# Higher Groupoid Actions, Bibundles, and Differentiation

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> vorgelegt von **Du Lı** aus Hunan, China

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Betreuungsausschuss

Prof. Dr. Chenchang Zhu (Mathematisches Institut)
Prof. Dr. Ralf MEYER (Mathematisches Institut)
Mitglieder der Prüfungskommission
Referentin: Prof. Dr. Chenchang Zhu
Korreferent: Prof. Dr. Ralf MEYER
Weitere Mitglieder der Prüfungskommission:
Dr. Christian BLOHMANN (Max-Planck-Institut für Mathematik)
Prof. Dr. Karl-Henning REHREN (Institut für Theoretische Physik)
Prof. Dr. Thomas Schick (Mathematisches Institut)

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## Abstract

In this thesis, we employ simplicial methods to study actions, principal bundles and bibundles of higher groupoids. Roughly, we use Kan fibrations to model actions of higher groupoids; we use pairs of a Kan fibration and a special acyclic fibration to model principal bundles of higher groupoids; we use inner Kan fibrations over the interval to model bibundles of higher groupoids. In particular, we show that our definitions given by the simplicial method agree with those given by the categorification approach to actions, principal bundles, and bibundles of 2-groupoids.

In addition, we use the simplicial technique to prove a theorem on differentiation of higher Lie groupoids, which shows that the differentiation functor sends a higher Lie groupoid to a higher Lie algebroid.

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# Contents

At	Abstract iii							
Ac	know	vledgments	v					
0	Intr	oduction	1					
	0.1	Simplicial sets and categories	1					
	0.2	2-Groupoids	2					
	0.3	Actions of 2-groupoids	2					
	0.4	Bibundles of 2-groupoids	3					
	0.5	Differentiation of higher Lie groupoids	3					
	0.6	Structure of the thesis	4					
	0.7	Categorical conventions	5					
1	Lie	Groupoids	7					
	1.1	Definition and first examples	7					
		1.1.1 Examples	9					
	1.2	Constructions with Lie groupoids	10					
		1.2.1 Induced Lie groupoids	10					
		1.2.2 Strong pullbacks	11					
		1.2.3 Weak pullbacks	11					
	1.3	Weak equivalences and generalised morphisms	12					
		1.3.1 Weak equivalences	12					
		1.3.2 Generalised morphisms	15					
		1.3.3 Anafunctors	17					
	1.4	Groupoid actions and HS bibundles	17					
		1.4.1 Lie groupoid actions	18					
		1.4.2 Principal bundles	19					
		1.4.3 HS bibundles	20					
		1.4.4 2-Pullbacks in the 2-category of HS bibundles	22					
	1.5	Comparison of 2-categories of Lie groupoids	23					
2	Simplicial Sets 25							
	2.1	•						
	2.2							
	2.3	Lifting properties	28					
		2.3.1 Arrow category	29					

		2.3.2	Technical lemmas	9
		2.3.3	Proof of Lemma 2.19	1
	2.4	Lifting	properties of simplicial sets	2
	2.5	Skelet	on and coskeleton functors	4
	2.6		sible extensions	6
		2.6.1		6
3	Higl	her Gro	upoid Actions 39	9
•	3.1		ton Grothendieck pretopology	
	011	3.1.1	Internal groupoids	
	3.2	The H	$ p functor  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  $	1
	3.3		groupoids in a category with pretopology	2
	3.4		groupoid Kan fibrations $\ldots \ldots 44$	4
		3.4.1	Composition of Kan fibrations	6
		3.4.2	The fibre of a Kan fibration	6
		3.4.3	Pullbacks of Kan fibrations	-
	3.5		groupoid actions	
	3.6	0	principal bundles	0
	0.0	3.6.1	Acyclic fibrations	
		3.6.2	Higher principal bundles	
4	Hial	an Cro	upoid Bibundles 55	5
4	<b>119</b>		1	
	4.1	4.1.1	6 6 1	
		4.1.1	1	
	1 2	4.1.3 Dihum	8	
	4.2	4.2.1		
	12			
	4.3	4.3.1	1 1	
	1 1	4.3.2	8 · · · · · · · · · · · · · · · · · · ·	-
	4.4		ented bisimplicial objects	
		4.4.1	Extensive categories    62      Augmented bisimplicial objects    62	
		4.4.2		
	15	4.4.3	Colored Kan conditions	
	4.5		bhs of higher groupoid morphisms	
	4.6	BIDUIK	lles of higher groupoids	0
5			-Groupoids 7	
	5.1	2-grou	poids	
		5.1.1	Categorified groupoids	
		5.1.2	A one-to-one correspondence	
		5.1.3	Geometric nerve of a 2-category	
	5.2		s of Lie 2-groupoids via categorification	
	5.3	From t	he simplicial picture to categorification	
		5.3.1	The action morphism	6

		5.3.2 The associator
		5.3.3 The unitor
	5.4	Finite data of Kan fibrations
		5.4.1 Reconstruction of higher dimensions
	5.5	From categorification to the simplicial picture
		5.5.1 Dimensions 0 and 1
		5.5.2 Dimension 2
	5.6	Principal 2-bundles
6	Bibu	Indles of 2-Groupoids 87
	6.1	Categorified bibundles between 2-groupoids
	6.2	From categorification to simplicial picture
		6.2.1 The dimensions 0, 1, 2
		6.2.2 Dimension 3
		6.2.3 Dimension 4
		6.2.4 Higher dimensions
	6.3	From simplicial to categorification picture
		6.3.1 The groupoid of bigons
		6.3.2 The action morphisms
		6.3.3 The associators
		6.3.4 The unitors
		6.3.5 Principality
	6.4	Weak equivalences of 2-groupoids
		6.4.1 Weak acyclic fibrations
	6.5	Examples
7	Con	position of 2-Groupoid Bibundles 109
	7.1	Preparations on 2-categories
		7.1.1 Remarks on the construction and 2-coends
		7.1.2 The pasting theorem of 2-categories
		7.1.3 Fundamental groupoids of 2-groupoids
	7.2	The composition of 2-groupoid bibundles
		7.2.1 Dimension 1
		7.2.2 Dimension 2
		7.2.3 Dimension 3
		7.2.4 Dimension 4
	7.3	Functoriality of the bundlisation
	7.4	Unitality of the composition
	7.5	Associativity of the composition
8	Diffe	erentiation of Higher Lie Groupoids 129
	8.1	Basics on supermanifolds
		8.1.1 Basic definitions
		8.1.2 Functor of points
		8.1.3 Symbolic notation
		8.1.4 Tangent vectors

		8.1.5	A criterion for representability	133	
	8.2 The odd tangent bundles and NQ-manifolds				
		8.2.1	The odd tangent bundles		
		8.2.2	NQ-manifolds		
	8.3 Differentiation of higher Lie groupoids				
		8.3.1	The 1-jets of higher Lie groupoids		
		8.3.2	A discrete version of the theorem		
		8.3.3	Proof of the theorem		
	8.4	Three s	special cases	143	
		8.4.1	Lie groups		
		8.4.2	Crossed modules		
		8.4.3	Lie groupoids	148	
A	Appe	endix		151	
	A.1		d outer Kan conditions	151	
		A.1.1	Statement of the result	151	
		A.1.2	Basic idea		
		A.1.3	Proof of the first statement		
		A.1.4	Uniqueness	153	
Bil	oliogr	aphy		155	

# **0** Introduction

The purpose of this thesis is twofold. First, we use the simplicial method to study actions and bibundles of higher groupoids. In particular, we compare the results for 2-groupoids given by the simplicial approach and those given by the usual categorification approach. Second, we use the simplicial technique to study differentiation of higher Lie groupoids.

## 0.1 Simplicial sets and categories

The simplicial approach is one of the most successful approaches to higher categories. The notion of quasi-categories, introduced by Boardman and Vogt [11] under the name of weak Kan complexes, is a simplicial model of  $(\infty, 1)$ -categories. Quasi-categories are further extensively studied by Joyal [35, 36] and Lurie [46], among others.

A simplicial set X is said to satisfy the condition  $\operatorname{Kan}(m,k)$  (Kan!(m,k)) if every horn  $\Lambda_k^m \to X$  has a (unique) lift  $\Delta^m \to X$ ; in other words, the canonical map

$$\hom(\Delta^m, X) \to \hom(\Lambda^m_k, X) \tag{0.1}$$

is surjective (bijective). The horn lifting conditions play a key role in the simplicial approach to higher categories.

To illustrate, let us begin with usual categories. The nerve of a category  $\mathscr{C}$  is a simplicial set  $N\mathscr{C}$  given by

$$[n] \mapsto \hom([n], \mathscr{C}).$$

The nerve *N*C satisfies Kan!(m, k) for m > 1 and 0 < k < m. Unraveling the definition, Kan!(2, 1) means that C has a unique composition for composable pairs of morphisms, and Kan!(3, 1) or Kan!(3, 2) means that the composition is associative. Conversely, a simplicial set satisfying the above Kan conditions is the nerve of a category. Furthermore, groupoids correspond to simplicial sets satisfying Kan!(m, k) for m > 1 and  $0 \le k \le m$ .

Similarly, a (2, 1)-category has a geometric nerve which is a simplicial set satisfying Kan(m,k) for m > 1 and 0 < k < m and Kan!(m,k) for m > 2 and 0 < k < m. Moreover, Duskin [25] proved that the converse is also true and that 2-groupoids correspond to simplicial sets satisfying Kan(m,k) for  $m \ge 1$  and  $0 \le k \le m$  and Kan!(m,k) for m > 2 and  $0 \le k \le m$ .

Along these lines, a quasi-category is a simplicial set satisfying all inner Kan conditions. If, in addition, the unique Kan conditions are satisfied above dimension n, then we get a notion of n-categories. As Joyal advocates, "most concepts and results of category theory can be extended to quasi-categories."

## 0.2 2-Groupoids

Groupoids internal to a category with a singleton Grothendieck pretopology (internal groupoids) can also be given by simplicial objects. For simplicity, let us consider Lie groupoids, which are groupoids in the category of manifolds equipped with surjective submersions as covers. The Kan conditions in this case ask the map (0.1) to be a surjective submersion or a diffeomorphism. This leads to an alternative characterisation of Lie groupoids and a definition of higher Lie groupoids [32].

There is a subtlety about the equivalence between the usual 2-groupoids and those given by simplicial sets. Given a simplicial set satisfying appropriate Kan conditions, to construct a 2-groupoid, we must choose a composition for composable pairs of 1-morphisms. There is no problem for sets, but we cannot do this for other categories, like manifolds. Zhu [81] observed that the composition can be canonically constructed as an HS bibundle between Lie groupoids (see below) instead of a functor. Moreover, there is a one-to-one equivalence between Lie 2-groupoids given by simplicial manifolds and categorified Lie groupoids (stacky Lie groupoids in [81]).

More precisely, a categorified Lie groupoid  $G \Rightarrow \underline{M}$  consists of a manifold of objects Mand a Lie groupoid of arrows G with HS bibundles: source and target  $s,t: G \rightarrow \underline{M}$ , unit  $u: \underline{M} \rightarrow G$ , inverse i:  $G \rightarrow G$  and multiplication  $G \times_{\underline{sM},t} G \rightarrow G$ ; the unitality and associativity equalities are relaxed to isomorphisms of HS bibundles, and these isomorphisms as part of the structure satisfy certain coherence conditions.

## 0.3 Actions of 2-groupoids

Let us recall that the action of a groupoid *X* has three formulations:

- (i) a set  $Y_0$  with a moment map  $J: Y_0 \to X_0$  and an action map  $\mu: Y_0 \times_{X_0} X_1 \to Y_0$  satisfying the unitality and associativity laws;
- (ii) a discrete fibration of groupoids  $Y \rightarrow X$ , whose fiber is a trivial groupoid;
- (iii) a functor  $X \rightarrow \text{Sets}$ ;

We pass from formulation (i) to (ii) by taking the action groupoid, from (iii) to (ii) by the Grothendieck construction. The third formulation is the simplest one. Unfortunately, it does not apply to actions of groupoids with extra structures (like Lie groupoid actions on manifolds).

Along these lines, we should have three approaches to actions of 2-groupoids.

For the first approach, we follow the idea of categorification. A categorified groupoid action of  $G \Rightarrow \underline{M}$  on a groupoid *E* consists of a moment morphism  $E \rightarrow \underline{M}$  and an action morphism, which is given by an HS bibundle  $\mu: E \times_{\underline{M}} G \rightarrow E$ ; the action satisfies the unitality and associativity laws up to isomorphisms of HS bibundles, and these isomorphisms satisfy certain coherence conditions.

The second formulation now becomes a Kan fibration between 2-groupoids, whose fiber is a 1-groupoid. The third approach does not apply to 2-groupoids with extra structures (like Lie 2-groupoids). Nevertheless, the Grothendieck construction suggests an action 2-groupoid construction linking the first and the second approaches. Our first main theorem establishes the equivalence between these two approaches to 2-groupoid actions.

Among groupoid actions, the principal bundles are of particular interest. A principal bundle  $Y_0 \rightarrow N$  of a groupoid X is given by an X-action on  $Y_0$  such that the map  $Y_0 \rightarrow N$  is invariant and that the shear map

$$Y_0 \times_{X_0} X_1 \to Y_0 \times_N Y_0, \quad (y, x) \mapsto (y, y \cdot x),$$

is an isomorphism. This condition is equivalent to  $Y \rightarrow sk_0 N$  being acyclic in the simplicial language, where Y is the nerve of the action groupoid. Both approaches can be generalised to 2-groupoids, and our second main theorem shows that the results are equivalent.

Our simplicial approach to actions and principal bundles is closely related to the work of Duskin [24] and Glenn [29]; partial results are obtained by Baković [4]. Special cases of the categorification approach are studied in [4, 57].

## 0.4 Bibundles of 2-groupoids

Bibundles (or bimodules) between groupoids X and Y also have three formulations:

- (i) a set with a left action of X and a right action of Y subject to certain conditions;
- (ii) a category over the interval category  $\{0 \leftarrow 1\}$  such that the two ends are X and Y;

(iii) a functor  $Y^{\text{op}} \times X \rightarrow \text{Sets}$ ;

The cograph construction links the first formulation and the second. The third formulation, also known as a profunctor [8], is the simplest, in which the composition of bibundles can be simply written as a coend; see, for instance, [23].

The third approach may not work for internal groupoids (like Lie groupoids); the composition may not be well-behaved in general. For certain internal groupoids (like Lie groupoids), the composition of right principal bibundles (or HS bibundles) works well. There is a weak 2-category BUN whose objects are internal groupoids, 1-morphisms are HS bibundles, and 2-morphisms are isomorphisms of HS bibundles. This 2-category plays a key role in the study of groupoids and 2-groupoids; see, for instance, [10, 52–54, 63].

Let us now consider bibundles of 2-groupoids. It is straightforward to generalise the first formulation above by categorification. The second formulation now becomes a simplicial object over the simplicial interval  $\Delta^1$  that is an inner fibration satisfying certain additional Kan conditions. Our third main theorem shows that these two approaches are equivalent.

We then construct the composition of two right principal bibundles of 2-groupoids. Our construction is a higher analogue of the composition of HS bibundles of groupoids. It is closely related to 2-categorical coends.

We emphasise that we are working with higher groupoids in a category with a Grothendieck pretopology satisfying further appropriate conditions. These include higher Lie groupoids and higher topological groupoids as special cases.

## 0.5 Differentiation of higher Lie groupoids

The infinitesimal analogue of Lie groups are Lie algebras, which are sometimes called infinitesimal groups. For a Lie group the differentiation procedure gives the associated Lie algebra. Generalisations of this classical fact are well-known for Lie groupoids, crossed modules of Lie groups, and simplicial Lie groups.

Higher Lie groupoids are simplicial manifolds satisfying certain Kan conditions. Two natural questions then arise: what is a higher Lie algebroid as the infinitesimal analogue of a higher Lie groupoid and how to associate a higher Lie algebroid with a higher Lie groupoid?

Severa proposed an answer to these two questions [66, 67]. Recall that a Lie algebra can be equivalently given by its Chevalley–Eilenberg cochain complex, which is a differential graded commutative algebra (DGCA). For a general DGCA, denote its degree operator by N (that is, the Euler vector Nx = |x|x) and the differential by Q. Then the following commutation relations hold

$$[N,N] = 0, \quad [N,Q] = Q, \quad [Q,Q] = 0.$$

As observed by Kontsevich [40], a DGCA structure thus can be regarded as a representation of the super Lie algebra  $\mathbb{R} \ltimes D$  (or the monoid  $D^D$ ), where D is the odd line.

A higher Lie algebroid, or NQ-manifold introduced in [67], is a supermanifold M equipped with an action of  $D^D$  (satisfying an additional compatibility condition which is not important for the moment). Such an action is essentially the same as a DGCA structure on the algebra of functions on M.

Let X be a Lie *n*-groupoid. Ševera's differentiation of X, introduced in [67], is the presheaf on the category of supermanifolds

**hom**(
$$P, X$$
):  $T \mapsto hom(P \times T, X)$ ,

where P is the nerve of the pair groupoid of D. This presheaf can be informally thought of as the space of all infinitesimal lines in X. If this presheaf is representable, then we get a higher Lie algebroid associated with a higher Lie groupoid. The proof of the representability of this presheaf given in [67] is incomplete and contains some mistakes. We present a detailed proof of this claim in the last chapter.

## **0.6** Structure of the thesis

The content of this thesis is organised as follows.

Chapter 1 reviews the theory of Lie groupoids. We introduce the notions of Lie groupoid actions, principal bundles, and bibundles, which we intend to generalise to higher groupoids. We also establish three equivalent 2-categories of Lie groupoids, of which the one given by HS bibundles will be used to defined 2-groupoids.

Chapter 2 collects some basic facts about simplicial sets. The lifting properties of simplicial sets will be central to our approach to higher groupoids and 2-groupoids. Skeleton and coskeleton functors are introduced. Collapsible extensions of simplicial sets are also studied for later use.

In Chapter 3, we define higher groupoid actions and principal bundles. We first introduce higher groupoids in a category with a Grothendieck pretopology, which are the main objects of study in this thesis. Kan fibrations and acyclic fibrations of higher groupoids are then introduced. A higher action is defined as a Kan fibration of higher

groupoids (Definition 3.39); a higher principal bundle is a Kan fibration together with a special acyclic fibration (Definition 3.56). As an example of higher groupoid action and principal bundle, the décalage is studied (Examples 3.43 and 3.60).

Chapter 4 is concerned with bibundles between higher groupoids. The theory of bimodules of categories is reviewed in the first section. Bibundles between two higher groupoids are defined as simplicial objects over the simplicial interval that satisfy appropriate Kan conditions; bibundles that are right or left principal and two-sided principal (Morita equivalent) are further characterised (Definition 4.47). In particular, a right principal bibundle, called cograph (bundlisation), is constructed for a higher groupoid morphism. This bibundle is two-sided principal for an acyclic fibration (Proposition 4.46).

In Chapter 5, we study actions of 2-groupoids. Categorification approach to 2-groupoids and its relation with the simplicial approach are first recalled. We then define 2-groupoid actions on groupoids and 2-bundles by categorifying the notions of groupoid actions and bundles. We show that they are equivalent to those given by the simplicial approach to 2-groupoid actions and 2-bundles (Theorems 5.12 and 5.30).

Chapter 6 studies bibundle of 2-groupoids. We first define bibundles between two 2groupoids by categorifying the notion of groupoid bibundles. The equivalence between the categorification approach and the simplicial approach to 2-groupoid bibundles is established (Theorem 6.7). Weak equivalences between 2-groupoids are then discussed. We show that they are weak acyclic fibrations in the simplicial picture (Proposition 6.32), hence a 2-groupoid morphism is a weak equivalence if and only if its cograph gives a two-sided principal bibundle (Morita equivalence). Some examples are considered in Section 6.5.

Chapter 7 concentrates on the composition of bibundles between 2-groupoids. This is a 2-groupoid analogue of the composition of HS bibundles of groupoids. We also show that the bundlisation respects compositions and that the bibundle composition satisfies unitality and associativity laws up to certain equivalences (Propositions 7.26, 7.30, and 7.34).

Chapter 8 deals with differentiation of higher Lie groupoids. Our main aim is to give a complete and detailed proof of Ševera's representability theorem (Theorem 8.28). Some preparation on supermanifolds and NQ-manifolds are provided before proving the theorem. Additionally, three special cases of Ševera's differentiation are worked out in detail. It turns out that the results coincide with the usual notion of differentiation.

## 0.7 Categorical conventions

Basic category and 2-category theory are assumed; see, for instance, [7, 47].

All 2-categorical concepts are weak ones by default. By 2-categories we mean weak 2-categories or bicategories. Indeed, all 2-categories in the following will be (2,1)-categories with invertible 2-morphisms. Similarly, 2-functors mean pseudofunctors or homomorphisms, 2-limits mean bilimits or pseudolimits, and so on. Strict 2-categories are explicitly specified if necessary.

We will often need to speak of limits of some diagrams before knowing that they exist. To this end, we use the Yoneda embedding

$$h: \mathscr{C} \to [\mathscr{C}^{\mathrm{op}}, \mathsf{Sets}]$$

#### 0 Introduction

to embed any category  $\mathscr{C}$  into a complete category. Thus any diagram in  $\mathscr{C}$  has a presheaf on  $\mathscr{C}$  as a limit. It has a limit in  $\mathscr{C}$  if and only if this presheaf is representable by some object of  $\mathscr{C}$ . Dually, we use the contravariant Yoneda embedding to embed  $\mathscr{C}$  into the category of copresheaves if we deal with colimits.

# 1 Lie Groupoids

In this chapter, we review the theory of Lie groupoids. After giving the definition and some first examples, we introduce some basic constructions with Lie groupoids. Three 2-categories of Lie groupoids are then established, one by fractions with respect to weak equivalences, one by anafunctors, and one by HS bibundles. In the end, we show that these three 2-categories are equivalent.

The 2-category given by HS bibundles will be the most important one in the following, so we devote more attention to the theory of HS bibundles.

## **1.1 Definition and first examples**

We assume that the reader is familiar with basic category theory; see, for instance, [47, Chapter I–V]. For groupoids and Lie groupoids, see [52–54], which we follow closely.

**Definition 1.1.** A *groupoid* is a small category in which every arrow is invertible. A *functor* between two groupoids is a functor between the underlying categories. A *natural transformation* between two groupoid functors is a natural transformation between the underlying functors of categories.

More explicitly, a groupoid G consists of the following data:

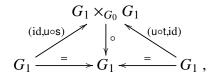
- two sets: the set of object  $G_0$  and the set of arrows  $G_1$ ,
- five structure maps: source and target  $s,t: G_1 \to G_0$ , unit  $u: G_1 \to G_0$ , inverse i:  $G_1 \to G_1$ , composition  $\circ: G_1 \times_{s,G_0,t} G_1 \to G_1$ ,

$$G_1 \times_{G_0} G_1 \xrightarrow{\circ} G_1 \xrightarrow{s} G_0$$
.

These maps satisfy a series of identities:

• source and target relations

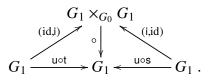
• left and right unit identities



• associativity of composition

$$\begin{array}{c|c}G_1 \times_{G_0} G_1 \times_{G_0} G_1 \xrightarrow{(\mathrm{id}, \circ)} G_1 \times_{G_0} G_1 \\ & & & \downarrow \circ \\ & & & & \downarrow \circ \\ & & & & & G_1 \times_{G_0} G_1 \xrightarrow{\circ} G_1 ,\end{array}$$

• inverse identities



Here we choose to express identities by commutative diagrams. It may be inconvenient at first sight. We can easily translate a commutative diagram to a usual equation on elements. For instance, the diagram of associativity tells us exactly that

$$(\gamma_3 \circ \gamma_2) \circ \gamma_1 = \gamma_3 \circ (\gamma_2 \circ \gamma_1)$$

holds for composable arrows  $\gamma_1, \gamma_2, \gamma_3 \in G_1$ . The advantage of commutative diagrams is that they work in any category, even if elements are meaningless.

A functor between groupoids  $\varphi \colon G \to H$  consists of two functions  $\varphi_0 \colon G_0 \to H_0$  and  $\varphi_1 \colon G_1 \to H_1$  that respect all structure maps. We also call a functor between groupoids a *morphism of groupoids*.

A natural transformation between functors  $\tau: \varphi \Rightarrow \psi: G \to H$  is a function  $\tau: G_0 \to H_1$  such that  $\tau(y) \circ \varphi(\gamma) = \psi(\gamma) \circ \tau(x)$  for every arrow  $\gamma: x \to y$  in *G*. Such a natural transformation is invertible since every arrow in a groupoid is invertible.

The composition in a groupoid is illustrated in Figure 1.1, where dots represent objects and arrows represent arrows in the groupoid. Two arrows in the groupoid are composable if the source and target of the corresponding arrows match. We draw arrows of a groupoid from right to left, so the composite of two arrows is in the diagrammatic order.

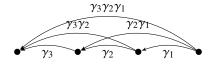


Figure 1.1: Composition of arrows in a groupoid

Denote by Mfd the category of manifolds, whose objects are finite-dimensional manifolds that may have different dimensions for different connected components, and whose morphisms are smooth maps. **Definition 1.2.** A *Lie groupoid* is a groupoid object internal to Mfd such that the source and target maps s,t are surjective submersions. *Functors between Lie groupoids* and *natural transformations between Lie groupoid functors* are defined by internalization to Mfd.

For internal categories, see [47, Chapter XII]. Explicitly, a Lie groupoid G has two manifolds:  $G_0$  objects,  $G_1$  arrows, and five smooth structure maps: source and target s,t:  $G_1 \rightarrow G_0$ , unit u:  $G_0 \rightarrow G_1$ , composition  $\circ: G_1 \times_{s,G_0,t} G_1 \rightarrow G_1$ , and inverse i:  $G_1 \rightarrow G_1$ , satisfying the same identities as above. Notice that  $G_1 \times_{s,G_0,t} G_1$  is an object in Mfd, since s and t are surjective submersions.

**Proposition 1.3.** *Lie groupoids and functors between Lie groupoids form a category, denoted by* Gpd; *Lie groupoids, functors between Lie groupoids, and natural transformations form a strict 2-category, denoted by* GPD.

*Remark* 1.4. Our strict 2-categories are sometimes called 2-categories, while our 2-categories are called bicategories or weak 2-categories. In the sequel, all 2-categories will be (2, 1)-categories in which 2-morphisms are invertible. For 2-categories see [7, 69].

#### 1.1.1 Examples

We provide some first examples of Lie groupoids.

**Example 1.5.** Let *G* be a Lie groupoid. Its *opposite Lie groupoid*, denoted by  $G^{op}$ , has the same object space and arrow space, but all arrows are formally inverted. The inverse map i:  $G_1 \rightarrow G_1$  induces an isomorphism  $G \rightarrow G^{op}$ .

**Example 1.6.** A Lie groupoid G with  $G_0 = pt$  is a Lie group. A functor between two Lie groupoids of this form G, H is given by a homomorphism of Lie groups  $G_1 \rightarrow H_1$ ; a natural transformation between two such functors is given by an element of  $H_1$  conjugating between the two homomorphisms.

**Example 1.7.** Let  $f: M \to N$  be a surjective submersion. The associated *Čech groupoid*, denoted by C(f), is the Lie groupoid with

$$C(f)_0 = M, \quad C(f)_1 = M \times_N M,$$
  

$$s(x, y) = y, \quad t(x, y) = x, \quad u(x) = (x, x),$$
  

$$i(x, y) = (y, x), \quad (x, y) \circ (y, z) = (x, z).$$

When f is of the form  $M \to pt$ , we get the *pair groupoid*<sup>1</sup> P(M) on M; when f is the identity map  $M \to M$ , we get the *trivial groupoid* on M, denoted by <u>M</u>. This induces an embedding of categories

Mfd 
$$\hookrightarrow$$
 Gpd,  $M \mapsto \underline{M}$ 

For any  $f: M \to N$ , there is a Lie groupoid functor  $c(f): C(f) \to \underline{N}$  induced by f.

**Example 1.8.** A functor from a Lie groupoid G to a trivial groupoid  $\underline{M}$  is a map  $f: G_0 \rightarrow M$  such that  $f \circ \mathbf{s} = f \circ \mathbf{t}$ .

<sup>&</sup>lt;sup>1</sup>Pair groupoids are also called chaotic groupoids or codiscrete groupoids; trivial groupoids are also called discrete groupoids.

**Example 1.9.** Given a right action of a Lie group G on a manifold X, its *action groupoid*  $X \rtimes G$  is a Lie groupoid defined as follows:

$$(X \rtimes G)_0 = X, \quad (X \rtimes G)_1 = X \times G,$$
  

$$\mathbf{s}(x,g) = xg, \quad \mathbf{t}(x,g) = x, \quad \mathbf{u}(x) = (x,e), \quad \mathbf{i}(x,g) = (xg,g^{-1}),$$
  

$$(x,g) \circ (y,h) = (x,g \circ h) \quad \text{for } y = xg.$$

Action groupoids for left actions are defined similarly.

If  $P \to M$  is right principal *G*-bundle, then  $P \to M$  induces a Lie groupoid functor  $X \rtimes G \to M$ .

## **1.2** Constructions with Lie groupoids

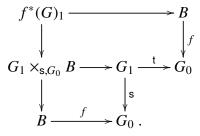
In this section, we introduce some general constructions with Lie groupoids, including induced Lie groupoids, strong pullbacks, and weak pullbacks.

#### **1.2.1** Induced Lie groupoids

**Definition 1.10.** Let *G* be a Lie groupoid and let  $f: B \to G_0$  be a map. The *induced* groupoid  $f^*(G)$  is a groupoid with  $f^*(G)_0 = B$ ,  $f^*(G)_1 = B \times_{G_0,t} G_1 \times_{s,G_0} B$ , and with composition given by the composition in *G*.

**Proposition 1.11.** The induced groupoid  $f^*(G)$  is a Lie groupoid if  $t \circ pr_1 \colon G_1 \times_{s,G_0} B \to G_0$  is a surjective submersion.

*Proof.* Observe that  $f^*(G)_1 = B \times_{G_0,t} G_1 \times_{s,G_0} B$  can be computed by two pullbacks given by the diagram



Since the composite  $G_1 \times_{s,G_0} B \xrightarrow{pr_1} G_1 \xrightarrow{t} G_0$  is a surjective submersion,  $f^*(G)_1$  is representable<sup>2</sup> and  $f^*(G)_1 \rightarrow B$  is a surjective submersion. Hence the diagram below is a pullback square in Mfd:

$f^*(G)_1 -$	$\longrightarrow G_1$
	(s,t)
$\stackrel{\vee}{B \times B}$ —	$\rightarrow G_0 \stackrel{V}{\times} G_0$

Thus  $f^*(G)$  is a Lie groupoid and f induces a Lie groupoid functor  $f: f^*(G) \to G$ .  $\Box$ 

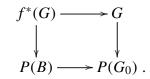
<sup>&</sup>lt;sup>2</sup>It means that the limit is a manifold; see Sections 0.7 and 2.1.

#### **1.2.2 Strong pullbacks**

**Definition 1.12.** Given two Lie groupoid functors  $f: G \to K$  and  $g: H \to K$ , the *strong* pullback groupoid  $G \times_K H$  is a groupoid with  $(G \times_K H)_0 = G_0 \times_{K_0} H_0$ ,  $(G \times_K H)_1 = G_1 \times_{K_1} H_1$ , and with composition defined componentwise.

*Remark* 1.13. If  $(f_0, g_0)$  and  $(f_1, g_1)$  are both transversal, then  $G \times_K H$  is a Lie groupoid. In this case, the strong pullback satisfies the usual universal property for pullbacks in the category Gpd.

**Example 1.14.** The induced groupoid can be written as a strong pullback. Let *G* and  $f: B \rightarrow G_0$  be as in Definition 1.10. The diagram below is a strong pullback square:



Here P(B) and  $P(G_0)$  are pair groupoids, the Lie groupoid functor  $G \rightarrow P(G_0)$  is determined by  $(s,t): G_1 \rightarrow G_0 \times G_0$ , and  $P(B) \rightarrow P(G_0)$  is induced by f.

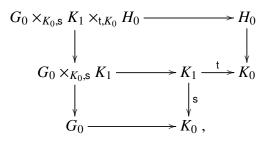
#### **1.2.3** Weak pullbacks

For comma categories see [47, Section II.6].

**Definition 1.15.** Given two Lie groupoid functors  $f: G \to K$  and  $g: H \to K$ , the *weak pullback* groupoid  $G \times_K^w H$  is the comma category  $(f \downarrow g)$ . Explicitly, objects of  $G \times_K^w H$  are triples  $(x, \alpha, y)$ , where  $x \in G_0$ ,  $y \in H_0$  and  $\alpha: f(x) \to g(y)$  is an arrow in *K*. Arrows from  $(x_1, \alpha_1, y_1)$  to  $(x_2, \alpha_2, y_2)$  are pairs  $(\beta, \gamma)$ , where  $\beta: f(x_1) \to f(x_2)$  and  $\gamma: g(x_1) \to g(x_2)$  are arrows in *K* such that  $\gamma \circ \alpha_1 = \alpha_2 \circ \beta$ . The composition is given componentwise.

