{MM}^{1+}|^2 + 3\text{Re}[(f_{MM}^{1-} + 6f_{ME}^{1+})(f_{MM}^{1+})^*]. \end{aligned} \quad (4.63)$$

Here the constants a , b and c can be fitted to the experimental data at various energies. It should be pointed out here that the differential cross section alone determines the magnitude of the wave f_{MM}^{1+} :

$$|f_{MM}^{1+}| = \frac{1}{3} \sqrt{3a - c}. \quad (4.64)$$

Less explicitly, $|f_{MM}^{1+}|$ can also be determined by unpolarized differential cross sections at the angle $\theta = \pi/2$, if all the interference terms with f_{MM}^{1+} in Eq. (4.63) are ignored:

$$|f_{MM}^{1+}| = \sqrt{\frac{2}{7}a} = \sqrt{\left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=\pi/2}}. \quad (4.65)$$

In the case of the single polarization, the photon Σ_3 and nucleon Σ_y asymmetries result from Eqs. (4.40) and (4.42)

$$\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_3 = d \sin^2 \theta, \quad \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_y = \sin \theta (e + f \cos \theta) \quad (4.66)$$

with the angle-independent quantities from d to f given by

$$d = \frac{3}{2} |f_{MM}^{1+}|^2 + 3 \text{Re}[(f_{MM}^{1-} + 2f_{ME}^{1+})(f_{MM}^{1+})^*], \quad (4.67)$$

$$\begin{aligned} e &= 3 \text{Im}[(f_{EE}^{1-} - 4f_{EM}^{1+})(f_{MM}^{1+})^*], \\ f &= -3 \text{Im}[(f_{MM}^{1-} + 4f_{ME}^{1+})(f_{MM}^{1+})^*]. \end{aligned} \quad (4.68)$$

The photon asymmetry Σ_3 has a clean dependence on $\sin^2 \theta$ and can be parametrized by a single energy-dependent parameter f . With respect to Σ_{3y}

$$\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{3y} = \sin \theta (g + h \cos \theta), \quad (4.69)$$

with

$$\begin{aligned} g &= 2 \operatorname{Im} [(f_{EE}^{1+} - f_{EE}^{1-} - 6f_{EM}^{1+})(f_{MM}^{1+})^*], \\ h &= 3 \operatorname{Im} [(f_{MM}^{1-} - 3f_{EE}^{1-})(f_{MM}^{1+})^*]. \end{aligned} \quad (4.70)$$

$$\begin{aligned} \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{1x} &= \sin \theta (i + j \cos \theta + k \cos^2 \theta), \\ \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{1z} &= \sin^2 \theta (l + m \cos \theta). \end{aligned} \quad (4.71)$$

and the constants read

$$\begin{aligned} i &= -2 \operatorname{Im} [(f_{EE}^{1+} - f_{EE}^{1-} + f_{ME}^{1+} + 3f_{EM}^{1+})(f_{MM}^{1+})^*], \\ j &= -3 \operatorname{Im} [(f_{MM}^{1-} - 3f_{EE}^{1-})(f_{MM}^{1+})^*], \\ k &= 6 \operatorname{Im} [(f_{ME}^{1+} + f_{EM}^{1+})(f_{MM}^{1+})^*], \\ l &= 3 \operatorname{Im} [(2f_{ME}^{1+} - f_{MM}^{1-})(f_{MM}^{1+})^*], \\ m &= 18 \operatorname{Im} [f_{EM}^{1+}(f_{MM}^{1+})^*]. \end{aligned} \quad (4.72)$$

$$\begin{aligned} \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2x} &= \sin \theta (n + o \cos \theta + p \cos^2 \theta), \\ \frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} &= q + r \cos \theta + s \cos^2 \theta + t \cos^3 \theta, \end{aligned} \quad (4.73)$$

where

$$\begin{aligned} n &= -3|f_{MM}^{1+}|^2 + 3 \operatorname{Re} [(f_{MM}^{1-} + 2f_{ME}^{1+})(f_{MM}^{1+})^*], \\ o &= -3 \operatorname{Re} [(2f_{EE}^{1+} + f_{EE}^{1-} - f_{EM}^{1+})(f_{MM}^{1+})^*], \\ p &= -18 \operatorname{Re} [f_{ME}^{1+}(f_{MM}^{1+})^*], \\ q &= |f_{MM}^{1+}|^2 + \operatorname{Re} [(f_{MM}^{1-} + 6f_{ME}^{1+})(f_{MM}^{1+})^*], \\ r &= 2 \operatorname{Re} [(4f_{EE}^{1+} + 2f_{EE}^{1-} + 3f_{EM}^{1+})(f_{MM}^{1+})^*], \\ s &= 3|f_{MM}^{1+}|^2 - 3 \operatorname{Re} [(f_{MM}^{1-} - 6f_{EM}^{1+})(f_{MM}^{1+})^*], \\ t &= 18 \operatorname{Re} [f_{EM}^{1+}(f_{MM}^{1+})^*]. \end{aligned} \quad (4.74)$$

In analogy to Eq. (4.64) $|f_{MM}^{1+}|$ is found from the polarized differential cross section $d\sigma_z^L/d\Omega^*$ as follows:

$$|f_{MM}^{1+}| = \sqrt{\frac{1}{3}(a - q)} = \sqrt{\left(\frac{d\sigma_z^L}{d\Omega^*}\right)_{\pi/2}}. \quad (4.75)$$

4.3. LET of the observables in the c.m. system

In analogy with the case of the invariant amplitudes we can decompose the observables $d\bar{\sigma}/d\Omega^*$, Σ_3 , Σ_{2x} and Σ_{2z} , which do not vanish below pion threshold, into the Born and the non-Born parts. The low energy expansion of the Born parts of the observables are given by the A_i^B in Eq. (2.36) whose expansions up to $1/\omega^2$ lead to

$$\begin{aligned} A_1^B &= \frac{2\pi r_0 q^2}{\omega^2}, & A_2^B &= -\frac{2\pi r_0(q\kappa + q^2)}{\omega^2}, & A_4^B &= -\frac{\pi r_0 \kappa^2}{\omega^2}, \\ A_3^B &= A_5^B = -\frac{\pi r_0(\kappa^2 + 2q\kappa)}{\omega^2}, & A_6^B &= \frac{\pi r_0(\kappa^2 + 2q\kappa + 2q^2)}{\omega^2}. \end{aligned} \quad (4.76)$$

Here, $r_0 = e^2/4\pi m \sim 1/137m$. The non-Born parts of the A_i in the limit of $\omega \rightarrow 0$, i.e. $A_i^{nB}(0,0) = a_i$, are deduced from Eqs. (2.43), (2.47) and (3.4) as follows:

$$\begin{aligned} a_1 &= -2\pi(\alpha - \beta), \\ a_2 &= -4\pi m(\gamma_{E2} - \gamma_{M2}), \\ a_3 &= -2\pi m(\gamma_{E1} - \gamma_{E2} + \gamma_{M1} - \gamma_{M2}) - 2\pi(\alpha + \beta), \\ a_4 &= -2\pi m(\gamma_{E1} + \gamma_{E2} + \gamma_{M1} + \gamma_{M2}), \\ a_5 &= 2\pi m(\gamma_{E1} + \gamma_{E2} - \gamma_{M1} - \gamma_{M2}), \\ a_6 &= 2\pi m(\gamma_{E1} - \gamma_{E2} + \gamma_{M1} - \gamma_{M2}). \end{aligned} \quad (4.77)$$

We substitute the low energy expansion of the A_i , presented by the sum of Eq. (4.76) and (4.77), into the Eqs. (4.39), (4.41), (4.51) and (4.53), and we subtract then the resulting Born terms of the observables. We get then the non-Born parts of the observables represented up to $O(\omega^4)$ as follows:

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \right]^{nB} &= -2r_0 q^2 m \left\{ m \left((1+z^2)\alpha + 2z\beta \right) \omega^2 + 2(1+z)^2(\alpha + \beta)\omega^3 \right\} \\ &+ \frac{m^2}{2} \left\{ (1+z^2)(\alpha^2 + \beta^2) + 4z\alpha\beta \right\} \omega^4 \\ &- \frac{r_0}{8} \left\{ (\kappa^2 + 2q\kappa)(-1+z) \left((3+z^2)\alpha - (1-z(4+z))\beta \right) \right. \\ &\quad \left. + 2q^2 \left((17+29z+(19-z)z^2)\alpha + (3+z)(5+(10+z)z)\beta \right) \right\} \omega^4 \\ &- \frac{r_0}{4} m \left\{ q(-1+z) \left[-4\kappa \left\{ (-1+z)\gamma_{E1} + (3+z)\gamma_{M1} \right. \right. \right. \\ &\quad \left. \left. \left. - (1+(2-z)z)\gamma_{E2} + 2z\gamma_{M2} \right\} \right. \right. \\ &\quad \left. \left. + q \left\{ (1+z)(-3+z)(\gamma_{E1} + \gamma_{M1}) \right. \right. \right. \\ &\quad \left. \left. \left. - (1-(2-5z)z)\gamma_{E2} + (3-(6+z)z)\gamma_{M2} \right\} \right] \right\} \\ &+ \kappa^2 \left[4z(\gamma_{E1} + \gamma_{M2}) + 2(3-z^2)\gamma_{M1} - 2(1-3z^2)\gamma_{E2} \right] \omega^4. \end{aligned} \quad (4.78)$$

4. Polarized nucleon Compton scattering

$$\begin{aligned}
\left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_3 \right]^{nB} &= r_0 q^2 m (1-z^2) (m\alpha\omega^2 + 2\alpha\omega^3) - \frac{m^2}{2} (1-z^2) (\alpha^2 - \beta^2) \omega^4 \\
&\quad - \frac{r_0}{8} (1-z^2) \left\{ 2q^2 [(-9+z)\alpha - (1+z)\beta] \right. \\
&\quad\quad \left. - (\kappa^2 + 2q\kappa) [(-3+z)\alpha - (1+z)\beta] \right\} \omega^4 \\
&\quad - \frac{r_0}{4} m (1-z^2) \left\{ 2(\kappa^2 + 2q\kappa) (\gamma_{M1} - \gamma_{E2}) \right. \\
&\quad\quad \left. + q^2 (-1+z) (\gamma_{E1} - \gamma_{E2} + \gamma_{M1} - \gamma_{M2}) \right\} \omega^4 \quad (4.79)
\end{aligned}$$

$$\begin{aligned}
\left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2x} \right]^{nB} &= -\frac{r_0}{2} m \sqrt{1-z^2} \left\{ -(\kappa+q)^2 + (2q\kappa + q^2)z \right\} \alpha \\
&\quad + (q\kappa - (\kappa+q)(\kappa+q - q^2z)z) \beta \\
&\quad + 2mq^2 (\gamma_{M1} + z(\gamma_{E1} + \gamma_{M2} + z\gamma_{E2})) \omega^3 \\
&\quad + \frac{r_0}{4} \sqrt{1-z^2} \left\{ (1+z) [(5\kappa^2 - q(5\kappa + 3q)(-1+z)) \alpha \right. \\
&\quad\quad \left. + (\kappa^2(3+2z) + (q\kappa + 3q^2)(1-z)) \beta \right] \\
&\quad\quad + 2m [(2\kappa^2 - 2q\kappa(-2+z) - q^2(1+(5+z)z)) \gamma_{E1} \\
&\quad\quad + (2\kappa^2z - q\kappa(1+(-4+z)z) - q^2(4+(3+z)z)) \gamma_{M1} \\
&\quad\quad + q(\kappa - 5qz + (\kappa - 3q)z^2) \gamma_{E2} \\
&\quad\quad + (\kappa^2(-1+z^2) + 2q\kappa(-1+z+z^2) \\
&\quad\quad \left. - q^2(3+(3+2z)z)) \gamma_{M2} \right\} \omega^4 \quad (4.80)
\end{aligned}$$

$$\begin{aligned}
\left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]^{nB} &= -\frac{r_0}{2} m \left\{ (q\kappa - 2(\kappa+q)^2z + q(3\kappa + 2q)z^2) \alpha \right. \\
&\quad - ((\kappa+q)((\kappa+q)(1+z^2) - qz^3) - (3q\kappa + q^2)z) \beta \\
&\quad \left. + 2mq^2 [(1+z^2)(\gamma_{E1} + z\gamma_{E2}) + 2z(\gamma_{M1} + z\gamma_{M2})] \right\} \omega^3 \\
&\quad - \frac{r_0}{4} (1+z) \left\{ [q\kappa(-1+z) ((1+5z)\alpha + (5+z)\beta) \right. \\
&\quad\quad + 3q^2(-1+z^2)(\alpha + \beta) - \kappa^2(5\beta - (3+2z)(\alpha + z\beta)) \\
&\quad\quad + 2m [\kappa(-1+z) \{ \kappa(\gamma_{E2} - 2\gamma_{M1} - z\gamma_{M2}) \\
&\quad\quad\quad + q((4-z)\gamma_{M1} - \gamma_{E1} \\
&\quad\quad\quad \left. + (z-2)\gamma_{E2} + (1+2z)\gamma_{M2}) \} \\
&\quad\quad \left. + q^2(2(3+z+z^2)\gamma_{E1} + (1+3(z+2)z)\gamma_{E2} \right. \\
&\quad\quad \left. + (5+(4+z)z)\gamma_{M1} + 2(4+z)z\gamma_{M2}) \right] \right\} \omega^4 \quad (4.81)
\end{aligned}$$

As shown in Eqs. (4.78) and (4.79), for the proton ($q = 1$) the observables $d\bar{\sigma}/d\Omega^*$ and $\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_3$ in an order $O(\omega^2)$ are dependent only on the dipole electric α and magnetic

β polarizabilities, and spin polarizabilities γ_i are exhibited just in a $O(\omega^4)$. In the case of the neutron ($q = 0$) both polarizabilities enter into an order $O(\omega^4)$ in these observables. The double observables of proton Compton scattering Σ_{2x} and Σ_{2z} in Eqs. (4.80) and (4.81) are dependent both on α , β and on the γ_i in $O(\omega^3)$, whereas in the case of the neutron they are characterized by the polarizabilities α and β , and the spin polarizabilities play a role only just in the order of $O(\omega^4)$. In other words, the effects of the parameters γ_i to Σ_{2x} and Σ_{2z} begin in the order of $O(\omega^3)$ in the case of the proton, and start with $O(\omega^4)$ in the case of the neutron.

As a result, with respect to α and β , the single polarized proton differential cross sections $d\sigma^{\parallel(\perp)}/d\Omega^*$ are more sensitive than the double polarization observables $d\sigma_{x,z}^{R(L)}/d\Omega^*$. In contrast to the proton, for the neutron the sensitivity to α and β can be observed better in the $d\sigma_{x,z}^{R(L)}/d\Omega^*$ than in the $d\sigma^{\parallel(\perp)}/d\Omega^*$. With reference to the spin polarizabilities γ_i , the $d\sigma_{x,z}^{R(L)}/d\Omega^*$ for the proton is most responsive to a change of the value of γ_i compared with other observables.

At the three c.m. angles $\theta = 0, \pi/2$ and π , the low energy approximations of the observables take particularly simple forms.

- At the forward scattering $\theta = 0$:

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=0}^{nB} &= -2r_0q^2m \{ m(\alpha + \beta)\omega^2 + (\alpha + \beta)\omega^3 \} \\ &\quad + \{ m^2(\alpha + \beta)^2 - 16r_0q^2(\alpha + \beta) - r_0m\kappa\gamma_0 \} \omega^4 \end{aligned} \quad (4.82)$$

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=0}^{nB} &= -\frac{r_0}{2}m \{ (q\kappa - 2(\kappa + q)^2 + q(3\kappa + 2q)) (\alpha + \beta) + q^2\beta \} \omega^3 \\ &\quad + 4r_0mq^2\gamma_0\omega^3 + 5r_0(\kappa^2(\alpha + \beta) + 2mq^2\gamma_0)\omega^4. \end{aligned} \quad (4.83)$$

- At the backward scattering $\theta = \pi$:

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=\pi}^{nB} &= -2r_0^2q^2m^2(\alpha - \beta)\omega^2 \\ &\quad + \{ m^2(\alpha - \beta)^2 + r_0(\kappa^2 + 2q\kappa - q^2)(\alpha - \beta) \} \omega^4 \\ &\quad + r_0m \{ 4q^2(\gamma_{E2} - \gamma_{M2}) + (\kappa^2 + 4q\kappa)\gamma_\pi \} \omega^4, \end{aligned} \quad (4.84)$$

$$\begin{aligned} \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=\pi}^{nB} &= -\frac{r_0}{2}m \{ [q\kappa + 2(\kappa + q)^2 + q(3\kappa + 2q)] (\alpha - \beta) \\ &\quad - q^2\beta - 4mq^2\gamma_\pi \} \omega^3 \end{aligned} \quad (4.85)$$

As shown in the Eqs. (4.83) and (4.85), the c.m. polarized differential cross section $d\sigma_z^{R(L)}/d\Omega^*$ of Compton scattering depends on $\alpha + \beta$ and γ_0 at the forward angle and on $\alpha - \beta$ and γ_π at the backward angle, respectively. For the neutron the expansion of Σ_{2z} up to $O(\omega^4)$ is independent of the spin polarizabilities at these two special angles.

- At the angle of $\theta = \frac{\pi}{2}$:

$$\begin{aligned}
 \left[\frac{d\bar{\sigma}}{d\Omega^*} \right]_{\theta=\pi/2}^{nB} &= -r_0 q^2 m \{ m\alpha\omega^2 + 2(\alpha + \beta)\omega^3 \} + \frac{m^2}{2}(\alpha^2 + \beta^2)\omega^4 \\
 &\quad + \frac{r_0}{8} \{ (\kappa^2 + 2q\kappa)(3\alpha - \beta) - q^2(34\alpha + 30\beta) \} \omega^4 \\
 &\quad - \frac{r_0}{2} m \left\{ \kappa^2(\gamma_{E2} - 3\gamma_{M1}) + 2q\kappa(\gamma_{E1} + \gamma_{E2} - 3\gamma_{M1}) \right. \\
 &\quad \left. - q^2(3\gamma_{E1} + \gamma_{E2} + 3\gamma_{M1} - 3\gamma_{M2}) \right\} \omega^4, \quad (4.86)
 \end{aligned}$$

$$\begin{aligned}
 \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_3 \right]_{\theta=\pi/2}^{nB} &= r_0 q^2 m (m\alpha\omega^2 + 2\alpha\omega^3) - \frac{1}{2} m^2 (\alpha^2 - \beta^2) \omega^4 \\
 &\quad - \frac{r_0}{8} \{ (\kappa^2 + 2q\kappa)(3\alpha + \beta) - 2q^2(9\alpha + \beta) \} \omega^4 \\
 &\quad - \frac{r_0}{4} m \left\{ 2\kappa(\kappa + 2q)(-\gamma_{E2} + \gamma_{M1}) \right. \\
 &\quad \left. + q^2(-\gamma_{E1} + \gamma_{E2} - \gamma_{M1} + \gamma_{M2}) \right\} \omega^4, \quad (4.87)
 \end{aligned}$$

$$\begin{aligned}
 \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2x} \right]_{\theta=\pi/2}^{nB} &= -\frac{r_0}{2} m \{ q\kappa\alpha - (\kappa + q)^2\beta \} \omega^3 - 2r_0 q^2 m^2 \gamma_{M1} \omega^3 \\
 &\quad + \frac{r_0}{4} \{ (5\kappa^2 + 5q\kappa + 3q^2)\alpha + (3\kappa^2 + 3q\kappa + q^2)\beta \} \omega^4 \\
 &\quad - \frac{r_0}{2} m \left\{ \kappa(\kappa + 2q)(-2\gamma_{E1} + \gamma_{M2}) + q\kappa(-\gamma_{E2} + \gamma_{M1}) \right. \\
 &\quad \left. + q^2(\gamma_{E1} + 4\gamma_{M1} + 3\gamma_{M2}) \right\} \omega^4, \quad (4.88)
 \end{aligned}$$

$$\begin{aligned}
 \left[\frac{d\bar{\sigma}}{d\Omega^*} \Sigma_{2z} \right]_{\theta=\pi/2}^{nB} &= -\frac{r_0}{2} m \{ q\kappa\alpha - (\kappa + q)^2\beta \} \omega^3 - r_0 q^2 m^2 \gamma_{E1} \omega^3 \\
 &\quad + \frac{r_0}{4} \{ (3\kappa^2 + q\kappa + 3q^2)\alpha + (5\kappa^2 + 5q\kappa + 3q^2)\beta \} \omega^4 \\
 &\quad - \frac{r_0}{2} m \left\{ \kappa(\kappa + 2q)(2\gamma_{M1} - \gamma_{E2}) + q\kappa(-\gamma_{E1} + \gamma_{M2}) \right. \\
 &\quad \left. + q^2(6\gamma_{E1} + \gamma_{E2} + 5\gamma_{M2}) \right\} \omega^4. \quad (4.89)
 \end{aligned}$$

At the angle $\theta = \pi/2$ the proton polarized cross sections $d\sigma_z^{R(L),p}/d\Omega^*$ depend primarily on γ_{M1} and γ_{E2} as well as α and β in an order of $O(\omega^3)$, while the neutron polarized cross sections $d\sigma_z^{R(L),n}/d\Omega^*$ in this order is dependent only on magnetic polarizability β . On the other hand, the $d\sigma^{\parallel(\perp),p}/d\Omega^*$ in $O(\omega^3)$ are described by the electromagnetic polarizabilities. The sensitivity of the neutron polarized cross sections $d\sigma^{\parallel(\perp),n}/d\Omega^*$ to β appear just in $O(\omega^4)$. As a consequence, the neutron electromagnetic polarizability could be extracted better from the experiment using the circularly polarized photon and the neutron polarized in x or z direction at this angle.

4.4. Numerical results and Discussion

In this section, we present numerical results for observables of polarized proton Compton scattering in comparison with the experimental data from Refs. [40, 41, 42, 26, 28, 32, 24, 29, 30, 23, 21, 44, 38, 22, 34, 46, 47].

The c.m. differential cross sections given in Fig. 4.7 at six different angles and energies up to 900 MeV are, in general, in good agreement with the experimental data. Here, the unitarity bounds of the dashed lines are obtained by setting $\text{Re}A_i = 0$. The results for the photon Σ_3 at $\theta \lesssim 90^\circ$ and nucleon P asymmetry at the c.m. angles $\theta \gtrsim 132^\circ$ show the deviations from the experimental values.

The dependence of the unpolarized differential cross sections and asymmetries on the parameters $(\alpha - \beta)^p$ and γ_π^p are demonstrated in Figs. 4.9-4.11 and in Figs. 4.12-4.13, respectively, where the results with $(\alpha - \beta)^p = 10.0$ and $\gamma_\pi^p = -37.1$ are plotted by means of the solid lines. The dashed and dotted curves of Figs. 4.9, 4.10 (left) and 4.11 are deduced from the two different values of $(\alpha - \beta)^p = 12$ and $(\alpha - \beta)^p = 7$ respectively, where the value for the proton backward spin polarizability is kept as -37.1 . Analogously, the predictions for fixed $(\alpha - \beta)^p$ and variation of γ_π^p between -39.6 and -25.1 are represented in Figs. 4.10 (right), 4.12 and 4.13 using the dashed and dotted lines, respectively. Here, the latter value of -25.1 is taken from the LEGS experiment [2, 53], and the former one of -39.6 is derived from the backward unsubtracted DR of Ref. [63].

Below pion threshold the dependence of the observables $d\bar{\sigma}/d\Omega^*$, Σ_3 , Σ_{2x} and Σ_{2z} upon the strength of both $\alpha - \beta$ and γ_π is not very large. In particular, the asymmetry Σ_{2x} is almost insensitive to the change of polarizabilities. At energies up to 50 MeV an effect on these parameters is barely observed. As so far, our results sustain the conclusions in Refs. [16, 15] and [17] drawn in the framework of fixed- t unsubtracted and subtracted DR respectively, where in the latter reference the exploitations are done only at two extremely forward and backward angles, i.e. $\theta = 0^\circ, 180^\circ$, and at $\theta = 90^\circ$.

At higher energies ($150 \text{ MeV} \lesssim E_\gamma \lesssim 300 \text{ MeV}$) the sensitivity of the observables to the difference of the electric and magnetic polarizabilities as well as the backward spin polarizability is, on the whole, increased evidently, indeed, in unpolarized differential cross section and Σ_{2z} . As to the variation of $(\alpha - \beta)^p$, the differential cross sections show the minimal sensitivity at $\theta = 90^\circ$, while the alterations of the proton backward spin polarizability carry a change in $d\bar{\sigma}/d\Omega^*$ scarcely at c.m. angles $\theta \lesssim 60^\circ$, see Figs. 4.9 and 4.12. In the case of Σ_3 and Σ_{2z} , the parameters of the electromagnetic polarizabilities have a larger influence on this observables than on the spin polarizability γ_π^p . It is also recognized in Figs. 4.11 and 4.13 that the dependence of Σ_{2z} as well as Σ_{2x} on both polarizabilities is decreased at $\theta \geq 150^\circ$. After all, the measurements of the observables in the region of energies above the pion threshold might be more adequate than the lower energies to provide some useful constraints on the values of the polarizabilities. It must, however, be remembered that above two-pion thresh-

old ($\omega \geq 309$ MeV) the model dependence rises strongly due to the not well-known 2π -photoproduction amplitude in the s -channel.

The effect of the mixing ratio $E2/M1$ on the polarized observables involving the differential cross sections are investigated in Figs. 4.14-4.17, where the solid curves result from the VPI-SP98K parametrization with $E2/M1 = -1.6\%$, which is obtained from fit to the Mainz data [8, 52]. Here, the mixing ratio is associated with $\text{Im } E_{1+}^{3/2} / \text{Im } M_{1+}^{3/2}$ at $\omega = 340$ MeV with $\text{Re } M_{1+}^{3/2} \rightarrow 0$. In this analysis, the background part is kept fixed, and only the resonant contribution to $M_{1+}^{3/2}$ or $E_{1+}^{3/2}$ is rescaled in the vicinity of Δ [74, 43, 8, 52]. This value agrees excellently with the value of $E2/M1 = -1.6 \pm 0.3_{ind} \pm 0.8_{com} \pm 0.1_{mod} \%$ derived lately from the data of the LARA experiment in Mainz [29]. We change the value of the $E2/M1$ on the one hand to -3% (dashed curves) found by the LEGS [27] and RPI [19] groups, on the other hand to the largest negative value from model -5% (dotted curves). The finding based on SAID-SM95 in which the strength of M_{1+} is reduced by 2.8%, are represented by the dashed-dotted curves.