**Proposition 1.16.** The weak pullback  $G \times_K^w H$  is a Lie groupoid if  $\mathfrak{t} \circ \mathfrak{pr}_2 \colon G_0 \times_{K_0,\mathfrak{s}} K_1 \to K_0$ or  $\mathfrak{s} \circ \mathfrak{pr}_2 \colon H_0 \times_{K_0,\mathfrak{t}} K_1 \to K_0$  is a surjective submersion.

*Proof.* The space of objects of  $G \times_{K}^{w} H$  is the limit of the following diagram:



which is representable if  $t \circ pr_2 : G_0 \times_{K_0,s} K_1 \to K_0$  is a surjective submersion. Similarly, it is representable if  $s \circ pr_2 : H_0 \times_{K_0,t} K_1 \to K_0$  is a surjective submersion. In these cases, it is easy to see that the space of arrows,

$$(G \times_{K}^{W} H)_{1} = G_{1} \times_{\mathsf{t} \circ f_{1}, K_{0}, \mathsf{s}} K_{1} \times_{\mathsf{t}, K_{0}, \mathsf{s} \circ f_{1}} H_{1},$$

is also a manifold, and that source and target maps are surjective submersions. This proves the claim.  $\hfill \Box$ 

Remark 1.17. The following maps

$$(x, \alpha, y) \mapsto (y, \alpha^{-1}, x), \quad (\beta, \gamma) \mapsto (\gamma, \beta)$$

give a canonical isomorphism between the groupoids  $G \times_K^w H$  and  $H \times_K^w G$ .

There are Lie groupoid functors  $G \times_K^w H \to G$  and  $G \times_K^w H \to H$  induced by projection. The composites  $G \times_K^w H \to G \to K$  and  $G \times_K^w H \to H \to K$  are not equal, but differ by a natural transformation  $\tau$  given by

$$(G \times_{K}^{w} H)_{0} \to K_{1}, \quad (x, \alpha, y) \mapsto \alpha.$$

*Remark* 1.18. The weak pullback of Lie groupoids is a 2-pullback in the 2-category GPD (see [52, Section 5.3]). We recall that a 2-pullback of  $f: A \to C$  and  $g: B \to C$  in a 2-category is a square

$$P \xrightarrow{p} A$$

$$q \downarrow \tau \downarrow f$$

$$B \xrightarrow{g} C$$

commuting up to a 2-isomorphism (2-commutative), which is universal among such squares in a 2-categorical sense. The universal property determines the 2-pullback up to a unique equivalence. For 2-pullbacks and other 2-limits, see [69, 71].

Example 1.19. Let G be a Lie groupoid. The diagram below is a weak pullback square

$$\begin{array}{c|c} \underline{G_1} & \xrightarrow{\mathsf{t}} & \underline{G_0} \\ \hline \mathsf{s} & & \tau & & \downarrow \mathsf{u} \\ \underline{G_0} & \xrightarrow{\mathsf{u}} & G \end{array}, \end{array}$$

where the natural transformation  $\tau$  is given by id:  $G_1 \rightarrow G_1$ .

**Example 1.20.** Let *M* be a manifold. Let  $G \to \underline{M}$  and  $H \to \underline{M}$  be Lie groupoid functors. Then the strong pullback and the weak pullback are naturally isomorphic if one of them is a Lie groupoid.

## **1.3** Weak equivalences and generalised morphisms

After introducing the notion of weak equivalence, we will construct a 2-category of Lie groupoids by formally inverting weak equivalences.

#### **1.3.1** Weak equivalences

Recall [69] that a 1-morphism  $f: A \to B$  in a 2-category is an *equivalence* if it has a quasi-inverse; that is, there are a morphism  $\varphi: B \to A$  and 2-isomorphisms  $\varphi \circ f \Rightarrow id_A$  and  $f \circ \varphi \Rightarrow id_B$ .

Recall from [47, Section IV.4] that if a functor  $f : \mathscr{A} \to \mathscr{B}$  of categories is essentially surjective and fully faithful then it is an equivalence in the 2-category of categories.

We now consider similar notions for Lie groupoids. To distinguish various notions of equivalences, let us call equivalences in the 2-category GPD *strong equivalences* of Lie groupoids.

**Definition 1.21.** A Lie groupoid functor  $f: G \to H$  is a *weak equivalence* if it is

- (i) essentially surjective; that is,  $t \circ pr_2 \colon G_0 \times_{H_0,s} H_1 \to H_0$  is a surjective submersion;
- (ii) fully faithful; that is, the diagram below is a pullback square in Mfd:

$$\begin{array}{c|c} G_1 & \xrightarrow{f_1} & H_1 \\ (\mathbf{s}, \mathbf{t}) & & \downarrow^{(\mathbf{s}, \mathbf{t})} \\ G_0 \times G_0 & \xrightarrow{f_0 \times f_0} & H_0 \times H_0 \end{array}$$

*Remark* 1.22. We will denote a weak equivalence by  $\simeq$ . By Proposition 1.11, the first condition ensures that the pullback in the second condition exists. If  $f: G \xrightarrow{\simeq} H$  is a weak equivalence, then *G* is isomorphic to the induced groupoid  $f_0^*(H)$ .

**Proposition 1.23** ([52, Proposition 5.11]). *Every strong equivalence of Lie groupoids is a weak equivalence.* 

The following two examples show, however, that the converse is not true.

**Example 1.24.** Let  $f: M \to N$  be a surjective submersion, and let C(f) be the corresponding Čech groupoid. Then  $c(f): C(f) \xrightarrow{\simeq} N$  is a weak equivalence. Suppose that  $g: \underline{N} \to C(f)$  is a quasi-inverse of c(f). Then  $c(f) \circ g$  is the identity since  $\underline{N}$  is a trivial groupoid. Hence  $g_0$  is a section of  $f: M \to N$ , but f need not admit a section in general.

**Example 1.25.** Let *G* be a Lie group and  $P \to M$  a right principal *G*-bundle. The functor  $P \rtimes G \xrightarrow{\simeq} \underline{M}$  is a weak equivalence. That  $P \to M$  is a surjective submersion implies essential surjectivity. Since the action is principal, the diagram

$$\begin{array}{c} P \times G \longrightarrow M \\ \downarrow & \downarrow \\ P \times P \longrightarrow M \times M \end{array}$$

is a pullback square, hence  $P \rtimes G \to \underline{M}$  is fully faithful. For a similar reason as in the previous example,  $P \rtimes G \to \underline{M}$  need not be a strong equivalence.

Weak equivalences of Lie groupoids enjoy the following properties:

**Proposition 1.26.** Let G, H and K be Lie groupoids.

- (i) For two functors  $\varphi, \psi \colon G \to H$ , if there is a natural transformation  $T \colon \varphi \Rightarrow \psi$ , then  $\varphi$  is a weak equivalence if and only if  $\psi$  is.
- (ii) The composite of weak equivalences is a weak equivalence.
- (iii) For a weak equivalence  $\varphi \colon G \xrightarrow{\simeq} H$  and a functor  $\psi \colon K \to H$ , their weak pullback P exists. Moreover,  $P \to K$  is a weak equivalence and  $P_0 \to K_0$  a surjective submersion (see [52, Proposition 5.12]).

(iv) For functors  $\varphi, \psi \colon G \to H$  and a weak equivalence  $\tau \colon H \xrightarrow{\simeq} K$ , if there is a natural transformation  $\tau \circ \varphi \Rightarrow \tau \circ \psi$ , then there is a natural transformation  $\varphi \Rightarrow \psi$  (see [59, Section 4.1]).

It is not hard to verify the following results.

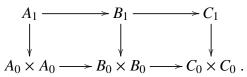
Lemma 1.27. Surjective submersions in Mfd satisfy the following two properties:

- (i) Let  $L \xrightarrow{f} M \xrightarrow{g} N$  be morphisms in Mfd. If  $g \circ f$  is a surjective submersion, then so is g;
- (ii) Let  $f: M \to N$  be a surjective submersion, and let  $g: L \to N$  be a morphism in Mfd. Denote the pullback  $M \times_N L$  by P. If  $P \to M$  is a diffeomorphism, then so is  $L \to N$  (see also Remark 3.50).

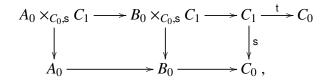
**Proposition 1.28.** *The class of weak equivalences satisfies the 2-out-of-3 and the 2-out-of-6 properties:* 

- (i) For Lie groupoid functors  $A \to B \to C$ , if any two of the three functors  $A \to B$ ,  $B \to C$  and  $A \to C$  are weak equivalences, then so is the third.
- (ii) For Lie groupoid functors  $A \to B \to C \to D$ , if  $A \to C$  and  $B \to D$  are weak equivalences, then every functor is a weak equivalence.

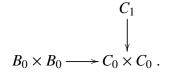
*Proof.* (i) is [60, Lemma 8.1]. The proof, however, is incorrect for the case that  $A \xrightarrow{\sim} B$  and  $A \xrightarrow{\simeq} C$  being weak equivalences implies that so is  $B \to C$ . To fill this gap, it suffices to show that if  $A \xrightarrow{\simeq} B$  and  $A \xrightarrow{\simeq} C$  are weak equivalences, then the right square below is a pullback diagram<sup>3</sup>:



Without loss of generality, we may suppose that  $A_0 \to B_0$  is a surjective submersion, otherwise we replace A by  $A \times_B^w B$ . Consider the commutative diagram

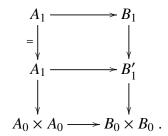


where each square is a pullback diagram. Since  $A \to C$  is essentially surjective, so is  $B \to C$  by Lemma 1.27. Denote by  $B'_1$  the pullback of the following diagram:



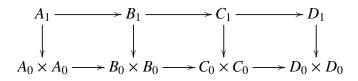
<sup>&</sup>lt;sup>3</sup>This does not follow from the usual pullback lemma as suggested by [60].

The universal property gives a natural map  $B_1 \rightarrow B'_1$ . The assumption implies that the lower square and the whole square below are pullback diagrams:



Hence so is the upper square. Since  $A_0 \to B_0$  is a surjective submersion, so is the map  $A_1 \to B'_1$ . Since the pullback of  $B \to B'_1$  along a surjective submersion is a diffeomorphism, Lemma 1.27 shows that  $B_1 \to B'_1$  is a diffeomorphism, and we are done.

To prove (ii), it suffices to show that  $A \to D$  is a weak equivalence. Then the rest follows from the 2-out-of-3 property. As above, since  $B \to D$  is essentially surjective,  $C \to D$  is essentially surjective. Since  $A \to C$  and  $C \to D$  are essentially surjective, so is  $A \to D$ . Using the universal property of the pullback, we deduce that the whole square in



is a pullback diagram, and this proves that  $A \rightarrow D$  is a weak equivalence.

#### 

#### **1.3.2** Generalised morphisms

Examples 1.24 and 1.25 show that we cannot invert weak equivalences in general. We can, however, formally invert them.

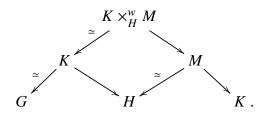
**Definition 1.29.** A *generalised morphism* between Lie groupoids *G* and *H* is a span of Lie groupoid functors with left leg being a weak equivalence:

$$G \stackrel{\simeq}{\longleftarrow} K \longrightarrow H$$
.

An *equivalence* between generalised morphisms  $G \xleftarrow{\simeq} K \to H$  and  $G \xleftarrow{\simeq} K' \to H$  is a 2-commutative diagram

with weak equivalences  $L \xrightarrow{\simeq} K$  and  $L \xrightarrow{\simeq} K'$ .

Generalised morphisms are composed by weak pullback:



The composition is not associative, but associative up to a canonical equivalence by the universal property of weak pullbacks.

**Definition 1.30.** The *spanisation* of a Lie groupoid functor  $f: G \to H$  is the generalised morphism  $\mathfrak{G}(f): G \xleftarrow{\text{id}} G \xrightarrow{f} H$ .

**Proposition 1.31** ([53, Corollary 2.13]). Lie groupoids and generalised morphism up to equivalences form a category, denoted by Gen. The spanisation  $\mathfrak{G}$  extends to a functor Gpd  $\rightarrow$  Gen. Moreover, a generalised morphism is an isomorphism in Gen if and only if its right leg is also a weak equivalence.

*Remark* 1.32. The category Gen is constructed by Gabriel–Zisman's calculus of fractions [26] in the category of Lie groupoids; here the morphisms are functors up to natural transformations. This general machinery allows to formally invert a class of weak equivalences in a category. Proposition 1.26 verifies the conditions for a calculus of fractions. The 2-out-of-6 property implies the last statement.

**Example 1.33.** Let *G* be a Lie group and *M* a manifold. A right principal *G*-bundle over *M* can be given by local trivialization data  $\{g_{ij}\}$  with respect to an open cover  $\{U_i\}$ . Let C(U) be the associated Čech groupoid. Then  $\{g_{ij}\}$  defines a Lie groupoid functor  $C(U) \rightarrow G$ , and we obtain a generalised morphism

$$\underline{M} \stackrel{\simeq}{\leftarrow} C(U) \to G.$$

Furthermore, if two trivializations have a common refinement, then the corresponding two generalised morphisms are equivalent. Under some mild assumptions, the geometric realisation of the nerve of this generalised morphism gives the classifying map  $M \rightarrow BG$ , where *BG* is the classifying space of *G*; see [65].

**Example 1.34.** Let *G* be a Lie group and  $P \rightarrow M$  a right principal *G*-bundle. There is a generalised morphism

$$\underline{M} \stackrel{\simeq}{\leftarrow} P \rtimes G \to G.$$

Under some mild assumptions, the geometric realisation of the nerve of this generalised morphism gives the classifying map  $M \rightarrow BG$ ; see [50].

Moreover, Pronk [59] showed that there is a 2-category if we remember the higher equivalence relations. Proposition 1.26 allows for a calculus of fractions in the 2-category setting.

**Theorem 1.35.** The 2-category of Lie groupoids GPD admits a 2-categorical calculus of fractions with respect to weak equivalences. We denote the resulting 2-category by GEN. Moreover, the spanisation  $\mathfrak{G}$  extends to a 2-functor GPD  $\rightarrow$  GEN.

In the 2-category GEN, objects are Lie groupoids, 1-morphisms are generalised morphisms, and 2-morphisms are diagrams of the form (1.1) *up to a higher equivalence relation*.

#### 1.3.3 Anafunctors

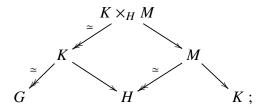
Using the anafunctors of Makkai [49], Roberts [62] constructed a slight variant of the 2-category GEN. This approach uses the strong pullback and gets representatives of 2-morphisms instead of equivalence classes.

**Definition 1.36.** An *anafunctor* of Lie groupoids from G to H is a generalised morphism

$$G \stackrel{\simeq}{\longleftarrow} K \longrightarrow H$$

such that  $K_0 \to G_0$  is a surjective submersion. An *ananatural transformation* from an anafunctor  $G \stackrel{\simeq}{\overset{\simeq}{\overset{\sim}}} K \longrightarrow H$  to  $G \stackrel{\simeq}{\overset{\simeq}{\overset{\leftarrow}}} K' \longrightarrow H$  is a natural transformation between the composite functors  $K \times_G K' \to K \to H$  and  $K \times_G K' \to K' \to H$ .

Anafunctors are composed by the strong pullback,



the formulas for vertical and horizontal compositions of natural transformations are involved; see [49, 62] for details.

**Proposition 1.37** ([62]). *Lie groupoids, anafunctors, and ananatural transformations form a 2-category, denoted by* ANA.

*Remark* 1.38. A generalised morphism  $G \stackrel{\simeq}{\leftarrow} K \to H$  is equivalent to the anafunctor:

$$G \stackrel{\simeq}{\overset{\simeq}{\longleftarrow}} G \times_G^w K \longrightarrow H.$$

## **1.4 Groupoid actions and HS bibundles**

Groupoid actions, principal bundles, and HS bibundles are introduced in this section. Then we construct the third 2-category of Lie groupoids by HS bibundles.

#### **1.4.1** Lie groupoid actions

**Definition 1.39.** Let *G* be a Lie groupoid, *P* a manifold, and  $J: P \rightarrow G_0$  a smooth map, called *moment map*. A *right action* of *G* on *P* along *J* is given by an action map

$$\mu \colon P \times_{G_0, \mathfrak{t}} G_1 \to P,$$

which is also denoted by  $\mu(p,g) = pg$  or  $p \cdot g$ . The action map must satisfy

$$\mathbf{s}(g) = J(pg), \quad p\mathbf{u}(J(p)) = p, \quad (pg)g' = p(gg'),$$

for  $g, g' \in G_1$  and  $p \in P$  with t(g) = J(p) and t(g') = s(g).

Given two right *G*-actions  $(J_1, \mu_1)$  on *P* and  $(J_2, \mu_2)$  on *Q*, a map  $f: P \to Q$  is *equivariant* if  $J_1 = J_2 \circ f$  and f(pg) = f(p)g for  $(p,g) \in P \times_{G_0,t} G_1$ .

*Remark* 1.40. Left actions are defined analogously. Given a right *G*-action on *P* along *J*, then  $gp \coloneqq pg^{-1}$  defines a left action on *P* along *J*, and vise versa.

An action of G on P induces an equivalence relation on P. The quotient space P/G, which is the coequaliser of the diagram

$$P \times_{G_0, \mathfrak{t}} G_1 \xrightarrow{\mu}_{pr_1} P,$$

is usually ill-behaved even for a Lie group action.<sup>4</sup> We may, however, form a new Lie groupoid which is a better replacement of the quotient space.

**Definition 1.41.** Given a right action  $(J, \mu)$  of a Lie groupoid *G* on *P*, its *action groupoid*  $P \rtimes G$  is a Lie groupoid with  $(P \rtimes G)_0 = P$  and  $(P \rtimes G)_1 = P \times_{G_0, t} G_1$ . The source map is  $\mu$ , the target map is  $\text{pr}_2 \colon P \times_{G_0, t} G_1 \to P$ , and the composition is given by the composition in *G*. There is a Lie groupoid functor

$$\pi\colon P\rtimes G\to G$$

given by  $J: P \to G_0$  and  $\operatorname{pr}_2: P \times_{G_0, t} G_1 \to G_1$ .

*Remark* 1.42. We may think of  $G_1$  as the space of arrows from black points to black points and P as the space of arrows from black points to white points. The moment map J specifies the source of an arrow in P. The action gives us a way to compose an arrow in P and an arrow in  $G_1$  if their source and target match; see Figure 1.2.

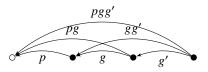


Figure 1.2: Groupoid action

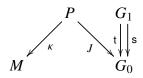
<sup>&</sup>lt;sup>4</sup>For instance, consider the  $\mathbb{Z}$ -action on  $\mathbb{R}/\mathbb{Z}$  by  $(r,n) \mapsto r + n\alpha$  for an irrational  $\alpha$ .

**Example 1.43.** Let *G* be a Lie groupoid. There is a natural right action on  $G_0$  with moment map id:  $G_0 \to G_0$  and with action map s:  $G_1 \cong G_0 \times_{id,G_0,t} G_1 \to G_0$ . The action groupoid of this action is *G*. This action may be regarded as a universal action. Given a right *G* action  $(J, \mu)$  on *P*, then  $J: P \to G_0$  is an equivariant map.

**Example 1.44.** Let a Lie groupoid *G* act on manifolds *P* and *Q*. Suppose that either  $P \to G_0$  or  $Q \to G_0$  is a surjective submersion. Then there is a *G*-action on  $P \times_{G_0} Q$  given by  $(p,q) \cdot g = (p \cdot g, q \cdot g)$ . This is the product in the category of spaces with a *G*-action.

#### **1.4.2 Principal bundles**

**Definition 1.45.** Let *G* be a Lie groupoid. A right *G*-bundle over a manifold *M* is a manifold *P* with a right *G*-action  $(J, \mu)$  and an invariant map  $\kappa \colon P \to M$ ; that is,  $\kappa(pg) = \kappa(p)$  for  $p \in P$  and  $g \in G_1$  with J(p) = t(g). Left bundles are defined similarly.



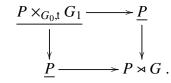
We say that *P* is *principal* or *G* acts on *P* principally if

- (i) the map  $\kappa$  is a surjective submersion;
- (ii) the *shear map* below is a diffeomorphism:

$$(\mathrm{pr}_1,\mu)\colon P\times_{G_0,\mathrm{t}} G_1 \to P\times_M P, \quad (p,g)\mapsto (p,pg). \tag{1.2}$$

A morphism between principal *G*-bundles  $\kappa \colon P \to M$  and  $\kappa' \colon P' \to M'$  is an equivariant map  $f \colon P \to P'$  that commutes with all structure maps.

Remark 1.46. Let G act on P. Example 1.19 yields a weak pullback square



Comparing with (1.2), we may regard every action as a principal bundle  $\underline{P} \rightarrow P \rtimes G$  with a Lie groupoid as base instead of a manifold; see also [21].

*Remark* 1.47. Let  $\kappa: P \to M$  be a principal *G*-bundle. The shear map (1.2) induces an isomorphism  $P \rtimes G \cong C(\kappa)$ , where  $C(\kappa)$  is the Čech groupoid. Thus we obtain an isomorphism of quotient spaces  $P/G \cong M$  (the quotient space of  $C(\kappa)$  is *M*; see Lemma 3.6).

**Example 1.48.** A Lie groupoid G acts on  $G_1$  on the right with  $J = \mathfrak{s}: G_1 \to G_0$  and with action map equal to the composition in G. Moreover,  $\mathfrak{t}: G_1 \to G_0$  is a right principal G-bundle. Similarly,  $\mathfrak{s}: G_1 \to G_0$  is a right principal G-bundle, and these two actions commute.

**Definition 1.49.** Let  $\kappa: P \to M$  be a right *G*-bundle. Given a smooth map  $f: B \to M$ , there is an *induced bundle* structure on  $f^*P = B \times_M P \to B$  with action given by the action on *P*. If  $P \to M$  is principal, then so is  $f^*P \to B$ . A right principal bundle is *trivial* if it is of the form  $f^*G_1$  for some map  $f: B \to G_0$ .

The following lemma characterises trivial principal bundles. In particular, every principal bundle is locally trivial.

**Lemma 1.50** ([45, Lemma 3.19]). A right principal *G*-bundle  $\kappa: P \to M$  is trivial if and only if  $\kappa$  admits a section.

**Lemma 1.51.** An equivariant map  $P \rightarrow P'$  between principal *G*-bundles over the same manifold *M* is an isomorphism. If  $(f_1, f_0)$  is an equivariant map between principal *G*-bundles  $P \rightarrow M$  and  $Q \rightarrow N$ , then *P* is isomorphic to the induced bundle  $f_0^*Q$ ; in particular, the diagram below is a pullback square:

$$\begin{array}{cccc}
P \longrightarrow M \\
\downarrow & \downarrow \\
Q \longrightarrow N .
\end{array}$$
(1.3)

*Proof.* The first statement appears in [52, p. 146]. Since the induced map  $P \to f_0^*Q$  is equivariant, the second statement follows.

The following two lemmas will be used extensively.

**Lemma 1.52.** Let  $\kappa: P \to M$  be a principal *G*-bundle. Let *N* be a manifold and  $f: P \to N$  an invariant surjective submersion. Then the induced map  $M \to N$  is a surjective submersion.

*Proof.* Since *M* is the quotient P/G, an invariant map  $P \to N$  induces a map  $M \to N$ . Since the composite map  $P \to M \to N$  is a surjective submersion, the map  $M \to N$  is a surjective submersion by Lemma 1.27.

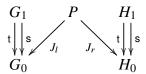
**Lemma 1.53** ([52, Lemma 5.35]). Let G be a Lie groupoid and  $\kappa: P \to M$  a right principal G-bundle. Let Q be a manifold with a right G-action, and let  $f: Q \to P$  be an equivariant surjective submersion. Then the quotient Q/G is a manifold, and the induced map  $Q/G \to M$  is a surjective submersion; moreover,  $Q \to Q/G$  is a principal bundle.

**Corollary 1.54.** Suppose that the G-action on P in Example 1.44 is principal and that  $Q \rightarrow G_0$  is a surjective submersion. Then the induced action on  $P \times_{G_0} Q$  is principal, and we have a pullback square like (1.3).

#### 1.4.3 HS bibundles

**Definition 1.55.** Let *G* and *H* be Lie groupoids. A *G*-*H* bibundle is a manifold *P* that carries two bundle structures such that the corresponding two actions commute. There is a right *H*-bundle structure over  $J_l: P \to G_0$  with moment map  $J_r: P \to H_0$ , and a left *G*-bundle structure over  $J_r: P \to H_0$  with moment map  $J_l: P \to G_0$ . These two actions

commute; that is, (gp)h = g(ph) for  $g \in G_1$ ,  $p \in P$ , and  $h \in H_1$  whenever either side is well-defined.



A morphism  $P \rightarrow P'$  of *G*-*H* bibundles is a smooth map which is equivariant with respect to both actions. If the *H*-bundle structure is principal, we call *P* a *G*-*H* Hilsum–Skandalis bibundle (HS for short) or an HS bibundle from *G* to *H*.

*Remark* 1.56. Every morphism of right principal *G*-*H* bibundles  $P \rightarrow P'$  is an isomorphism by Lemma 1.51.

*Remark* 1.57. There is a more general notion of bimodules between two categories, which we will review in Chapter 4.

*Remark* 1.58. By Remark 1.40, for a *G*-*H* bibundle *P* there is a natural *H*-*G* bibundle structure on *P*, denoted by  $\overline{P}$ . If *P* is right principal, then  $\overline{P}$  is left principal.

**Example 1.59.** Let G be a Lie groupoid and M a manifold. A (HS) bibundle between  $\underline{M}$  and G is simply a (principal) G-bundle over M. In particular, a G-action on a manifold X gives a bibundle between \*, the one-object trivial groupoid, and G.

**Definition 1.60.** Let *P* be an HS bibundle from *G* to *H* and *Q* an HS bibundle from *H* to *K*. The *composite* HS bibundle  $P \otimes_H Q$  is defined as follows. There is a diagonal *H*-action on  $P \times_{H_0} Q$  by

$$(p,q) \cdot h \coloneqq (p \cdot h, h^{-1} \cdot q).$$

Since the *H*-action on *P* is principal, Corollary 1.54 implies that the quotient is a manifold, denoted by  $P \otimes_H Q$ . There are induced actions of *G* and *K* on  $P \otimes_H Q$ . Furthermore,  $P \otimes_H Q$  is an HS bibundle from *G* to *K*. For more details, see [53, p. 166].

*Remark* 1.61. The usual tensor product of two bimodules of algebras is a similar quotient (colimit) construction. The universal property of colimits implies that the composition of HS bibundles is associative up to a canonical isomorphism.

We may think of HS bibundles as an extended notion of functors between Lie groupoids. The *G*-*G* bibundle  $G_1$  is called the *unit bibundle*, denoted by U(G). The unit bibundle acts as a unit up to canonical isomorphisms for the composition of bibundles. Therefore, Lie groupoids and isomorphism classes of HS bibundles with their composition form a category, denoted by Bun.

**Definition 1.62.** Let P be a two-sided principal G-H bibundle. We say that G and H are *Morita equivalent* and P is a Morita equivalence between G and H.

**Proposition 1.63** ([53, Proposition 2.9]). *An HS-bibundle P from G to H is an isomorphism in the category* Bun *if and only if P is a Morita equivalence.* 

**Definition 1.64.** The *bundlisation* of a Lie groupoid functor  $f : G \to H$  is an HS bibundle from *G* to *H* given by the manifold

$$\mathfrak{B}(f) \coloneqq G_0 \times_{H_0, \mathfrak{t}} H_1$$

with moment maps  $\operatorname{pr}_1: G_0 \times_{H_0,t} H_1 \to G_0$  and  $\mathfrak{s} \circ \operatorname{pr}_2: G_0 \times_{H_0} H_1 \to H_0$ . The right *H*-action is given by the *H*-action on  $H_1$ , and the left *G*-action is given by

$$g \cdot (x,h) \coloneqq (\mathfrak{t}(g), f(g)h)$$

for  $g \in G_1, x \in G_0$  with s(g) = x, and  $h \in H_1$  with f(x) = t(h).

It is clear that  $\mathfrak{B}(\mathrm{id}_G)$  is the unit bibundle. It is not hard to verify that  $\mathfrak{B}$  preserves the composition up to a canonical isomorphism.

**Proposition 1.65** ([53, Proposition 2.10]). *The bundlisation construction extends to a functor*  $\mathfrak{B}$ : Gpd  $\rightarrow$  Bun which is the identity on objects. Moreover, a morphism in Gpd is a weak equivalence if and only if its bundlisation is an isomorphism in Bun.

**Proposition 1.66** ([54, Proposition II.1.5] and [45, Lemma 3.36]). An HS bibundle P between Lie groupoids G and H is isomorphic to  $\mathfrak{B}(f)$  for some functor  $f: G \to H$  if and only if  $P \to G_0$  admits a smooth section.

**Corollary 1.67.** Every HS bibundle P from G to a trivial Lie groupoid  $\underline{M}$  is isomorphic to  $\mathfrak{B}(f)$  for some functor  $f: G \to \underline{M}$ .

*Proof.* Since *P* is right principal, we have  $P \times_{G_0} P \cong P \times_M M \cong P$ . Thus  $P \to G_0$  is a diffeomorphism by Lemma 1.27. Then the statement follows from Proposition 1.66.  $\Box$ 

**Proposition 1.68.** Let  $f,g: G \to H$  be Lie groupoid functors. Morphisms of HS bibundles  $\mathfrak{B}(f) \to \mathfrak{B}(g)$  are in a 1-1 correspondence with natural transformations  $f \Rightarrow g$ .

*Proof.* A morphism of HS bibundles  $\tau \colon \mathfrak{B}(f) \to \mathfrak{B}(g)$  must have the form  $(x, \gamma) \mapsto (x, T(x)\gamma)$ , where  $T(x) \in H_1$ . It is easily checked that *T* defines a natural transformation  $f \Rightarrow g$ . The argument may be reversed, and we are done.

In the category Bun, unit identities and associativity hold up to canonical isomorphisms. This suggests that we should remember these isomorphisms as well, then we obtain a 2category.

**Proposition 1.69.** *Lie groupoids, HS bibundles, and morphism of HS bibundles form a* 2-*category, denoted by* BUN. *The functor*  $\mathfrak{B}$  *extends to a* 2-*functor* GPD  $\rightarrow$  BUN (*see* [59] *and* [21]).

Since HS bibundles are morphisms in a 2-category, we also call them *HS morphisms* to emphasise the morphism feature. The 2-category BUN will play a key role in the study of Lie 2-groupoids.

#### **1.4.4 2-Pullbacks in the 2-category of HS bibundles**

For some simple cases, we show that 2-pullbacks in the 2-category BUN exist.

**Proposition 1.70** ([63, Proposition 31]). Let *P* be an HS bibundle  $G \to K$  and let *Q* be an HS bibundle  $H \to K$ . Suppose that  $P \to K_0$  or  $Q \to K_0$  is a surjective submersion. Then the 2-pullback of *P* and *Q* in the 2-category BUN exists.

An explicit 2-pullback square is constructed similar to the composition of HS bibundles. There is a right *K*-action on  $P \times_{K_0} Q$  given by

$$(p,q) \cdot k = (p \cdot k, q \cdot k).$$

Corollary 1.54 implies that the quotient  $(P \times_{K_0} Q)/K$  is a manifold. There are commuting left actions of *G* and *H* on  $(P \times_{K_0} Q)/K$ . Denote by *L* the action groupoid of  $G \times H$  on  $(P \times_{K_0} Q)/K$ . There are two Lie groupoid functors  $L \to G$  and  $L \to H$  given by projection, hence two HS bibundles  $L \to G$  and  $L \to H$ . There is an canonical isomorphism between the composite HS bibundles  $L \to G \to K$  and  $L \to H \to K$ , and this gives a 2-pullback square.

*Remark* 1.71. The proof of [63, Lemma 30] has a mistake, namely, the maps  $f_0$  and  $g_0$  constructed there need not be transversal. It goes through, however, under our stronger assumption.

For a weak pullback square in GPD (under the assumption in Proposition 1.16), the 2pullback square in BUN constructed above is isomorphic to the square given by bundlisation. In particular, we have the following lemma.

**Corollary 1.72.** Let M be a manifold. Let  $P: G \to \underline{M}$  and  $Q: H \to \underline{M}$  be two HS bibundles. Assume that  $P = \mathfrak{B}(\varphi)$  and  $Q = \mathfrak{B}(\psi)$  for Lie groupoid functors  $\varphi: G \to \underline{M}$  and  $\psi: H \to \underline{M}$ . Suppose that either  $\varphi_0$  or  $\psi_0$  is a surjective submersion. Then the 2-pullback constructed above, the weak pullback  $G \times_{\underline{M}}^w H$ , and the strong pullback  $G \times_{\underline{M}} H$  are all isomorphic.