At the angles $\theta = 75^\circ$ and 90° , the larger quadrupole amplitude $E2$ has destructive effects to lower the Δ -peak of cross sections, whereas at $\theta = 135^\circ$ and 150° the increased values of $E2/M1$ cause a higher maximum of the cross section, see Fig. 4.14. In any case, the largest sensitivity of the differential cross section to $E2/M1$ is given at $\omega \simeq 320$ MeV and, in particular, at larger backward angles. It seems that the curves from dispersive calculation by using old SAID solution VPI-SM95 improves the agreements with the data from [21] at $\theta = 75^\circ$ and [29] at $\theta = 135^\circ$. At $\theta = 90^\circ$ all experimental points lie slightly above the results by DR, whereas at $\theta = 150^\circ$ the measurements of [40, 41, 23, 29] are preferred to be described by an increased quadrupole strength. That is, a proper determination of the $E2$ contribution requires more data over a wide angular range.

Double polarization asymmetries appear to be generally more sensitive than the beam- and target-polarization asymmetries, Σ_3 and P , except for Σ_{2x} up to energies of the Δ -peak. Especially, the beam-target asymmetry Σ_{1z} is, as shown in Fig. 4.17, remarkably responsive to the change of quadrupole amplitude $E2$ in the relatively wide range of angles, i.e. $60^\circ \lesssim \theta \lesssim 90^\circ$ together with Σ_{2z} at the angles $\theta \lesssim 90^\circ$ and around $\omega \simeq 450$ MeV.

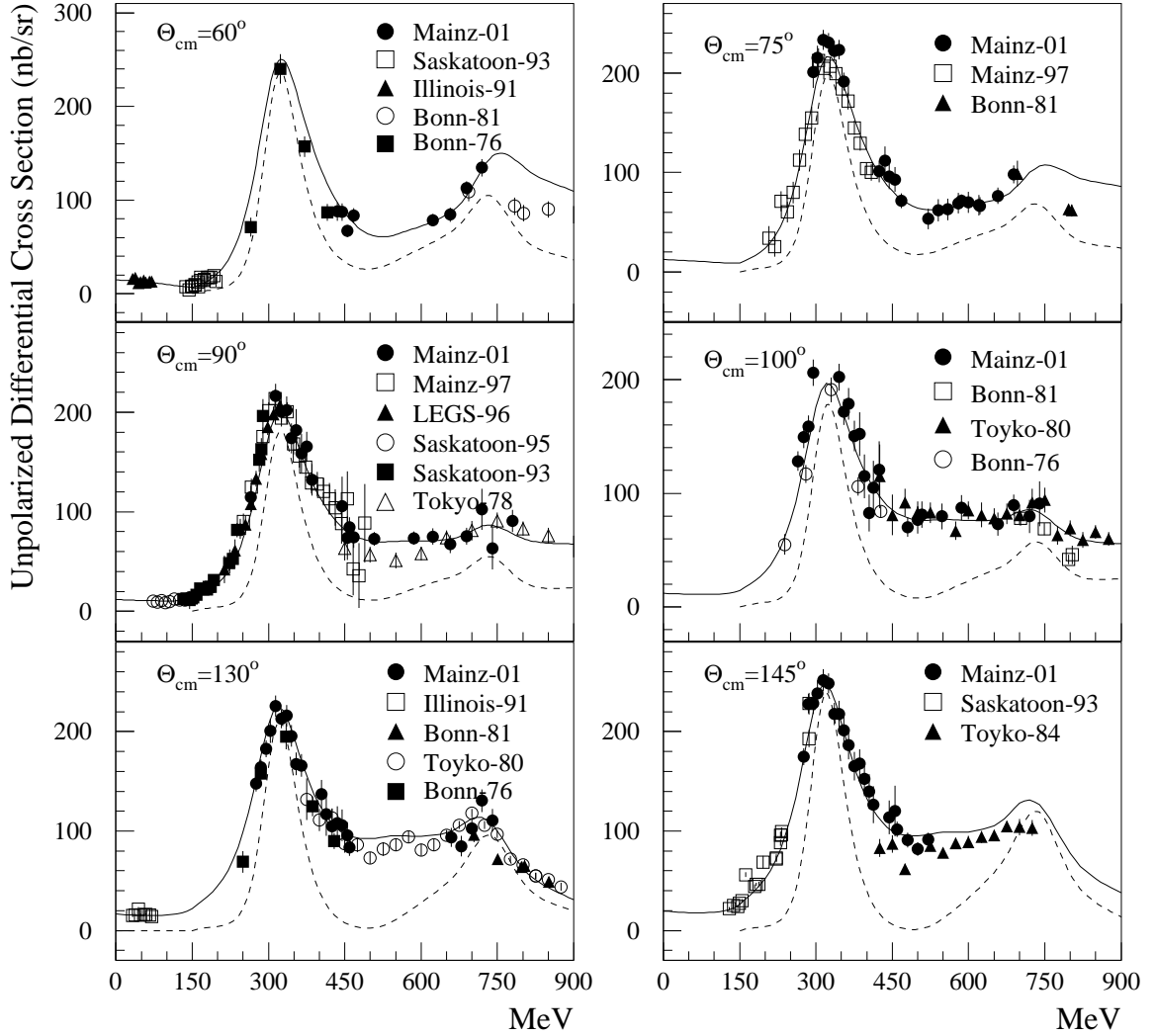


Figure 4.7.: Energy dependence of the c.m. unpolarized differential cross sections of proton Compton scattering at several angles. The solid lines represent the predictions of dispersive calculation using the pion multipole analysis of the VPI group [73, 74], while the dashed lines show the unitarity bound obtained from $\text{Re}A_i = 0$. The experimental data are from Refs. [28, 32, 24, 29, 30, 23, 21, 44, 38, 22, 34, 47].

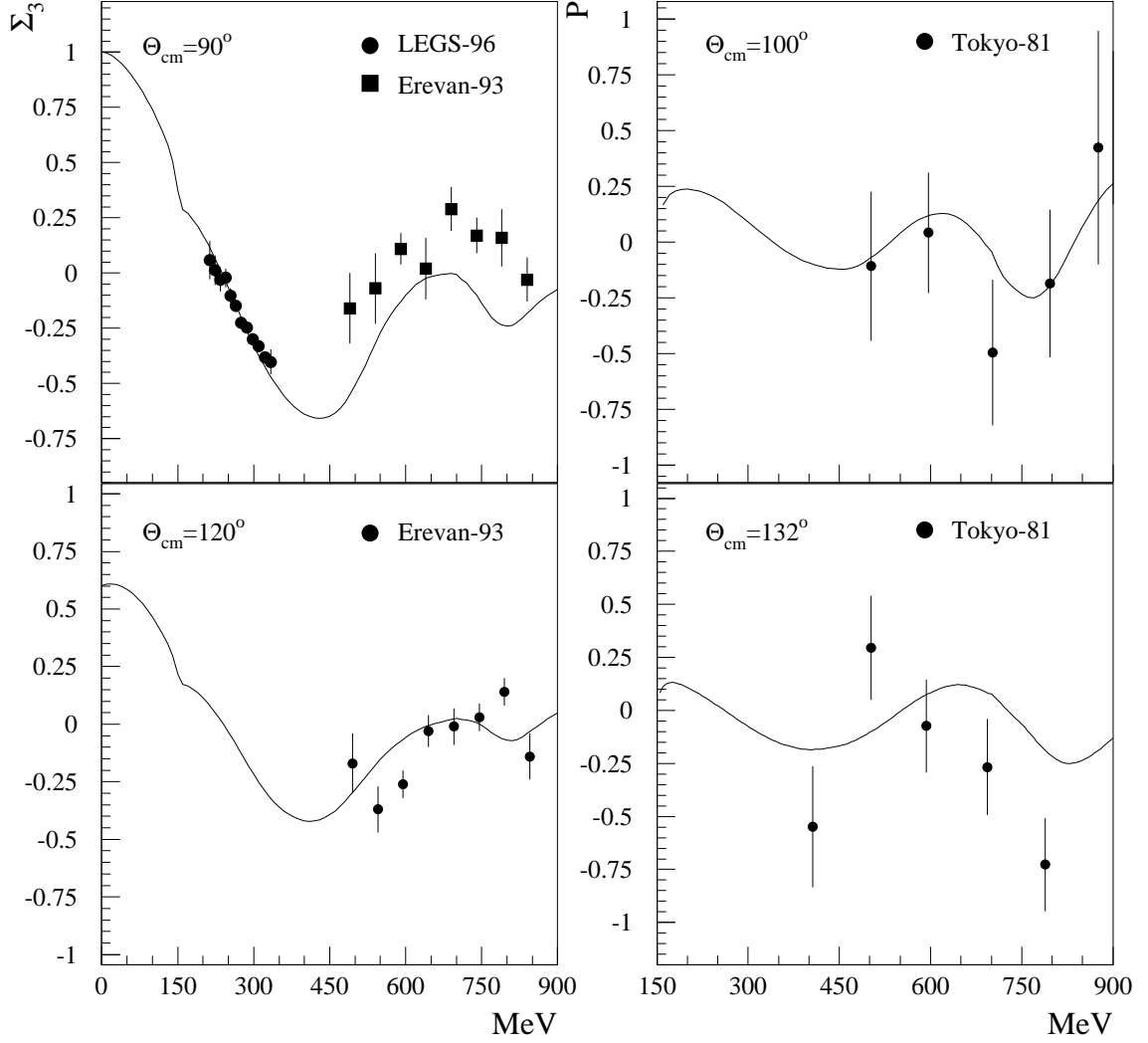


Figure 4.8.: Energy dependence of the photon Σ_3 (left) and the proton P (right) asymmetry of the reaction $\bar{\gamma}p \rightarrow \gamma p$. The data are taken from Refs. [25, 28, 46].

4. Polarized nucleon Compton scattering

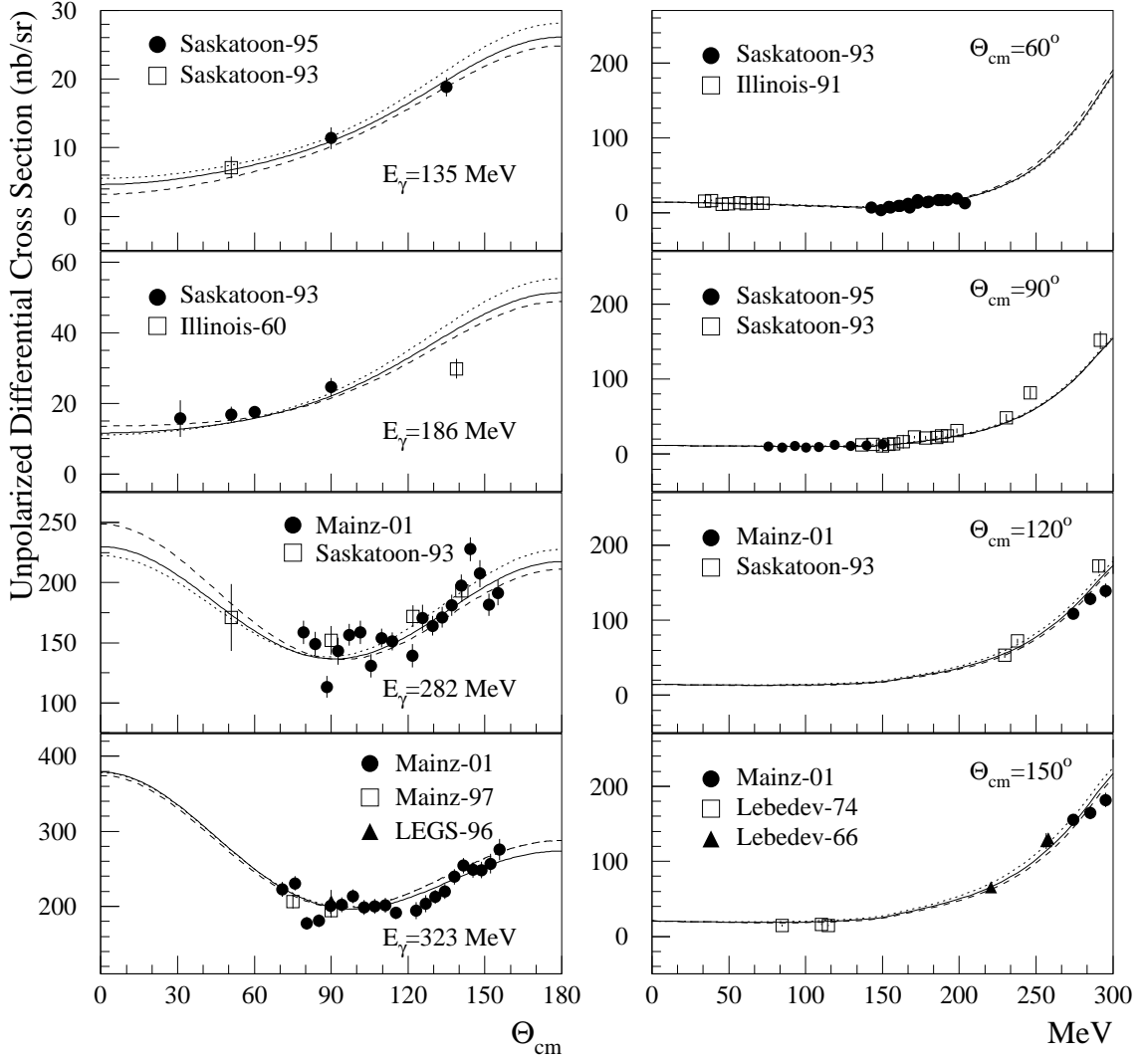


Figure 4.9.: *Unpolarized differential cross sections at the energies $\omega = 135, 186, 282$ and 323 MeV (left) and at the four scattering angles $\theta = 60^\circ, 90^\circ, 120^\circ$ and 150° (right). The results from the difference between the electric and magnetic polarizability $(\alpha - \beta)^p = 10$ and proton backward spin polarizability $\gamma_\pi^p = -37.1$ are represented by the solid lines, while the predictions for fixed γ_π^p and two different values of $\alpha - \beta = 12$ and $(\alpha - \beta)^p = 7$ are displayed using the dashed and dotted lines, respectively. The data are from Refs. [40, 41, 26, 28, 24, 29, 23, 21, 22].*

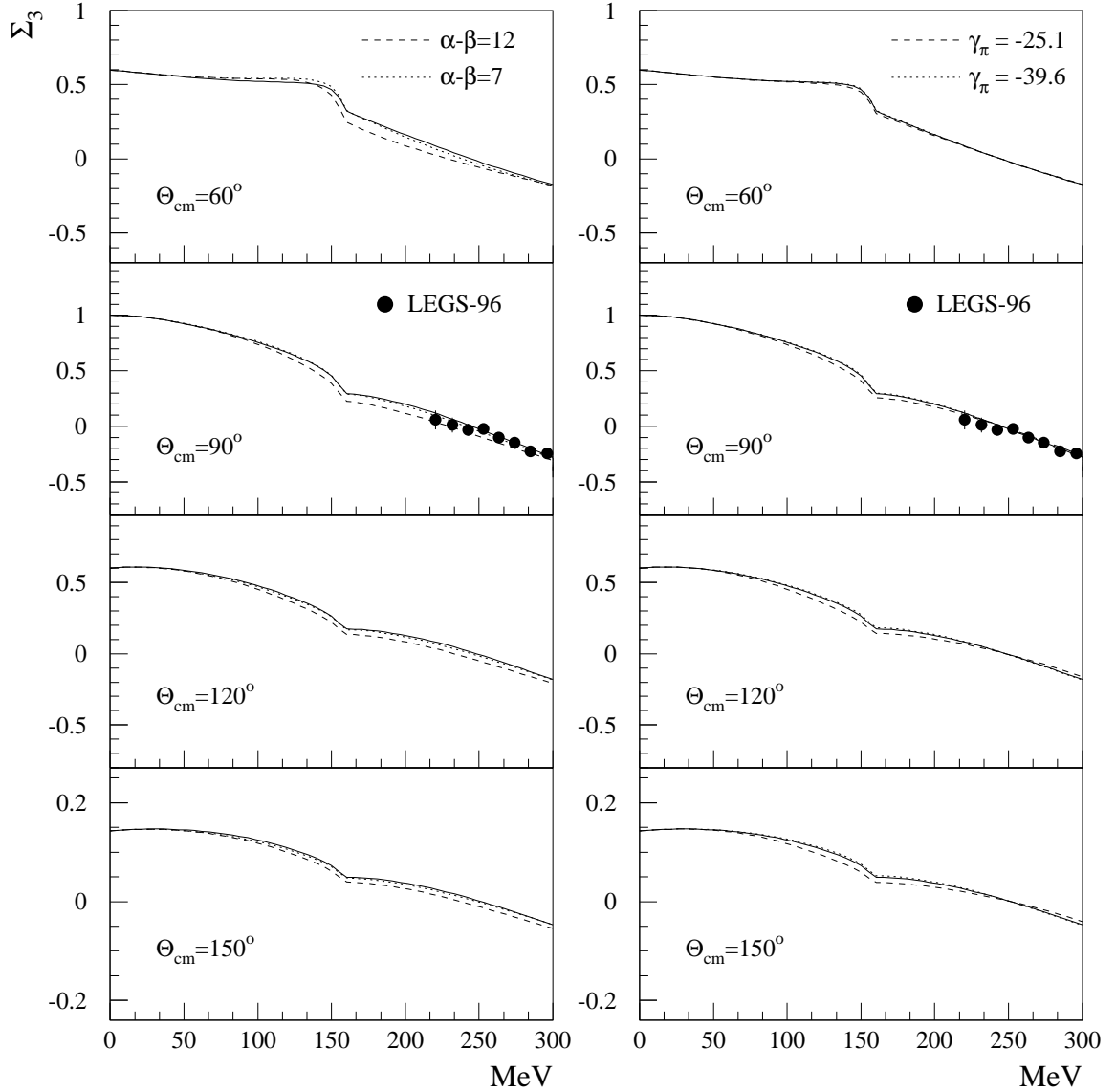


Figure 4.10.: Photon asymmetries Σ_3 at the angles of $\theta_{cm} = 60^\circ, 90^\circ, 120^\circ$ and 150° . The predictions for a fixed γ_π^p and the different $(\alpha - \beta)^p = 12, 7$ are presented at the left subfigures by the dashed and dotted lines, respectively, whereas that for a fixed $(\alpha - \beta)^p$ and $\gamma_\pi^p = -25.1$ (dashed lines), -39.6 (dotted lines) is shown at the right subfigures. The data are from Ref. [28].

4. Polarized nucleon Compton scattering

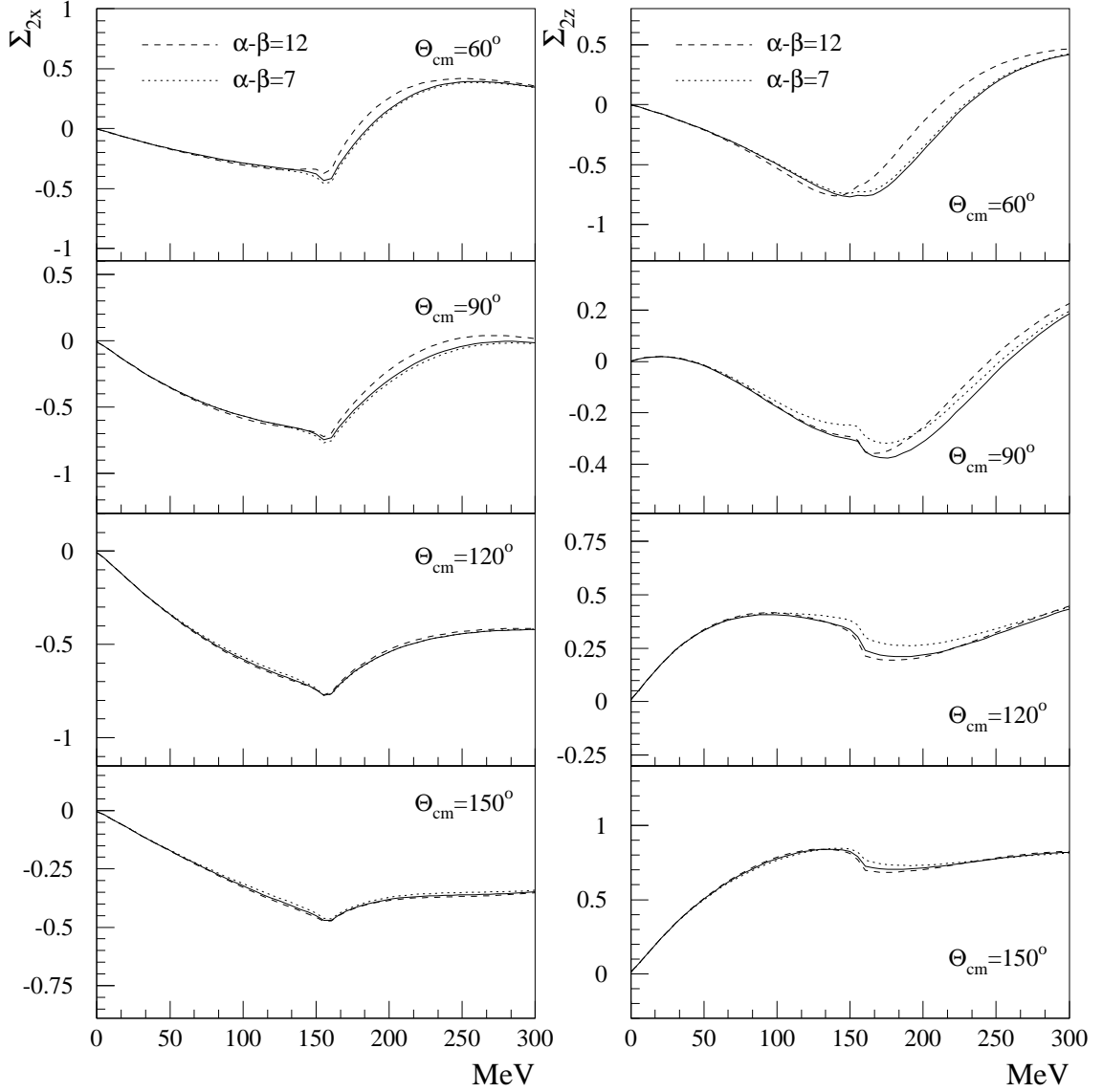


Figure 4.11.: Asymmetries Σ_{2x} (left) and Σ_{2z} (right) of Compton scattering by the circularly polarized photon on the proton polarized in the x and z directions, respectively. Notations are the same as explained in Fig. 4.9.

4. Polarized nucleon Compton scattering

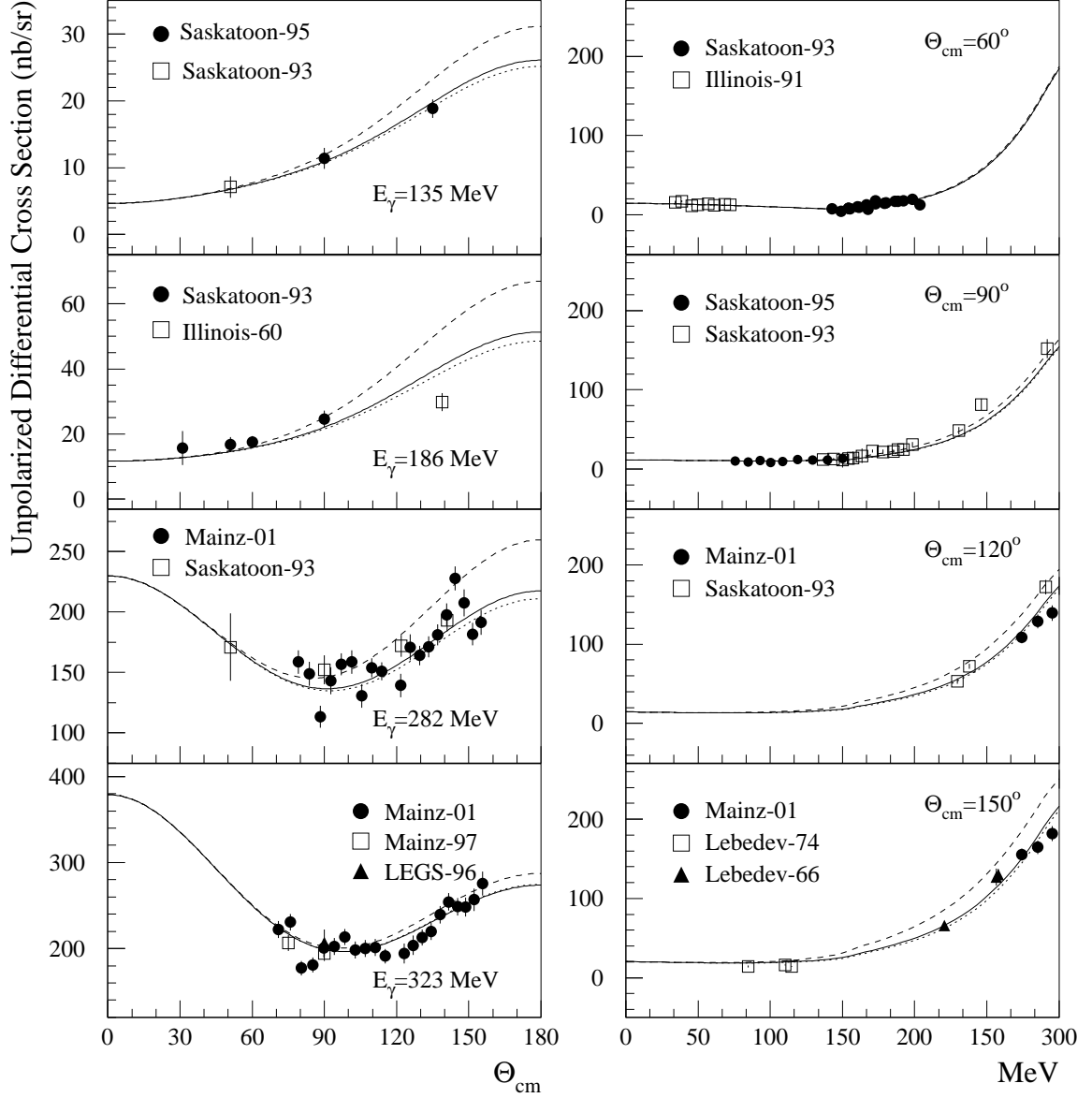


Figure 4.12.: Unpolarized differential cross sections with respect to the angles (left) and the energies (right) for the fixed $(\alpha - \beta)^p$ and different $\gamma_\pi^p = -25.1$ (dashed lines) and -39.6 (dotted lines). The solid lines show the results from $(\alpha - \beta)^p = 10$ and $\gamma_\pi^p = -37.1$. Data from [40, 41, 42, 28, 24, 29, 23, 21, 22].