## **1.5** Comparison of 2-categories of Lie groupoids

We explain that the three 2-categories GEN, ANA, BUN are equivalent. Recall [69] that a 2-functor  $f: \mathscr{A} \to \mathscr{B}$  between 2-categories is an *equivalence* if f is

- essentially surjective on objects; that is, for every object X of B there is an object Y of A such that X is equivalent to f(Y) in B;
- locally equivalent; that is, the functors  $\mathscr{A}(X,Y) \to \mathscr{B}(f(X), f(Y))$  are equivalences of categories for all objects X and Y of  $\mathscr{A}$ .

We first compare the 2-categories GEN and ANA. Although the size of 1-morphisms of ANA is smaller than that of GEN, Remark 1.38 shows that every 1-morphism of GEN is equivalent to a 1-morphism that is in ANA. The following theorem is a direct consequence of the universal property of the calculus of fractions [59, Proposition 24].

**Theorem 1.73** ([62, Theorem 7.2]). *The 2-functor* Gpd  $\rightarrow$  ANA *extends to an equivalence* of 2-categories GEN  $\rightarrow$  ANA.

We now compare HS bibundles and generalised morphisms of Lie groupoids. Given an HS bibundle  $P: G \to H$ , we form a *biaction groupoid*  $G \ltimes P \rtimes H$  with

$$(G \ltimes P \rtimes H)_0 = P, \quad (G \ltimes P \rtimes H)_1 = G_1 \times_{s,G_0} P \times_{H_0,t} H_1$$

and with composition given by the compositions in G and H. There is a span

$$G \leftarrow G \ltimes P \rtimes H \to H$$

given by the projections  $G_1 \times_{G_0} P \times_{H_0} H_1 \to G_1$  and  $G_1 \times_{G_0} P \times_{H_0} H_1 \to H_1$ . Since the *H*-action is principal over  $G_0$ , the functor  $G \ltimes P \rtimes H \to G$  is a weak equivalence. Thus we get a generalised morphism from *G* to *H*.

**Proposition 1.74** ([53, Section 2.6]). *The biaction groupoid construction induces a functor* Bun  $\rightarrow$  Gen. *The bundlisation extends to a functor* Gen  $\rightarrow$  Bun. *These two functors are mutually inverse functors.* 

*Remark* 1.75. It follows that the two generalised morphisms in Examples 1.33 and 1.34 are equivalent.

**Theorem 1.76.** The 2-functor  $\mathfrak{B}$ : GPD  $\rightarrow$  BUN extends to an equivalence of 2-categories GEN  $\rightarrow$  BUN.

*Remark* 1.77. This well-known theorem follows from the universal property of the 2-categorical calculus of fractions [59, Proposition 24] and Proposition 1.68. See also [21] for a direct proof of the equivalence of GEN and BUN. We notice that the 2-category of *differential stacks* is also equivalent to these three 2-categories. For a detailed treatment of differential stacks, see [6, 21]. See [21] for a review on the equivalence of these 2-categories.

# 2 Simplicial Sets

This chapter introduces the basics of simplicial sets. The lifting properties of simplicial sets will be central to our approach to higher groupoids and 2-groupoids.

## 2.1 Preliminaries on presheaves

We collect some basic facts on presheaves that will be used later. All the material is standard; see, for instance, [47]. Let  $\mathscr{C}$  be a locally small category throughout this section.

**Definition 2.1.** The *category of presheaves* on  $\mathscr{C}$  is the functor category [ $\mathscr{C}^{op}$ , Sets].

More concretely, a presheaf f is a functor  $f: \mathscr{C}^{op} \to \text{Sets}$  from the opposite category of  $\mathscr{C}$  to Sets, the category of sets. A morphism of presheaves is a natural transformation between the corresponding functors. In particular, a morphism of presheaves  $\tau: f \to g$  is a *subpresheaf* if for each object  $C \in \mathscr{C}$  the map  $\tau: f(C) \to g(C)$  is an injection.

**Example 2.2.** Given a partially ordered set (poset)  $(S, \prec)$ , we view it as a category with objects given by elements in *S*, and with a unique arrow from *i* to *j* if  $j < i.^1$  Let  $(\mathcal{U}, \subset)$  be the poset of open subsets of a topological space *X*. A presheaf on  $\mathcal{U}^{op}$  as defined above is a presheaf on *X* in the usual sense.

**Definition 2.3.** The presheaf *represented* by an object  $C \in \mathcal{C}$  is the presheaf defined by

$$T \mapsto \hom(T, C), \quad T \in \mathscr{C},$$

denoted by hom(-, C) or  $h_C$ . A presheaf isomorphic to one of this form is called *representable presheaf*. Given a morphism  $C \rightarrow D$  in  $\mathcal{C}$ , there is a morphism of presheaves  $h_C \rightarrow h_D$ . This defines the *Yoneda functor* 

$$h: \mathscr{C} \to [\mathscr{C}^{\mathrm{op}}, \mathsf{Sets}].$$

**Lemma 2.4** (Yoneda Lemma). *The Yoneda functor is full and faithful. Moreover, given*  $C \in C$  and a presheaf f, there is a canonical isomorphism

$$[\mathscr{C}^{\mathrm{op}}, \mathsf{Sets}](h_C, f) = f(X),$$

which is natural in C and f.

<sup>&</sup>lt;sup>1</sup>Our convention is the same as in [81], but differs from the perhaps more common one.

*Remark* 2.5. The category of presheaves on  $\mathscr{C}$  has all limits and colimits. They are both computed pointwise. The Yoneda functor preserves and reflects all limits, but does not preserve colimits in general.

Remark 2.6. We may use the Yoneda embedding

 $h: \mathscr{C} \to [\mathscr{C}^{\mathrm{op}}, \mathrm{Sets}],$ 

to embed any category  $\mathscr{C}$  into a complete category. Thus any diagram in  $\mathscr{C}$  has a presheaf on  $\mathscr{C}$  as a limit. It has a limit in  $\mathscr{C}$  if and only if this presheaf is representable by some object of  $\mathscr{C}$ .

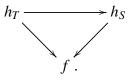
*Remark* 2.7. There is a dual version of copresheaves and corepresentable copresheaves. Any diagram in  $\mathscr{C}$  has a copresheaf as a colimit. It has a colimit in  $\mathscr{C}$  if and only if this copresheaf is corepresentable.

**Definition 2.8.** Let f be a presheaf on  $\mathscr{C}$ . For an object  $T \in \mathscr{C}$ , elements of f(T) are called *T*-elements of f. In particular, *T*-elements of  $h_C$  are also called *T*-elements of *C*.

By the Yoneda Lemma, a *T*-element of *f* is the same as a presheaf morphism  $h_T \rightarrow f$ , and a *T*-element of *C* is the same as a morphism  $T \rightarrow C$ . A morphism between presheaves  $f \rightarrow g$  is the same as a family of maps from *T*-elements of *f* to *T*-elements of *g* that is functorial in *T*. This point of view will be important for us: when there is no notion of elements of an object in the category  $\mathscr{C}$ , we can work with *T*-elements.

**Definition 2.9.** The *category of elements* of a presheaf f on  $\mathcal{C}$  is the category

- whose objects are *T*-elements  $h_T \rightarrow f$ , for all  $T \in \mathcal{C}$ , and
- morphisms from  $h_T \to f$  to  $h_S \to f$  are commutative triangles of presheaves



The following co-Yoneda Lemma or density theorem [47, Exercise 3, p. 62] implies that every presheaf is a colimit of representables.

**Lemma 2.10.** Let f be a presheaf on C. There is a canonical isomorphism

$$f \cong \operatorname{colim}_{h_T \to f} h_T,$$

where the colimit is taken over the category of elements.

## 2.2 Simplicial sets

In this section, we recall the notion of a simplicial set. Our main references are [30, 33].

**Definition 2.11.** The *simplex category*  $\Delta$  is the category with finite, non-empty, totally ordered sets as objects

 $[n] = \{0 < 1 < \dots < n\}, \quad n \ge 0,$ 

and weakly order-preserving maps as morphisms.

Regarding the poset [n] as a category as in Example 2.2, then the category  $\Delta$  is a full subcategory of Cats, the category of small categories.

**Definition 2.12.** The category of *simplicial sets* SSet is the presheaf category [ $\Delta^{op}$ , Sets]. Subpresheaves in this case are called *simplicial subsets*.

More generally, *simplicial objects* in a category  $\mathscr{C}$  are functors  $\Delta^{op} \to \mathscr{C}$ .

Since a map between two sets can be written uniquely as the composite of a surjection followed by an injection, morphisms in  $\Delta$  decompose uniquely as composites of two classes of simple maps:

- (i) coface map  $\delta_n^i : [n-1] \to [n]$  for  $0 \le i \le n$ , the unique injection whose image omits *i*,
- (ii) codegeneracy map  $\sigma_n^i \colon [n+1] \to [n]$  for  $0 \le i \le n$ , the unique surjection that identifies *i* and *i* + 1,

These maps satisfy some obvious relations called cosimplicial identities. We get an alternative description of simplicial sets:

**Proposition 2.13.** A simplicial set X consists of a collection of sets  $X_n$  for  $n \ge 0$  with face maps  $d_i^n \colon X_n \to X_{n-1}$  and degeneracy maps  $s_i^n \colon X_n \to X_{n+1}$  satisfying the following simplicial identities:

$$\begin{split} \mathsf{d}_{i}^{n-1} \, \mathsf{d}_{j}^{n} &= \mathsf{d}_{j-1}^{n-1} \, \mathsf{d}_{i}^{n} & \text{if } i < j, \\ \mathsf{s}_{i}^{n} \, \mathsf{s}_{j}^{n-1} &= \mathsf{s}_{j+1}^{n} \, \mathsf{s}_{i}^{n-1} & \text{if } i \leq j, \\ \mathsf{d}_{i}^{n} \, \mathsf{s}_{j}^{n-1} &= \begin{cases} \mathsf{s}_{j-1}^{n-2} \, \mathsf{d}_{i}^{n-1} & \text{if } i < j, \\ \mathrm{id} & \text{if } i = j \text{ or } j+1 \\ \mathsf{s}_{j}^{n-2} \, \mathsf{d}_{i-1}^{n-1} & \text{if } i > j+1. \end{cases} \end{split}$$

Accordingly, a simplicial map  $f: X \to Y$  consists of a collection of maps  $f_n: X_n \to Y_n$  for  $n \ge 0$  that commute with all face and degeneracy maps.

If there is no danger of confusion, we will write  $d_i^n$ ,  $s_i^n$  as  $d_i$ ,  $s_i$ , respectively.

**Example 2.14.** First examples of simplicial sets include the standard *simplicial n-simplex*  $\Delta^n$ , its *boundary*  $\partial \Delta^n$ , and its *k-th horn*  $\Lambda^n_k$  for each  $0 \le k \le n$  described as follows. Let  $\Delta^n$  be the simplicial set given by the representable functor hom $(-,[n]): \Delta^{\text{op}} \to \text{Sets}$ ,

$$[m] \mapsto \hom([m], [n]).$$

The simplicial boundary  $\partial \Delta^n$  is the simplicial subset of  $\Delta^n$  defined by

$$[m] \mapsto \{f \in \hom([m], [n]) \mid f([m]) \neq [n]\},\$$

The *k*-th horn  $\Lambda_k^n$  is the simplicial subset of  $\Delta^n$  defined by

$$[m] \mapsto \{f \in \hom([m], [n]) \mid [n] - \{k\} \notin f([m])\}$$

We call the natural morphism  $\Lambda_k^n \to \Delta^n$  for  $n \ge 1$  and  $0 \le k \le n$  horn inclusion, and  $\partial \Delta^n \to \Delta^n$  for  $n \ge 0$  boundary inclusion. Notice that  $\partial \Delta^0 = \emptyset$ , the initial simplicial set. The above formula also gives  $\Lambda_0^0 = \emptyset$ , but we do not use this definition and left  $\Lambda_0^0$  undefined. The reason will be explained in Remark 4.27.

As a presheaf category, the category of simplicial sets has all limits and colimits, and these are computed pointwise. For a simplicial set X, the Yoneda Lemma shows that there is a canonical isomorphism

$$\hom(\Delta^n, X) \cong X_n.$$

Thus we call  $X_n$  the set of *n*-simplices. An *n*-simplices  $x_n \in X_n$  is degenerate if there are  $x_{n-1} \in X_{n-1}$  and some *i* such that  $x_n = s_i^{n-1} x_{n-1}$ .

The category of elements of a simplicial set X is also called the *category of simplices* in X. The co-Yoneda Lemma implies that every simplicial set can be expressed by a colimit of standard simplices,

$$X \cong \operatorname{colim}_{\Delta^n \to X} \Delta^n,$$

where the colimit is taken over the category of simplices in X.

*Remark* 2.15. In practice, for a specific simplicial set X, it is often sufficient to consider a much smaller category (see [33, Lemma 3.1.4 and Errata]). For instance, the simplicial boundary  $\partial \Delta$  is the union of *n* copies of  $\Delta^{n-1}$  as the boundary of  $\Delta^n$ , and the *k*-th horn  $\Lambda^n_k$  is the union of n - 1 copies of  $\Delta^{n-1}$ , leaving out the one opposite to the vertex *k*.

*Remark* 2.16. Covariant functors  $[\Delta, Sets]$  and  $[\Delta, \mathcal{C}]$  are called *cosimplicial sets* and *cosimplicial objects* in  $\mathcal{C}$ , respectively. For instance, the assignment

$$[n] \mapsto \Delta^n$$

is a cosimplicial object in SSet. Let X be a cosimplicial object in  $\mathscr{C}$ . Then for each object  $C \in \mathscr{C}$ , the sets hom $(X_i, C)$  form a simplicial set.

**Example 2.17.** The inclusion  $\Delta \rightarrow \text{Cats}$  is a cosimplicial object in Cats. The *nerve* of a small category  $\mathscr{C}$  is the simplicial set  $N\mathscr{C}$  given by

$$N_n \mathscr{C} = \operatorname{Fun}([n], \mathscr{C}).$$

This defines a functor N: Cats  $\rightarrow$  SSet.

More concretely,  $N_n \mathscr{C}$  is the set of composable strings of arrows with length n

$$C_0 \leftarrow C_1 \leftarrow \cdots \leftarrow C_n.$$

The face map  $d_i^n$  composes two arrows for 0 < i < n or forget the first (last) arrow for i = 0 (i = n); degeneracy maps insert identity arrows.

### 2.3 Lifting properties

Let  $\mathscr{C}$  be a locally small category throughout this section.

**Definition 2.18.** Let  $i: A \to B$  and  $p: X \to Y$  be morphisms in  $\mathscr{C}$ . We say that p satisfies the *right lifting property* (RLP) with respect to i and that i satisfies the *left lifting property* (LLP) with respect to p if, for every commutative square of the form

$$\begin{array}{cccc}
A \longrightarrow X \\
i & & & \\
i & & & \\
B \longrightarrow Y, \\
\end{array} \tag{2.1}$$

there is a *lift*  $B \rightarrow X$  such that the two triangles commute. If the lift is unique, then we speak of *unique* right and left lifting properties.

**Lemma 2.19.** Let  $i: A \rightarrow B$  be a morphism in  $\mathcal{C}$ .

- (i) If two morphisms p: X → Y and q: Y → Z have the RLP with respect to i, then so does q ∘ p;
- (ii) If a morphism  $p: X \to Y$  has the RLP with respect to i, then so does the pullback of p along any morphism  $f: Z \to Y$ .

**Lemma 2.20.** Let  $p: X \to Y$  be a morphism in  $\mathcal{C}$ .

- (i) If two morphisms  $i: A \to B$  and  $j: B \to C$  have the LLP with respect to p, then so does  $j \circ i$ ;
- (ii) If a morphism  $i: A \to B$  has the LLP with respect to p, then so does the pushout of i along any morphism  $f: A \to C$ .

For a straightforward proof of these two lemmas, see, for instance, [30, Lemma 4.1]. We give an alternative proof below. We work with the spaces of *all* maps instead of picking up a single map as in [30].

#### 2.3.1 Arrow category

Denote by *I* the category [1], which is also called the *interval category*.

**Definition 2.21.** The *arrow category* of  $\mathscr{C}$  is the functor category  $[I, \mathscr{C}]$ .

Explicitly, objects of  $[I, \mathcal{C}]$  are arrows in  $\mathcal{C}$ , and morphisms of  $[I, \mathcal{C}]$  are commutative squares in  $\mathcal{C}$ . For arrows  $i: A \to B$  and  $p: X \to Y$  in  $\mathcal{C}$ , let

$$\hom(A \xrightarrow{i} B, X \xrightarrow{p} Y) \coloneqq [I, \mathscr{C}](A \xrightarrow{i} B, X \xrightarrow{p} Y) = \hom(A, X) \times_{\hom(A, Y)} \hom(B, Y)$$

be the set of commutative squares (2.1) with fixed (i, p). Every commutative square (2.1) with fixed (i, p) has a (unique) lift if and only if

$$\hom(B, X) \to \hom(A \xrightarrow{i} B, X \xrightarrow{p} Y)$$

is a surjection (bijection).

#### 2.3.2 Technical lemmas

Let us collect some simple but useful lemmas.

**Lemma 2.22.** For arrows  $A \to B$  and  $X \to Y \to Z$  in  $\mathcal{C}$ , there is a pullback square

Dually, for arrows  $A \to B \to C$  and  $X \to Y$  in  $\mathscr{C}$  there is a pullback square

*Proof.* By duality, it suffices to show the first statement. Consider the commutative diagram

$$\begin{array}{cccc} \hom(A \to B, X \to Y) & \longrightarrow & \hom(B, Y) \\ & & \downarrow & & \downarrow \\ \hom(A \to B, X \to Z) & \longrightarrow & \hom(A \to B, Y \to Z) & \longrightarrow & \hom(B, Z) \\ & & \downarrow & & \downarrow \\ & & & \downarrow & & \downarrow \\ \hom(A, X) & \longrightarrow & \hom(A, Y) & \longrightarrow & \hom(A, Z) \end{array}$$

As the bottom right square and the bottom whole rectangle are pullback diagrams, so is the bottom left square. Since the left whole rectangle is also a pullback, so is the top left square, as desired.  $\hfill \Box$ 

**Lemma 2.23.** Given a pullback square in  $\mathscr{C}$ 



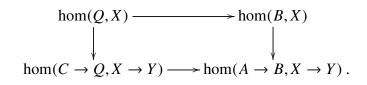
the diagram below is a pullback square for every morphism  $A \rightarrow B$  in  $\mathcal{C}$ :

$$\begin{array}{cccc}
 & \hom(B,P) & \longrightarrow & \hom(B,X) \\
 & & \downarrow & & \downarrow \\
 & & \downarrow & & \downarrow \\
 & & & & \bigwedge(2.3) \\
 & \hom(A \to B, P \to Z) & \longrightarrow & \hom(A \to B, X \to Y) .
\end{array}$$

Dually, given a pushout square in C,



the diagram below is a pullback square for every morphism  $X \to Y$  in  $\mathcal{C}$ :



*Proof.* By duality, it suffices to show the first statement. Consider the commutative diagram

where the left square is a pullback diagram. Since  $P = X \times_Y Z$ , the right square is a pullback diagram, and so is the whole rectangle. Consider now the commutative diagram

$$\begin{array}{ccc} \hom(B,P) & \longrightarrow \hom(B,X) \\ & \downarrow & & \downarrow \\ \hom(A \to B, P \to Z) & \longrightarrow \hom(A \to B, X \to Y) & \longrightarrow \hom(A,X) \\ & \downarrow & & \downarrow \\ \hom(B,Z) & \longrightarrow \hom(B,Y) & \longrightarrow \hom(A,Y) \,. \end{array}$$

The bottom right square and the bottom whole rectangle are pullback diagrams, hence so is the bottom left square. Since the left whole rectangle is a pullback diagram, so is the top left square, and we are done.  $\Box$ 

Lemmas 2.22 and 2.23 combined give the following result:

**Corollary 2.24.** Suppose that the square below is a pushout diagram in C:

$$D \longrightarrow E$$

$$\downarrow \qquad \qquad \downarrow$$

$$A \longrightarrow B \longrightarrow C$$

For every morphism  $X \to Y$  in  $\mathcal{C}$ , the induced square below is a pullback diagram:

#### 2.3.3 Proof of Lemma 2.19

We are now ready to prove Lemma 2.19. There is also a dual argument for Lemma 2.20.

*Proof.* (i) Consider the pullback square (2.2) in Lemma 2.22. By the assumption that

$$hom(B,Y) \rightarrow hom(A \rightarrow B, Y \rightarrow Z)$$

is a surjection, we deduce that

$$hom(A \to B, X \to Y) \to hom(A \to B, X \to Z)$$

is a surjection. Since  $hom(B,X) \rightarrow hom(A \rightarrow B, X \rightarrow Y)$  is also a surjection by assumption, the composite of two surjections

$$hom(B,X) \rightarrow hom(A \rightarrow B, X \rightarrow Z)$$

is a surjection, as desired.

(ii) Consider the pullback square (2.3) in Lemma 2.23. By the assumption that

$$hom(B,X) \to hom(A \to B, X \to Y)$$

is a surjection, we deduce that

$$\hom(B, P) \to \hom(A \to B, P \to Z)$$

is a surjection, as claimed.

The advantage of our approach is that we need not work with elements of the hom-sets of  $\mathscr{C}$ , so it may work in the more general situation that we will consider in Section 3.2.

# 2.4 Lifting properties of simplicial sets

In this section, we study the right lifting property of simplicial sets with respect to certain inclusions. A simplicial horn  $\Lambda_k^n$  is called an *inner horn* if 0 < k < n, a *left horn* if  $0 \le k < n$ , a *right horn* if  $0 < k \le n$ , an *outer horn* if k = 0 or k = n.

**Definition 2.25.** A morphism of simplicial sets  $p: X \to Y$  satisfies the *(unique) Kan condition* Kan(n,k) (Kan!(n,k)) if p satisfies the (unique) RLP with respect to the horn inclusion  $\Lambda_k^n \to \Delta^n$ . Furthermore, p is

- a *Kan fibration*, if p satisfies the Kan conditions for all  $n \ge 1$  and  $0 \le k \le n$ ;
- an *inner Kan fibration*, if p satisfies the inner Kan conditions for all  $n \ge 2$  and 0 < k < n.

In particular, X is a *Kan complex* if the canonical map  $X \to \Delta^0$  is a Kan fibration, and X is an *inner Kan complex* if  $X \to \Delta^0$  is an inner Kan fibration.

**Definition 2.26.** Let  $p: X \to Y$  be a morphism of simplicial sets. We say that *p* satisfies the *(unique) acyclicity condition* Acyc(*n*) (Acyc!(*n*)) if *p* has the (unique) RLP with respect to the boundary inclusion  $\partial \Delta^n \to \Delta^n$ . We call *p* an *acyclic fibration* if *p* satisfies all conditions Acyc(*n*) for  $n \ge 0$ .

*Remark* 2.27. Inner Kan complexes are introduced by Boardman and Vogt [11] under the name of weak Kan complexes, which are also called quasi-categories [35] and  $\infty$ categories [46]. Inner Kan fibrations are introduced by Joyal [35] under the name of quasi-fibrations, which are also called weak Kan fibrations [30]. Acyclic fibrations are also called trivial fibrations [30].

Adding the *k*-th face to the horn  $\Lambda_k^n$  gives the boundary  $\partial \Delta^n$ . Thus an acyclic fibration is also a Kan fibration. Lemma 2.19 implies the following:

**Corollary 2.28.** *Kan (inner Kan, or acyclic) fibrations are closed under composition and stable under pullback.* 

**Proposition 2.29.** *The nerve of a category described in Example 2.17 is an inner Kan complex; the nerve of a groupoid is a Kan complex.* 

*Proof.* We verify the inner Kan conditions for a category; the outer Kan conditions for a groupoid can be checked similarly. First, Kan!(2,1) is clear, since a horn  $\Lambda_1^2$  in  $N\mathcal{C}$  is the same as two composable arrows in  $\mathcal{C}$ . Given a horn  $f: \Lambda_1^3 \to N\mathcal{C}$ , considering the 2-simplex with vertices  $\{0, 1, 2\}$  in  $N\mathcal{C}$ , we have  $f([0,2]) = f([0,1]) \circ f([1,2])$ . Similarly,  $f([1,3]) = f([1,2]) \circ f([2,3])$  and  $f([0,3]) = f([0,1]) \circ f([1,3])$ , thus  $f([0,3]) = f([0,2]) \circ f([2,3])$ , which implies that we can uniquely lift f to a 3-simplex in  $N\mathcal{C}$ . This proves Kan!(3,1). Similarly, we can check Kan!(3,2).

When n > 3, the horn  $\Lambda_k^n$  contains all 2-simplices of  $\Delta^n$ , hence

$$f([ik]) = f([ij])f([jk])$$
 for  $0 \le i < j < k \le n$ ,

thus we can uniquely lift every morphism  $\Lambda_k^n \to N\mathscr{C}$  to a morphism  $\Delta^n \to N\mathscr{C}$ .  $\Box$ 

*Remark* 2.30. The proof shows that Kan!(2, 1) encodes the composition, and Kan!(3, 1) or Kan!(3, 2) encodes the associativity of the composition. It is clear that Kan!(n, k) holds for all n > 3 and  $0 \le k \le n$ . Every lift in the previous proposition is in fact unique for a category and for a groupoid except for n = 1. It is easy to show that the converse is also true:

**Proposition 2.31.** If an inner Kan complex X satisfies Kan!(n,k) for all n > 1 and 0 < k < n, then X is the nerve of a category. Moreover, if a Kan complex X satisfies Kan!(n,k) for all  $0 \le k \le n$  and n > 1, then X is the nerve of a groupoid.

**Proposition 2.32.** The nerve of a functor of categories  $Nf : N\mathcal{C} \to N\mathcal{D}$  is an inner Kan *fibration*.

*Proof.* To verify Kan!(2,1), let us consider a lifting problem

$$\begin{array}{c} \Lambda_1^2 \xrightarrow{\varphi} N \mathscr{C} \\ \downarrow \stackrel{\tilde{\varphi}}{\xrightarrow{\varphi}} \stackrel{\mathscr{I}}{\xrightarrow{\psi}} \\ \Lambda^2 \xrightarrow{\psi} N \mathscr{D} \end{array}$$

Let  $\tilde{\varphi} \colon \Delta^2 \to N \mathscr{C}$  be the unique lift of  $\varphi$ . Then we have

$$f(\tilde{\varphi}[0,2]) = f(\varphi([0,1]) \circ \varphi([1,2])) = \psi([0,1]) \circ \psi([1,2]) = \psi([0,2]),$$

which shows that  $\tilde{\varphi}$  is a lift for  $(\varphi, \psi)$ . Conversely, every lift for  $(\varphi, \psi)$  is given by such  $\tilde{\varphi}$ . Therefore, Kan!(2,1) holds. Higher Kan conditions follow from Kan!(2,1) and the fact that both  $N\mathscr{C}$  and  $N\mathscr{D}$  satisfy unique Kan conditions.

Lemmas 2.29 and 2.31 imply that a category can be equivalently given by its nerve, which is an inner Kan complex satisfying appropriate unique Kan conditions. Along similar lines, we define higher categories and higher groupoids as simplicial sets satisfying appropriate Kan conditions.

**Definition 2.33.** An *n*-category is an inner Kan complex satisfying Kan!(m,k) for all m > n and 0 < k < m. An *n*-groupoid is a Kan complex satisfying Kan!(m,k) for all m > n and  $0 \le k \le m$ .

When  $n = \infty$ , we speak of  $\infty$ -categories and  $\infty$ -groupoids, which are inner Kan complexes and Kan complexes, respectively. More precisely, our notion of an  $\infty$ -category is a model for  $(\infty, 1)$ -categories [9], in which each k-morphism is invertible if k > 1. The simplicial approach is one of the most successful approaches to higher category theory. It has been extensively studied by Joyal [35, 36], Lurie [46], and many others.

## 2.5 Skeleton and coskeleton functors

We saw that all higher dimensional simplices of the nerve of a category are obtained in an automatic way from the lower dimensions. Skeleton and coskeleton functors provide a general framework to study simplicial sets whose higher dimensions are determined by their lower dimensions.

Let  $\Delta_{\leq n}$  be the full subcategory of  $\Delta$  with objects  $[0], \ldots, [n]$ . The inclusion  $\Delta_{\leq n} \hookrightarrow \Delta$  induces a *truncation functor* remembering the low dimensions of a simplicial set:

$$\operatorname{tr}_n \colon \operatorname{SSet} = [\Delta^{\operatorname{op}}, \operatorname{Sets}] \to [\Delta^{\operatorname{op}}_{< n}, \operatorname{Sets}].$$

**Definition 2.34.** The truncation functor  $tr_n$  admits a left adjoint, called *n*-skeleton,

$$\mathrm{sk}'_n \colon [\Delta^{\mathrm{op}}_{< n}, \mathrm{Sets}] \to \mathrm{SSet}_n$$

and a right adjoint, called *n*-coskeleton,

$$\operatorname{cosk}_n' \colon [\Delta_{< n}^{\operatorname{op}}, \operatorname{Sets}] \to \operatorname{SSet}.$$

Let  $X \in [\Delta_{\leq n}^{op}, \text{Sets}]$ . The skeleton  $\operatorname{sk}'_n X$  is the smallest simplicial set generated by X: lower dimensional simplices of  $\operatorname{sk}'_n X$  are the same as that of X and all higher dimensional simplices of  $\operatorname{sk}'_n X$  above n are degenerate. The coskeleton  $\operatorname{cosk}'_n X$  is characterised by

$$(\operatorname{cosk}_{n}' X)_{i} = \operatorname{hom}(\operatorname{tr}_{n} \Delta^{i}, X), \quad \forall i.$$

We denote the composite functors by

$$sk_n := sk'_n \circ tr_n : SSet \to SSet,$$
  
 $cosk_n := cosk'_n \circ tr_n : SSet \to SSet.$ 

It follows that  $sk_n$  is left adjoint to  $cosk_n$ . By a slight abuse of language, we also call  $sk_n$  the *n*-skeleton and  $cosk_n$  the *n*-coskeleton. If there is no danger of confusion, we will denote  $sk'_n$  and  $cosk'_n$  by  $sk_n$  and  $cosk_n$ , respectively.

**Definition 2.35.** A simplicial set *X* is *n*-coskeletal if the natural morphism  $X \to \operatorname{cosk}_n X$  is an isomorphism.

**Proposition 2.36.** The following statements about a simplicial set X are equivalent:

- (i) X is n-coskeletal.
- (ii)  $X_k \to \hom(\operatorname{tr}_n \Delta^k, \operatorname{tr}_n X)$  is a bijection for all k > n.

(iii)  $X \to \Delta^0$  satisfies Acyc!(k) for all k > n.

*Proof.* The first two statements are equivalent by definition. Suppose that X is *n*-coskeletal. Then for k > n

$$\hom(\partial \Delta^k, X) = \hom(\operatorname{tr}_n \partial \Delta^k, \operatorname{tr}_n X) = \hom(\operatorname{tr}_n \Delta^k, \operatorname{tr}_n X) = X_n,$$

proving the third statement. Conversely, suppose that the third statement is true. Adding all (n + 1)-simplices of  $\Delta^k$  to  $\mathrm{sk}_n \Delta^k$  gives  $\mathrm{sk}_{n+1} \Delta^k$ . It follows that

$$\hom(\operatorname{sk}_n \Delta^k, X) = \hom(\operatorname{sk}_{n+1} \Delta^k, X)$$

for k > n, hence

$$\hom(\operatorname{sk}_n \Delta^k, X) = \cdots = \hom(\operatorname{sk}_{k-1} \Delta^k, X) = X_k,$$

and this shows that X is n-coskeletal. This proves the claim.

An *n*-coskeletal simplicial set is clearly *m*-coskeletal for m > n. The following proposition shows that unique Kan conditions and being coskeletal are closely related.

**Proposition 2.37.** Let X be a simplicial set.

- (i) If X is n-coskeletal, then X satisfies  $\operatorname{Kan}!(m,k)$  for all  $m \ge n+2$  and  $0 \le k \le m$ .
- (ii) If X satisfies  $\operatorname{Kan}!(m,k)$  for all  $0 \le k \le m$  and  $m \ge n$ , then X is n-coskeletal.

*Proof.* (i) Since X is *n*-coskeletal and  $\operatorname{sk}_n \Lambda_k^m = \operatorname{sk}_n \Delta^m$  for  $m \ge n+2$ , we have

$$\hom(\Lambda_k^m, X) = \hom(\Delta^m, X),$$

which proves the first statement.

(ii) To show Acyc!(m) for m > n, consider the commutative diagram

$$\operatorname{hom}(\partial \Delta^m, X) \xrightarrow{f} \operatorname{hom}(\Lambda^m_k, X)$$

$$b \uparrow \qquad h$$

$$\operatorname{hom}(\Delta^m, X) .$$

The map  $hom(\Delta^m, X) \xrightarrow{h} hom(\Lambda_k^m, X)$  is a bijection by assumption. Let  $\tilde{f} = b \circ h^{-1}$ , we shall prove that  $\tilde{f}$  is the inverse of f. Since  $f \circ \tilde{f} = f \circ b \circ h^{-1} = id$ , it remains to show that  $\tilde{f} \circ f = id$ . Given a morphism  $\varphi \colon \partial \Delta^m \to X$ , we observe that the k-th face of the morphism  $\tilde{f} \circ f(\varphi) \colon \partial \Delta^m \to X$  is determined by first lifting the horn  $\Lambda_k^m \to X$  to a simplex  $\Delta^m \to X$  and then restricting to the k-th face. We need only show the k-th faces are the same. By the assumption Kan!(m - 1, j) for all j, the k-th face is determined by a lower horn, hence by its boundary. Since  $\varphi$  and  $\tilde{f} \circ f(\varphi)$  agree on the boundary of the k-th face, they must be the same, and this proves Acyc!(m).