4. Polarized nucleon Compton scattering

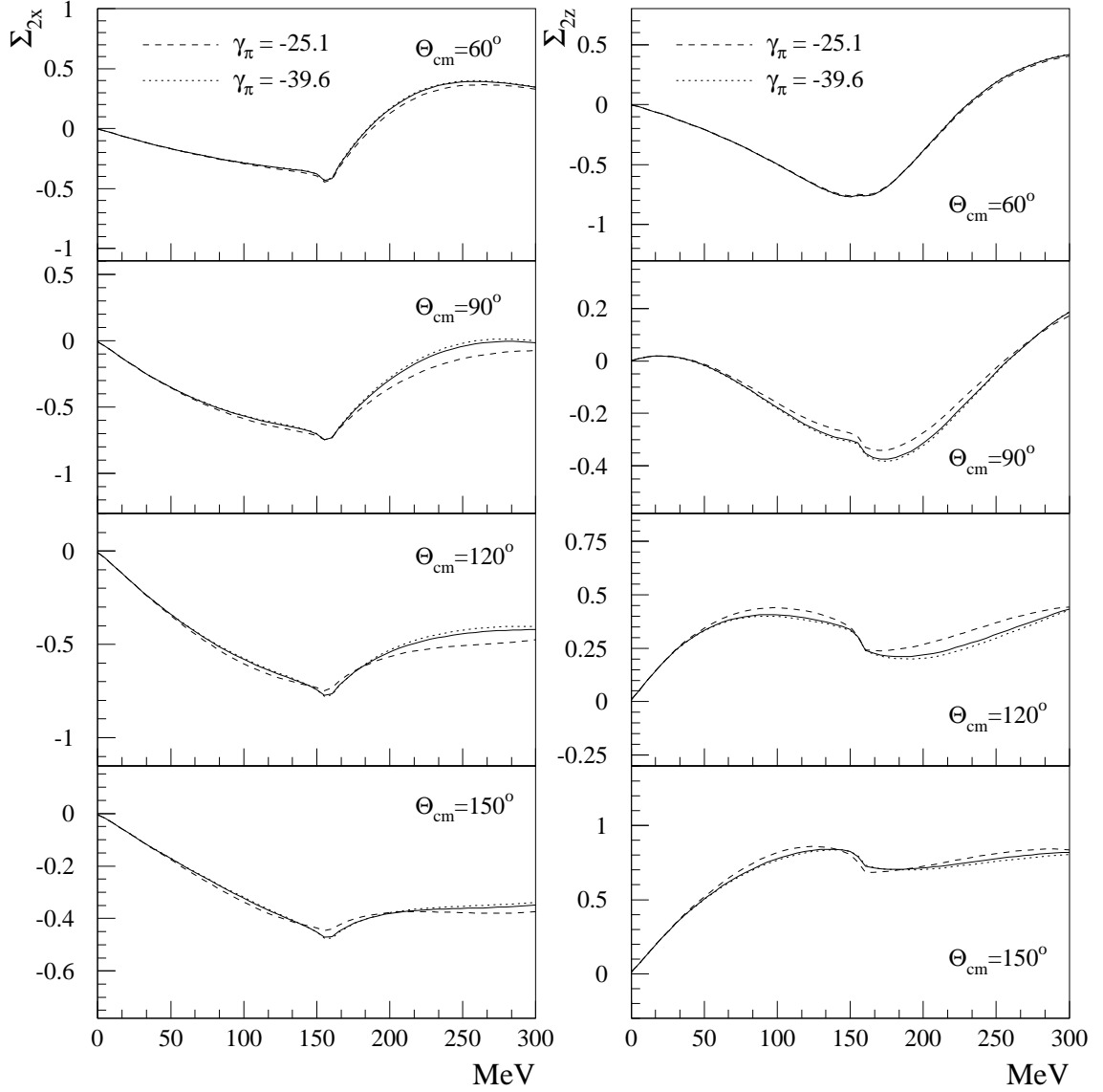


Figure 4.13.: Dependence of the asymmetries Σ_{2x} (left) and Σ_{2z} (right) on the backward spin polarizability γ_π . Notations are the same as described in Fig. 4.12.

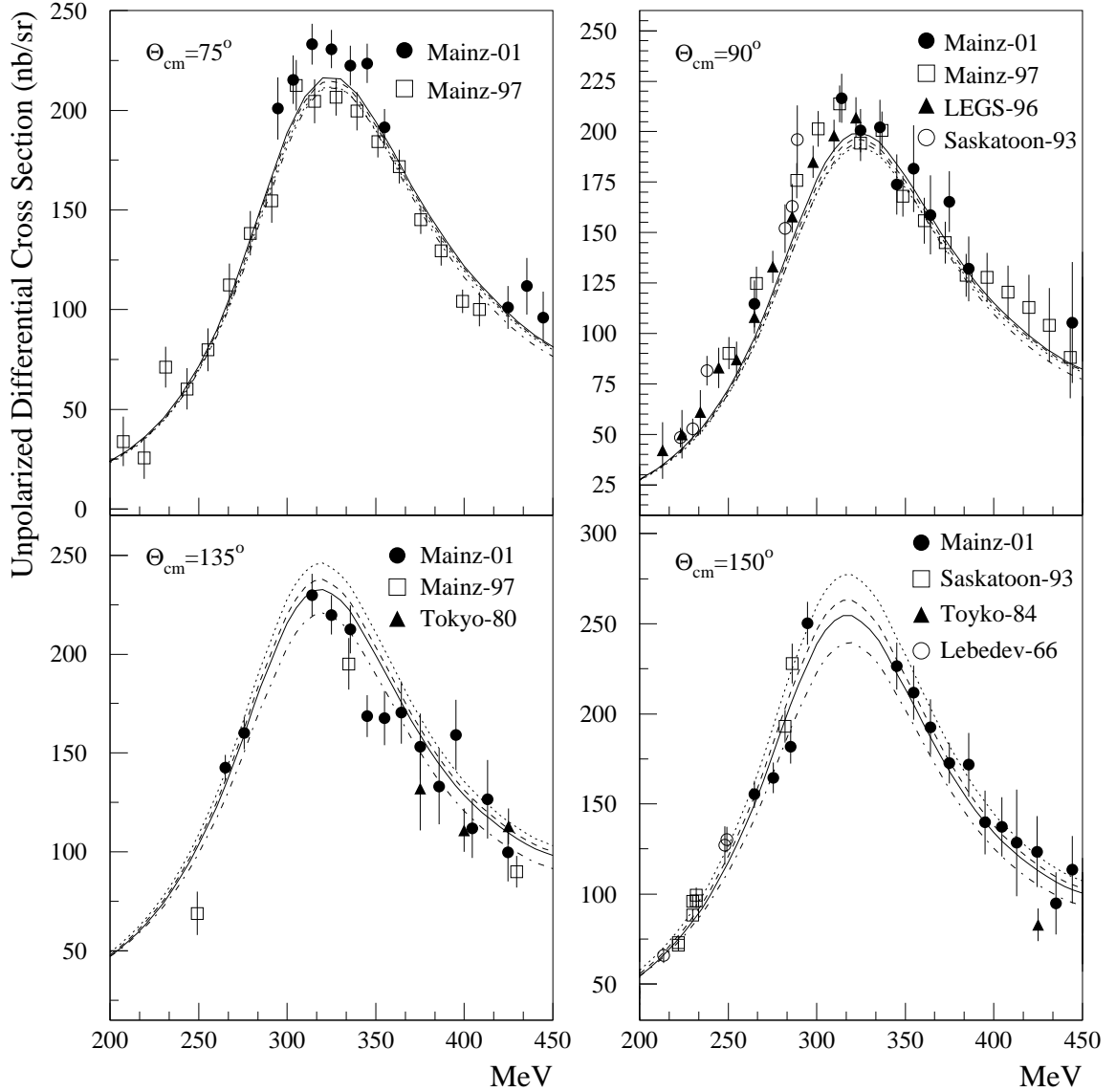


Figure 4.14.: Unpolarized differential cross sections of the Compton scattering off the proton for the different $E2/M1$ ratio. The solid lines demonstrate the results with $E2/M1 = -1.6\%$. The predictions for the $E2/M1 = -3\%$ and -5% are illustrated by means of the dashed and dotted lines respectively. The dashed-dotted lines represent the values using the solution VPI-SM95 in which the strength of the amplitude M_{1+} is reduced by 2.8%. Data are taken from [40, 41, 28, 29, 23, 21, 44, 47]

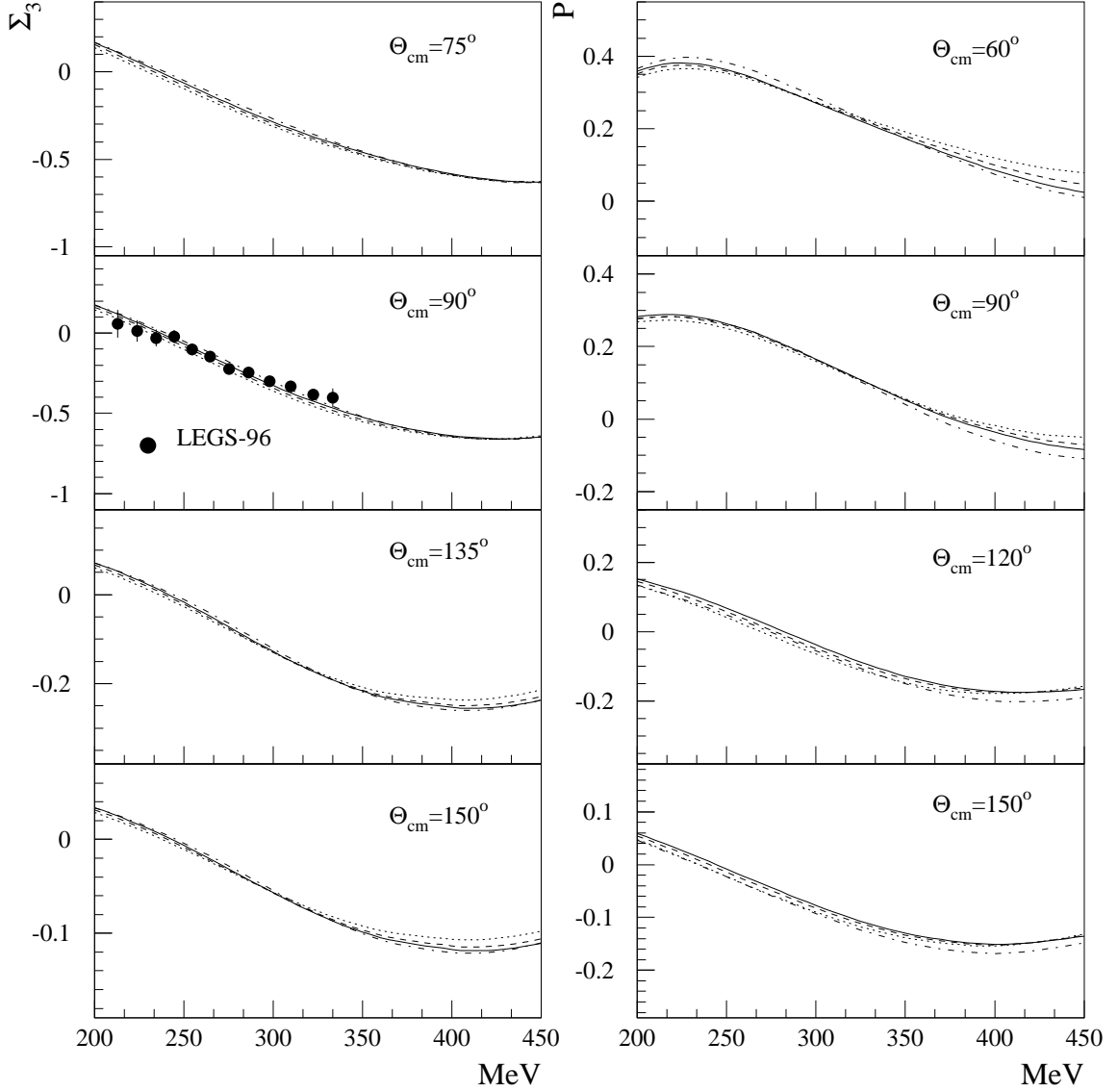


Figure 4.15.: Dependence of the beam Σ_3 and proton asymmetry P on the parameter $E2/M1$ in the region of Δ -resonance. The notations are the same as described in Fig. 4.14.