The following examples for coskeletal simplicial sets are easy to verify.

**Example 2.38.** The nerve of a category is 2-coskeletal. The nerve of a Čech groupoid is 1-coskeletal. The nerve of a pair groupoid is 0-coskeletal.

### 2.6 Collapsible extensions

Lemma 2.20 implies that the collection of morphisms satisfying the LLP with respect to a given morphism is stable under composition and pushouts. In this section, we consider several classes of morphisms of simplicial sets that are stable under composition and pushouts. They will be of important use in our study of Kan fibrations, acyclic fibrations, and inner Kan fibrations of higher groupoids.

The following definition generalises that of collapsible simplicial sets in [32].

**Definition 2.39.** Let  $S \hookrightarrow T$  be an inclusion of finite simplicial sets (that is, each dimension is finite). We call  $S \hookrightarrow T$  a *collapsible extension*, if there is a filtration

$$S = S_0 \subset S_1 \subset \cdots \subset S_l = T$$

such that each  $S_i$  is obtained from  $S_{i-1}$  by filling a horn; that is, there are  $n \ge 1, 0 \le k \le n$ , and a morphism  $\Lambda_k^n \to S_{i-1}$  such that  $S_i = S_{i-1} \cup_{\Lambda_k^n} \Delta^n$ . If there is a collapsible extension  $\Delta^0 \hookrightarrow T$ , then we simply call *T* collapsible.

Of course, if  $S \hookrightarrow T$  and  $T \hookrightarrow U$  are collapsible extensions, then so is  $S \hookrightarrow U$ . By definition, the collection of all collapsible extensions is the closure of horn inclusions  $\lambda_k^n \colon \Lambda_k^n \to \Delta^n$  for  $n \ge 1$  and  $0 \le k \le n$  under finitely many compositions and pushouts.

If we replace horn inclusions by inner/right/left horn inclusions or by boundary inclusions, we obtain *inner/right/left collapsible extensions*, or *boundary extensions*, respectively. These classes of maps are special cases of (inner/left/right) anodyne extensions, or injections, which are defined as the closure of the corresponding classes of maps under transfinite compositions, pushouts, and retractions; see [30, 36, 46] for more information. Some general statements for (inner/left/right) anodyne extensions often remain valid for our special cases. In future applications, we allow only finite compositions and pullbacks, that is, we require a finite filtration as above. Lemma 2.20 shows that a (inner/right/left) Kan fibration satisfies the RLP with respect to all (inner/right/left) collapsible extensions.

#### 2.6.1 Join of simplicial sets

We provide some examples of collapsible extensions using the join operation.

**Definition 2.40.** The *join* of two simplicial sets X and Y is the simplicial set  $X \star Y$  given by (formulas for face and degeneracy maps are omitted here)

$$(X \star Y)_k = X_k \sqcup \left(\bigsqcup_{i+j=k-1} X_i \times Y_j\right) \sqcup Y_k.$$

The join operation is determined by the following two properties [35]:

(i) The join of standard simplices is given by ordinal sum,  $\Delta^n \star \Delta^m = \Delta^{n+m+1}$ ;

(ii) The join is a bifunctor preserving colimits in both variables.

The join is better defined for augmented simplicial sets, see Section 4.3.

**Example 2.41.** For a simplicial set *T*, the join  $T \star \Delta^0$  is the cone over *T*, and  $\Delta^0 \star T$  is the cone under *T*. The left picture in Figure 2.1 represents  $\Delta^2 \star \Delta^0$ , and the right picture represents  $\Delta^0 \star \Delta^2$ .

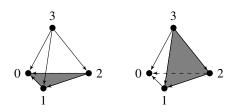


Figure 2.1: Cones  $\Delta^2 \star \Delta^0$  and  $\Delta^0 \star \Delta^2$ 

The following identities are shown in [35, Lemma 3.3]:

$$(\partial \Delta^{m} \star \Delta^{n}) \cup (\Delta^{m} \star \partial \Delta^{n}) = \partial \Delta^{m+1+n}, \text{ for } m \ge 0, n \ge 0,$$
  

$$(\Lambda^{m}_{k} \star \Delta^{n}) \cup (\Delta^{m} \star \partial \Delta^{n}) = \Lambda^{m+1+n}_{k}, \text{ for } m > 0, n \ge 0,$$
  

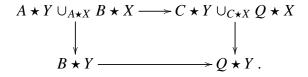
$$(\partial \Delta^{m} \star \Delta^{n}) \cup (\Delta^{m} \star \Lambda^{n}_{k}) = \Lambda^{m+1+n}_{m+1+k}, \text{ for } m \ge 0, n > 0.$$
(2.4)

**Lemma 2.42.** (i) For morphisms of simplicial sets  $A \to B \to C$  and  $X \to Y$ , the following diagram is a pushout square:

(ii) Given a morphism of simplicial sets  $X \to Y$  and a pushout square of simplicial sets



the following diagram is a pushout square:



*Proof.* The proof is parallel to those of Lemmas 2.22 and 2.23. Notice that the proofs there only use that hom(-, -) is a continuous bifunctor.

**Proposition 2.43.** Let  $f: A \rightarrow B$  and  $g: X \rightarrow Y$  be inclusions of simplicial sets. Suppose that f is a right collapsible extension and g is a boundary extension, or that f is a boundary extension and g is a left collapsible extension. Then the induced inclusion

$$A \star Y \cup_{A \star X} B \star X \to B \star Y \tag{2.5}$$

is an inner collapsible extension. If f is a left collapsible extension, and g is a boundary extension, then (2.5) is a left collapsible extension (modified from [36, Theorem 3.7]).

*Proof.* Applying Lemma 2.42, we are reduced to the case when f and g are horn inclusions or boundary inclusions. This is established by (2.4).

**Lemma 2.44.** The inclusion of a face  $\Delta^k \to \Delta^n$  is a collapsible extension for  $0 \le k \le n$ .

*Proof.* Suppose that the statement holds for  $1, \ldots, n-1$ , and let us consider *n*. It suffices to show that the *n*-th face inclusion  $d^n \colon \Delta^{n-1} \to \Delta^n$  is a collapsible extension. By assumption  $\Delta^0 \to \Delta^{n-1}$  is collapsible. Proposition 2.43 shows that the morphism

 $\Delta^{n-1} \cup_{\Delta^0\{0\}} (\Delta^0\{0\} \star \Delta^0\{n\}) \to \Delta^{n-1} \star \Delta^0\{n\} = \Delta^n$ 

is a collapsible extension. Composing with the collapsible extension

$$\Delta^{n-1} \to \Delta^{n-1} \cup_{\Delta^0\{0\}} (\Delta^0\{0\} \star \Delta^0\{n\}),$$

we deduce that the composite  $d^n \colon \Delta^{n-1} \to \Delta^n$  is a collapsible extension.

**Definition 2.45.** The *spine* Sp(*n*) of the standard simplicial set  $\Delta^n$  is the union of all edges  $\Delta^1\{i, i+1\}$  for  $0 \le i \le n-1$ .

**Lemma 2.46.** Let  $\Lambda_k^n$  be an inner horn. Then the inclusion  $\text{Sp}(n) \to \Lambda_k^n$  is an inner collapsible extension (compare with [36, Proposition 2.13]).

*Proof.* The statement is clear for n = 2. When  $n \ge 3$ , we prove the stronger statement that the following inclusion is an inner collapsible extension:

$$\Delta^{n-1} \cup_{\Delta^0\{n-1\}} \Delta^1\{n-1,n\} \to \Lambda^n_k$$

Then the lemma follows by applying the result successively.

To show the stronger statement, we show that

$$f: S := \Delta^{n-1} \cup_{\Delta^{n-2}\{1,\dots,n-1\}} \Delta^{n-1}\{1,\dots,n\} \to \Lambda^n_k$$

is an inner collapsible extension, then the stronger statement follows by induction. All the missing simplices in *S* are those containing  $\Delta^1\{0,n\}$ , thus filling a horn of *S* corresponds to filling a horn in  $\Delta^{n-2}\{1, \ldots, n-1\}$ . Since  $\Lambda_{k-1}^{n-2}$  is collapsible,  $\Lambda_k^n$  can be obtained from *S* by filling horns. Since every horn filled contains  $\Delta^1\{0,n\}$ , it is an inner horn. This shows that *f* is an inner collapsible extension.

# **3** Higher Groupoid Actions

We have seen that a groupoid can be equivalently given by its nerve, which is a Kan complex satisfying appropriate unique Kan conditions. In this chapter, we establish a similar result for Lie groupoids, which leads to a notion of higher groupoids in a category with a singleton Grothendieck pretopology. We then study higher groupoid Kan fibrations, which can be viewed as actions of higher groupoids. Next, we define principal bundles of higher groupoids by pairs of a Kan fibration and an acyclic fibration.

# **3.1** Singleton Grothendieck pretopology

In Definition 1.2, we require the source and target maps to be surjective submersions. This ensures that the space of composable arrows is a manifold. The collection of surjective submersions in Mfd is a singleton Grothendieck pretopology:

**Definition 3.1.** Let  $\mathscr{C}$  be a locally small category. A *singleton Grothendieck pretopology* (henceforth pretopology) on  $\mathscr{C}$  is a collection of morphisms  $\mathcal{T}$ , called *covers*, such that

- (i) isomorphisms are covers;
- (ii) composites of covers are covers;
- (iii) if  $Y \to X$  is a cover, then, for any morphism  $Z \to X$ , the pullback  $Y \times_X Z$  is representable and  $Y \times_X Z \to Z$  is a cover.

In the sequel, we assume further that the category  $\mathscr{C}$  has a terminal object 1 and that, for every object  $X \in \mathscr{C}$ , the map  $X \to 1$  is a cover.

**Example 3.2.** The notion of pretopology is a natural generalisation of the usual notion of covering, as shown by the following examples:

- (i) surjections in Sets, denoted by (Sets,  $\mathcal{T}_{surj}$ );
- (ii) open surjections in Top, denoted by  $(Top, \mathcal{T}_{open})$ ;
- (iii) surjective local homeomorphisms in Top, denoted by  $(Top, \mathcal{T}_{\acute{e}t})$ ;
- (iv) surjective submersions in Mfd, denoted by (Mfd,  $\mathcal{T}_{subm}$ );
- (v) surjective local diffeomorphism in Mfd, denoted by (Mfd,  $\mathcal{T}_{\acute{e}t}$ ).
- In particular, given an open cover  $\{Y_i\}_{i \in I}$  of X in Top, let  $Y = \bigsqcup_{i \in I} Y_i$ . Then the natural map  $Y \to X$  is a cover in (Top,  $\mathcal{T}_{open}$ ) and (Top,  $\mathcal{T}_{\acute{e}t}$ ).

Let  $(\mathcal{C}, \mathcal{T})$  be a category with a pretopology throughout this chapter.

**Definition 3.3.** A presheaf  $f: \mathscr{C}^{\text{op}} \to \text{Sets}$  is a *sheaf* with respect to  $\mathcal{T}$  if

$$f(X) \longrightarrow f(Y) \Longrightarrow f(Y \times_X Y)$$

is an equaliser diagram for every cover  $Y \rightarrow X$ . Morphisms of sheaves are morphisms of the underlying presheaves.

The sheaf condition generalises the fact that, if  $\{Y_i\}$  is an open cover of a topological space *X*, then a function on *X* is the same as a matching family of functions on  $\{Y_i\}$ . *Remark* 3.4. The category of sheaves on  $\mathscr{C}$  with respect to  $\mathcal{T}$  is a full subcategory of the

*Remark* 5.4. The category of sheaves on C with respect to 7 is a full subcategory of the category of presheaves on C. A presheaf limit of sheaves is a sheaf, and a finite presheaf colimit of sheaves is a sheaf.

**Definition 3.5.** A pretopology  $\mathcal{T}$  on  $\mathscr{C}$  is *subcanonical* if every representable presheaf is a sheaf with respect to  $\mathcal{T}$ .

**Lemma 3.6.** A pretopology  $\mathcal{T}$  on  $\mathscr{C}$  is subcanonical if and only if every cover is an effective epimorphism.<sup>1</sup>

*Proof.* If  $\mathcal{T}$  is subcanonical, then for every object Z in  $\mathscr{C}$ , the diagram

 $hom(X,Z) \longrightarrow hom(Y,Z) \Longrightarrow hom(Y \times_X Y,Z)$ 

is an equaliser diagram for every cover  $Y \rightarrow X$ . It follows from the definition of limits that

$$X \longleftarrow Y \overleftarrow{\longrightarrow} Y \times_X Y \tag{3.1}$$

is a coequaliser diagram for every cover  $Y \to X$ . Thus  $Y \to X$  is an effective epimorphism. It is easy to check the converse, and we are done.

**Example 3.7.** All five examples in Example 3.2 are subcanonical. An example of a non-subcanonical pretopology is the pretopology on Sets whose covers are given by all morphisms; notice that not every cover is an effective epimorphism.

#### 3.1.1 Internal groupoids

A category  $\mathscr{C}$  with a pretopology has enough pullbacks to define groupoids in it.

**Definition 3.8.** A groupoid object G in  $(\mathcal{C}, \mathcal{T})$  consists of two objects  $G_0$  and  $G_1$  with five structure maps: source and target  $s,t: G_0 \to G_1$  in  $\mathcal{T}$ , unit u, inverse i, and composition  $\circ: G_1 \times_{s,G_0,t} G_1 \to G_1$  satisfying identities as in Definition 1.1.

*Remark* 3.9. Lie groupoids are groupoids in (Mfd,  $\mathcal{T}_{subm}$ ). Almost all results in Chapter 1 can be translated to groupoids in  $(\mathcal{C}, \mathcal{T})$  if  $(\mathcal{C}, \mathcal{T})$  satisfies some additional axioms; this is discussed thoroughly by Meyer and Zhu [51]. These axioms are fulfilled by each case in Example 3.2. In particular, there is a 2-category BUN given by HS bundles, and Theorem 1.76 is also valid; see also [21] for some special cases.

Since the source and target maps are covers, the space of composable pairs of arrows  $G_1 \times_{s,G_0,t} G_1$  is representable. Likewise, the space of composable chains of arrows of length n,

 $G_1 \times_{\mathsf{s},G_0,\mathsf{t}} G_1 \times_{\mathsf{s},G_0,\mathsf{t}} \cdots \times_{\mathsf{s},G_0,\mathsf{t}} G_1,$ 

is representable. Thus the nerve of a groupoid in  $(\mathcal{C}, \mathcal{T})$  is a simplicial object in  $\mathcal{C}$ .

<sup>&</sup>lt;sup>1</sup>A morphism  $f: Y \to X$  in  $\mathscr{C}$  is an effective epimorphism if (3.1) is a coequaliser diagram. An effective epimorphism is an epimorphism [13].

# 3.2 The Hom functor

Let *S* be a finite simplicial set and *X* a simplicial object in a locally small category  $\mathscr{C}$ . We will describe a presheaf on  $\mathscr{C}$  as "the space of diagrams of shape *S* in *X*", denoted by Hom(*S*, *X*). This is not the naive hom; when  $\mathscr{C}$  is Sets, the two notions coincide.

First, take S a finite set and X an object in  $\mathcal{C}$ . Since S and X are objects in two different categories, hom(S, X) does not make sense. We define Hom(S, X) to be the presheaf

$$Z \mapsto \hom(Z, X)^S, \quad Z \in \mathscr{C}$$

where  $hom(Z, X)^S$  is the *S*-power<sup>2</sup> of the set hom(Z, X). If  $\mathscr{C}$  has *S*-copowers  $\otimes$ , then we may write  $Hom(S, X) = hom(S \otimes -, X)$ . If  $\mathscr{C}$  is Sets, then Hom(S, X) is the presheaf represented by hom(S, X).

Given two simplicial sets R and S, we observe that the set of simplicial morphisms hom(R, S) fits into an equaliser diagram (more precisely, an end [47, Section IX.5]),

 $\hom(R,S) \longrightarrow \prod_{i} \hom(R_{i},S_{i}) \Longrightarrow \prod_{[i] \to [i]} \hom(R_{i},S_{i}),$ 

where  $[j] \rightarrow [i]$  runs over all morphisms in  $\Delta$ . This allows us to make the following definition.

**Definition 3.10.** Let *S* be a finite simplicial set, *X* a simplicial object in  $\mathscr{C}$ . We define Hom(*S*, *X*) to be the presheaf on  $\mathscr{C}$  given by the equaliser diagram

$$\operatorname{Hom}(S,X) \longrightarrow \prod_{j} \operatorname{Hom}(S_{j},X_{j}) \Longrightarrow \prod_{[j] \to [i]} \operatorname{Hom}(S_{i},X_{j}).$$

Let  $R \to S$  be a morphism of finite simplicial sets, and let  $X \to Y$  be a morphism of simplicial objects in  $\mathscr{C}$ . The presheaf Hom $(R \to S, X \to Y)$  is the pullback

$$\operatorname{Hom}(R \to S, X \to Y) := \operatorname{Hom}(R, X) \times_{\operatorname{Hom}(R,Y)} \operatorname{Hom}(S,Y)$$

*Remark* 3.11. As before, if  $\mathscr{C}$  has copowers, then Hom(S, X) has a simpler form

$$Z \mapsto \hom(S \otimes Z, X), \quad Z \in \mathscr{C},$$

where  $S \otimes Z$  is the simplicial object induced by levelwise copower. Similarly,  $Hom(R \rightarrow S, X \rightarrow Y)$  is given by

$$Z \mapsto \hom(R \otimes Z \to S \otimes Z, X \to Y), \quad Z \in \mathscr{C}.$$

**Example 3.12.** If  $S = \Delta^n$ , then Hom $(S, X) = hom(-, X_n)$ , the presheaf represented by  $X_n$ .

Recall that the hom functor turns colimits in the first variable into limits and preserves limits in the second variable. Since limits commute with equalisers, the Hom functor turns colimits in the first variable into limits and preserves limits in the second variable. It follows that all lemmas we established in Section 2.3.2 remain valid for Hom.

Recall that a simplicial set *S* is the colimit of standard simplices over the category of simplices of *S*. Thus we can compute Hom(S, X) as a limit of presheaves  $hom(-, X_n)$  over the same category. Remark 2.15 indicates that the limit defining hom(S, X) often simplifies considerably by choosing a smaller subcategory.

<sup>&</sup>lt;sup>2</sup>Let  $\mathscr{C}$  be a category, and *S* be a finite set. Recall from [47, Chapter III] that the *S*-power of an element  $Z \in \mathscr{C}$  is the product of *S* copies of *Z*, denoted by  $Z^S$ , and that the *S*-copower of *Z* is the coproduct of *S* copies of *Z*, denoted by  $S \otimes Z$ .

# **3.3** Higher groupoids in a category with pretopology

We are now ready to give an alternative definition of Lie groupoids in terms of simplicial manifolds. Indeed, we will define higher groupoids in a category with a pretopology.

Let G be a groupoid in  $(\mathcal{C}, \mathcal{T})$  as in Definition 3.8. Its nerve NG is a simplicial objects in  $\mathcal{C}$ . Let us consider Kan conditions for NG. First, the map

$$G_1 = \operatorname{Hom}(\Delta^1, G) \to \operatorname{Hom}(\Lambda^1_0, G) = G_0$$

is t:  $G_1 \to G_0$ , so it is a cover. Similarly,  $\operatorname{Hom}(\Delta^1, G) \to \operatorname{Hom}(\Lambda^1_1, G)$  is also a cover. Second, the map

$$\operatorname{Hom}(\Delta^2, G) \to \operatorname{Hom}(\Lambda^2_1, G)$$

is an isomorphism in  $\mathscr{C}$ . Similar to the case of Sets, we can show that

$$\operatorname{Hom}(\Delta^m, G) \to \operatorname{Hom}(\Lambda^m_k, G)$$

is an isomorphism for any  $m \ge 2$  and  $0 \le k \le m$ . This suggests that we shall replace surjections in Kan conditions by covers in  $\mathcal{T}$ .

**Definition 3.13.** A simplicial object X in  $(\mathcal{C}, \mathcal{T})$  satisfies the *Kan condition* Kan(m, k) if the natural morphism

$$\operatorname{Hom}(\Delta^m, X) \to \operatorname{Hom}(\Lambda^m_k, X)$$

is a cover. It satisfies the *unique Kan condition* Kan!(m, k) if this morphism is an isomorphism in  $\mathscr{C}$ . In both cases, the representability of the presheaf Hom $(\Lambda_k^m, X)$  is assumed.

**Definition 3.14.** An *n*-groupoid object (for  $0 \le n \le \infty$ ) in  $(\mathcal{C}, \mathcal{T})$  is a simplicial object X in  $\mathcal{C}$  that satisfies Kan(m, j) for all  $m \ge 1$  and  $0 \le k \le m$  and Kan!(m, k) for all m > n and  $0 \le k \le m$ .

*Remark* 3.15. This definition is due to Henriques [32]. Duskin [24, 29] defined a notion of *n*-hypergroupoid, which is a simplicial set X satisfying only the conditions Kan!(m, k) for m > n and  $0 \le k \le m$ .

*Remark* 3.16. The conditions Kan(1, j) are automatic for simplicial *sets* because the degeneracy map provides a section, but they are not automatic in general. These conditions tell us that face maps from  $X_1$  to  $X_0$  are covers.

*Remark* 3.17. The conditions Kan(l,k) for  $1 \le l < m$  imply that  $\text{Hom}(\Lambda_j^m, X)$  is representable. This is a special case of the proof of Lemma 3.20 below.

**Example 3.18.** A 0-groupoid is a constant simplicial object  $sk_0 X_0$  given by an object  $X_0$  of  $\mathscr{C}$ . Simplicial sets of this type are usually called discrete; this would, however, cause confusion for simplicial topological spaces. To avoid this, we call them 0-groupoids or trivial groupoids.

**Example 3.19.** A 1-groupoid is the nerve of a groupoid object in  $(\mathcal{C}, \mathcal{T})$  in the sense of Definition 3.8; the object space is  $X_0$ , the arrow space is  $X_1$ , the target and source maps are  $d_0, d_1 \colon X_1 \to X_0$ , respectively, and the unit is  $s_0 \colon X_0 \to X_1$ . The composition is the composite map

 $\operatorname{Hom}(\Lambda_1^2, X) \cong \operatorname{Hom}(\Delta^2, X) = X_2 \xrightarrow{\mathsf{d}_1} X_1,$ 

where the condition Kan!(2,1) is used. The condition Kan!(3,1) or Kan!(3,2) implies that the composition is associative. The conditions Kan!(2,0) and Kan!(2,2) give left and right inverses, respectively.

The Kan conditions imply that various maps of the form  $\text{Hom}(S, X) \rightarrow \text{Hom}(T, X)$  for simplicial sets  $T \subseteq S$  are covers. The lemma below and Lemma 3.29 later will be used to solve most cases of representability problems. They are similar to [32, Lemma 2.4].

**Lemma 3.20.** Let T be a collapsible extension of a simplicial set S and let X be a higher groupoid in  $(\mathcal{C}, \mathcal{T})$ . Assume that  $\operatorname{Hom}(S, X)$  is representable. Then  $\operatorname{Hom}(T, X)$  is representable and the map  $\operatorname{Hom}(T, X) \to \operatorname{Hom}(S, X)$  is a cover.

*Proof.* Let  $S = S_0 \subset S_1 \subset \cdots \subset S_l = T$  be a filtration as in Definition 2.39. Since composites of covers are covers, we may assume without loss of generality that l = 1, so that  $T = S \cup_{\Lambda_j^n} \Delta^n$  for some n, j. Since X is a higher groupoid,  $\operatorname{Hom}(\Delta^n, X) \to \operatorname{Hom}(\Lambda_j^n, X)$  is a cover and  $\operatorname{Hom}(\Lambda_j^n, X)$  is representable. Then

$$\operatorname{Hom}(T,X) = \operatorname{Hom}(S,X) \times_{\operatorname{Hom}(\Lambda^n_i,X)} \operatorname{Hom}(\Delta^n,X)$$

is representable, and the map  $\text{Hom}(T, X) \to \text{Hom}(S, X)$  is a cover because it is a pullback of a cover.

For a category, both Kan!(3,1) and Kan!(3,2) express the associativity of the composition. As a generalisation, we have

**Lemma 3.21.** Let X be a simplicial object in  $(\mathcal{C}, \mathcal{T})$  satisfying Kan!(n, k) for 0 < k < n. If Kan! $(n + 1, j_0)$  holds for some  $j_0$  with  $0 < j_0 < n + 1$ , then Kan!(n + 1, j) hold for all 0 < j < n + 1. The statement is still true if we replace the conditions 0 < k < n and 0 < j < n + 1, by  $0 < k \le n$  and  $0 < j \le n + 1$ , or by  $0 \le k < n$  and  $0 \le j < n + 1$ .

*Proof.* Fix 0 < i < j < n + 1. Let *S* be the simplicial set generated by all *n*-simplices of  $\Delta^{n+1}$  containing  $\{i, j\}$ . Attaching to *S* the *n*-simplex without  $\{j\}$  gives  $\Lambda_i^{n+1}$ ; that is

$$\Lambda_i^{n+1} = S \cup_{\Lambda_i^n \{0,\ldots,\hat{j},\ldots,n+1\}} \Delta^n \{0,\ldots,\hat{j},\ldots,n+1\}.$$

Similarly,

$$\Lambda_{j}^{n+1} = S \cup_{\Lambda_{j-1}^{n}\{0,\dots,\hat{j},\dots,n+1\}} \Delta^{n}\{0,\dots,\hat{i},\dots,n+1\}.$$

Applying Kan!(n,i) and Kan!(n,j-1), we deduce that

$$\operatorname{Hom}(\Lambda_i^{n+1}, X) \cong \operatorname{Hom}(S, X) \cong \operatorname{Hom}(\Lambda_i^{n+1}, X).$$

Therefore, if Kan!(n + 1, i) holds, that is,

$$\operatorname{Hom}(\Delta^{n+1}, X) \cong \operatorname{Hom}(\Lambda_i^{n+1}, X)$$

then  $\operatorname{Kan}!(n+1, j)$  also holds. The rest follows immediately.

*Remark* 3.22. The notion of being coskeletal is easy to adapt to simplicial objects. An argument parallel to the proof of Proposition 2.37 shows that an *n*-groupoid object X in  $(\mathcal{C}, \mathcal{T})$  is (n + 1)-coskeletal, hence X is determined by  $X_{\leq n+2}$ . Equivalently, X is fully determined by  $X_{\leq n}$  together with (n + 1)-multiplications, which come from Kan!(n + 1, k) for  $0 \leq k \leq n+1$ . Moreover, these (n+1)-multiplications satisfy, among other things, some compatibility conditions that come from Kan!(n + 2, k) for  $0 \leq k \leq n+2$ . Lemma 3.21 implies that it suffices to verify Kan!(n + 2, k) for a single  $0 \leq k \leq n+2$ .

# **3.4 Higher groupoid Kan fibrations**

Our definition of a groupoid action is based on the notion of Kan fibrations between simplicial objects in a category with pretopology.

**Definition 3.23.** Let  $f: X \to Y$  be a morphism of simplicial objects in  $(\mathscr{C}, \mathcal{T})$ . We say that f satisfies the *Kan condition*  $\operatorname{Kan}(n, j)$  if the presheaf  $\operatorname{Hom}(\Lambda_j^n \to \Delta^n, X \to Y)$  is representable and the canonical map

 $X_n = \operatorname{Hom}(\Delta^n, X) \to \operatorname{Hom}(\Lambda^n_i \to \Delta^n, X \to Y)$ 

is a cover. If this map is an isomorphism, then we say that f satisfies the *unique Kan* condition Kan!(n, j). We call f a Kan fibration if it satisfies Kan(m, j) for all  $m \ge 1$ ,  $0 \le j \le m$ .

*Remark* 3.24. Since  $\mathscr{C}$  has a terminal object **1**, the natural map  $X \to \mathbf{1}$  is a Kan fibration if and only if X is an  $\infty$ -groupoid in  $(\mathscr{C}, \mathcal{T})$ .

**Definition 3.25.** Let  $f: X \to Y$  be a morphism between *n*-groupoids in  $(\mathcal{C}, \mathcal{T})$ . Suppose that *f* is a Kan fibration. We call *f* an *n*-groupoid Kan fibration if, in addition, the unique Kan conditions Kan!(n, k) for  $0 \le k \le n$  are satisfied.

*Remark* 3.26. The definition of *n*-groupoid Kan fibration has a stronger condition than that of Definition 3.23. It is easy to see that, if *X* and *Y* are *n*-groupoids, then Kan!(m,k) for m > n hold automatically.

*Remark* 3.27. We may allow in Definition 3.25 that X is only a simplicial object. Proposition 3.32 below implies that X will be an *n*-groupoid in  $(\mathcal{C}, \mathcal{T})$ . Composing  $f: X \to Y$  with  $Y \to \mathbf{1}$ , we see that X satisfies the desired Kan conditions.

The following lemma is a relative version of Lemma 3.21.

**Lemma 3.28.** Let  $f: X \to Y$  be a morphism of simplicial objects in  $(\mathcal{C}, \mathcal{T})$  satisfying Kan!(n,k) for 0 < k < n. If f satisfies Kan! $(n + 1, j_0)$  for some  $j_0$  with  $0 < j_0 < n + 1$ , then it satisfies Kan!(n + 1, j) for all 0 < j < n + 1. The statement remains true if we replace the conditions 0 < k < n and 0 < j < n + 1 by  $0 < k \le n$  and  $0 < j \le n + 1$ , or by  $0 \le k < n$  and  $0 \le j < n + 1$ .

*Proof.* The proof is similar to that of Lemma 3.21. Fix 0 < i < j < n + 1. Let *S* be the simplicial set generated by all *n*-simplices of  $\Delta^{n+1}$  containing  $\{i, j\}$ . We have

$$\Lambda_{i}^{n+1} = S \cup_{\Lambda_{i}^{n}\{0,\dots,\hat{j},\dots,n+1\}} \Delta^{n}\{0,\dots,\hat{j},\dots,n+1\},\$$
$$\Lambda_{j}^{n+1} = S \cup_{\Lambda_{i-1}^{n}\{0,\dots,\hat{j},\dots,n+1\}} \Delta^{n}\{0,\dots,\hat{i},\dots,n+1\}.$$

Corollary 2.24 implies a pullback square

$$\begin{split} \operatorname{Hom}(\Lambda_{i}^{n+1} \to \Delta^{n+1}, f) & \longrightarrow \operatorname{Hom}(\Delta^{n}\{\hat{j}\}, f) \\ & \downarrow \\ & \downarrow \\ \operatorname{Hom}(S \to \Delta^{n+1}, f) & \longrightarrow \operatorname{Hom}(\Lambda_{i}^{n}\{\hat{j}\} \to \Delta^{n}\{\hat{j}\}, f) \;, \end{split}$$

where  $\Delta^n{\{\hat{j}\}}$  and  $\Lambda^n_i{\{\hat{j}\}}$  are short for  $\Delta^n{\{0,\ldots,\hat{j},\ldots,n+1\}}$  and  $\Lambda^n_i{\{0,\ldots,\hat{j},\ldots,n+1\}}$ , respectively. Applying Kan!(n,i) for f gives

$$\operatorname{Hom}(\Lambda_i^{n+1} \to \Delta^{n+1}, f) \cong \operatorname{Hom}(S \to \Delta^{n+1}, f).$$

Similarly, Kan!(n, j - 1) for f implies that

$$\operatorname{Hom}(\Lambda_i^{n+1} \to \Delta^{n+1}, f) \cong \operatorname{Hom}(S \to \Delta^{n+1}, f).$$

Therefore, if f satisfies Kan!(n + 1, i), that is,

$$\operatorname{Hom}(\Delta^{n+1}, X) \cong \operatorname{Hom}(\Lambda^{n+1}_i \to \Delta^{n+1}, f),$$

then f satisfies Kan!(n + 1, j). The rest follows immediately.

We now show that the assumption of representability in Definition 3.23 is not necessary to define a higher groupoid Kan fibration; the conditions Kan(l,i) for l < m ensure that the presheaves  $\text{Hom}(\Lambda_i^m \to \Delta^m, X \to Y)$  are representable.

**Lemma 3.29.** Let  $k \ge 0$  and let  $\Delta^0 \to S \subset \Delta^k$  be collapsible extensions of simplicial sets. Let  $f: X \to Y$  be a morphism of simplicial objects in  $\mathscr{C}$ . Assume that f satisfies  $\operatorname{Kan}(m, j)$  for m < k and  $0 \le j \le m$  and that  $\operatorname{Hom}(\Delta^0 \to \Delta^k, f) = X_0 \times_{Y_0} Y_k$  is representable. Then the presheaf  $\operatorname{Hom}(S \to \Delta^k, f)$  is representable. Furthermore, if  $\Delta^0 \subset S \subset T \subset \Delta^k$  are collapsible extensions, then  $\operatorname{Hom}(T \to \Delta^k, f) \to \operatorname{Hom}(S \to \Delta^k, f)$  is a cover.

*Proof.* The difference of this lemma to [32, Lemma 2.4] is that we do not require  $X_0 = Y_0 = \mathbf{1}$ . The induction step is the same as in [32]. The base case of the induction holds by assumption. For the induction step, suppose that  $S_i = S_{i-1} \cup_{\Lambda_{j_i}^{n_i}} \Delta^{n_i}$ , and that the statement is true for  $S_{i-1}$ . Corollary 2.24 gives a pullback square

By assumption,  $\text{Hom}(S_{i-1} \to \Delta^n, X \to Y)$  is representable and the map

$$\operatorname{Hom}(\Delta^{n_i}, X) \to \operatorname{Hom}(\Lambda^{n_i}_{j_i} \to \Delta^{n_i}, X \to Y)$$

is a cover. Thus the presheaf Hom $(S_i \to \Delta^n, X \to Y)$  is representable, and

$$\operatorname{Hom}(S_i \to \Delta^n, X \to Y) \to \operatorname{Hom}(S_{i-1} \to \Delta^n, X \to Y)$$

is a cover. This proves the first statement. The second statement follows because composites of covers are covers.  $\hfill \Box$ 

*Remark* 3.30. If *Y* is a higher groupoid in  $(\mathcal{C}, \mathcal{T})$ , then  $X_0 \times_{Y_0} Y_k$  is representable, since  $Y_k \to Y_0$  is a cover by Lemma 3.20.

The argument above still works if we replace  $S \to T \to \Delta^n$  by arbitrary collapsible extensions  $A \to B \to C$ .

 $\Box$ 

**Corollary 3.31.** Let  $f: X \to Y$  be a Kan fibration between simplicial objects in  $(\mathcal{C}, \mathcal{T})$ . Let  $A \to B \to C$  be collapsible extensions of finite simplicial sets. Assume that  $\text{Hom}(A \to C, X \to Y)$  is representable. Then  $\text{Hom}(B \to C, X \to Y)$  is representable, and

 $\operatorname{Hom}(B \to C, X \to Y) \to \operatorname{Hom}(A \to C, X \to Y)$ 

is a cover (compare with [32, Lemma 2.10]).

#### **3.4.1** Composition of Kan fibrations

Composites of Kan fibrations are again Kan fibrations for simplicial sets. We show a similar result for Kan fibrations of simplicial objects in  $(\mathcal{C}, \mathcal{T})$ .

**Proposition 3.32.** Let  $f: X \to Y$  and  $g: Y \to Z$  be morphisms of simplicial objects in  $\mathscr{C}$ . If  $\operatorname{Hom}(\Lambda_j^n \to \Delta^n, X \to Z)$  is representable and f and g satisfy  $\operatorname{Kan}(n, j)$ , then so does  $g \circ f$ . If f and g satisfy  $\operatorname{Kan}!(n, j)$ , then so does  $g \circ f$ .

Proof. Lemma 2.22 gives a pullback square:

The condition  $\operatorname{Kan}(n, j)$  for g implies that  $\operatorname{Hom}(\Delta^n, Y) \to \operatorname{Hom}(\Lambda_j^n \to \Delta^n, Y \to Z)$  is a cover. Therefore, the map  $\operatorname{Hom}(\Lambda_j^n \to \Delta^n, X \to Y) \to \operatorname{Hom}(\Lambda_j^n \to \Delta^n, X \to Z)$  is a cover. The condition  $\operatorname{Kan}(n, j)$  for f gives a cover  $\operatorname{Hom}(\Delta^n, X) \to \operatorname{Hom}(\Lambda_j^n \to \Delta^n, X \to Y)$ . Thus the map  $\operatorname{Hom}(\Delta^n, X) \to \operatorname{Hom}(\Lambda_j^n \to \Delta^n, X \to Z)$ , being the composite of two covers, is a cover. This proves the first claim. The second claim follows similarly.  $\Box$ 

**Corollary 3.33.** Composites of Kan fibrations of simplicial objects in  $(\mathcal{C}, \mathcal{T})$  are again Kan fibrations.

#### 3.4.2 The fibre of a Kan fibration

Given a higher groupoid Kan fibration, its fibre is a well-defined higher groupoid.

**Definition 3.34.** Let  $f: X \to Y$  be a higher groupoid Kan fibration in  $(\mathscr{C}, \mathcal{T})$ . The *fibre* of *f* is the simplicial object  $\operatorname{Fib}(f)$  with  $\operatorname{Fib}(f)_k = \operatorname{Hom}(\Delta^k \to \Delta^0, X \to Y)$  and with face and degeneracy maps induced by morphisms in  $\Delta$ .

Remark 3.35. The functor

$$S \mapsto \operatorname{Hom}(S \to \Delta^0, X \xrightarrow{f} Y), \quad S \in SSet,$$

sends colimits to limits. We claim that there is a natural isomorphism

$$\operatorname{Hom}(S \to \Delta^0, X \xrightarrow{f} Y) \cong \operatorname{Hom}(S, \operatorname{Fib}(f))$$

for every  $S \in SSet$ . First, this isomorphism holds for  $S = \Delta^n$  by definition. The claim then follows by taking limits on both sides.

**Lemma 3.36.** The presheaf Hom $(\Delta^k \to \Delta^0, X \to Y)$  is representable in  $\mathcal{C}$ , and Fib(f) is a simplicial object in  $\mathcal{C}$ . Moreover, Fib(f) is a higher groupoid in  $(\mathcal{C}, \mathcal{T})$ .

If f is an n-groupoid Kan fibration, then Fib(f) is an (n-1)-groupoid in  $(\mathcal{C}, \mathcal{T})$ .

*Proof.* First,  $\text{Hom}(\Delta^0 \xrightarrow{0} \Delta^k, X \to Y)$  is representable. Since  $\Delta^0 \xrightarrow{0} \Delta^k$  is a collapsible extension, Lemma 3.29 implies that the map

$$X_k \to \operatorname{Hom}(\Delta^0 \xrightarrow{0} \Delta^k, X \to Y)$$

is a cover in C. Lemma 2.22 gives a pullback square

thus Hom $(\Delta^k \to \Delta^0, X \to Y)$  is representable and Fib(f) is a simplicial object in  $\mathscr{C}$ .

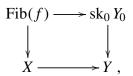
To prove Kan conditions for Fib(f), we consider the following pullback square implied by Lemma 2.22:

Remark 3.35 shows that Kan(k,i) or Kan!(k,i) of f implies the same for Fib(f).

### 3.4.3 Pullbacks of Kan fibrations

A pullback of a Kan fibration of simplicial sets is still a Kan fibration. We now prove a similar result for pullbacks of higher groupoid Kan fibrations in  $(\mathcal{C}, \mathcal{T})$ .

It is clear that Fib(f) fits into a pullback diagram



where  $sk_0 Y_0$  is the constant simplicial object with value  $Y_0$ .

**Proposition 3.37.** Let P be the pullback (as a simplicial object in presheaves on  $\mathscr{C}$ ) of the following diagram



where X,Y,Z are higher groupoids in  $(\mathcal{C},\mathcal{T})$ . Assume that  $X \to Y$  is a Kan fibration and that  $P_0$  is representable. Then P is a higher groupoid object in  $(\mathcal{C},\mathcal{T})$  and  $P \to Z$  is a Kan fibration.

*Proof.* For any morphism of simplicial sets  $A \rightarrow B$ , Lemma 2.23 yields a pullback square

Let  $A \to B$  be a collapsible extension  $\Delta^0 \to B$ . Since  $\operatorname{Hom}(B,Z) \to Z_0$  is a cover by Lemma 3.20 and  $P_0$  is representable, we deduce that  $\operatorname{Hom}(\Delta^0 \to B, P \to Z) = P_0 \times_{Z_0} \operatorname{Hom}(B,Z)$  is representable. Lemma 3.29 implies that  $\operatorname{Hom}(B,X) \to \operatorname{Hom}(\Delta^0 \to B, X \to Y)$  is a cover, hence  $\operatorname{Hom}(B,P)$  is representable. In particular, P is a simplicial object in  $\mathscr{C}$ .

Next let  $\Delta^0 \to A \to B$  be collapsible extensions. We consider the pullback diagram

Lemma 3.20 implies that  $\text{Hom}(B, Z) \to \text{Hom}(A, Z)$  is a cover, hence  $\text{Hom}(A \to B, P \to Z)$  is representable. Considering the pullback square (3.2), we deduce that  $\text{Hom}(B, P) \to \text{Hom}(A \to B, P \to Z)$  is a cover because  $X \to Y$  is a Kan fibration. This proves that  $P \to Z$  is a Kan fibration.

For examples of Kan fibrations we refer to the next section after we clarify what Kan fibrations mean in the setting of higher groupoid actions.

## 3.5 Higher groupoid actions

Let us first recall Lie groupoid actions. Let  $(J, \mu)$  be a *G*-action on a manifold *E*. The action groupoid *A* is a Lie groupoid with  $A_0 = E$ ,  $A_1 = E \times_{G_0,t} G_1$ , s(x,g) = xg, and t(x,g) = x. The natural projection  $\pi \colon A \to G$ , given by  $\pi_1 = \text{pr}_G$ , and  $\pi_0 = J \colon E \to G_0$ , is a Lie groupoid functor.

**Lemma 3.38.** The projection  $\pi: A \to G$  is a Lie 1-groupoid Kan fibration.<sup>3</sup>

*Proof.* The condition Kan!(1,0) for  $\pi$  says that  $A_1 \to A_0 \times_{\pi_0,G_0,t} G_1$  is an isomorphism. This follows from the construction of  $A_1$ . The condition Kan!(1,1) follows by applying the inverse map. Higher Kan conditions hold automatically, and we are done.  $\Box$ 

The converse is also true: given a Lie 1-groupoid Kan fibration  $\pi \colon A \to G$ , there is a natural action of *G* on  $A_0$  with action groupoid *A*. Thus, the Kan conditions combine all the data for a groupoid action. This motivates the following definition.

**Definition 3.39.** An *action* of an *n*-groupoid object *G* in  $(\mathcal{C}, \mathcal{T})$  is an *n*-groupoid Kan fibration  $A \xrightarrow{\pi} G$ .

<sup>&</sup>lt;sup>3</sup>Such Lie 1-groupoid Kan fibrations are also called discrete fibrations in the literature.

We shall justify our definition for 2-groupoids in Chapter 5. Theorem 5.12 shows that such a 2-groupoid Kan fibration is equivalent to a categorified action of a 2-groupoid.

*Remark* 3.40. In the category of sets, similar subjects have been studied: Duskin [24] defined a notion of *n*-torsors for  $K(\Pi, n)$ , which is a simplicial model for the Eilenberg–MacLane space. Glenn [29] studied similar higher actions for Duskin's *n*-hypergroupoids (as in Remark 3.15). The action only asks Kan conditions for m > n as well. Later Baković [4] applies such actions to 2-groupoids, which are called bigroupoids in [4], (thus lower Kan conditions are required as well), but the actions still have no lower Kan conditions. The author shows that a categorified action gives an action of a 2-groupoid in the sense of Glenn. But the opposite direction is missing. Indeed, the lower Kan conditions must be required for this direction, as we can see in the reconstruction procedure in Section 5.3.

*Remark* 3.41. The fibre of an *n*-groupoid Kan fibration  $\pi : A \to G$  is an (n - 1)-groupoid by Proposition 3.36. Intuitively, we may thought of *G* acts on the fibre as from the right (left) if we use Kan(1,0) (Kan(1,1)) to compose a 0-simplex in Fib( $\pi$ ) and a 1-simplex in *G*.

**Example 3.42.** For a higher groupoid G in  $(\mathscr{C}, \mathcal{T})$ , the identity map  $G \xrightarrow{\text{id}} G$  is a Kan fibration. The fibre Fib(id) =  $\text{sk}_0 G_0$  is a 0-groupoid. This Kan fibration describes the obvious action of G on its own object space  $G_0$ . This action may be regarded as the universal action of G.

**Example 3.43.** Given an *n*-groupoid *G* in  $(\mathcal{C}, \mathcal{T})$ , the *décalage* of Illusie [34] dec(*G*) is the simplicial object obtained by shifting the simplicial degree up by one. More precisely, the simplicial object dec(*G*) is characterised by the adjunction

Hom
$$(S \star \Delta^0, G)$$
 = Hom $(S, \text{dec}(G))$ , for any  $S \in SSet$  and  $S \neq \emptyset$ .

where  $\star$  is the join operation (Definition 2.40). There is a natural map  $\pi$ : dec(*G*)  $\to$  *G* induced by the inclusions  $S \hookrightarrow S \star \Delta^0$ . Replacing  $S \star \Delta^0$  by  $\Delta^0 \star S$  gives a symmetric construction  $\pi'$ : dec'(*G*)  $\to$  *G*. We have

$$\operatorname{Hom}(\Lambda_i^k \to \Delta^k, \operatorname{dec}(G) \to G) \cong \operatorname{Hom}(\Lambda_i^k \star \Delta^0, G) \times_{\operatorname{Hom}(\Lambda_i^k, G)} \operatorname{Hom}(\Delta^k, G))$$
$$= \operatorname{Hom}(\Lambda_i^k \star \Delta^0 \cup_{\Lambda_i^k} \Delta^k, G)$$
$$= \operatorname{Hom}(\Lambda_i^{k+1}, G),$$

where the last identify follows from Equation (2.4). The commutative diagram

shows that  $\operatorname{Kan}(k + 1, i)$  for G implies  $\operatorname{Kan}(k, i)$  for  $\pi$ : dec(G)  $\rightarrow$  G. Thus,  $\pi$  is a Kan fibration between *n*-groupoids and dec(G) is also an *n*-groupoid. We have  $\operatorname{Fib}(\pi)_0 = G_1$ , the space of arrows in G. We interpret  $\operatorname{Fib}(\pi)$  and  $\operatorname{Fib}(\pi')$  as higher groupoids of arrows in G. The Kan fibrations  $\pi$  and  $\pi'$  correspond to the canonical actions of G on their higher groupoids of arrows by right and left translations. Later in Example 3.60, we will see that these higher actions are also principal, as one may expect.

**Example 3.44.** Let  $K_1$ ,  $G_1$ , and  $H_1$  be groups, and let K, G, and H be the nerves of the corresponding one-object groupoids. Given a group extension (not necessarily abelian),

$$1 \to K_1 \to G_1 \to H_1 \to 1,$$

the induced map of nerves  $G \to H$  is a 2-groupoid Kan fibration. The condition Kan(1, j) holds because  $G_1 \to H_1$  is surjective. For  $m \ge 2$ , the condition Kan!(m, j) for  $G \to H$  follows from the same conditions for H and G separately because

$$\hom(\Lambda_j^m \to \Delta^m, G \to H) = \hom(\Lambda_j^m, G) \times_{\hom(\Lambda_j^m, H)} \hom(\Delta^m, H),$$
$$\cong \hom(\Delta^m, G).$$

We know that there is no group action of  $H_1$  on  $K_1$ , in general; however, a group extension gives an action of the 2-groupoid H on the 1-groupoid K with action 2-groupoid G.

# **3.6 Higher principal bundles**

Let *G* be a Lie groupoid. After the introduction of acyclic fibrations, we will see that a *G*-bundle  $P \rightarrow M$  is principal if and only if the nerve of the projection  $P \rtimes G \rightarrow \underline{M}$  is an acyclic fibration. Then we define higher principal bundles by acyclic fibrations.

### 3.6.1 Acyclic fibrations

**Definition 3.45.** A morphism  $f: X \to Y$  of simplicial objects in  $\mathscr{C}$  is an *acyclic fibration* if it satisfies Acyc(m) for all  $m \ge 0$ , where Acyc(m) means that  $Hom(\partial \Delta^m \to \Delta^m, X \to Y)$  is representable and the canonical map

$$\operatorname{Hom}(\Delta^m, X) \to \operatorname{Hom}(\partial \Delta^m \to \Delta^m, X \to Y)$$
(3.3)

is a cover.

*Remark* 3.46. As shown in [81, Lemma 2.4], if the conditions Acyc(l) for  $0 \le l < m$  are satisfied, then the presheaf  $Hom(\partial \Delta^m \to \Delta^m, X \to Y)$  is representable. Moreover, an acyclic fibration is a Kan fibration because the horn inclusions  $\Lambda_j^m \to \Delta^m$  are boundary extensions.

**Lemma 3.47** ([81, Lemma 2.5]). Let  $f: X \to Y$  be a morphism of n-groupoids in  $(\mathcal{C}, \mathcal{T})$ . If f satisfies Acyc(m) for  $0 \le m < n$  and Acyc!(n), where Acyc!(n) means that (3.3) is an isomorphism, then Acyc!(m) for  $m \ge n + 1$  automatically holds, thus f is an acyclic fibration (or hypercover in [81]).

Lemma 3.48 below shows the converse. Thus, unlike for Kan fibrations, an *n*-groupoid morphism  $f: X \to Y$  is an acyclic fibration of *n*-groupoids if and only if it is an acyclic fibration of simplicial objects.

**Lemma 3.48.** Let  $f: X \to Y$  be a morphism of n-groupoids in  $(\mathcal{C}, \mathcal{T})$ . If f satisfies Acyc(k) for all  $k \ge n$ , then it satisfies Acyc!(k) for  $k \ge n$ .

*Proof.* When  $k \ge n + 2$ , since *n*-groupoids are (n + 1)-coskeletal, we have

$$\operatorname{Hom}(\partial \Delta^k \to \Delta^k, X \to Y) = \operatorname{Hom}(\Delta^k \to \Delta^k, X \to Y) = \operatorname{Hom}(\Delta^k, X).$$

Thus Acyc!(k) for f holds automatically.

For k = n + 1, there is a pullback diagram

$$\begin{array}{c} \operatorname{Hom}(\partial \Delta^{k+1} \to \Delta^{k+1}, X \to Y) \xrightarrow{u'} \operatorname{Hom}(\Lambda_0^{k+1} \to \Delta^{k+1}, X \to Y) \\ & \downarrow^{v'} & \downarrow^{v} \\ \operatorname{Hom}(\Delta^k \to \Delta^k, X \to Y) \xrightarrow{u} \operatorname{Hom}(\partial \Delta^k \to \Delta^k, X \to Y) , \end{array}$$

where v is induced by  $d^0: \Delta^k \to \Delta^{k+1}$ . The condition Kan!(k + 1, 0) for X and Y implies that

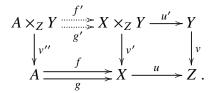
$$\operatorname{Hom}(\Lambda_0^{k+1} \to \Delta^{k+1}, X \to Y) = \operatorname{Hom}(\Delta^{k+1} \to \Delta^{k+1}, X \to Y) \cong \operatorname{Hom}(\Delta^{k+1}, X).$$

Since X is an *n*-groupoid and  $d^0: \Delta^k \to \Delta^{k+1}$  is a collapsible extension, the induced map Hom $(\Delta^{k+1}, X) \to \text{Hom}(\Delta^k, X)$  is a cover. Since Hom $(\Delta^k, X) \xrightarrow{u} \text{Hom}(\partial \Delta^k \to \Delta^k, X \to Y)$  is a cover by Acyc(k), the composite Hom $(\Delta^{k+1}, X) \xrightarrow{v} \text{Hom}(\partial \Delta^k \to \Delta^k, X \to Y)$  is a cover. By the condition Acyc!(k + 1) that we just proved, u' is an isomorphism. Lemma 3.49 below shows that Acyc!(k) for k = n + 1 holds. A similar argument proves Acyc!(n), and we are done.

**Lemma 3.49.** Let  $X \xrightarrow{u} Z$  and  $Y \xrightarrow{v} Z$  be covers in  $(\mathcal{C}, \mathcal{T})$ . If the natural map  $X \times_Z Y \xrightarrow{u'} Y$  is an isomorphism, then  $X \xrightarrow{u} Z$  is an isomorphism.

*Proof.* Recall from Lemma 3.6 that in a subcanonical pretopology  $(\mathcal{C}, \mathcal{T})$ , covers *u* and *v* are effective epimorphisms.

We now prove that *u* is a monomorphism. Let  $f,g: A \to X$  be a pair of morphisms such that  $u \circ f = u \circ g$ . By the universal property, there are morphisms  $f',g': A \times_Z Y \to X \times_Z Y$  such that  $u' \circ f' = u' \circ g'$  and the two left squares, involving *f* and *f'* or *g* and *g'*, in the diagram below are pullback diagrams:



As u' is an isomorphism,  $u' \circ f' = u' \circ g'$  implies f' = g'. Therefore,  $f \circ v'' = v' \circ f' = v' \circ g' = g \circ v''$ . Since v'' is a cover, hence an effective epimorphism, we obtain f = g, and this proves that u is a monomorphism.

We claim that if  $u: X \to Z$  is a monomorphism and an effective epimorphism, then it is an isomorphism. Consider the coequaliser diagram

$$X \times_Z X \xrightarrow[p_2]{p_1} X \xrightarrow{u} Z.$$

Since *u* is a monomorphism,  $u \circ p_1 = u \circ p_2$  implies  $p_1 = p_2$ . Therefore, *u* is an isomorphism. This completes the proof.

*Remark* 3.50. The assumption that u is a cover in the above lemma is redundant. In this case, we can still prove that u is a momomorphism. Since  $u \circ v' = v \circ u'$  is an effective epimorphism,  $u \circ v'$  is an extremal epimorphism, and so is u. Now u is a momomorphism and an extremal epimorphism, thus it is an isomorphism. For the definition of extremal epimorphisms and the reason of this remark see [13, Section 4.3].

**Proposition 3.51.** Acyclic fibrations of n-groupoids in  $(\mathcal{C}, \mathcal{T})$  are closed under composition and stable under pullback along any morphism.

*Proof.* This is stated in Lemmas 2.6, 2.7, and 2.8 in [81].

**Corollary 3.52.** Let  $f: X \to Z$  and  $g: Y \to Z$  be n-groupoid Kan fibrations in  $(\mathcal{C}, \mathcal{T})$ . Suppose that  $h: X \to Y$  is an acyclic fibration such that  $f = g \circ h$ . Then the induced morphism  $Fib(f) \to Fib(g)$  is an acyclic fibration of (n - 1)-groupoids.

Proof. By definition, each square below is a pullback diagram

$\operatorname{Fib}(f)$		Fib(g)	)>	$-sk_0 Z_0$
ļ	h	Ļ	g	Ļ
X —	п	$\rightarrow Y -$	0	$\rightarrow Z$ .

Applying Proposition 3.51 to the left square proves the claim.

### 3.6.2 Higher principal bundles

We first characterise acyclic fibrations of Lie groupoids.

**Lemma 3.53.** Let  $f: G \to H$  be a Lie groupoid functor. Then the nerve of f is acyclic if and only if f is a weak equivalence such that  $f_0$  is a cover.

*Proof.* The condition Acyc(0) for Nf says that  $f_0$  is a cover. The condition Acyc!(1) for Nf says that the map

$$G_1 \to \operatorname{Hom}(\partial \Delta^1 \to \Delta^1, NG \to NH) = (G_0 \times G_0) \times_{H_0 \times H_0} H_1$$

is an isomorphism. This proves the statement by Lemma 3.47.

**Lemma 3.54.** Let  $\pi$ :  $A \to G$  be a Lie groupoid Kan fibration with fiber P. Let N be a manifold with a Lie groupoid functor  $\kappa$ :  $A \to N$ . Then  $\kappa_0$ :  $P \to N$  is a G-bundle.

*Proof.* Since  $A \to \underline{N}$  is a Lie groupoid functor, the map  $P \to N$  is G invariant.  $\Box$ 

These two lemmas combined characterise principal bundles of Lie groupoids.

**Proposition 3.55.** Let G be Lie groupoid. Then a principal G-bundle over a manifold N is the same as a Lie groupoid Kan fibration  $\pi: A \to G$  with an acyclic fibration  $\kappa: A \to N$ .

*Proof.* Let  $P \to N$  be a *G*-bundle with action groupoid *A*. The condition Acyc(0) for  $NA \to sk_0 N$  says that the map  $A_0 = P \to N$  is a cover. The condition Acyc!(1) for  $NA \to sk_0 N$  says that the map

$$A_1 \to A_0 \times_N A_0, \quad (p,g) \mapsto (p,pg),$$

is an isomorphism, and we are done.

Consequently, a Lie groupoid principal bundle can be given by a Lie groupoid Kan fibration and an acyclic fibration. This motivates the following definition.

**Definition 3.56.** Let  $\pi \colon A \to G$  be an *n*-groupoid Kan fibration in  $(\mathscr{C}, \mathcal{T})$  giving an action of *G* on Fib $(\pi)$ . Let *N* be an object in  $\mathscr{C}$  with a morphism  $\kappa \colon A \to \operatorname{sk}_0 N$ . We call Fib $(\pi)$  a *G-bundle* over *N*. If, in addition,  $\kappa \colon A \to \operatorname{sk}_0 N$  is acyclic, then we call Fib $(\pi)$  a *principal G-bundle* over *N*.

We will justify our definition for 2-groupoids in Section 5.6 by showing that a categorified principal bundle is equivalent to a principal 2-bundle as defined above.

*Remark* 3.57. In the literature, principal bundles are also known as torsors. Duskin [24] defined an *n*-torsor for  $K(\Pi, n)$  to be a Kan fibration  $A \to K(\Pi, n)$  such that A is acyclic and (n - 1)-coskeletal. Let G be a Duskin *n*-hypergroupoid. Glenn [29] defined an *n*-torsor of G over N by simplicial maps  $sk_0 N \leftarrow A \rightarrow G$  such that A gives an action as in Remark 3.40 and  $A \to sk_0 N$  is acyclic and (n - 1)-coskeletal. Later, Baković [4] shown that a set-theoretical 2-torsor by categorification gives a 2-torsor in Glenn's sense. The converse, however, is also missing.

*Remark* 3.58. For a 1-groupoid acyclic fibration  $f: A \to sk_0 N$  in  $(\mathscr{C}, \mathcal{T})$ , N is the quotient  $A_0/A_1$ , that is, the coequaliser of two parallel morphisms  $d_1, d_2: A_1 \to A_0$ . For certain categories like (Mfd,  $\mathcal{T}_{surj}$ ), it is possible to speak of principal bundles of groupoids without mentioning the quotient. For (Mfd,  $\mathcal{T}_{surj}$ ), it is well-known that a free and proper action yields a principal bundle.

*Remark* 3.59. Nikolaus–Schreiber–Stevenson [55, 56] studied principal  $\infty$ -bundles of  $\infty$ -groups in an  $\infty$ -topos. In the discrete case, their  $\infty$ -groups are simplicial groups, which are not the same as ours but a special type; their principal bundles are weakly principal Kan simplicial bundles and the base spaces are generally Kan simplicial sets other than sets (see [56, Section 4.1]).

**Example 3.60.** Recall the décalage dec(*G*) for an *n*-groupoid *G* constructed in Example 3.43. The inclusion  $\Delta^0 \to S \star \Delta^0$  induces a morphism of simplicial objects  $\kappa$ : dec(*G*)  $\to$  sk<sub>0</sub>*G*<sub>0</sub>. We have

$$\operatorname{Hom}(\partial \Delta^k \to \Delta^k, \operatorname{dec}(G) \to \operatorname{sk}_0 G_0) \cong \operatorname{Hom}(\partial \Delta^k \star \Delta^0, G) = \operatorname{Hom}(\Lambda_{k+1}^{k+1}, G),$$

where the last identity follows from Equation (2.4). This gives a commutative digram

Hence  $\operatorname{Kan}(k + 1, k + 1)$  for *G* implies  $\operatorname{Acyc}(k)$  for  $\kappa$ :  $\operatorname{dec}(G) \to \operatorname{sk}_0 G_0$ . Thus  $\kappa$  is an acyclic fibration. These two statements combined imply that  $\operatorname{dec}(G)$  is the action *n*-groupoid of a principal *G*-bundle over  $G_0$ .

# 4 Higher Groupoid Bibundles

In this chapter, our goal is to define bibundles between higher groupoids.

We first review the theory of bimodules between categories. A bimodule can be equivalently given by its cograph, which is a category with a natural functor to the interval category I such that the preimages of the two ends are the given two categories.

This machinery works also for Lie groupoids. Furthermore, HS bibundles correspond to simplicial objects in Mfd over  $\Delta^1$  satisfying appropriate left Kan conditions.

Next, we collect some facts about augmented simplicial objects and bisimplicial objects in an extensive category. We then define colored Kan conditions. Bibundles between higher groupoids are defined by simplicial objects over  $\Delta^1$  that satisfy appropriate Kan conditions. For example, a morphism of higher groupoids gives such a bibundle.

### 4.1 Bimodules between categories and cographs

We start by reviewing the classical notion of bimodules between two categories. This section is based on [8]; see also [13, 69] and [46, Section 2.3.1]. Let  $\mathscr{A}$  and  $\mathscr{B}$  be small categories throughout this section.

#### 4.1.1 Bimodules and profunctors

The notions of actions of categories on sets, equivariant maps, and action categories are defined as in Section 1.4. Notice that the inverse is not used in the definitions. Similarly, *bimodules between categories* are defined like bibundles between Lie groupoids.

*Remark* 4.1. A left action of  $\mathscr{A}$  on *P* is equivalent to a functor  $\psi : \mathscr{A} \to \mathsf{Sets}$  such that  $P = \bigsqcup_{A \in \mathscr{A}_0} \psi(A)$ . Given a functor  $\psi$ , then  $f \cdot p = \psi(f)(p)$  for  $f : A \to A'$  and  $p \in \psi(A)$  defines an action. The category of elements of  $\psi$  is exactly the associated action category.

**Definition 4.2.** A *profunctor* from  $\mathscr{A}$  to  $\mathscr{B}$  is a functor  $\psi : \mathscr{B}^{op} \times \mathscr{A} \to \mathsf{Sets}$ .

*Remark* 4.3. We construct a bimodule from a profunctor  $\psi$ . Let  $P = \bigsqcup_{A \in \mathcal{A}_0, B \in \mathcal{B}_0} \psi(B, A)$  with natural maps  $P \to \mathcal{A}_0$  and  $P \to \mathcal{B}_0$ . For  $f \colon A \to A'$  and  $p \in \psi(B, A)$ , the action is given by  $f \cdot p = \psi(\mathrm{id}_B, f)p$ . The right action is defined similarly. Conversely, given an  $\mathcal{A}$ - $\mathcal{B}$  bimodule P, we can construct a profunctor. We deduce that profunctors and bimodules are equivalent notions. With this equivalence understood, bimodules are also known as distributors [8] and correspondences [46].

*Remark* 4.4. The composition of profunctors is best written as a coend. It then follows from some basic properties of coends that there is a 2-category with categories as objects

and profunctors as 1-morphisms. See [23] for more details. It is straightforward to translate these results into bimodules.

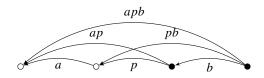


Figure 4.1: A bimodule between categories

We depict a bimodule between categories as in Figure 4.1, where white points and black points represent objects of  $\mathscr{A}$  and  $\mathscr{B}$ , respectively. Arrows with ends of the same color represent arrows in the corresponding categories, and arrows from black points to white points represent elements of *P*.

### 4.1.2 Cographs of bimodules

For a bimodule between categories, all the arrows in Figure 4.1 form a new category:

**Definition 4.5.** Let *P* be a bimodule between  $\mathscr{A}$  and  $\mathscr{B}$ . Its *cograph*  $\Gamma$ , also called collage [70] or linking category [10], is a category with

$$\begin{split} \Gamma_0 &= \mathscr{A}_0 \sqcup \mathscr{B}_0, \quad \Gamma_1 &= \mathscr{A}_1 \sqcup P \sqcup \mathscr{B}_1, \\ \mathsf{s} &= \mathsf{s}_{\mathscr{A}} \sqcup J_r \sqcup \mathsf{s}_{\mathscr{B}}, \quad \mathsf{t} &= \mathsf{t}_{\mathscr{A}} \sqcup J_l \sqcup \mathsf{t}_{\mathscr{B}}, \end{split}$$

and with composition induced by the compositions in  $\mathscr{A}$  and  $\mathscr{B}$  and the two actions.

**Example 4.6.** Let  $P = \mathscr{A}_0 \times \mathscr{B}_0$  and let  $P \to \mathscr{A}_0$  and  $P \to \mathscr{B}_0$  be the projections. Then P is a bimodule with trivial actions. Its cograph is a category obtained from  $\mathscr{A} \sqcup \mathscr{B}$  by adding a unique arrow from B to A for each pair  $(A, B) \in \mathscr{A}_0 \times \mathscr{B}_0$ . This category is also called the *join of two categories*, denoted by  $\mathscr{A} \star \mathscr{B}$ . In particular,  $[m] \star [n] = [m + n + 1]$  is the ordinal sum. The nerve preserves joins [36, Corollary 3.3]:

$$N(\mathscr{A} \star \mathscr{B}) = N\mathscr{A} \star N\mathscr{B},$$

where  $\star$  on the right hand side is the join of simplicial sets (Definition 2.40). It is easy to see that *P* is the terminal object among  $\mathscr{A}$ - $\mathscr{B}$  bimodules.