4. Polarized nucleon Compton scattering

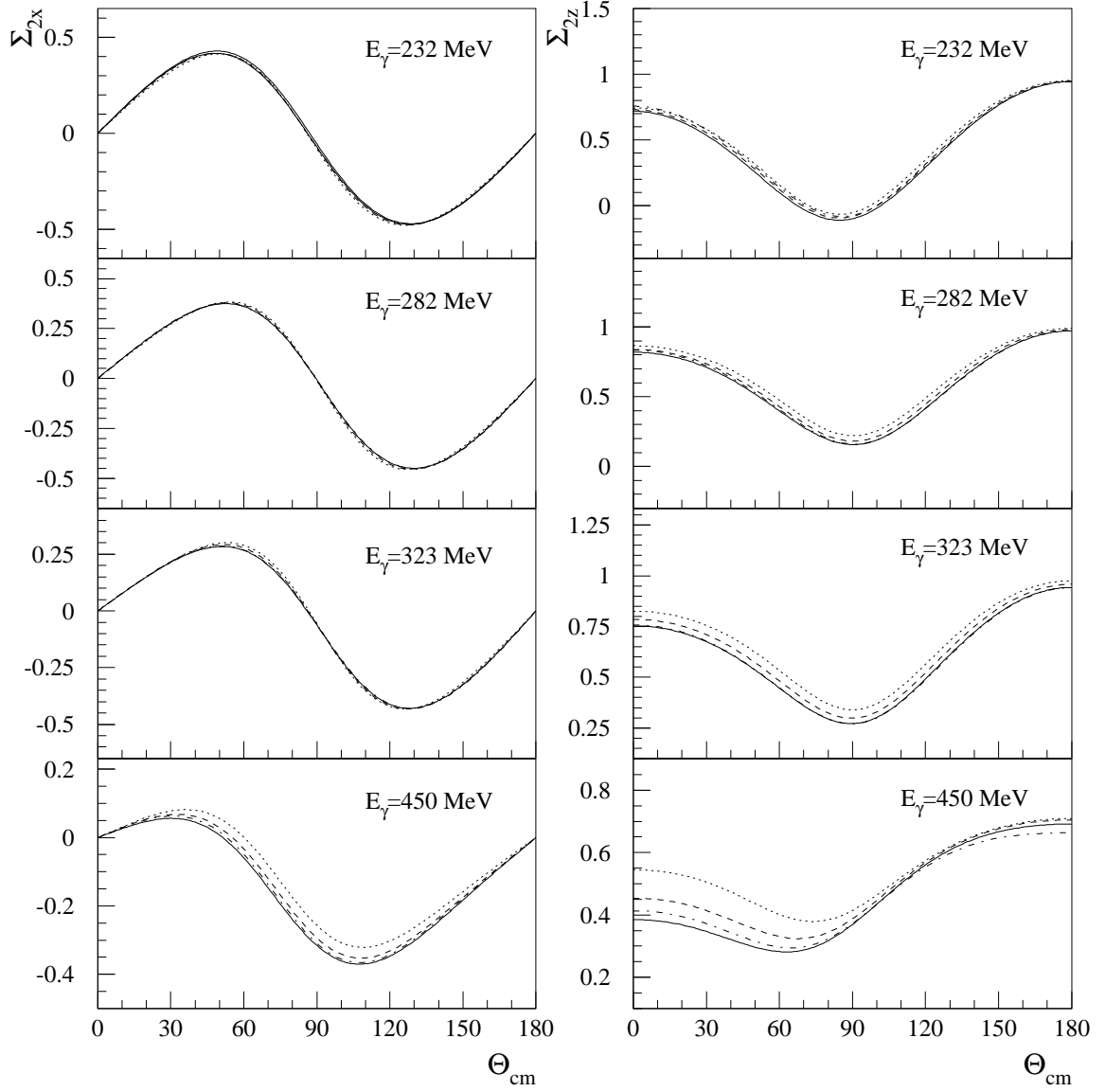


Figure 4.16.: Sensitivity of the asymmetries Σ_{2x} (left) and Σ_{2z} (right) to the mixing ratio of $E2/M1$. The notations are the same as described in Fig. 4.14.

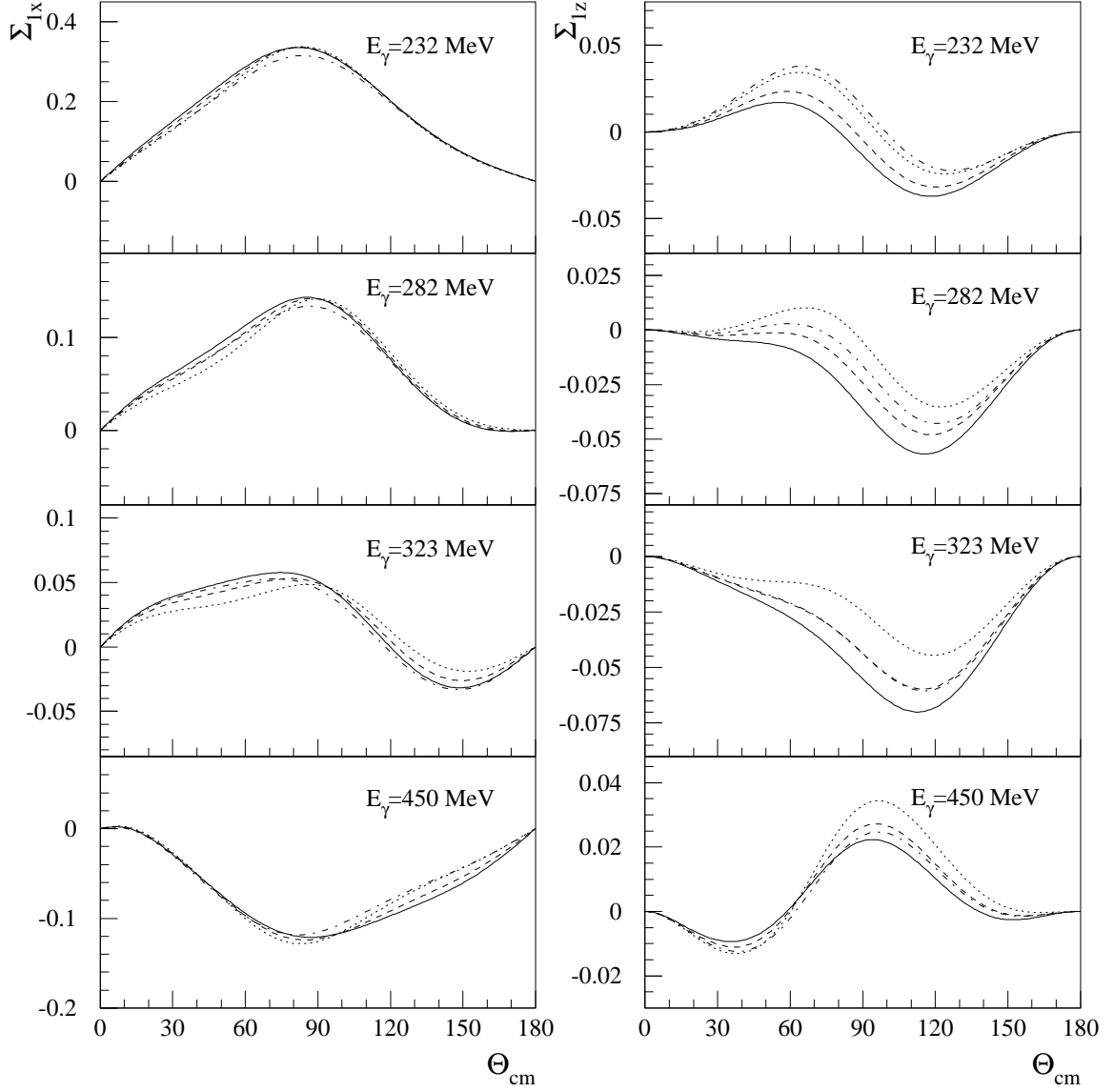


Figure 4.17.: Sensitivity of the asymmetries Σ_{1x} (left) and Σ_{1z} (right) to the E2/M1. The same notations as in Fig. 4.14.

5. Summery

The differential cross sections and the single and double asymmetries for the nucleon Compton scattering in the first and second resonance regions have been calculated within the fixed- t unsubtracted dispersion relation by using VPI-SP98K parametrization of the photo-meson amplitudes. The dependence of these observables on the difference of the electric and magnetic polarizabilities of the proton, $(\alpha - \beta)^p$, the proton backward spin polarizability γ_π^p as well as the multipole mixing ratio $E2/M1$ of $p \rightarrow \Delta$ has been also investigated, in order to provide more information on these quantities.

The structure parameters α , β and γ_π , which describe the non-Born part of Compton scattering amplitude in the limit of $\omega \rightarrow 0$ on the basis of low energy expansion, have been expressed by the invariant amplitudes A_1 , A_2 and A_5 , where the asymptotic parts of the A_1 and the A_2 are saturated by the t -channel exchange of σ -meson and π^0 -meson respectively. The proton backward spin polarizability $\gamma_\pi^p = -37.1$ obtained by DR has agreed well both with the prediction of heavy baryon ChPT and with the latest results from the LARA [29] and the TAPS [20] experiments in Mainz. The contradiction to the analysis of the LEGS [2, 53] group might originate, as referred in Ref. [29], from the disagreement of the measured cross sections above π -threshold, mainly at backward angles. In general, the dependence of the polarizabilities to the differential cross sections and the asymmetries has been clearly visible at the energies above pion threshold. Indeed, $d\bar{\sigma}/d\Omega^*$ at large angles and Σ_{2z} at $\theta \lesssim 150^\circ$ have displayed an evidently large sensitivity to the $\alpha - \beta$ and γ_π . As a consequence, the measurement of the observable at the energies up to two π -threshold and at mainly large angles is adequate to constrain the value of the polarizabilities.

With respect to the variation of $E2/M1$ the unpolarized differential cross section has exhibited its maximum dependence at $\omega \simeq 320$ MeV and at large backward angles. Among the polarized observables, the beam-target asymmetry Σ_{1z} at $60^\circ \lesssim \theta \lesssim 90^\circ$ and the Σ_{2z} at the angle $\theta \lesssim 90^\circ$ and around $\omega = 450$ MeV have shown great response on the change of the mixing ratio. That is, the experiment with linearly or circularly polarized photon off the nucleon polarized in z direction at these angles can bring more information on strength of the amplitude $E2$.

A. Mandelstam variables

The kinematics of s -channel Compton scattering off the nucleon

$$\gamma(k) + N(p) \longrightarrow \gamma(k') + N(p') \quad (\text{A.1})$$

can be described by the three Mandelstam variables s , t , and u defined as

$$s = (k + p)^2, \quad t = (k - k')^2, \quad u = (k - p')^2, \quad (\text{A.2})$$

where $s + t + u = 2m^2$. m is the mass of the nucleon.

$k = (\omega, \mathbf{k})$ and $k' = (\omega', \mathbf{k}')$ stand for the four momentum of the photon at the initial and the final state respectively. And $p = (E, \mathbf{p})$ denotes the nucleon 4-momentum before the scattering, while $p = (E', \mathbf{p}')$ refers to the 4-momentum after the scattering. We can also introduce the new invariants ν and η using variables s and u via

$$\nu = \frac{s - u}{4m}, \quad \eta = \frac{1}{m^2}(m^2 - su). \quad (\text{A.3})$$

In laboratory, center of mass and Breit system these kinematic invariants are expressed as follows:

- In laboratory frame ($\mathbf{p}_{lab} = 0$) the invariants s , t and u read

$$\begin{aligned} s &= m^2 + 2m\omega_{lab}, \\ t &= -2\omega_{lab}\omega'_{lab}(1 - \cos\theta_{lab}), \\ u &= m^2 - 2m\omega'_{lab}, \end{aligned} \quad (\text{A.4})$$

with

$$\omega'_{lab} = \omega_{lab} + \frac{t}{2m}. \quad (\text{A.5})$$

And then

$$\begin{aligned} \nu &= \frac{1}{2}(\omega_{lab} + \omega'_{lab}), \\ \eta &= 2\omega\omega'(1 + \cos\theta_{lab}). \end{aligned} \quad (\text{A.6})$$

A. Mandelstam variables

- In the c.m.s ($\mathbf{k}_{cm} + \mathbf{p}_{cm} = 0$) the invariant s corresponds to the square of the total energy of the system W_{tot} , and t is equal to the negative squared of the momentum transfer $\mathbf{q}_{cm} = \mathbf{p}'_{cm} - \mathbf{p}_{cm}$:

$$\begin{aligned} s &= (\omega_{cm} + E_{cm})^2 = W_{tot}^2, \\ t &= -2\omega_{cm}^2(1 - \cos \theta_{cm}) = -|\mathbf{q}_{cm}|^2. \end{aligned} \quad (\text{A.7})$$

Note that

$$\omega_{cm} = \frac{\omega_{lab}}{\sqrt{2\omega_{lab} + m^2}}. \quad (\text{A.8})$$

- In Breit frame ($\mathbf{p}_B + \mathbf{p}'_B = 0$) the Mandelstam variables are represented by

$$\begin{aligned} s &= m^2 + 2E_B\omega_B - \frac{t}{2}, \\ t &= -2\omega_B^2(1 - \cos \theta_B) = -|\mathbf{q}_B|^2, \\ u &= m^2 - 2E_B\omega_B - \frac{t}{2}, \end{aligned} \quad (\text{A.9})$$

where $\mathbf{q}_B = \mathbf{p}'_B - \mathbf{p}_B$.