**Example 4.7.** As for Lie groupoids, given a functor  $f : \mathscr{A} \to \mathscr{B}$ , there is a bimodule structure on  $P = \mathscr{A}_0 \times_{\mathscr{B}_0,t} \mathscr{B}_1$ . Biequivariant maps between two bimodules of this type are in a bijection with natural transformations between the corresponding two functors. Therefore, we may regard bimodules as generalised functors.

#### 4.1.3 Bimodules and categories over the interval

Denote by *I* the interval category  $[1] = \{0 \leftarrow 1\}$ . The cograph  $\Gamma$  admits a natural functor to *I* that sends  $\mathscr{A}$  to 0,  $\mathscr{B}$  to 1, and *P* to the unique non-identity arrow. Conversely, let  $\Gamma$  be a category with a functor  $\Gamma \rightarrow I$  such that  $\mathscr{A}$  is the preimage of 0,  $\mathscr{B}$  is the preimage of 1. Then the preimage of the unique non-trivial arrow *P* is a bimodule between  $\mathscr{A}$  and  $\mathscr{B}$ . These two constructions are mutually inverse, so we get:

**Proposition 4.8.** The category of  $\mathscr{A}$ - $\mathscr{B}$  bimodules is isomorphic to the subcategory of Cats/I where the two ends are  $\mathscr{A}$  and  $\mathscr{B}$ .

The nerve of the cograph decomposes as follows

$$N_n\Gamma = \bigsqcup_{i+j+1=n} N_{i,j}\Gamma, \quad i,j \ge -1,$$

where  $N_{i,j}$  has *i* copies of  $\mathscr{A}_1$ , 1 copy of *P*, and *j* copies of  $\mathscr{B}_1$  for  $i, j \ge 0$ ,

$$N_{i,j}\Gamma = \underbrace{\mathscr{A}_1 \times_{\mathscr{A}_0} \cdots \times_{\mathscr{A}_0} \mathscr{A}_1}_{i \text{ copies}} \times_{\mathscr{A}_0} P \times_{\mathscr{B}_0} \underbrace{\mathscr{B}_1 \times_{\mathscr{B}_0} \cdots \times_{\mathscr{B}_0} \mathscr{B}_1}_{j \text{ copies}}, \tag{4.1}$$

while  $N_{i,-1}\Gamma = N_i \mathscr{A}$  and  $N_{-1,j}\Gamma = N_j \mathscr{B}$ . The natural map  $N\Gamma \to NI$  sends  $N_{i,j}\Gamma$  to the unique (i + j + 1)-simplex of  $\Delta^1$  with i + 1 copies of 0 and j + 1 copies of 1.

### 4.2 Bibundles of Lie groupoids via simplicial manifolds

Let *P* be a bibundle between Lie groupoids *G* and *H* with cograph  $\Gamma$ . Since the source and target maps of Lie groupoids are covers in the surjective submersion pretopology,  $N_{i,j}\Gamma$  in (4.1) is representable in Mfd. Therefore,  $N\Gamma$  is a simplicial manifold and  $\Gamma$  is a category object in Mfd. Proposition 4.8 now reads:

**Proposition 4.9.** The category of bibundles between Lie groupoids G and H is isomorphic to the category of categories in Mfd over I with the two ends given by G and H, where we equip I with discrete manifold structures on object space and arrow space.

Proposition 2.32 implies that  $N\Gamma \rightarrow NI = \Delta^1$  is an inner Kan fibration. We show that the right principality (Definition 1.45) can be encoded by appropriate left Kan conditions.

**Lemma 4.10.** Let P be a G-H bibundle with cograph  $\Gamma$ . The morphism  $N\Gamma \to \Delta^1$  satisfies Kan(1,0) if and only if  $J_l: P \to G_0$  is a surjective submersion.

Proof. The commutative diagram

proves the claim.

**Lemma 4.11.** Suppose that P is as in Lemma 4.10 and that  $J_l: P \to G_0$  is a surjective submersion. The morphism  $N\Gamma \to \Delta^1$  satisfies Kan!(2,0) if and only if the map

$$P \times_{H_0,t} H_1 \to P \times_{G_0} P, \quad (p,h) \mapsto (p,p \cdot h),$$

is a diffeomorphism.

Proof. We calculate

$$\operatorname{Hom}(\Delta^2, N\Gamma) \cong G_1 \times_{G_0} G_1 \sqcup G_1 \times_{G_0} P \sqcup P \times_{H_0} H_1 \sqcup H_1 \times_{H_0} H_1, \qquad (4.2)$$

and

$$\operatorname{Hom}(\Lambda_0^2 \to \Delta^2, N\Gamma \to \Delta^1) \cong G_1 \times_{G_0} G_1 \sqcup G_1 \times_{G_0} P \sqcup P \times_{G_0} P \sqcup H_1 \times_{H_0} H_1.$$
(4.3)

The natural map from (4.2) to (4.3) sends the *i*-th component to the *i*-th component for  $i \in \{1, 2, 3, 4\}$  by an appropriate map  $(a, b) \mapsto (a, ab)$ . Since G and H are Lie groupoids, it remains to consider the map of the third components

$$P \times_{H_0} H_1 \to P \times_{G_0} P$$
,  $(p,h) \mapsto (p,ph)$ ,

and this proves the lemma.

Two lemmas above combined give an alternative formulation of HS bibundles:

**Proposition 4.12.** Let P be a G-H bibundle with cograph  $\Gamma$ . Then P is right principal if and only if  $N\Gamma \rightarrow \Delta^1$  satisfies Kan(1,0) and Kan!(n,0) for  $n \ge 2$ .

*Proof.* It remains to show that right principality implies Kan!(n,0) for  $n \ge 3$ . We have Kan!(2,1), Kan!(2,0) and Kan!(3,i) for 0 < i < 3. Lemma 3.28 yields Kan!(3,0). An induction shows Kan!(n,0) for  $n \ge 4$ .

To summarise, we obtain an enhanced version of Proposition 4.9.

**Proposition 4.13.** Let G and H be Lie groupoids. The category of G-H HS bibundles is isomorphic to the category of categories  $\Gamma$  in Mfd over I with the two ends given by G and H such that  $N\Gamma \rightarrow \Delta^1$  satisfies Kan(1,0) and Kan!(n,0) for  $n \ge 2$ .

#### **4.2.1** Action groupoids in the cogragh

Let *P* be a *G*-*H* bibundle with cograph  $\Gamma$ . There are three action groupoids: for the *G*-action on *P*, for the *H*-action on *P*, and for the biaction (Section 1.5). We shall describe how these action groupoids sit inside the cograph. Recall that the nerve of  $\Gamma$  decomposes as  $N_n\Gamma = \bigsqcup_{i+j+1=n} N_{i,j}\Gamma$  with  $N_{i,-1}\Gamma = N_iG$  and  $N_{-1,j}\Gamma = N_iH$ .

**Proposition 4.14.** The action groupoid  $P \rtimes H$  is given by the collection of  $N_{0,i}\Gamma$  for  $i \ge 0$ , and the functor  $P \rtimes H \to H$  is given by  $N_{0,i}\Gamma \to N_{-1,i}\Gamma$  for  $i \ge 0$ ; Similarly, the functor  $G \ltimes P \to H$  is given by  $N_{i,0}\Gamma \to N_{i,-1}\Gamma$  for  $i \ge 0$ .

Proof. By symmetry, it suffices to prove the first statement. By definition,

 $N_{0,i}\Gamma = P \times_{H_0} H_1 \times_{H_0} \cdots \times_{H_0} H_1, \quad i \ge 0.$ 

The sets of  $N_{0,i}\Gamma$  for  $i \ge 0$  with face maps

$$\mathsf{d}_j = \mathsf{d}_{j+1}^{N\Gamma} \colon N_{0,i}\Gamma \to N_{0,i-1}\Gamma,$$

and degeneracy maps

$$\mathbf{s}_j = \mathbf{s}_{j+1}^{N\Gamma} \colon N_{0,j}\Gamma \to N_{0,j+1}\Gamma$$

form a simplicial manifold, which is easily identified with the nerve of the action groupoid  $P \rtimes H$ . Moreover, the collection of maps  $\mathsf{d}_0^{N\Gamma} \colon N_{0,i}\Gamma \to N_{-1,i}\Gamma$  gives the nerve of the projection  $P \rtimes H \to H$ , and this completes the proof.

**Proposition 4.15.** The biaction groupoid  $G \ltimes P \rtimes H$  is given by the collection of  $N_{i,i}$  for  $i \ge 0$ .

*Proof.* The nerve of the biaction groupoid is given by

$$N_i(G \ltimes P \rtimes H) = G_1 \times_{G_0} \cdots \times_{G_0} G_1 \times_{G_0} P \times_{H_0} H_1 \times_{H_0} \cdots \times_{H_0} H_1 = N_{i,i} \Gamma.$$

The face map  $d_j: N_{i,i}\Gamma \to N_{i-1,i-1}\Gamma$  is given by  $d_{i+j}^{N\Gamma} \circ d_{i-j}^{N\Gamma}$ . The degeneracy map  $s_j: N_{i,i} \to N_{i+1,i+1}$  is given by  $s_{i+j+2}^{N\Gamma} \circ s_{i-j}^{N\Gamma}$ .

We will see that  $N_{i,j}\Gamma$  is an augmented bisimplicial object. This allows to simplify the description of these action groupoids (see Example 4.30).

# 4.3 Augmented simplicial sets and bisimplicial sets

In this section, we collect some basic facts about augmented simplicial sets and (augmented) bisimplicial sets. This section is based on [36, Chapter 3]. We will see that augmented simplicial sets over the augmented simplicial interval and augmented bisimplicial sets are the same.

#### **4.3.1** Augmented simplicial sets

Let  $\Delta_+$  be the category of all finite ordered sets with order-preserving maps as morphisms; that is,  $\Delta_+ = \Delta \cup [-1]$ , where  $[-1] = \emptyset$ .

**Definition 4.16.** The category of *augmented simplicial sets*  $SSet_+$  is the functor category  $[\Delta^{op}_+, Sets]$ .

The inclusion  $\iota: \Delta \hookrightarrow \Delta_+$  induces a forgetful functor

 $\iota^* \colon \mathsf{SSet}_+ \to \mathsf{SSet}$ 

sending an augmented simplicial set  $X_{i\geq-1}$  to the simplicial set  $X_{i\geq0}$ , called the *reduced* simplicial set of X. Moreover, an augmented simplicial set  $X_{i\geq-1}$  is the same as a simplicial set  $X_{i\geq0}$  with an *augmentation* map

$$X_{i\geq 0} \xrightarrow{d^{-1}} \operatorname{sk}_0 X_{-1},$$

where  $sk_0 X_{-1}$  is the constant simplicial set with value  $X_{-1}$ .

The functor  $\iota^*$  admits a right adjoint,<sup>1</sup> called the *trivial augmentation* functor

$$\iota_* : \mathsf{SSet} \to \mathsf{SSet}_+, \quad X \mapsto X_*,$$

which sends a simplicial set *X* to the *trivially augmented simplicial set*  $X_* = \iota_*(X)$  with  $\iota_*(X)_i = X_i$  for  $i \ge 0$  and  $X_{-1} = *$ . The functor  $\iota_*$  embeds SSet as a full subcategory into SSet<sub>+</sub>. Denote by  $\Delta^n_+$  the augmented simplicial set represented by [n], then  $\Delta^n_+ = \Delta^n_*$ . We

<sup>&</sup>lt;sup>1</sup>In general, such adjoint functors can be computed by Kan extensions (equivalently, ends and coends), see [47, Chapter X].

will often identify a simplicial set with the corresponding trivially augmented simplicial set.

The functor  $\iota^*$  admits a left adjoint  $\iota_1$ : SSet  $\to$  SSet<sub>+</sub>, which sends a simplicial set X to  $\iota_1(X)$  with  $\iota_1(X)_i = X_i$  for  $i \ge 0$  and  $X_{-1}$  being the coequaliser of  $d_1, d_0: X_1 \to X_0$ .

**Definition 4.17.** A simplicial set *X* is *connected* if  $\iota_!(X) = \iota_*(X)$ .

For a connected simplicial set X and an augmented simplicial set Y, we have

 $hom(\iota^*Y, X) = hom(Y, X_*), \quad hom(X, \iota^*Y) = hom(X_*, Y).$ 

It is easy to see that  $\Delta^n$  for  $n \ge 0$ ,  $\Lambda^n_k$  for  $n \ge 1$  and  $0 \le k \le n$ , and  $\partial \Delta^n$  for  $n \ge 2$  are connected.

Lemma 4.18. Let X be an augmented simplicial set. There is a commutative diagram

$$\begin{array}{ccc} \hom(\Delta^n, \iota^* X) & \xrightarrow{=} & \hom(\iota_* \Delta^n, X) \\ & & & \downarrow \\ & & & \downarrow \\ \hom(\partial \Delta^n \to \Delta^n, \iota^* X \to \operatorname{sk}_0 X_{-1}) \xrightarrow{=} & \hom(\iota_* \partial \Delta^n, X) \ . \end{array}$$

Thus we call X acyclic if  $\iota^* X \to \operatorname{sk}_0 X_{-1}$  is acyclic.

*Proof.* Since  $\Delta^n$  is connected, hom $(\Delta^n, \iota^* X) = hom(\iota_* \Delta^n, X)$ . We observe also that

$$\hom(\iota_*\partial\Delta^n, X) = \hom(\partial\Delta^n \to \Delta^0, \iota^* X \to \operatorname{sk}_0 X_{-1}) = \hom(\partial\Delta^n \to \Delta^n, \iota^* X \to \operatorname{sk}_0 X_{-1}),$$

and this proves the statement.

#### 4.3.2 Augmented bisimplicial sets

**Definition 4.19.** The category of *augmented bisimplicial sets* biSSet<sub>+</sub> is the functor category  $[\Delta_{+}^{op} \times \Delta_{+}^{op}, \text{Sets}]$ .

**Definition 4.20.** The functor  $r_n \colon \Delta_+ \to \Delta_+ \times \Delta_+$  given by  $[i] \mapsto ([n], [i])$  induces the *row* functor

 $R_n \coloneqq r_n^* \colon \mathsf{biSSet}_+ \to \mathsf{SSet}_+, \quad X \mapsto \{[i] \mapsto X_{n,i}\},\$ 

We call  $R'_n = \iota^* \circ R_n$  the *reduced row* functor. We also have *column* functors  $C_n$  and *reduced column* functors  $C'_n$ .

**Definition 4.21.** The product bifunctor Sets  $\times$  Sets  $\rightarrow$  Sets induces a functor

$$\boxtimes : \mathsf{SSet}_+ \times \mathsf{SSet}_+ \to \mathsf{biSSet}_+, \\ (X,Y) \mapsto \{([i],[j]) \mapsto A_i \times B_i\}, \\$$

called the box product.

**Example 4.22.** Denote by  $\Delta^{i,j}_+$  the augmented bisimplicial set represented by ([i], [j]). Then  $\Delta^{i,j}_+ = \Delta^i_* \boxtimes \Delta^j_*$ . The following result is an easy coend calculation.

**Proposition 4.23.** *The box product with*  $\Delta^n_*$  *on the left (right)* 

$$\Delta^n_* \boxtimes -: SSet_+ \to biSSet_+$$

is left adjoint to the row functor  $R_n$  (column functor  $C_n$ ).

The projection to the first factor  $pr_1: \Delta_+ \times \Delta_+ \to \Delta_+$  induces a functor

$$pr_1^* = -\boxtimes \Delta^0_* \colon SSet_+ \to biSSet_+,$$
$$X \mapsto \{([i, j]) \mapsto X_i\},$$

which sends an augmented simplicial set X to an augmented bisimplicial set with every column given by X. By Proposition 4.23,  $pr_1^*$  is left adjoint to the column functor  $C_0$ . It is not hard to see that  $pr_1^*$  is right adjoint to the column functor  $C_{-1}$ .

**Definition 4.24.** The diagonal inclusion  $\delta \colon \Delta_+ \to \Delta_+ \times \Delta_+$  induces the *diagonal* functor

$$\delta^*$$
: biSSet<sub>+</sub>  $\rightarrow$  SSet<sub>+</sub>,  $X \mapsto \{[i] \mapsto X_{ii}\},$ 

sending an augmented bisimplicial to its diagonal.

**Definition 4.25.** The ordinal sum,

$$\sigma \colon \Delta_+ \times \Delta_+ \to \Delta_+, \quad ([i], [j]) \mapsto [i+j+1],$$

extends cocontinuously to the join of augmented simplicial sets

$$\star \colon \mathsf{SSet}_+ \times \mathsf{SSet}_+ \to \mathsf{SSet}_+, \\ (X,Y) \mapsto \Big\{ [n] \mapsto \bigsqcup_{i+j+1=n} X_i \times Y_j \Big\}.$$

*Remark* 4.26. The unit of the join operation is  $\Delta_+^{-1}$ , the augmented simplicial set represented by  $[-1] = \emptyset$ . The join of augmented simplicial sets restricts to the join of simplicial sets (Definition 2.40); that is, for simplicial sets *X* and *Y*, we have  $\iota_*X \star \iota_*Y = \iota_*(X \star Y)$ .

*Remark* 4.27. Recall that  $\Lambda_0^0$  is left undefined. It is meaningful to set  $\Lambda_0^0 = \emptyset$ , the constant presheaf on  $\Delta_+$  with value  $\emptyset$ , as an augmented simplicial set. Then (2.4) is also valid for  $\Lambda_0^0$ . Notice that  $\Lambda_0^0 \subsetneq \iota_* \partial \Delta^0 = \Delta_+^{-1}$ .

**Definition 4.28.** The ordinal sum on  $\Delta_+$  induces the *total décalage* of Illusie [34]

Dec := 
$$\sigma^*$$
: SSet<sub>+</sub>  $\rightarrow$  biSSet<sub>+</sub>,  
  $X \mapsto \{([i], [j]) \mapsto X_{i+j+1}\}.$ 

The functor Dec admits a left adjoint,

$$T \coloneqq \sigma_{!} \colon \mathsf{biSSet}_{+} \to \mathsf{SSet}_{+},$$
$$X \mapsto \left\{ [n] \mapsto \bigsqcup_{i+j+1=n} X_{i,j} \right\},$$

called the total augmented simplicial set functor.<sup>2</sup>

<sup>&</sup>lt;sup>2</sup>The term "total augmented simplicial set" sometimes refers to the right adjoint of Dec, which we will not use in this thesis.

It is not hard to prove

$$T \circ \boxtimes = \star. \tag{4.4}$$

Therefore, the reduced column 0 and reduced row 0 of the total décalage give the décalages defined in Example 3.43; in formulas,

$$C'_0 \circ \text{Dec} = \text{dec}, \quad R'_0 \circ \text{Dec} = \text{dec}'$$

The total augmented simplicial set functor *T* sends the terminal augmented bisimplicial set  $\Delta^{0,0}_+$  to  $\Delta^1_+$ , the *augmented simplicial interval*. Thus *T* descends to a functor

$$T_{/}$$
: biSSet<sub>+</sub>  $\rightarrow$  SSet<sub>+</sub>/ $\Delta_{*}^{1}$ ,

where  $SSet_+/\Delta^1_*$  denotes the over category.

**Proposition 4.29.** The functor  $T_{i}$ : biSSet<sub>+</sub>  $\rightarrow$  SSet<sub>+</sub>/ $\Delta^{1}_{*}$  is an isomorphism of categories.

*Proof.* We observe that  $\Delta_+ \times \Delta_+$  is isomorphic to the category of elements of  $\Delta_*^1$ . Then the claim follows from [48, Exercise III.8(a)].

**Example 4.30.** Let  $\Gamma$  be the cograph of a bibundle between Lie groupoids *G* and *H*. Since there is a natural functor  $\Gamma \rightarrow I$ , the nerve  $N\Gamma$  gives a natural augmented bisimplicial manifolds  $N_{i,j}\Gamma$  by setting  $N_{-1,-1}\Gamma$  = pt. Its reduced row -1 is the nerve of *H*, and its reduced row 0 is the nerve of the *H*-action groupoid. The nerve of the biaction groupoid in Proposition 4.15 is the reduced diagonal.

# 4.4 Augmented bisimplicial objects

Replace Sets in Section 4.3 by a category  $\mathscr{C}$ . Almost all results carry over easily to this context, except for Proposition 4.29. The aim of this section is to establish a similar result when  $\mathscr{C}$  is an extensive category. We then define colored Kan conditions.

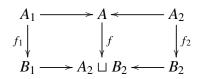
#### 4.4.1 Extensive categories

We collect some basic facts about extensive categories. All the material is taken from [1, 20]. Roughly, an extensive category is a category with finite coproducts that interact well with pullbacks. Denote the initial object in a category by  $\mathbf{0}$ .

**Definition 4.31.** An *extensive category* is a category  $\mathscr{C}$  with finite coproducts such that for each pair  $A, B \in \mathscr{C}$  the coproduct induces an isomorphism of categories

$$\mathscr{C}/A \times \mathscr{C}/B \to \mathscr{C}/(A \sqcup B).$$

**Proposition 4.32.** A category with finite coproducts is extensive if and only if it has pullbacks along injections of coproducts and every commutative diagram



consists of a pair of pullback squares if and only if the top row is a coproduct diagram with  $f = f_2 \sqcup f_2$ .

**Definition 4.33.** A coproduct  $A \sqcup B$  in a category  $\mathscr{C}$  is *disjoint* if coproduct injections are monomorphisms and their pullback is an initial object. Equivalently, this means that the following three diagrams are pullback squares:

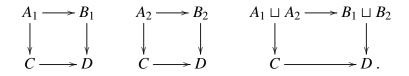
 $\begin{array}{cccc} A & \longrightarrow & A & & B & \longrightarrow & B & & \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ A & \longrightarrow & A \sqcup & B & & B & \longrightarrow & A \sqcup & B & & A & \longrightarrow & A \sqcup & B & . \end{array}$ 

**Proposition 4.34.** A category with finite coproducts is extensive if and only if coproducts are disjoint, pullbacks of finite coproduct injections along arbitrary morphisms are representable, and the pullbacks of coproduct diagrams are again coproduct diagrams.

We will use the following facts about extensive categories.

**Proposition 4.35.** In an extensive category C the following statements hold:

- (i) Coproducts of pullbacks squares are pullback squares;
- (ii) Initial objects are strict; that is, every morphism  $f: A \rightarrow 0$  is an isomorphism;
- (iii) If the first two diagrams below are pullback squares, then so is the third:



# 4.4.2 Augmented bisimplicial objects

Let  $\mathscr{C}$  be an extensive category with a terminal object **1**.

Let *S* be a finite set and  $C \in \mathscr{C}$ . Denote the coproduct of *S* copies of *C* by  $S \otimes C$  (copower). Let *j*: FinSet  $\rightarrow \mathscr{C}$  be the functor induced by  $S \mapsto S \otimes \mathbf{1}$ . There is an isomorphism of categories  $\mathscr{C}^S \cong \mathscr{C}/j(S)$ .

Let  $f: R \to S$  be a map of finite sets. Denote by F the associated cograph, which is a category whose object set is  $R \sqcup S$  and whose non-trivial arrows are given by  $r \to s$  if f(r) = s for  $r \in R, s \in S$ . The projection  $\varphi: F \to I$  induces a functor  $\varphi^*: [I, \mathscr{C}] \to [F, \mathscr{C}]$ . This functor admits a left adjoint  $\varphi_!: [F, \mathscr{C}] \to [I, \mathscr{C}]$ , which sends the terminal object in  $[F, \mathscr{C}]$  to j(f).

**Lemma 4.36.** The functor  $\varphi_1$  induces an isomorphism of categories

$$[F, \mathscr{C}] \cong [I, \mathscr{C}]/j(f).$$

*Proof.* An object in  $[I, \mathcal{C}]/j(F)$  is a commutative square in  $\mathcal{C}$ 

$$C_R \xrightarrow{\psi} C_S \\ \downarrow \qquad \downarrow \\ j(R) \xrightarrow{j(f)} j(S) ,$$

where  $C_R = \bigsqcup_{r \in R} C_r$  and  $C_S = \bigsqcup_{s \in S} C_s$ . Since  $\mathscr{C}$  is extensive, the map  $\psi \colon C_R \to C_S$  decomposes as

$$\bigsqcup_{s\in S} \Big(\bigsqcup_{f(r)=s} C_r \xrightarrow{\psi_{r,s}} C_s\Big),$$

which is the image of an object in  $[F, \mathcal{C}]$  under the functor  $\varphi_!$ . Following these lines, we can verify that the two categories have the same arrows.

Let us denote by SC,  $SC_+$  and  $biSC_+$  the categories of simplicial objects, augmented simplicial objects, and augmented bisimplicial objects in C, respectively.

**Proposition 4.37.** Let T be the functor defined by

$$\mathsf{biS}\mathscr{C}_+ \to \mathsf{S}\mathscr{C}_+, \quad X \mapsto \Big\{ [n] \mapsto \bigsqcup_{i+j+1=n} X_{i,j} \Big\}.$$

It induces an isomorphism of categories

$$biS\mathscr{C}_{+} = S\mathscr{C}_{+}/j(\Delta^{1}_{*})$$

In particular,  $SC/j(\Delta^1)$  corresponds to the category of augmented bisimplicial objects *Y* with  $Y_{-1,-1} = \mathbf{1}$ .

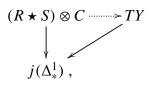
*Proof.* Let  $C \in S\mathcal{C}_+$  with a morphism  $g: C \to j(\Delta^1)$ . Then every object  $C_n$  can be decomposed as  $\bigsqcup_{i+j+1=n} C_{i,j}$ , where  $n, i, j \ge -1$ . Applying Lemma 4.36, we can decompose face and degeneracy maps. It is routine to verify that the decomposed objects with the decomposed face and degeneracy maps form an augmented bisimplicial object in  $\mathcal{C}$ .  $\Box$ 

Similar to Section 4.3, we can define row functors and column functors  $biS\mathcal{C}_+ \to S\mathcal{C}_+$ , and a box product  $\boxtimes$ :  $SSet_+ \times S\mathcal{C}_+ \to biS\mathcal{C}_+$ . The box product, row functors, and column functors satisfy adjointness relations similar to those in Proposition 4.23.

### 4.4.3 Colored Kan conditions

Let  $\pi: X \to j(\Delta^1)$  be an simplicial object in  $\mathscr{C}$  over  $j(\Delta^1)$ . It may be regarded as a *colored simplicial object*: vertices of *X* are colored by two colors 0 or 1, and vertices of an *n*-simplex for  $n \ge 0$  in *X* are of the same color or colored by  $(0, \ldots, 0, 1, \ldots, 1)$ .

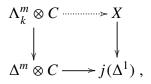
**Definition 4.38.** Let  $R, S \in SSet_+$  and  $Y \in biS\mathscr{C}_+$ . Let  $Hom_{\Delta^1_*}(R \star S, TY)$  be the presheaf on  $\mathscr{C}$  given by  $Hom(R \boxtimes S, Y)$ ; By Proposition 4.37, its value at  $C \in \mathscr{C}$  is the set of commutative triangles



where the left vertical arrow is induced by  $R \star S \to \Delta^1_*$  and  $C \to \mathbf{1}$ .

Fix  $i, j \ge 0$  and let m = i+j-1. Let  $\Delta^m \xrightarrow{\chi_{i,j}} \Delta^1$  be the canonical map  $\Delta^{i-1} \star \Delta^{j-1} \to \Delta^1$ .

**Definition 4.39.** Let  $\operatorname{Hom}(\Lambda_k^m[i, j], X) := \operatorname{Hom}_{/\Delta^1}(\Lambda_k^m, X)$  be the presheaf on  $\mathscr{C}$  whose value at  $C \in \mathscr{C}$  is the set of commutative squares of the following type



where the bottom arrow is induced by  $\Delta^m \xrightarrow{\chi_{i,j}} \Delta^1$  and  $C \to \mathbf{1}$ . Similarly, we define  $\operatorname{Hom}(\Delta^m[i,j],X) := \operatorname{Hom}_{j(\Delta^1)}(\Delta^m,X)$  to be the presheaf given by sending *C* to the set of arrows in  $\operatorname{hom}(\Delta^m \otimes C, X)$  such that the lower right triangle in the above square commutes.

**Definition 4.40.** A colored simplicial object  $\pi: X \to j(\Delta^1)$  satisfies the *colored Kan condition* Kan(m,k)[i,j] if Hom $(\Delta^m[i,j],X)$  and Hom $(\Lambda^m_k[i,j],X)$  are presentable and the map

$$\operatorname{Hom}(\Delta^m[i,j],X) \to \operatorname{Hom}(\Lambda^m_k[i,j],X)$$

is a cover. If this map is an isomorphism, then we say that  $X \to j(\Delta^1)$  satisfies the *colored* unique Kan condition Kan!(m,k)[i,j].

Let  $(\mathscr{C}, \mathcal{T})$  be an extensive category with a singleton Grothendieck pretopology. We assume from now on that the following additional assumption holds. This is fulfilled by each instance in Example 3.2.

**Assumption 4.41.** Let  $f_i: Y_i \to X_i$ , i = 1, 2 be two morphisms in  $\mathscr{C}$ . The coproduct morphism  $f_1 \sqcup f_2$  is a cover in  $\mathcal{T}$  if and only if  $f_1$  and  $f_2$  are so.

**Proposition 4.42.** Let  $X \to j(\Delta^1)$  be as above. Then it satisfies  $\operatorname{Kan}(m,k)$  for  $m \ge 1$  and  $0 \le k \le m$  if and only if it satisfies  $\operatorname{Kan}(m,k)[i,j]$  for all  $i, j \ge 0$  with i + j = m + 1.

Proof. Suppose that

$$\operatorname{Hom}(\Lambda_k^m \to \Delta^m, X \to j(\Delta^1)) = \operatorname{Hom}(\Lambda_k^m, X) \times_{\operatorname{Hom}(\Lambda_i^m, j(\Delta^1))} \operatorname{Hom}(\Delta^m, j(\Delta^1))$$

is representable. Observe that

$$\operatorname{Hom}(\Delta^m, j(\Delta^1)) = j(\Delta^1_m) = \bigsqcup_{\chi_{i,j} \in \Delta^1_m} j(\chi_{i,j}).$$

Since  $\mathscr{C}$  is extensive, we get isomorphisms in  $\mathscr{C}^{3}$ 

$$\operatorname{Hom}(\Lambda_{k}^{m}, X) \times_{\operatorname{Hom}(\Lambda_{j}^{m}, j(\Delta^{1}))} \operatorname{Hom}(\Delta^{m}, j(\Delta^{1}))$$
$$\cong \bigsqcup_{\chi_{i,j} \in \Delta^{1}_{m}} \operatorname{Hom}(\Lambda_{k}^{m}, X) \times_{\operatorname{Hom}(\Lambda_{k}^{m}, j(\Delta^{1}))} j(\chi_{i,j})$$
$$\cong \bigsqcup_{i+j=m+1} \operatorname{Hom}(\Lambda_{k}^{m}[i, j], X).$$

Similarly, we have

 $\operatorname{Hom}(\Delta^m, X) \cong \bigsqcup_{i+j=m+1} \operatorname{Hom}(\Delta^m[i, j], X).$ 

Then the claim follows from Assumption 4.41.

<sup>&</sup>lt;sup>3</sup>Warning: these isomorphisms are not as presheaves, since coproducts are not compatible with the Yoneda functor.

# 4.5 Cographs of higher groupoid morphisms

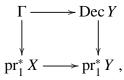
In this section, we define a cograph of a higher groupoid morphism. We establish a property that models bibundles between higher groupoids.

There is a natural transformation

$$\mathrm{pr}_1 \Rightarrow \sigma \colon \Delta_+ \times \Delta_+ \to \Delta_+, \quad ([i], [j]) \mapsto \{[i] \to [i+j+1]\},\$$

where  $[i] \rightarrow [i + j + 1]$  is given by the inclusion  $[i] \rightarrow [i] \star [j] = [i + j + 1]$ .

**Definition 4.43.** Let  $f: X \to Y$  be a morphism of trivially augmented simplicial objects in  $\mathscr{C}$ . Its *cograph*  $\Gamma$  is the augmented bisimplicial object in  $\mathsf{Psh}(\mathscr{C})$  given by the pullback square



where the vertical right arrow is induced by the natural transformation  $pr_1 \Rightarrow \sigma$ . Explicitly,  $\Gamma_{i,j} = X_i \times_{Y_i} Y_{i+j+1}$  for  $i, j \ge -1$ ; in particular,  $R_{-1}\Gamma = Y$  and  $C_{-1}\Gamma = X$ .

If X and Y are higher groupoids in  $(\mathcal{C}, \mathcal{T})$ , then  $\Gamma$  is an augmented bisimplicial object in  $\mathcal{C}$ . We are interested in Kan conditions for the induced morphism  $T\Gamma \to j(\Delta^1)$ .

**Proposition 4.44.** Let  $\Gamma$  be the cograph of a higher groupoid morphism  $X \to Y$  in  $(\mathcal{C}, \mathcal{T})$ . The morphism  $T\Gamma \to j(\Delta^1)$  satisfies all colored Kan conditions  $\operatorname{Kan}(m,k)[i,j]$  except  $\operatorname{Kan}(m,m)[m,1]$  for  $m \ge 1$ .

We first establish a useful lemma.

**Lemma 4.45.** Let  $A \rightarrow B$  and  $C \rightarrow D$  be inclusions of augmented simplicial sets. Suppose that C and D are trivially augmented. Then the diagram below is a pullback square:

*Proof.* First, since  $C_{-1}$  is the left adjoint of  $pr_1^*$ , we have

 $\operatorname{Hom}(B \boxtimes D, \operatorname{pr}_1^* X) = \operatorname{Hom}(C_{-1}(B \boxtimes D), X) = \operatorname{Hom}(B, X),$ 

where  $C_{-1}(B \boxtimes D) = B$  because D is trivially augmented. We deduce that

 $\operatorname{Hom}(A \boxtimes D \cup_{A \boxtimes C} B \boxtimes C, \operatorname{pr}_1^* X) = \operatorname{Hom}(B, X).$ 

Similarly,  $\operatorname{Hom}(A \boxtimes D \cup_{A \boxtimes C} B \boxtimes C, \operatorname{pr}_1^* Y) = \operatorname{Hom}(B, Y)$ . Second, since  $\operatorname{Hom}(B \boxtimes D, \operatorname{Dec} Y) = \operatorname{Hom}(B \star D, Y)$ , we have

$$\operatorname{Hom}(A \boxtimes D \cup_{A \boxtimes C} B \boxtimes C, \operatorname{Dec} Y) = \operatorname{Hom}(A \star D \cup_{A \star C} B \star C, Y).$$

Third, since Hom $(B \boxtimes D, \Gamma) = \text{Hom}_{/\Delta^1}(B \star D, T\Gamma)$ , we have

$$\operatorname{Hom}(A \boxtimes D \cup_{A \boxtimes C} B \boxtimes C, \Gamma) = \operatorname{Hom}_{/\Lambda^1}(A \star D \cup_{A \star C} B \star C, T\Gamma).$$

All results above combined prove the claim.

*Proof of Proposition* 4.44. First, let *A* be a trivially augmented simplicial set. Propositions 4.29 and 4.23 imply that

$$\operatorname{Hom}_{/\Lambda^{1}}(A \star \Delta_{+}^{-1}, T\Gamma) = \operatorname{Hom}(A \boxtimes \Delta_{+}^{-1}, \Gamma) = \operatorname{Hom}(A, C_{-1}\Gamma).$$

Since  $T\Gamma$  and  $C_{-1}\Gamma$  are both trivially augmented, condition  $\operatorname{Kan}(l,k)[l+1,0]$  for  $T\Gamma \to \Delta^1$  is implied by  $\operatorname{Kan}(l,k)$  for X. Similarly,  $\operatorname{Kan}(l,k)[0,l+1]$  for  $T\Gamma \to \Delta^1$  follows from  $\operatorname{Kan}(l,k)$  for Y.

Replacing  $A \to B$  in the previous lemma by  $\Lambda_k^l \to \Delta^l$  for  $l \ge 0$ , and  $C \to D$  by  $\partial \Delta^m \to \Delta^m$  for  $m \ge 0$ , we get a commutative diagram (recall Remark 4.27 and (2.4))

$$\begin{array}{ccc} \operatorname{Hom}_{/\Delta^{1}}(\Delta^{l+m+1},T\Gamma) \longrightarrow \operatorname{Hom}(\Delta^{l+m+1},Y) \\ & & \downarrow \\ \operatorname{Hom}_{/\Delta^{1}}(\Lambda^{l+m+1}_{k},T\Gamma) \longrightarrow \operatorname{Hom}(\Lambda^{l+m+1}_{k},Y) \\ & \downarrow \\ \operatorname{Hom}(\Delta^{l},X) \longrightarrow \operatorname{Hom}(\Delta^{l},Y) , \end{array}$$

where each square is a pullback diagram. Since  $\Delta^l \to \Lambda_k^{l+m+1} \to \Delta^{l+m+1}$  are collapsible extensions, the right vertical arrows are covers. Thus

$$\operatorname{Hom}_{/\Delta^1}(\Delta^{l+m+1},T\Gamma) \to \operatorname{Hom}_{/\Delta^1}(\Lambda^{l+m+1}_k,T\Gamma)$$

is a cover between two representable objects. This proves Kan(l + m + 1, k)[l + 1, m + 1].

Similarly, let  $A \to B$  in the previous lemma be  $\partial \Delta^l \to \Delta^l$  for  $l \ge 0$  and  $C \to D$  be  $\Lambda_k^m \to \Delta^m$  for  $m \ge 1$ . We deduce that

$$\operatorname{Hom}_{/\Delta^1}(\Delta^{l+m+1},T\Gamma) \to \operatorname{Hom}_{/\Delta^1}(\Lambda^{l+m+1}_{l+1+k},T\Gamma)$$

is a cover. This proves  $\operatorname{Kan}(l + m + 1, l + 1 + k)[l + 1, m + 1]$ .

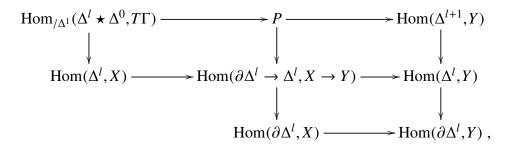
The only missing case is m = k = 0, that is, Kan(l + 1, l + 1)[l + 1, 1] for  $l \ge 0$ .  $\Box$ 

**Proposition 4.46.** Let  $\Gamma$  be the cograph of an acyclic fibration of higher groupoids  $X \to Y$  in  $(\mathcal{C}, \mathcal{T})$ . Then  $T\Gamma \to j(\Delta^1)$  is a Kan fibration.

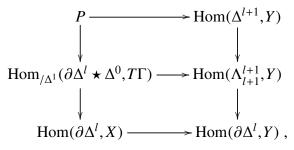
*Proof.* It remains to consider Kan(l + 1, l + 1)[l + 1, 1] for  $l \ge 0$ . By assumption, the morphism

$$\operatorname{Hom}(\Delta^l, X) \to \operatorname{Hom}(\partial \Delta^l \to \Delta^l, X \to Y)$$

is a cover. Consider the commutative diagram



in which every square is a pullback diagram, where *P* is the pullback of the right whole square. We deduce that  $\text{Hom}_{\Delta^1}(\Delta^l \star \Delta^0, T\Gamma) \to P$  is a cover. Consider the commutative diagram



in which each square is a pullback diagram. It follows that  $P \to \text{Hom}_{/\Delta^1}(\partial \Delta^l \star \Delta^0, T\Gamma)$  is a cover. The claim then follows because

$$\operatorname{Hom}_{/\Lambda^{1}}(\Delta^{l} \star \Delta^{0}, T\Gamma) \to \operatorname{Hom}_{/\Lambda^{1}}(\partial \Delta^{l} \star \Delta^{0}, T\Gamma)$$

is the composite of two covers.

# 4.6 Bibundles of higher groupoids

Inspired by the previous sections, we propose the following definition:

**Definition 4.47.** Let *X* and *Y* be *n*-groupoids in  $(\mathcal{C}, \mathcal{T})$ . A *bibundle* between *X* and *Y* is an augmented bisimplicial object  $\Gamma$  with  $C_{-1}\Gamma = X_*$  and  $R_{-1}\Gamma = Y_*$ , such that  $T\Gamma \rightarrow j(\Delta^1)$ satisfies the inner Kan conditions Kan(m, k) for  $m \ge 2$  and 0 < k < m, and the outer Kan conditions Kan(m, 0)[i, j] for  $i \ge 2$ , and Kan(m, m)[i, j] for  $j \ge 2$ ; moreover, when m > nthe unique version of all prescribed Kan conditions hold.

If, in addition, Kan(m, 0) are satisfied for  $m \ge 1$ , and when m > n the unique version of all desired Kan conditions hold, then we call such a bibundle *right principal*. Two-sided principal bibundles are also called Morita bibundles.

*Remark* 4.48. If the lower inner Kan conditions Kan(l,k) hold for 0 < k < l and l = 2, ..., m - 1, then  $\text{Hom}(\Lambda_k^m \to \Delta^m, T\Gamma \to j(\Delta^1))$  for 0 < k < m are representable. This follows because  $\text{Sp}(m) \to \Lambda_k^m$  is an inner collapsible extension by Proposition 2.46 and  $\text{Hom}(\text{Sp}(m) \to \Delta^m, T\Gamma \to j(\Delta^1))$  is representable.

*Remark* 4.49. If the lower colored outer Kan conditions Kan(l,0)[s,t] hold for  $s \ge 2$ and l < m, then  $\text{Hom}(\Lambda_0^m[i,j],T\Gamma)$  is representable for  $i \ge 2$ . This follows because  $\Lambda_0^m$ can be built from  $\Delta^1\{0,1\} \cup \Delta^{m-1}\{0,2,\cdots,m\}$  by filling 0-th horns containing  $\{0,1\}$ , and  $\text{Hom}(\Delta^1\{0,1\} \cup \Delta^{m-1}\{0,2,\cdots,m\}[i,j],T\Gamma)$  is representable by assumption.

*Remark* 4.50. Joyal [35] studied first inner Kan fibrations under the name of mid fibrations. Lurie [46] used inner Kan fibrations over  $\Delta^1$  to model correspondences between  $\infty$ -categories. Our definition of bibundle is slightly modified by adding some special outer Kan conditions since we deal with higher groupoids. Following [35], we show in Appendix A.1 that, under some additional assumption on the category and pretopology, the inner Kan conditions imply the outer Kan conditions Kan(m,0)[i, j] for  $i \ge 2$ , and Kan(m,m)[i, j] for  $j \ge 2$ . For instance, this is true for (Sets,  $\mathcal{T}_{surj}$ ) and (Mfd,  $\mathcal{T}_{subm}$ ).

68

*Remark* 4.51. Let  $\Gamma \to j(\Delta^1)$  be a bibundle between *n*-groupoids *X* and *Y*. Regarding  $\Gamma$  as a colored simplicial object and formally inverting all arrows from 1 to 0, we obtain a bibundle between *Y* and *X*. We denoted this bibundle by  $\overline{\Gamma}$  and call it the *opposite bibundle* associated with  $\Gamma$ . Notice that  $\overline{\Gamma}$  is not the opposite simplicial object, since we do not invert arrows with target and source of the same color.

**Corollary 4.52.** Let  $f: X \to Y$  be a morphism of n-groupoid objects in  $(\mathcal{C}, \mathcal{T})$ . Then its cograph is a bibundle between X and Y. Moveover, if f is an acyclic fibration of n-groupoids, then the cograph is right principal.

*Proof.* This follows from Propositions 4.44 and 4.46. By considering the dimensions, it is routine to verify that all desired unique Kan conditions hold for m > n.

Given a bibundle between X and Y, we should be able to extract actions of X and Y, respectively. If  $\Gamma$  is right principal, then the Y-action should be principal.

**Proposition 4.53.** Let  $\Gamma$  be a bibundle between n-groupoids X and Y in  $(\mathcal{C}, \mathcal{T})$ . Then  $R'_l\Gamma \to R'_{-1}\Gamma = Y$  and  $C'_l\Gamma \to C'_{-1}\Gamma = X$  are n-groupoid Kan fibrations for each  $l \ge 0$ .

*Proof.* It suffices to consider  $R'_{l}\Gamma \to R'_{-1}\Gamma$ . Let  $A \to B$  be an inclusion of trivially augmented connected simplicial sets. Since B is connected, we have

$$\operatorname{Hom}(\iota^*B, R'_l\Gamma) \cong \operatorname{Hom}(B, R_l\Gamma).$$

Proposition 4.23 and (4.4) imply that

$$\operatorname{Hom}(B, R_l \Gamma) \cong \operatorname{Hom}(\Delta^l \boxtimes B, \Gamma) \cong \operatorname{Hom}_{/\Lambda^1}(\Delta^l \star B, T\Gamma),$$

natural in each variable. Therefore, by naturality we get

$$\operatorname{Hom}(A \to B, R_l \Gamma \to R_{-1} \Gamma) \cong \operatorname{Hom}_{/\Lambda^1}(\Delta^l \star A \cup_A B, T\Gamma),$$

and a commutative diagram

Replace  $A \to B$  above by  $\Lambda_k^m \to \Delta^m$ . Proposition 2.43 shows that

$$\Delta^l \star \Lambda^m_k \cup_{\Lambda^m_k} \Delta^m \to \Delta^l \star \Delta^m$$

is an inner collapsible extension for  $0 \le k < m$ . Thus  $R'_{l}\Gamma \to R'_{-1}\Gamma = Y$  satisfies  $\operatorname{Kan}(m, k)$  for  $0 \le k < m$ .

It remains to consider k = m. We know that  $\Delta^l \star \Lambda_{m-1}^m \cup_{\Lambda_{m-1}^m} \Delta^m \to \Delta^l \star \Delta^m$  is an inner collapsible extension. Switching the role of the vertices m and m-1 gives a filtration

$$\Delta^l \star \Lambda^m_m \cup_{\Lambda^m_m} \Delta^m \hookrightarrow \cdots \hookrightarrow \Delta^l \star \Delta^m$$

such that each step is attaching either an inner horn or a right outer horn containing m - 1 and m. By assumption,  $\operatorname{Kan}(s, s)[i, j]$  holds for  $j \ge 2$ , and we deduce that  $\operatorname{Kan}(m, m)$  holds for  $R'_{j}\Gamma \to R'_{-1}\Gamma = Y$ .

Finally, it is routine to verify all desired unique Kan conditions for m > n.

The following proposition justifies the definition of right principality.

**Proposition 4.54.** Let  $\Gamma$  be a right principal bibundle between n-groupoids X and Y in  $(\mathcal{C}, \mathcal{T})$ . Then  $R'_l\Gamma \to \operatorname{sk}_0\Gamma_{l,-1}$  is acyclic for  $l \ge 0$ .

*Proof.* By Lemma 4.18, it suffices to show that  $R_l\Gamma$  is acyclic. The proof of Proposition 4.53 gives a commutative diagram

Proposition 2.43 implies that  $\Delta^0\{0\} \star \Delta^m \cup \Delta^l \star \partial \Delta^m \to \Delta^l \star \Delta^m$  is a left collapsible extension. It is clear that  $\Delta^l \star \partial \Delta^m \to \Delta^0\{0\} \star \Delta^m \cup \Delta^l \star \partial \Delta^m$  is a left collapsible extension. Thus  $\Delta^l \star \partial \Delta^m \to \Delta^l \star \Delta^m$  is a left collapsible extension. We deduce that

$$\operatorname{Hom}_{/\Delta^{1}}(\Delta^{l} \star \Delta^{m}, T\Gamma) \to \operatorname{Hom}_{/\Delta^{1}}(\Delta^{l} \star \partial \Delta^{m}, T\Gamma)$$

is a cover, and this proves the statement.

 $\Box$ 

# 5 Actions of 2-Groupoids

In this chapter, we recall another approach to 2-groupoids, namely, in terms of categorification. We then define actions of 2-groupoids on groupoids and 2-bundles in this framework. The main part of this chapter is devoted to establishing the equivalence between the simplicial approach and the categorification approach to 2-groupoid actions and 2-bundles.

# 5.1 2-groupoids

We recall 2-groupoids defined by categorification in this section. We then explain the relation between categorified groupoids and 2-groupoids given by simplicial objects.

# 5.1.1 Categorified groupoids

Recall from Section 3.1.1 that for a nice enough  $(\mathscr{C}, \mathcal{T})$  there is a 2-category BUN that has groupoids in  $(\mathscr{C}, \mathcal{T})$  as objects, HS bibundles as 1-morphisms, and isomorphisms of HS bibundles as 2-morphisms. In Chapters 5, 6, and 7, we let  $(\mathscr{C}, \mathcal{T})$  be such a nice category with a pretopology. The readers are free to take  $(\mathscr{C}, \mathcal{T})$  to be (Mfd,  $\mathcal{T}_{subm}$ ).

**Definition 5.1.** A *categorified groupoid*  $G \rightrightarrows \underline{M}$  in  $(\mathcal{C}, \mathcal{T})$  is a groupoid object in the 2-category BUN such that the space of objects is an object in  $\mathcal{C}$ .

More precisely, such a 2-groupoid consists of the following data:

- a space of objects M, which is an object in  $\mathscr{C}$ , and a groupoid of arrows  $G = (\bar{\mathbf{s}}, \bar{\mathbf{t}}: G_1 \Rightarrow G_0)$ , which is a groupoid in  $(\mathscr{C}, \mathcal{T})$ ;
- the source and target morphisms s,t: G → M, which are given by covers s<sub>0</sub>,t<sub>0</sub>: G<sub>0</sub> → M with s<sub>0</sub> ∘ s̄ = s<sub>0</sub> ∘ t̄ and t<sub>0</sub> ∘ s̄ = t<sub>0</sub> ∘ t̄;
- the multiplication<sup>1</sup> m, which is given by an HS bibundle  $E_m$  from  $G \times_{s,M,t} G$  to G.
- the unit morphism u: <u>M</u> → G, which arises from a groupoid functor; the inversion morphism i: G → G, which is given by an HS bibundle E<sub>i</sub>.

These morphisms satisfy the same target and source relations as for a groupoid, while the identities of unit, associativity, and inverse are replaced by isomorphisms of HS bibundles:

The multiplication is associative up to a 2-morphism, called *associator*: there is a 2-morphism between HS morphisms G ×<sub>s,M,t</sub> G ×<sub>s,M,t</sub> G → G,

$$\alpha \colon \mathsf{m} \circ (\mathsf{m} \times \mathrm{id}) \Rightarrow \mathsf{m} \circ (\mathrm{id} \times \mathsf{m}). \tag{5.1}$$

<sup>&</sup>lt;sup>1</sup>The multiplication and the inversion are also called horizontal multiplication and horizontal inversion, respectively. Not to be confused with the vertical multiplication and vertical inversion of the groupoid G.

#### 5 Actions of 2-Groupoids

• The unit identities hold up to 2-morphisms: there are 2-morphisms between HS morphisms  $G \rightarrow G$ 

$$\rho \colon \mathsf{m} \circ (\mathsf{id}, \mathsf{u} \circ \mathsf{s}) \Rightarrow \mathsf{id},$$
  
$$\lambda \colon \mathsf{m} \circ (\mathsf{u} \circ \mathsf{t}, \mathsf{id}) \Rightarrow \mathsf{id},$$
  
(5.2)

called right and left unitors.

• The inverse identities hold up to 2-morphisms: there are 2-morphisms between HS morphisms  $G \rightarrow G$ 

$$\eta: \mathsf{m} \circ (\mathrm{id}, \mathsf{i}) \Rightarrow \mathsf{u} \circ \mathsf{t},$$
  

$$\varepsilon: \mathsf{m} \circ (\mathsf{i}, \mathrm{id}) \Rightarrow \mathsf{u} \circ \mathsf{s},$$
(5.3)

called right and left inversors.

The associator satisfies the usual *pentagon condition*, and the unitors satisfy the *triangle condition*, which are both expressed by 2-commutative diagrams (see [81, Definition 3.4]).

*Remark* 5.2. We can also use other 2-categories of groupoids to define 2-groupoids. For instance, if we use the 2-category of differential stacks, the resulting categorified groupoids are also called stacky groupoids. Stacky groupoids were introduced by Tseng and Zhu [72] as the global objects integrating Lie algebroids; later in [81], the definition was modified by adding some higher coherence conditions.

*Remark* 5.3. A categorified group is a categorified groupoid such that the object space is a single point. Categorified groups in (Sets,  $T_{surj}$ ) were studied in [3, 42, 73]. Stacky groups were studied by Blohmann [10].

*Remark* 5.4. The groupoid  $G \times_{s,\underline{M},t} G$  is the 1-categorical strong pullback of groupoids in  $(\mathscr{C},\mathcal{T})$ , which is also a 2-pullback in the 2-category BUN by Corollary 1.72. The 2-categorical universal property guarantees that all morphisms and 2-morphisms in the formulas above exist and that the coherence conditions for 2-morphisms are well-defined. The groupoids  $(G \times_{s,\underline{M},t} G) \times_{s,\underline{M},t} G$  and  $G \times_{s,\underline{M},t} (G \times_{s,\underline{M},t} G)$  are identified by the canonical isomorphism. Another convention was made in [81]: the coherence 2-morphisms from the 2-category BUN itself are omitted to simplify diagrams. We will follow this convention.

*Remark* 5.5. The unit morphism  $u: \underline{M} \to G$  is assumed to arise from the bundlisation of a groupoid functor  $\underline{M} \to G$ . It is shown that, up to equivalence, we can always replace a general HS bibundle by one arising from some groupoid functor  $\underline{M} \to G$ . For details see [81, Section 3.1].

*Remark* 5.6. If we ignore the inversion and replace BUN by the strict 2-category Cats, then we get the definition of a 2-category. As for 2-categories, the coherence conditions in Definition 5.1 assure that "all diagrams" (without involving the inversion) commute.

*Remark* 5.7. The higher coherence conditions for inversors are two *zig-zag conditions* similar to those for 2-groups given in [3, 42, 73]. It is shown there that we can always achieve them by an appropriate modification; the argument can be carried over to 2-groupoids. Moveover, the condition on the inversion can be replaced by the requirement that  $E_{\rm m} \times_{J_r,G_0,\rm u} \underline{M}$  is a Morita equivalence from *G* to  $G^{\rm op}$ ; see [81, Section 3.2]. This is, in turn, equivalent to the following two shear morphisms

$$G \times_{\mathbf{s},\underline{M},\mathbf{t}} G \to G \times_{\mathbf{s},\underline{M},\mathbf{s}} G, \quad (g_1,g_2) \mapsto (g_1 \cdot g_2,g_2)$$
$$G \times_{\mathbf{s},\underline{M},\mathbf{t}} G \to G \times_{\mathbf{t},\underline{M},\mathbf{t}} G, \quad (g_1,g_2) \mapsto (g_1,g_1 \cdot g_2)$$

being Morita equivalences. In fact, the first morphism is  $f_1$  in [81, Lemma 3.12]. With our assumption, the rest of the proof of Lemma 3.12 carries on, hence Lemma 3.13 in [81] also follows.

### 5.1.2 A one-to-one correspondence

Zhu [81] proved that Definition 5.1 agrees with Definition 3.14 for n = 2.

**Theorem 5.8.** Categorified groupoids in  $(\mathcal{C}, \mathcal{T})$  are in a one-to-one correspondence with 2-groupoid objects in  $(\mathcal{C}, \mathcal{T})$ .

We briefly recall the proof given in [81].

#### 5.1.2.1 From categorification to the simplicial picture

Given a categorified groupoid  $G \Rightarrow \underline{M}$ , we may construct a simplicial object X with

$$X_0 = M$$
,  $X_1 = G_0$ ,  $X_2 = E_m$ ,  $X_3 = \text{Hom}(\Lambda_0^3, X_{\leq 2})$ .

The degeneracy map  $X_0 \to X_1$  is given by the unit, the degeneracy maps  $X_1 \to X_2$  are given by the unitors. The face maps  $X_1 \to X_0$  are given by s and t, the face maps  $X_2 \to X_1$  are given by the two moment maps of the multiplication HS bibundle. One can use the existence of an associator to show that each horn in  $X_{\leq 2}$  determines the missing face uniquely, hence  $X_3 = \text{Hom}(\Lambda_0^3, X_{\leq 2})$  is well-defined.

Let  $X = cosk_3(X_{\leq 3})$ . Explicitly, for m > 3 we have

$$X_m = \{ f \in \operatorname{Hom}(\operatorname{sk}_2(\Delta_m), X_{\leq 2}) \mid f \circ (d_0 \times d_1 \times d_2 \times d_3)(\operatorname{sk}_3(\Delta_m)) \subset X_3 \}.$$

We can show that  $\{X_m\}_{m\geq 0}$  forms a simplicial object and satisfies the appropriate Kan conditions. So we obtain a 2-groupoid object in  $(\mathscr{C}, \mathcal{T})$ .

#### 5.1.2.2 From the simplicial picture to categorification

Conversely, for a 2-groupoid object in  $(\mathcal{C}, \mathcal{T})$  given by a simplicial object *X*, we construct a categorified groupoid.

Let  $\Theta(X)$  be the fibre of the décalage dec'(X)  $\rightarrow X$  defined in Example 3.43. We know that  $\Theta(X)$  is a 1-groupoid. This groupoid is described as a *groupoid of bigons* in [81]. The space of bigons  $B(X_2) = d_0^{-1}(s_0 X_0) \subset X_2$  is a groupoid over  $X_1$ . Applying Kan conditions, one can show that another symmetric choice gives the same result.

The face maps  $d_0, d_1 \colon X_1 \to X_0$  give two morphisms  $\mathfrak{s}, \mathfrak{t} \colon \Theta(X) \to X_0$ . We then show that  $\Theta(X)$  acts on  $X_2$  from three sides. This gives the multiplication  $\overline{\mathrm{HS}}$  morphism  $\Theta(X) \times_{\mathfrak{s}, X_0, \mathfrak{t}} \Theta(X) \to \Theta(X)$ . The space of inverse bigons  $d_1^{-1}(\mathfrak{s}_0 X_0) \subset X_2$  gives the inversion bibundle  $E_i$ . Then  $\Theta(X) \rightrightarrows X_0$  is a categorified groupoid. All the data to build a categorified groupoid are encoded by simplicial identities and Kan conditions.

### 5.1.3 Geometric nerve of a 2-category

The relation between a categorified groupoid and its corresponding simplicial object is analogous to that between a 2-category and its geometric nerve; this becomes precise when every HS bibundle in Definition 5.10 is a groupoid functor.

The (unitary) geometric nerve NC of a 2-category C is a simplicial set that completely encodes all the 2-categorical structure. The 0-simplices of NC are objects in C, 1-simplices are 1-morphisms, 2-simplices are oriented 2-commutative triangles, and 3-simplices are oriented 2-commutative tetrahedra. The following theorem of Duskin [25] characterises the geometric nerve of a 2-category.

**Theorem 5.9.** The geometric nerve of a (2,1)-category (2-groupoid) is an inner Kan complex (Kan complex) satisfying unique horn filling conditions above dimension 2; conversely, every inner Kan complex (Kan complex) satisfying unique horn filling conditions above dimension 2 arises from the geometric nerve of a (2,1)-category (2-groupoid).

The reconstruction procedure of a 2-category from a simplicial set is non-canonical: the composition of 1-simplices is only defined up to unique 2-simplices. Theorem 5.8 implies that we obtain a well-defined composition if we use HS bibundles as morphisms between groupoids.

# 5.2 Actions of Lie 2-groupoids via categorification

We categorify the notion of action in this section. Our definition is modeled on the notion of action of a monoidal category on a category; see, for instance, [7].

**Definition 5.10.** A (right) *categorified action* of a categorified groupoid  $G \Rightarrow \underline{M}$  on a groupoid *E* consists of the following data:

- (i) a *moment morphism*, which is an HS morphism J from E to <u>M</u> or, equivalently, a map  $J_0: E_0 \to \underline{M}$  in  $\mathscr{C}$  with  $J_0 \circ s_E = J_0 \circ t_E$ ;
- (ii) an *action morphism*, which is an HS morphism  $\mu$  from  $E \times_{J,\underline{M},t} G$  to E, such that  $J \circ \mu = \mathfrak{s} \circ \mathrm{pr}_2 \colon E \times_{J,\underline{M},t} G \to \underline{M};$
- (iii) a 2-morphism between HS morphisms  $E \times_{J,M,t} G \times_{s,M,t} G \rightarrow E$ ,

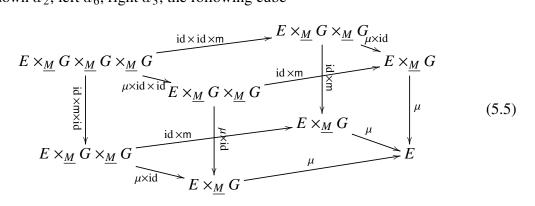
$$\alpha \colon \mu \circ (\mu \times \mathrm{id}) \Rightarrow \mu \circ (\mathrm{id} \times \mathsf{m}), \tag{5.4}$$

called *associator*, where m is the multiplication in the 2-groupoid  $G \rightrightarrows \underline{M}$ ;

(iv) a 2-morphism  $\rho: \mu \circ (id, u \circ J) \Rightarrow id_E$ , called *unitor*.

The associator satisfies the *pentagon condition*, described as follows. Let the 2-morphisms on the each face of the cube be  $\alpha_i$  (all the  $\alpha_i$ 's are generated by  $\alpha$  or by  $\alpha_G$ , the associator of  $G \Rightarrow \underline{M}$ , except that  $\alpha_4$  is id) arranged in the following way: front face  $\alpha_1$ , back  $\alpha_5$ ;

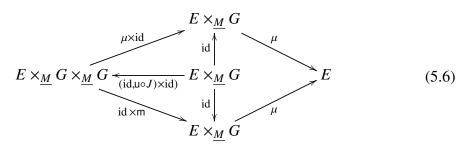
up  $\alpha_4$ , down  $\alpha_2$ ; left  $\alpha_6$ , right  $\alpha_3$ ; the following cube



is 2-commutative, that is,

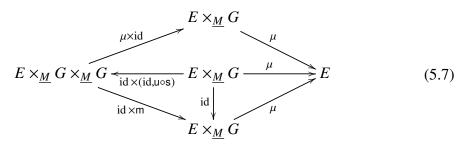
 $(\alpha_6 \times \mathrm{id}) \circ (\mathrm{id} \times \alpha_2) \circ (\alpha_1 \times \mathrm{id}) = (\mathrm{id} \times \alpha_5) \circ (\alpha_4 \times \mathrm{id}) \circ (\mathrm{id} \times \alpha_3).$ 

The unitor satisfies the triangle condition: the diagram



is 2-commutative, where the 2-morphism on the whole square is induced by  $\alpha$ , on the upper triangle by  $\rho$ , on the lower triangle by  $\lambda_G$ , the left unitor 2-morphism of  $G \rightrightarrows \underline{M}$ , and on the right square by the identity.

*Remark* 5.11. It is natural to ask another diagram similar to (5.6) to 2-commute:



where the 2-morphism on the whole square is induced by  $\alpha$ , on the upper square by  $\rho$ , on the lower left triangle by  $\rho_G$ , the right unitor of  $G \Rightarrow \underline{M}$ , and on the lower right triangle by the identity. This is assumed in [18, Definition 6.1] and [4, Definition 13.1]. This may, however, be deduced from the other axioms with an argument similar to [38].

The next two sections are devoted to proving the following main result of this chapter.

**Theorem 5.12.** Given a 2-groupoid object X in  $(\mathcal{C}, \mathcal{T})$ , there is a one-to-one correspondence between categorified actions of the 2-groupoid X and 2-groupoid Kan fibrations  $A \rightarrow X$ . The 2-groupoid A is called the action 2-groupoid of the corresponding action.

*Remark* 5.13. A categorified action of a 2-groupoid  $G \Rightarrow \underline{M}$  can be viewed as a categorified bibundle between the trivial 2-groupoid and  $G \Rightarrow \underline{M}$ , which will be studied in the next chapter. For a categorified bibundle we will construct an augmented bisimplicial object, which contains our action 2-groupoid A given below as a row.

*Remark* 5.14. For a 2-category  $\mathscr{C}$ , we can define actions on categories as in Definition 5.10. Similar to Remark 4.1, such an action can be equivalently given by a 2-functor  $\mathscr{C} \to Cats$ ; see [27]. The Grothendieck construction [69] produces a 2-category  $\mathscr{A}$  with a 2-functor into  $\mathscr{C}$ . Our construction of action 2-groupoids can be regarded as a geometrical Grothendieck construction; a similar construction appeared in [4]. Theorem 5.12 can be viewed as a higher analogue of the well-known equivalence between fibrations over  $\mathscr{C}$  and 2-functors  $\mathscr{C} \to Cats$  for a category  $\mathscr{C}$ . For an  $\infty$ -categorical generalisation along these lines, see [46, Chapter 2].

# 5.3 From the simplicial picture to categorification

Let  $\pi: A \to X$  be a Kan fibration between 2-groupoids in  $(\mathcal{C}, \mathcal{T})$  that satisfies Kan(1,0), Kan(1,1) and Kan!(m,j) for  $m \ge 2$  and  $0 \le j \le m$ . Let  $\Theta(X)$  denote the groupoid of bigons  $B(X_2) \rightrightarrows X_1$ . Let  $E = \operatorname{Fib}(\pi)$ , which is a 1-groupoid object in  $(\mathcal{C}, \mathcal{T})$  by Lemma 3.36. The map  $\pi_0: E_0 = A_0 \to X_0$  gives a groupoid functor  $J: E \to X_0$ . We shall show that the 2-groupoid  $\Theta(X) \rightrightarrows X_0$  acts on E along J as in Definition 5.10.