Indeed,

$$m\nu_B = E_B\omega_B \quad (\text{A.10})$$

and

$$E_B = m^2 - \frac{t}{4}. \quad (\text{A.11})$$

In particular,

$$\begin{aligned} \omega_B &= \omega_{lab}, & \theta_B &= 0, \\ \omega_B &= \omega_{cm}, & \theta_B &= \pi. \end{aligned} \quad (\text{A.12})$$

B. Symmetry property of d -function

$$\begin{aligned}
d_{\lambda_1 \lambda_2}^J(\theta) &\equiv \langle J \lambda_1 | e^{-i\theta J_y} | J \lambda_2 \rangle, \\
&= \sqrt{\frac{(J + \lambda_1)!(J - \lambda_2)!}{(J - \lambda_1)!(J + \lambda_2)!}} \frac{1}{(\lambda_1 - \lambda_2)!} \left(\cos \frac{\theta}{2} \right)^{|\lambda_1 + \lambda_2|} \left(\sin \frac{\theta}{2} \right)^{|\lambda_1 - \lambda_2|} \\
&\quad * F(-J + \lambda_1, J + \lambda_1 + 1, \lambda_1 - \lambda_2 + 1, \sin^2 \frac{\theta}{2}).
\end{aligned} \tag{B.1}$$

Here, $F(a, b, c, \sigma)$ is a hypergeometric polynomial of the $\sigma = \sin^2 \frac{\theta}{2}$:

$$F(a, b, c, \sigma) = 1 + \frac{ab}{c} \frac{\sigma}{1!} + \frac{a(a+1)b(b+1)}{c(c+1)} \frac{\sigma^2}{2!} + \dots \tag{B.2}$$

Space inverse ($P : \lambda_1 \lambda_2 \rightarrow \lambda_2 \lambda_1$) and time reversal ($T : \lambda_1 \lambda_2 \rightarrow -\lambda_1 - \lambda_2$) invariance lead to

$$\begin{aligned}
d_{\lambda_1 \lambda_2}^J(\theta) &= (-1)^{\lambda_1 - \lambda_2} d_{\lambda_2 \lambda_1}^J(\theta), \\
&= (-1)^{\lambda_1 - \lambda_2} d_{-\lambda_1 - \lambda_2}^J(\theta).
\end{aligned} \tag{B.3}$$

From Eq. (B.1) it is found that

$$\begin{aligned}
d_{3/2, 3/2}^J(\theta) &= \sqrt{(1 - \sigma)^3} F(1 - L, L + 3, 1, \sigma), \\
d_{3/2, -3/2}^J(\theta) &= (-1)^L \sqrt{\sigma^3} F(1 - L, L + 3, 1, 1 - \sigma), \\
d_{3/2, 1/2}^J(\theta) &= -\sqrt{L(L + 2)} \sqrt{\sigma(1 - \sigma)^2} F(1 - L, L + 3, 2, \sigma), \\
d_{3/2, -1/2}^J(\theta) &= -(-1)^L \sqrt{L(L + 2)} \sqrt{\sigma^2(1 - \sigma)} F(1 - L, 1 + 3, 2, 1 - \sigma), \\
d_{1/2, 1/2}^J(\theta) &= \sqrt{1 - \sigma} F(-L, L + 2, 1, \sigma), \\
d_{1/2, -1/2}^J(\theta) &= -(-1)^L \sqrt{\sigma} F(-L, L + 2, 1, 1 - \sigma).
\end{aligned} \tag{B.4}$$

C. Unsubtracted dispersion relation

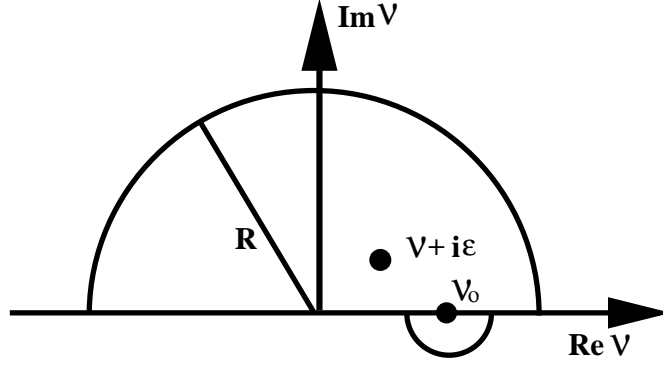


Figure C.1.: The contour of integration C used to derive the dispersion relation.

C.1. Basic assumptions

Assuming that the scattering amplitude $T(\nu, t)$, that is a function of only ν for a fixed- t , has the following properties [72]:

1. $T(\nu)$ is analytic for a complex ν in the region $\text{Im}\nu > 0$, but has cuts and poles on the real axis.
2. $|T(\nu)| \leq O(|\nu|^{-\alpha})$ as $|z| \rightarrow \infty$ in the upper half of the ν -plane, for some $\alpha > 0$. This means that $|T(\nu)|$ decreases to zero asymptotically at least as fast as some negative power of ν .
3. $T(\nu^*) = T^*(\nu)$.

C.2. Derivation of basic DR

On the basis of the analyticity of the scattering amplitude we can evaluate amplitude $T(\nu)$ by using the Cauchy's integral formular, see Fig C.1:

$$T(\nu + i\epsilon) = \frac{1}{2\pi i} \oint_{C(R)} \frac{T(\nu')}{\nu - \nu' - i\epsilon} d\nu'. \quad (\text{C.1})$$

C. Unsubtracted dispersion relation

By virtue of the second assumption the distant contribution in Eq. (C.1) vanishes at infinity. Eq. (C.1) reduces then to the form

$$T(\nu + i\epsilon) = \frac{1}{2\pi i} \int_{-\infty}^{\infty} \frac{T(\nu')}{\nu - \nu' - i\epsilon} d\nu'. \quad (\text{C.2})$$

The integrand has the poles on the real axis as well as the poles due to zero of the denominator. By using the formal identity,

$$\frac{1}{\nu - \nu' - i\epsilon} = \frac{P}{\nu - \nu'} \mp i\pi\delta(\nu - \nu'), \quad (\text{C.3})$$

together with the third assumption we obtain the usual form of the dispersion relation as follows:

$$\text{Im}F(\nu) = -\frac{1}{\pi} P \int_{-\infty}^{\infty} \frac{\text{Re}F(\nu')}{\nu' - \nu} d\nu', \quad (\text{C.4})$$

This connection between a real and an imaginary part of the amplitude is the basic dispersion relation.

D. Relations between invariant, helicity and multipole amplitudes

Here, we present the relations between the three different decompositions A_i , H_i (or τ_i) and $f_{TT'}^{L\pm}$ of the scattering amplitude. At first, invariant amplitudes A_i are related to reduced helicity amplitudes τ_i as follows [1]:

$$\begin{aligned}
A_1 &= \frac{1}{(s-m^2)^2} \left\{ -\frac{s}{m} \left(1 - \sigma \frac{s+m^2}{2s} \right) \tau_4 - \frac{\sqrt{s}}{2} (\tau_5 + \sigma \tau_6) \right\}, \\
A_2 &= \frac{1}{(s-m^2)^3} \left\{ -\frac{s}{m} (s+m^2) \left(1 - \sigma \frac{s-m^2}{2s} \right) \tau_4 - \frac{\sqrt{s}}{2} (s-m^2) \tau_5 \right. \\
&\quad \left. + 2s\sqrt{s} \left(1 - \sigma \frac{s-m^2}{4s} \right) \tau_6 \right\}, \\
A_3 &= \frac{1}{(s-m^2)^2(s-m^2+t/2)} \left\{ m^3 \tau_1 + m^3 (1-\sigma) \tau_2 \right. \\
&\quad \left. - 2m^2 \sqrt{s} \left(1 - \sigma \frac{s+m^2}{2s} \right) \tau_3 \right\}, \\
A_4 &= \frac{1}{(s-m^2)^2(s-m^2+t/2)} \left\{ m^3 \tau_1 + m^3 \left(1 - \sigma \frac{m^2}{s} \right) \tau_2 - \frac{2m^4}{\sqrt{s}} \sigma \tau_3 \right\}, \\
A_5 &= \frac{1}{(s-m^2)^2(s-m^2+t/2)} \left\{ m(s+m^2) \sigma \tau_4 - m^2 \sqrt{s} (\tau_5 + \sigma \tau_6) \right\}, \\
A_6 &= \frac{1}{(s-m^2)^2(s-m^2+t/2)} \left\{ -\frac{m}{2} (s+m^2) (\tau_1 + (1-\sigma) \tau_2) \right. \\
&\quad \left. + 2m^2 \sqrt{s} (1-\sigma) \tau_3 \right\} \quad (D.1)
\end{aligned}$$

with

$$\sigma = \sin^2 \frac{\theta}{2} = -\frac{st}{(s-m^2)^2}. \quad (D.2)$$

The expansion of helicity amplitudes H_i by multipoles $f_{TT'}^{L\pm}$ are given as [85]:

$$\begin{aligned}
H_{1,5} &= \frac{1}{2} \sum_{L=0}^{\infty} (L+1) \left\{ (L+2)^2 \left(f_{EE}^{(L+1)-} \pm f_{MM}^{(L+1)-} \right) \pm L^2 \left(f_{EE}^{L+} \pm f_{MM}^{L+} \right) \right. \\
&\quad \left. \mp 2L(L+2) \left(f_{EM}^{L+} \pm f_{ME}^{L+} \right) \right\} d_{1/2, \pm 1/2}^{L+\frac{1}{2}}, \\
H_{3,4} &= \frac{1}{2} \sum_{L=1}^{\infty} (L+1) \sqrt{L(L+2)} \left\{ (L+2) \left(f_{EE}^{(L+1)-} \pm f_{MM}^{(L+1)-} \right) \mp L \left(f_{EE}^{L+} \pm f_{MM}^{L+} \right) \right. \\
&\quad \left. \pm 2 \left(f_{EM}^{L+} \pm f_{ME}^{L+} \right) \right\} d_{1/2, \pm 3/2}^{L+\frac{1}{2}}, \\
H_{2,6} &= \pm \frac{1}{2} \sum_{L=1}^{\infty} (L+1)L(L+2) \left\{ \left(f_{EE}^{(L+1)-} \pm f_{MM}^{(L+1)-} \right) \pm \left(f_{EE}^{L+} \pm f_{MM}^{L+} \right) \right. \\
&\quad \left. \pm 2 \left(f_{EM}^{L+} \pm f_{ME}^{L+} \right) \right\} d_{3/2, \pm 3/2}^{L+\frac{1}{2}} \tag{D.3}
\end{aligned}$$

with $f_{EE}^{0+} = f_{MM}^{0+} = f_{EM}^{0+} = f_{ME}^{0+} \equiv 0$.

Rather accurately, the amplitudes H_i and their imaginary parts can be approximated by the pion amplitudes with angular momentum $l \leq 1$. Amplitudes H_i are then described by $f_{MM}^{1\pm}$, $f_{EE}^{1\pm}$, f_{ME}^{1+} and f_{EM}^{1+} , while $\text{Im} H_i$ are mainly obtained from E_{0+} , M_{1-} , E_{1+} and M_{1+} by virtue of Eq. (2.26):

$$\begin{aligned}
H_1 &= 2 \cos \frac{\theta}{2} \left(f_{EE}^{1-} + f_{MM}^{1-} \right) \\
&\quad + \frac{1}{2} \cos \frac{\theta}{2} (3 \cos \theta - 1) \left\{ \left(f_{EE}^{1+} + f_{MM}^{1+} \right) - 6 \left(f_{EM}^{1+} + f_{ME}^{1+} \right) \right\}, \\
H_2 &= \frac{3}{2} \cos \frac{\theta}{2} (1 + \cos \theta) \left\{ \left(f_{EE}^{1+} + f_{MM}^{1+} \right) + 2 \left(f_{EM}^{1+} + f_{ME}^{1+} \right) \right\}, \\
H_3 &= -\frac{3}{2} \sin \frac{\theta}{2} (1 + \cos \theta) \left\{ \left(f_{EE}^{1+} + f_{MM}^{1+} \right) - 2 \left(f_{EM}^{1+} + f_{ME}^{1+} \right) \right\}, \\
H_4 &= \frac{3}{2} \cos \frac{\theta}{2} (1 - \cos \theta) \left\{ \left(f_{EE}^{1+} - f_{MM}^{1+} \right) - 2 \left(f_{EM}^{1+} - f_{ME}^{1+} \right) \right\}, \\
H_5 &= -2 \sin \frac{\theta}{2} \left(f_{EE}^{1-} - f_{MM}^{1-} \right) \\
&\quad + \frac{1}{2} \sin \frac{\theta}{2} (3 \cos \theta + 1) \left\{ \left(f_{EE}^{1+} - f_{MM}^{1+} \right) - 6 \left(f_{EM}^{1+} - f_{ME}^{1+} \right) \right\}, \\
H_6 &= -\frac{3}{2} \sin \frac{\theta}{2} (1 - \cos \theta) \left\{ \left(f_{EE}^{1+} - f_{MM}^{1+} \right) + 2 \left(f_{EM}^{1+} - f_{ME}^{1+} \right) \right\}. \tag{D.4}
\end{aligned}$$

and

$$\begin{aligned}
 \text{Im } H_1 &= 2 \cos \frac{\theta}{2} \left(|E_{0+}|^2 + |M_{1-}|^2 \right) \\
 &\quad + \frac{1}{2} \cos \frac{\theta}{2} (3 \cos \theta - 1) \left\{ 9|E_{1+}|^2 + |M_{1+}|^2 - 6 \text{Re} (E_{1+} M_{1+}^*) \right\}, \\
 \text{Im } H_2 &= \frac{3}{2} \cos \frac{\theta}{2} (1 + \cos \theta) \left\{ |E_{1+}|^2 + |M_{1+}|^2 + 2 \text{Re} (E_{1+} M_{1+}^*) \right\}, \\
 \text{Im } H_3 &= \frac{3}{2} \sin \frac{\theta}{2} (1 + \cos \theta) \left\{ 3|E_{1+}|^2 - |M_{1+}|^2 + 2 \text{Re} (E_{1+} M_{1+}^*) \right\}, \\
 \text{Im } H_4 &= \frac{3}{2} \cos \frac{\theta}{2} (1 - \cos \theta) \left\{ 3|E_{1+}|^2 - |M_{1+}|^2 - 2 \text{Re} (E_{1+} M_{1+}^*) \right\}, \\
 \text{Im } H_5 &= -2 \sin \frac{\theta}{2} \left(|E_{0+}|^2 - |M_{1-}|^2 \right) \\
 &\quad - \frac{1}{2} \sin \frac{\theta}{2} (3 \cos \theta + 1) \left\{ 9|E_{1+}|^2 + |M_{1+}|^2 + 6 \text{Re} (E_{1+} M_{1+}^*) \right\}, \\
 \text{Im } H_6 &= \frac{3}{2} \sin \frac{\theta}{2} (1 - \cos \theta) \left\{ |E_{1+}|^2 + |M_{1+}|^2 + 2 \text{Re} (E_{1+} M_{1+}^*) \right\}. \tag{D.5}
 \end{aligned}$$

E. Compton scattering amplitudes R_i in center of mass system

In c.m.s the Compton scattering amplitude T_{fi} in Eq. (2.4) can be expressed in terms of six functions $R_i(\omega, \theta)$, $i = 1 \dots 6$ [69]:

$$T_{fi} = 8\pi\sqrt{s} \sum_{i=1}^6 \rho_i R_i(\omega, \theta), \quad (\text{E.1})$$

where $s = (\omega + E)^2$. The spin basis ρ_i read

$$\begin{aligned} \rho_1 &= \mathbf{e}^{*'} \cdot \mathbf{e}, \quad \rho_2 = \mathbf{s}^{*'} \cdot \mathbf{s}, \quad \rho_3 = i\boldsymbol{\sigma} \cdot (\mathbf{e}^{*'} \times \mathbf{e}), \quad \rho_4 = i\boldsymbol{\sigma} \cdot (\mathbf{s}^{*'} \times \mathbf{s}), \\ \rho_5 &= i(\boldsymbol{\sigma} \cdot \hat{\mathbf{k}}' \mathbf{s}^{*'} \cdot \mathbf{e} - \boldsymbol{\sigma} \cdot \hat{\mathbf{k}}' \mathbf{e}^{*'} \cdot \mathbf{s}), \quad \rho_6 = i(\boldsymbol{\sigma} \cdot \hat{\mathbf{k}}' \mathbf{s}^{*'} \cdot \mathbf{e} - \boldsymbol{\sigma} \cdot \hat{\mathbf{k}}' \mathbf{e}^{*'} \cdot \mathbf{s}). \end{aligned} \quad (\text{E.2})$$

The amplitudes R_i are connected to the invariant amplitudes A_i by

$$\begin{aligned} R_1 &= C \left\{ c_1 \left(-A_1 - \frac{W^2}{m^2} A_3 \right) - \frac{\nu}{m} c_2 A_5 - \frac{W}{m} c_3 A_6 \right\}, \\ R_2 &= C \left\{ c_1 \left(A_1 - \frac{W^2}{m^2} A_3 \right) + \frac{\nu}{m} c_2 A_5 - \frac{W}{m} c_3 A_6 \right\}, \\ R_3 &= C \left\{ (W - m)^2 \left((z - 1) A_1 + (z + 1) \frac{W^2}{m^2} A_3 \right) - \frac{\nu}{m} c_3 A_5 - \frac{W}{m} c_2 A_6 \right\}, \\ R_4 &= C \left\{ (W - m)^2 \left((z - 1) A_1 + (z + 1) \frac{W^2}{m^2} A_3 \right) + \frac{\nu}{m} c_3 A_5 - \frac{W}{m} c_2 A_6 \right\}, \\ R_5 &= C \left\{ (W - m)^2 \left(-A_1 - \frac{W^2}{m^2} A_3 \right) - (W^2 - m^2) \left(A_2 + \frac{W^3}{m^3} A_4 \right) \right. \\ &\quad \left. + 2(W - m) \left(-\nu A_5 + \frac{W^2}{m} A_6 \right) \right\}, \\ R_6 &= C \left\{ (W - m)^2 \left(A_1 - \frac{W^2}{m^2} A_3 \right) - (W^2 - m^2) \left(-A_2 + \frac{W^3}{m^3} A_4 \right) \right. \\ &\quad \left. + 2(W - m) \left(\nu A_5 + \frac{W^2}{m} A_6 \right) \right\}. \end{aligned} \quad (\text{E.3})$$

Here, $z = \cos \theta$ and

$$C = \frac{(s - m^2)^2}{64\pi s^2}, \quad c_1 = 4mW + (W - m)^2(1 - z),$$

$$c_2 = 4W(W - m) - (W - m)^2(1 - z), \quad c_3 = 4W^2 - (W - m)^2(1 - z). \quad (\text{E.4})$$

The invariants ν , t and η are given by

$$\nu = \frac{s - m^2 + t/2}{2m}, \quad t = \frac{(s - m^2)^2}{2s}(z - 1), \quad \eta = \frac{(s - m^2)^2}{2m^2}(z + 1). \quad (\text{E.5})$$

Due to Eqs. (D.1), (D.1) and (D.3) the amplitudes R_i have the following multipole expansions:

$$R_1 = \sum_{L \geq 1} \left[\{(L + 1)f_{EE}^{L+} + Lf_{EE}^{L-}\} (LP'_L + P''_{L-1}) - \{(L + 1)f_{MM}^{L+} + Lf_{MM}^{L-}\} P''_L \right],$$

$$R_2 = \sum_{L \geq 1} \left[\{(L + 1)f_{MM}^{L+} + Lf_{MM}^{L-}\} (LP'_L + P''_{L-1}) - \{(L + 1)f_{EE}^{L+} + Lf_{EE}^{L-}\} P''_L \right],$$

$$R_3 = \sum_{L \geq 1} \left[\{f_{EE}^{L+} - f_{EE}^{L-}\} (LP''_{L-1} + L^2P'_L) \right. \\ \left. - \{f_{MM}^{L+} - f_{MM}^{L-}\} P''_L + 2f_{EM}^{L+}P''_{L+1} - 2f_{ME}^{L+}P''_L \right],$$

$$R_4 = \sum_{L \geq 1} \left[\{f_{MM}^{L+} - f_{MM}^{L-}\} (LP''_{L-1} + L^2P'_L) \right. \\ \left. - \{f_{EE}^{L+} - f_{EE}^{L-}\} P''_L + 2f_{ME}^{L+}P''_{L+1} - 2f_{EM}^{L+}P''_L \right],$$

$$R_5 = \sum_{L \geq 1} \left[\{f_{EE}^{L+} - f_{EE}^{L-}\} (LP''_L + P'''_{L-1}) - \{f_{MM}^{L+} - f_{MM}^{L-}\} P'''_L \right. \\ \left. + f_{EM}^{L+} \{(3L + 1)P''_L + 2P'''_{L-1}\} - 2f_{ME}^{L+} \{(L + 1)P''_{L+1} + 2P'''_L\} \right],$$

$$R_6 = \sum_{L \geq 1} \left[\{f_{MM}^{L+} - f_{MM}^{L-}\} (LP''_L + P'''_{L-1}) - \{f_{EE}^{L+} - f_{EE}^{L-}\} P'''_L \right. \\ \left. + f_{ME}^{L+} \{(3L + 1)P''_L + 2P'''_{L-1}\} + 2f_{EM}^{L+} \{(L + 1)P''_{L+1} + 2P'''_L\} \right], \quad (\text{E.6})$$

where $P_L = P_L(z)$ refer to Legendre polynomials of z . T -invariance leads to

$$f_{EM}^{l+} = f_{ME}^{(l+1)-}, \quad f_{ME}^{l+} = f_{EM}^{(l+1)-}. \quad (\text{E.7})$$

F. Pauli and Dirac matrices

F.1. Pauli matrix

The components of the Pauli spin vector $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$ are

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad (\text{F.1})$$

and satisfy the relation

$$\sigma_i \sigma_j = i \epsilon_{ijk} \sigma_k. \quad (\text{F.2})$$

Here, ϵ_{ijk} is the antisymmetric tensor:

$$\epsilon_{ijk} = \epsilon_{jki} = \epsilon_{kij} = 1, \quad \epsilon_{jik} = -\epsilon_{ijk}. \quad (\text{F.3})$$

The standard commutation and anticommutation relations are

$$[\sigma_i, \sigma_j] = 2i \epsilon_{ijk} \sigma_k \quad (\text{F.4})$$

and

$$\{\sigma_i, \sigma_j\} = \sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ij}. \quad (\text{F.5})$$

F.1.1. spin matrix

The spin matrices used in calculation of observables in Eqs. (4.41), (4.43), (4.45), (4.47), (4.49), (4.51) and (4.53) are given by the following tensor product of Pauli matrix:

$$\sigma_x \mathbf{1} = \sigma_x \otimes \mathbf{1} = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad (\text{F.6})$$

$$\mathbf{1} \sigma_y = \mathbf{1} \otimes \sigma_y = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & \mathbf{1} \end{pmatrix} = i \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \quad (\text{F.7})$$

$$\sigma_{xy} = \sigma_x \otimes \sigma_y = i \begin{pmatrix} 0 & -\sigma_x \\ \sigma_x & 0 \end{pmatrix} = i \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \quad (\text{F.8})$$

$$\sigma_{zx} = \sigma_z \otimes \sigma_x = \begin{pmatrix} \sigma_x & 0 \\ 0 & -\sigma_x \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \end{pmatrix}, \quad (\text{F.9})$$

$$\sigma_{zz} = \sigma_z \otimes \sigma_z = \begin{pmatrix} \sigma_z & 0 \\ 0 & -\sigma_z \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad (\text{F.10})$$

$$\sigma_{yx} = \sigma_y \otimes \sigma_x = i \begin{pmatrix} 0 & -\sigma_x \\ \sigma_x & 0 \end{pmatrix} = i \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \quad (\text{F.11})$$

$$\sigma_{yz} = \sigma_y \otimes \sigma_z = i \begin{pmatrix} 0 & -\sigma_z \\ \sigma_z & 0 \end{pmatrix} = i \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}. \quad (\text{F.12})$$

F.2. Dirac matrix

As to the Dirac γ -matrix

$$\gamma^\mu = (\gamma^0, \boldsymbol{\gamma}) = (\gamma^0, \gamma^1, \gamma^2, \gamma^3) \quad (\text{F.13})$$

we use the standard representation in terms of the 2×2 unit matrix $\mathbf{1}$ and Pauli matrix $\boldsymbol{\sigma}$:

$$\gamma^0 = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix}, \quad \boldsymbol{\gamma} = \begin{pmatrix} 0 & \boldsymbol{\sigma} \\ -\boldsymbol{\sigma} & 0 \end{pmatrix}. \quad (\text{F.14})$$

The important combinations of γ -matrix components are the traceless product

$$\gamma_5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \gamma^5 = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix}. \quad (\text{F.15})$$

The γ^μ matrix obeys the anticommutation relation

$$\{\gamma^\mu, \gamma^\nu\} \equiv \gamma^\mu\gamma^\nu + \gamma^\nu\gamma^\mu = 2g^{\mu\nu}, \quad (\text{F.16})$$

where metric tensor $g^{\mu\nu} = g_{\mu\nu}$ is given as

$$g_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}. \quad (\text{F.17})$$

The matrix γ_5 satisfies

$$\{\gamma_5, \gamma^\mu\} = 0 \quad \text{and} \quad \gamma_5^2 = 1. \quad (\text{F.18})$$

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