# 5.3.1 The action morphism

**Lemma 5.15.** The space  $A_1$  is an HS bibundle  $E \times_{J,X_0,t} \Theta(X) \to E$ , denoted by  $\mu$ .

*Proof.* There is a left action of the groupoid E on  $A_1$  along the map  $d_1: A_1 \rightarrow E_0 = A_0$  given by the composed map

$$E_1 \times_{\mathsf{s}_E, A_0, \mathsf{d}_1} A_1 \to \operatorname{Hom}(\Lambda_1^2 \to \Delta^2, A \to X) \cong A_2 \xrightarrow{\mathsf{d}_1} A_1,$$

where the map  $E_1 \times_{s_E,A_0,d_1} A_1 \to \text{Hom}(\Lambda_1^2 \to \Delta^2, A \to X)$  is given by  $(e,a) \mapsto (e,a,s_1(\pi_1(a)))$ . The Kan conditions for  $\pi: A \to X$  imply that this defines an action: Kan!(2,1) implies the unit identity and Kan!(3,1) implies the associativity. Similarly, there are a left action of  $\Theta(X)$  on  $A_1$  along the map  $\pi: A_1 \to \Theta(X)_0 = X_1$  and a right action of E on  $A_1$  along the map  $d_0: A_1 \to A_0$ . The Kan conditions imply that these three actions mutually commute. Since  $d_0 \circ \pi_1 = \pi_0 \circ d_0: A_1 \to X_0$ , the groupoid  $E \times_{X_0} \Theta(X)$  acts on  $A_1$ . Thus,  $A_1$  is an  $E \times_{X_0} \Theta(X) - E$  bibundle, illustrated by Figure 5.1, where white dots are imaginary as in Remark 1.42.

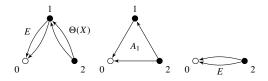


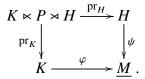
Figure 5.1: The bibundle structure on  $A_1$ 

Moreover, Kan(1,0) for  $\pi$  implies that the left moment map  $A_1 \to E_0 \times_{X_0} \Theta(X)_0$  is a cover in  $(\mathscr{C}, \mathcal{T})$ , and Kan!(2,0) for  $\pi$  implies that the right action of *E* is principal. Therefore,  $A_1$  gives an HS morphism  $\mu \colon E \times_{J,X_0,t} \Theta(X) \to E$ .  $\Box$ 

Since  $d_1 \pi_1 = \pi_0 d_1$ , Lemma 5.16 below implies that  $J \circ \mu = s \circ pr_2$ .

**Lemma 5.16.** Let P be an HS bibundle between groupoids K and H. Suppose that M is an object in  $\mathscr{C}$ . Let  $\mathfrak{B}(\varphi)$  and  $\mathfrak{B}(\psi)$  be the bundlisation of groupoid functors  $\varphi \colon K \to \underline{M}$ and  $\psi \colon H \to \underline{M}$ . The composite HS bibundle  $P \otimes \mathfrak{B}(\psi)$  is isomorphic to  $\mathfrak{B}(\varphi)$  if and only if the composites  $P \to K_0 \to M$  and  $P \to H_0 \to M$  are equal.

Proof. Consider the diagram of groupoids



Since the categories Bun and Gen are isomorphic and <u>M</u> is a trivial groupoid, it suffices to show that  $\psi \circ \operatorname{pr}_H \circ \operatorname{pr}_K^{-1} = \varphi$  in Gen if and only if the composites  $P \to K_0 \to M$ and  $P \to H_0 \to M$  are equal. We know that  $\psi \circ \operatorname{pr}_H \circ \operatorname{pr}_K^{-1} = \varphi$  in Gen if and only if  $\psi \circ \operatorname{pr}_H = \varphi \circ \operatorname{pr}_K$  in Gpd, and the rest is obvious.

To show that  $\mu: E \times_{J,X_0,t} \Theta(X) \to E$  gives a categorified action of X on E along J, we must construct the data for (iii) and (iv) in Definition 5.10.

### 5.3.2 The associator

We now construct the associator for the action morphism  $\mu$ .

The HS morphism  $\mu \circ (\mu \times id) \colon E \times_{X_0} \Theta(X) \times_{X_0} \Theta(X) \to E$  is given by the HS bibundle

 $((A_1 \times_{X_0} \Theta(\mathbf{X})_1) \times_{E_0 \times_{X_0} \Theta(\mathbf{X})_0} A_1) / (E \times_{X_0} \Theta(\mathbf{X})).$ 

Since  $\Theta(X)$  acts on  $\Theta(X)_1$  by multiplication, we have

$$((A_1 \times_{X_0} \Theta(\mathbf{X})_1) \times_{E_0 \times_{X_0} \Theta(\mathbf{X})_0} A_1) / (E \times_{X_0} \Theta(\mathbf{X})) \cong (A_1 \times_{E_0} A_1) / E.$$

Similarly, the HS morphism  $\mu \circ (id \times m)$  is given by the HS bibundle

$$(A_1 \times_{\Theta(\mathbf{X})_0} X_2) / \Theta(\mathbf{X}),$$

where m is the multiplication of the 2-groupoid  $\Theta(X) \rightrightarrows X_0$ .

In particular, the *E*-action on  $A_1 \times_{E_0} A_1$  and the  $\Theta(\overline{X})$  action on  $A_1 \times_{\Theta(X)_0} X_2$  are principal. We now construct a one-to-one correspondence, illustrated by Figure 5.2,

$$\alpha \colon (A_1 \times_{E_0} A_1) / E \to (A_1 \times_{\Theta(X)_0} X_2) / \Theta(X),$$
$$[(a,b)] \mapsto [(c,d)] \quad \text{if } (d,b,c,a) \in A_2.$$

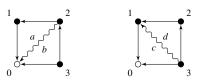


Figure 5.2: The construction of the associator

First, we show that this map is well-defined. Since  $\pi$  satisfies Kan!(3,1), our construction is independent of the choice of (a, b) in the equivalence class [(a, b)]. Similarly, it is independent of the choice of (c, d) because  $\pi$  satisfies Kan!(3,2).

Furthermore, the map  $\alpha$  is equivariant with respect to the right action of *E* by using Kan!(3,2) for  $\pi$ , and it is equivariant with respect to the left action of *E* and both actions of  $\Theta(X)$  by the conditions Kan!(3,1), Kan!(3,2), and Kan!(3,3) for  $\pi$ , respectively.

Finally, since  $A_1 \to \text{Hom}(\Lambda_0^1, A \to X)$  is a cover, the map

$$\operatorname{Hom}(\Lambda_1^2 \to \Delta^2, A \to X) \cong \operatorname{Hom}(\Lambda_0^2 \to \Delta^2, A \to X) \to A_1 \times_{X_1} X_2$$

is a cover. This map is invariant under the principal action of *E* and equivariant under the principal action of  $\Theta(X)$ . Therefore, by Lemma 1.52 and Lemma 1.53, it descends to a cover, which is  $\alpha$  by definition.

In conclusion,  $\alpha$  defines an isomorphism of HS bibundles. The pentagon condition for  $\alpha$  follows by a similar argument as in [81, Section 4.1], we will treat it in detail later in Chapter 6 for bibundles between 2-groupoids.

### 5.3.3 The unitor

We now construct the unitor for the action and then verify the triangle condition.

The unit morphism  $u: X_0 \to \Theta(X)$  is given by  $s_0: X_0 \to \Theta(X)_0$ . Thus the morphism  $\mu \circ (\mathrm{id}, \mathrm{u} \circ J)$  is given by the bibundle  $\pi_1^{-1} \circ s_0(X_0) \subset A_1$ , which is isomorphic to  $E_1$  by construction. We take this isomorphism to be the unitor  $\rho: \mu \circ (\mathrm{id}, \mathrm{u} \circ J) \Rightarrow \mathrm{id}_E$ .

The HS morphism corresponding to  $(a \cdot 1) \cdot g$  is given by the HS bibundle  $(E_1 \times_{d_0,A_0,d_2} A_1)/E$ , while the one corresponding to  $a \cdot (1 \cdot g)$  is given by the HS bibundle  $(A_1 \times_{\pi_1,\Theta(X)_0,d_1} \Theta(X)_1)/\Theta(X)$ . They are both isomorphic to  $A_1$  by the isomorphisms  $\rho$  and  $\lambda_X$ . Moreover, applying Kan!(2, 1) to a triple  $(s_0 \pi_1 \eta, \eta, s_0 d_0 \eta)$ , where  $\eta \in A_1$ , proves that these isomorphisms are compatible with the associator  $\alpha$ . Thus the triangle condition (5.6) holds.

This completes the proof of Theorem 5.12 in one direction.

# 5.4 Finite data of Kan fibrations

We recall from [81, Section 2.3] that a 2-groupoid object X in  $(\mathcal{C}, \mathcal{T})$  is equivalently given by  $X_{\leq 4}$ , or by  $X_{\leq 2}$  with extra data (the so called 3-multiplications and coherence conditions). Given a 2-groupoid object X in  $(\mathcal{C}, \mathcal{T})$ , consider 2-groupoid Kan fibrations  $\pi \colon A \to X$  in  $(\mathcal{C}, \mathcal{T})$ . We show that such a Kan fibration is fully determined by  $\pi_{\leq 3} \colon A_{\leq 3} \to X_{\leq 3}$ , or by  $\pi_{\leq 1} \colon A_{\leq 1} \to X_{\leq 1}$  with extra data.

Let  $\lambda_j^m$  denote the horn inclusion  $\Lambda_j^m \to \Delta^m$  for  $0 \le j \le m$ .

**Proposition 5.17.** Let X be a 2-groupoid in  $(\mathcal{C}, \mathcal{T})$ . A 2-groupoid Kan fibration  $A \to X$  in  $(\mathcal{C}, \mathcal{T})$  can also be described by  $\pi_{\leq 1} \colon A_{\leq 1} \to X_{\leq 1}$  and the following data:

•  $A_{\leq 1}$  satisfies appropriate simplicial identities, and  $\pi_{\leq 1}$  commutes with face and degeneracy maps. The conditions Kan(1,0) and Kan(1,1) hold, that is,

$$A_1 \to A_0 \times_{\pi_0, X_0, \mathsf{d}_0^1} X_1 \quad and \quad A_1 \to A_0 \times_{\pi_0, X_0, \mathsf{d}_0^1} X_1$$

are covers.

• morphisms (3-multiplications):

$$m_j: \operatorname{Hom}(\lambda_i^2, \pi) \to A_1, \quad j = 0, 1, 2.$$

For instance, given compatible  $\eta_{01}, \eta_{02} \in A_1$  and  $\gamma \in X_2$  that form an element in  $\text{Hom}(\lambda_0^2, \pi)$ ,  $m_0$  determines a unique  $\eta_{12} \in A_1$ . The 3-multiplications satisfy the following coherence conditions:

- (i) the induced morphisms  $\operatorname{Hom}(\lambda_i^2, \pi) \to \operatorname{Hom}(\lambda_j^2, \pi)$  are all isomorphisms for i, j = 0, 1, 2.
- (ii) compatibility of 3-multiplications, face, and degeneracy maps: given  $\eta \in A_1$ , then

 $m_1(\mathbf{s}_0 \,\pi_1 \eta, \eta, \mathbf{s}_0 \,\mathbf{d}_0 \,\eta) = \eta,$ (or equivalently,  $m_0(\mathbf{s}_0 \,\pi_1 \eta, \eta, \mathbf{s}_0 \,\mathbf{d}_0 \,\eta) = \eta, \quad m_2(\mathbf{s}_0 \,\pi_1 \eta, \eta, \eta) = \mathbf{s}_0 \,\mathbf{d}_0 \,\eta);$  $m_1(\mathbf{s}_1 \,\pi_1 \eta, \mathbf{s}_0 \,\mathbf{d}_1 \,\eta, \eta) = \eta,$ (or equivalently,  $m_2(\mathbf{s}_1 \,\pi_1 \eta, \mathbf{s}_0 \,\mathbf{d}_1 \,\eta, \eta) = \eta, \quad m_1(\mathbf{s}_1 \,\pi_1 \eta, \eta, \eta) = \mathbf{s}_0 \,\mathbf{d}_1 \,\eta).$ 

(iii) associativity for 3-multiplications: given  $\eta_{01}, \eta_{12}, \eta_{23} \in A_1$  and  $\gamma \in \text{Hom}(\Delta^3, X)$ that are compatible in the obvious way, the following two methods to determine  $\eta_{03}$ give the same result. We can either obtain  $\eta_{02}$  first:

 $\eta_{02} = m_1(\gamma_{012}, \eta_{12}, \eta_{01}), \quad \eta_{03} = m_1(\gamma_{023}, \eta_{23}, \eta_{02}),$ 

or we can obtain  $\eta_{13}$  first:

 $\eta_{13} = m_1(\gamma_{123}, \eta_{23}, \eta_{12}), \quad \eta_{03} = m_1(\gamma_{013}, \eta_{13}, \eta_{01}).$ 

Equivalently, given the same data, the two methods to determine  $\eta_{02}$  or  $\eta_{13}$  give the same results.

*Remark* 5.18. We may think of the 3-multiplication  $m_1$  as a composition and  $m_0, m_2$  as inverses. Then the coherence condition (i) says that the inverses are true inverses with respect to the composition; condition (ii) is the unit condition; and condition (iii) is the associativity condition. We will see in the proof below that 3-multiplications and the coherence conditions all are encoded in  $A_{\leq 3} \rightarrow X_{\leq 3}$ .

### **5.4.1 Reconstruction of higher dimensions**

To prove Proposition 5.17, we shall reconstruct the simplicial object A in  $(\mathscr{C}, \mathcal{T})$  with a morphism  $\pi: A \to X$  satisfying Kan(1,0), Kan(1,1) and Kan!(m,j) for  $0 \le j \le m$  and  $m \ge 2$ . Then A is automatically a 2-groupoid in  $(\mathscr{C}, \mathcal{T})$  and  $\pi: A \to X$  is a 2-groupoid Kan fibration.

#### **5.4.1.1 Dimension 2**

Let

$$A_2 = \text{Hom}(\lambda_i^2, \pi), \quad \forall j = 0, 1, 2,$$

which is well-defined by assumption. The conditions Kan!(2, j) for j = 0, 1, 2 for  $\pi$  hold automatically. The face maps  $A_2 \rightarrow A_1$  and the projection  $\pi_2 \colon A_2 \rightarrow X_2$  are natural to define. The degeneracy maps  $s_0, s_1 \colon A_1 \rightarrow A_2$  are given by

$$s_0: \eta \mapsto (s_0 \pi_1 \eta, \eta, s_0 d_0 \eta) \in \operatorname{Hom}(\lambda_1^2, \pi), s_1: \eta \mapsto (s_1 \pi_1 \eta, s_0 d_1 \eta, \eta) \in \operatorname{Hom}(\lambda_1^2, \pi).$$

The coherence condition (ii) implies that  $s_0, s_1$  are well-defined and that the simplicial identities  $d_0 \circ s_0 = id = d_1 \circ s_1$  hold. The other simplicial identities and commutativity with  $\pi$  are left to the reader.

#### 5.4.1.2 Dimension 3

Let

$$A_m := \operatorname{Hom}(\operatorname{sk}_2 \Delta^m \to \Delta^m, \pi), \qquad \forall \, m \ge 3.$$
(5.8)

Since the above expression depends only on  $\pi_j$  for j = 0, 1, 2, the space  $A_m$  is already well-defined.

**Lemma 5.19.** The space  $A_3$  in (5.8) is representable, and

$$A_3 = \operatorname{Hom}(\lambda_i^3, \pi), \qquad \forall \, 0 \le j \le 3.$$

*Proof.* Lemma 3.20 shows that  $\text{Hom}(\lambda_j^3, \pi)$  is representable for  $0 \le j \le 3$ . It suffices to show that each element of

$$\operatorname{Hom}(\operatorname{sk}_2 \Delta^3 \to \Delta^3, \pi) = \operatorname{Hom}(\partial \Delta^3 \to \Delta^3, \pi)$$

can be extended uniquely from an element of  $\text{Hom}(\lambda_j^3, \pi)$  for  $0 \le j \le 3$ . For instance, given an element  $\eta \in \text{Hom}(\lambda_0^3, \pi)$ , we have  $\eta_{01}, \eta_{12}$  and  $\eta_{23}$  and  $\gamma \in \text{Hom}(\Delta^3, X)$  that are compatible. The coherence condition (iii) implies that the two ways to determine  $\eta_{03}$  give the same results. That is, we obtain a unique element of  $\text{Hom}(\text{sk}_2 \Delta^3 \rightarrow \Delta^3, \pi)$ . We can prove the other cases by using different formulations of the coherence condition, or by Lemma 3.28.

The face maps, degeneracy maps, and the projection  $\pi_3 \colon A_3 \to X_3$  are natural to define. The Kan conditions follow from the lemma above; moreover, Acyc!(3) hold by definition.

#### 5.4.1.3 Higher dimensions

We have shown that Kan!(3, *j*) and Acyc!(3) hold. Suppose that  $A_k$  for  $3 \le k \le m$  given by (5.8) are representable. Suppose that Kan!(*k*, *j*) holds for  $0 \le j \le k$  and  $2 \le k \le m$ , and that Acyc!(*k*) holds for  $3 \le k \le m$ . We define  $A_{m+1} := \text{Hom}(\text{sk}_2 \Delta^{m+1} \to \Delta^{m+1}, \pi)$ , and show that Kan!(*m* + 1, *j*) and Acyc!(*m* + 1) hold. Since  $sk_2 \Delta^{m+1} = sk_2 \Lambda_j^{m+1}$ , we have

 $\operatorname{Hom}(\operatorname{sk}_2 \Delta^{m+1} \to \Delta^{m+1}, \pi) = \operatorname{Hom}(\operatorname{sk}_2 \Lambda_j^{m+1} \to \Delta^{m+1}, \pi).$ 

By Acyc!(*k*) for  $3 \le k \le m$ , the same argument as in the proof of [81, Lemma 2.5] tells us that the natural maps

$$\operatorname{Hom}(\partial \Delta^{m+1} \to \Delta^{m+1}, \pi) \to \operatorname{Hom}(\lambda_j^{m+1}, \pi) \to \operatorname{Hom}(\operatorname{sk}_2 \Lambda_j^{m+1} \to \Delta^{m+1}, \pi)$$

are both isomorphisms. Since we have the exact condition Acyc!(k) instead of Acyc(k), the conclusion is strengthened to being isomorphisms instead of being covers as in the original lemma. Hence

$$\operatorname{Hom}(\partial \Delta^{m+1} \to \Delta^{m+1}, \pi) = \operatorname{Hom}(\lambda_j^{m+1}, \pi)$$
$$= \operatorname{Hom}(\operatorname{sk}_2 \Delta^{m+1} \to \Delta^{m+1}, \pi) = A_{m+1}. \quad (5.9)$$

The presheaf Hom $(\lambda_j^{m+1}, \pi)$  is representable by Lemma 3.29, so  $A_{m+1}$  is representable. There are natural face maps, degeneracy maps, and a natural projection  $\pi_{m+1}: A_{m+1} \rightarrow X_{m+1}$ . The conditions Kan(m + 1, j) for  $0 \le j \le m + 1$  and Acyc!(m + 1) hold by (5.9). This complete the proof of Proposition 5.17.

# 5.5 From categorification to the simplicial picture

Given a categorified action of a 2-groupoid  $G \Rightarrow \underline{M}$  on a groupoid E, we now construct the finite data for the expected Kan fibration  $A \rightarrow \overline{X}$ .

### 5.5.1 Dimensions 0 and 1

First, we let

$$\begin{array}{ll} A_0 \coloneqq E_0, & A_1 \coloneqq E_\mu, \\ \mathsf{d}_0 \coloneqq J_r \colon E_\mu \to E_0, & \mathsf{d}_1 \coloneqq \mathsf{pr}_1 \circ J_l \colon E_\mu \to E_0, \\ \pi_0 \coloneqq J_0 \colon E_0 \to X_0, & \pi_1 \coloneqq \mathsf{pr}_2 \circ J_l \colon E_\mu \to X_1, \end{array}$$

where  $E_{\mu}$  is the bibundle giving the action morphism  $E \times_{J,X_0,t} G \to E$ . The 2-morphism  $\rho: \mu \circ (id, u \circ J) \Rightarrow id_E$  induces an isomorphism of bibundles

$$\rho \colon J_l^{-1}(E_0 \times_{X_0} X_0) \cong E_1.$$

We take  $s_0$  to be the composite

$$\mathbf{s}_0 = \rho^{-1} \circ \mathbf{u}_E \colon A_0 = E_0 \to E_1 \to E_\mu = A_1.$$

Then  $d_0 \circ s_0 = J_r \circ \rho^{-1} \circ u_E = t_E \circ u_E = id$ . Similarly,  $d_1 \circ s_0 = id$ . Therefore, the simplicial identities involving  $A_i$ , i = 0, 1, hold.

Furthermore,  $\pi_i$  for i = 0, 1 commute with face and degeneracy maps. In fact, we have

$$s_0 \circ \pi_0 = \pi_1 \circ s_0 \colon A_0 \to X_1,$$
  
$$\mathsf{d}_0 \circ \pi_1 = \pi_0 \circ \mathsf{d}_0 \colon A_1 \to X_0$$

by construction. Since  $J \circ \mu = s \circ pr_2$ , Lemma 5.16 implies that

$$\mathsf{d}_1 \circ \pi_1 = \pi_0 \circ \mathsf{d}_1 \colon A_1 \to X_0.$$

Next, we verify the conditions Kan(1,0) and Kan(1,1) for  $\pi \colon A \to X$ . The map

$$A_1 \to \operatorname{Hom}(\Lambda_0^1 \to \Delta^1, \pi) = E_0 \times_{J_0, X_0, \mathfrak{t}} X_1$$

is a cover because it is the left moment map  $E_{\mu} \to E_0 \times_{J_0, X_0, t} X_1$ . This proves Kan(1,0) for  $\pi$ . The condition Kan(1,1) follows from the following lemma.

**Lemma 5.20.** The left *E*-action on  $E_{\mu}$  gives a left principal *E*-bundle  $(J_r, \operatorname{pr}_2 \circ J_l) \colon E_{\mu} \to E_0 \times_{J_0, X_0, S_0} G_0$ .

*Proof.* The proof is similar to that of [81, Lemma 3.12]. Since *X* has a horizontal inversion, the morphism

$$E \times_{M,t} G \to E \times_{M,s} G, \quad (x,g) \mapsto (x \cdot g,g),$$

is a Morita equivalence. Therefore, the left  $E \times_{\underline{M},t} G$ -bundle

$$E_{\mu} \times_{\operatorname{pr}_2 \circ J_l, G_0, \mathfrak{t}} G_1 \to E_0 \times_{J_0, X_0, \mathfrak{s}_0} G_0$$

is principal. Since the left G-action is given by multiplication, we have

$$(E_{\mu} \times_{\operatorname{pr}_{2} \circ J_{l}, G_{0}, \mathfrak{t}} G_{1})/G = E_{\mu}$$

as a left *E*-bundle, and this proves the lemma.

### 5.5.2 Dimension 2

The presheaf Hom $(\lambda_j^2, \pi)$  is already defined because it only involves  $\pi_0$  and  $\pi_1$ ; it is representable by Lemma 3.29.

Our next goal is to prove the following lemma.

Lemma 5.21. There are natural isomorphisms

$$\operatorname{Hom}(\lambda_0^2, \pi) \cong \operatorname{Hom}(\lambda_1^2, \pi) \cong \operatorname{Hom}(\lambda_2^2, \pi).$$

We first establish two auxiliary lemmas.

Lemma 5.22. There is a natural isomorphism of HS bibundles

$$(E_{\mu} \times_{E_0} E_{\mu})/E \cong (E_{\mu} \times_{G_0} E_{\mathsf{m}})/G,$$

where  $E_m$  is the HS bibundle giving the horizontal multiplication m of the 2-groupoid X.

	1
	_

*Proof.* The 2-morphism (5.4) implies an isomorphism of HS bibundles

$$((E_{\mu} \times_{X_{0}} G_{1}) \times_{E_{0} \times_{X_{0}} G_{0}} E_{\mu}) / (E \times_{X_{0}} G) \cong ((E_{1} \times_{X_{0}} E_{m}) \times_{E_{0} \times_{X_{0}} G_{0}} E_{\mu}) / (E \times_{X_{0}} G).$$

Since G acts by multiplication, we have

$$((E_{\mu} \times_{X_0} G_1) \times_{E_0 \times_{X_0} G_0} E_{\mu})/(E \times_{\underline{X_0}} G) \cong (E_{\mu} \times_{E_0} E_{\mu})/E.$$

Similarly, we get

$$((E_1 \times_{X_0} E_{\mathsf{m}}) \times_{E_0 \times_{X_0} G_0} E_{\mu})/(E \times_{\underline{X_0}} G) \cong (E_{\mu} \times_{G_0} E_{\mathsf{m}})/G,$$

proving the lemma.

In particular, the *E*-action on  $E_{\mu} \times_{E_0} E_{\mu}$  and the *G*-action on  $E_{\mu} \times_{G_0} E_{m}$  are principal. Lemma 1.51 implies the following lemma.

**Lemma 5.23.** The following two diagrams are pullback squares in C:

Proof of Lemma 5.21. Lemma 5.23 implies natural isomorphisms

$$\operatorname{Hom}(\lambda_0^2, \pi) \cong (E_{\mu} \times_{G_0} E_{\mathsf{m}}) / G \times_{E_0 \times_{X_0} G_0 \times_{X_0} G_0} (E_{\mu} \times_{X_1} E_{\mathsf{m}})$$

and

$$\operatorname{Hom}(\lambda_1^2, \pi) \cong (E_{\mu} \times_{E_0} E_{\mu}) / E \times_{E_0 \times_{X_0} G_0} (E_{\mu} \times_{X_1} E_{\mathsf{m}}).$$

Applying Lemma 5.22, we get a natural isomorphism

$$\operatorname{Hom}(\lambda_0^2, \pi) \cong \operatorname{Hom}(\lambda_1^2, \pi),$$

and Hom $(\lambda_2^2, \pi) \cong$  Hom $(\lambda_1^2, \pi)$  follows similarly.

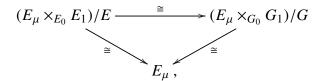
It follows from Lemma 5.21 that there are 3-multiplications

$$m_j$$
: Hom $(\lambda_i^2, \pi) \rightarrow A_1, \quad j = 0, 1, 2,$ 

and the coherence condition (i) follows immediately.

Lemma 5.24. The triangle conditions (5.6) and (5.7) imply the coherence conditions (ii).

*Proof.* The proof is similar to argument in [81, the coherence conditions, p. 4127]. The triangle condition (5.7) gives a commutative triangle



in which every morphism is an isomorphism. It follows that for  $\eta \in A_1 = E_{\mu}$  we have

$$m_1(\mathsf{d}_1 \,\pi_1 \eta, \mathsf{s}_0 \,\mathsf{d}_1, \eta) = \eta.$$

Other cases can be proved similarly.

The pentagon condition (5.5) implies the coherence condition (iii). Details of this argument can be found in the later Section 6.2.

We have shown that the spaces  $\{A_i\}_{i\geq 0}$  with the face and degeneracy maps constructed above give a simplicial object in  $\mathscr{C}$ , denoted by A. Moreover, the maps  $\pi_i \colon A_i \to X_i$ give a simplicial morphism  $\pi$ , which is a Kan fibration. This completes the proof of Theorem 5.12.

# 5.6 Principal 2-bundles

In this section, we define principal 2-bundles by categorification and show that they agree with the principal 2-bundles defined by the simplicial method in Section 3.6.2.

**Definition 5.25.** Let  $G \rightrightarrows \underline{M}$  be a categorified groupoid in  $(\mathscr{C}, \mathcal{T})$ , and let N be an object in  $\mathscr{C}$ . A *categorified bundle of*  $G \rightrightarrows M$  over N consists of

- a groupoid *E* with an HS morphism  $\kappa \colon E \to \underline{N}$  arising from a map  $\kappa_0 \colon E_0 \to N$ ,
- a right categorified action of  $G \Rightarrow \underline{M}$  as in Definition 5.10 ( $\mu$ , J) on E,

such that the action respects  $\kappa$ ; that is, we have

$$\kappa \circ \mu = \kappa \circ \operatorname{pr}_1 \colon E \times_{J,M,\mathfrak{t}} G \to \underline{N}.$$
(5.10)

The following two lemmas verify that this definition of 2-bundles agrees with the one given by the simplicial method.

**Lemma 5.26.** Let  $\kappa \colon E \to \underline{N}$  be a categorified  $G \rightrightarrows \underline{M}$  bundle. Denote the action 2groupoid by A. Then  $\kappa_0$  gives a canonical morphism  $A \to \operatorname{sk}_0 N$ .

*Proof.* We need to show that  $\kappa_0 d_1 = \kappa_0 d_0$ :  $A_1 \to N$ . We know that  $A_1 = E_{\mu}$  and that  $d_1$  is given by  $J_r$ ,  $d_0$  is given by  $pr_1 \circ J_l$ . Now (5.10) and Lemma 5.16 give  $\kappa_0 d_1 = \kappa_0 d_0$ .

**Lemma 5.27.** Suppose that we have a categorified action of a categorified groupoid  $G \Rightarrow \underline{M}$  on a groupoid E with action 2-groupoid A. Let N be an object in  $(\mathcal{C}, \mathcal{T})$ . Given a morphism  $A \rightarrow \operatorname{sk}_0 N$ , then E is a categorified bundle over N.

*Proof.* The morphism  $A \to \mathrm{sk}_0 N$  is given by a map  $\kappa_0 \colon E_0 = A_0 \to N$  such that  $\kappa_0 \,\mathrm{d}_1 = \kappa_0 \,\mathrm{d}_0 \colon A_1 \to N$ . It follows that  $\kappa_0 \,\mathrm{d}_1 \mid_{E_1} = \kappa_0 \,\mathrm{d}_0 \mid_{E_1}$ , hence  $\kappa_0$  gives a morphism  $\kappa \colon E \to \underline{N}$ . Since  $\kappa_0 \,\mathrm{d}_1 = \kappa_0 \,\mathrm{d}_0$ , Lemma 5.16 proves (5.10).

**Definition 5.28.** Let  $\kappa: E \to \underline{N}$  be a categorified  $G \rightrightarrows \underline{M}$  bundle. We say that *E* is *principal* if  $\kappa_0$  is a cover and the shear morphism

$$(\mathrm{pr}_1, \mu) \colon E \times_{JM,\mathfrak{t}} X \to E \times_N E, \quad (e,g) \mapsto (e,eg),$$

is a Morita equivalence.

*Remark* 5.29. For strict 2-groups or crossed modules of groups, there are many equivalent methods to define such principal 2-bundles, which generalise various equivalent methods of defining usual principal (1)-bundles; see [5, 6, 43, 80]. We refer to [57] for such a review of various versions.

The following theorem shows that categorified principal bundles agree with 2-bundles given by the simplicial method in Definition 3.56.

**Theorem 5.30.** Let  $\kappa: E \to \underline{N}$  be a categorified  $G \rightrightarrows \underline{M}$  bundle with action 2-groupoid A. It is principal if and only if the induced morphism  $A \to \operatorname{sk}_0 N$  given by Lemma 5.26 is acyclic.

First, condition Acyc(0) means that  $\kappa_0 \colon E_0 \to N$  is a cover. So let us assume that  $\kappa_0 \colon E_0 \to N$  is a cover. It remains to show that, if  $(\text{pr}_1, \mu)$  is a Morita equivalence, then Acyc(1) and Acyc!(2) hold, and vise versa. We divide the proof into three lemmas.

**Lemma 5.31.** The morphism  $(pr_1, \mu)$ :  $E \times_{J,\underline{M},t} G \to E \times_N E$  is a Morita equivalence if and only if  $(pr_1 \circ J_l, J_r)$ :  $E_{\mu} \to E_0 \times_N E_0$  is a left principal G-bundle.

*Proof.* The morphism  $(pr_1, \mu)$  is given by the HS bibundle

$$E_1 \times_{\mathsf{t}, E_0, \mathsf{pr}_1 \circ J_l} E_\mu.$$

It is a Morita equivalence if and only  $E_1 \times_{t,E_0,pr_1 \circ J_l} E_\mu \to E_0 \times_N E_0$  is a left  $E \times_{\underline{M},t} G$  principal bundle. Since the left *E*-action is given by multiplication, this *E*-action is principal and

$$(E_1 \times_{\mathsf{t}, E_0, \mathsf{pr}_1 \circ J_l} E_\mu) / E \cong E_\mu$$

as a left G bibundle. The rest follows immediately.

**Lemma 5.32.** If  $(pr_1, \mu)$ :  $E \times_{J,\underline{M},t} G \to E \times_N E$  is a Morita equivalence, then Acyc(1) and Acyc!(2) for  $A \to sk_0 N$  hold.

*Proof.* Lemma 5.31 implies Acyc(1). It remains to prove Acyc!(2). Since  $E_{\mu} \rightarrow E_0 \times_N E_0$  is a left principal *G*-bundle, Lemma 1.53 shows that the diagram

is a pullback square. Combining this with Lemmas 5.22 and 5.23, we get

$$\operatorname{Hom}(\partial \Delta^2 \to \Delta^2, A \to \operatorname{sk}_0 N) \cong \operatorname{Hom}(\lambda_0^2, \pi)$$

by an argument similar to the proof of Lemma 5.21. This completes the proof.

**Lemma 5.33.** If  $A \to \operatorname{sk}_0 N$  is acyclic, then  $(\operatorname{pr}_1, \mu) \colon E \times_{J,\underline{M},t} G \to E \times_N E$  is a Morita equivalence.

*Proof.* By Lemma 5.31, it suffices to show that  $E_{\mu} \to E_0 \times_N E_0$  is a left principal *G*-bundle. The condition Acyc(1) implies that  $E_{\mu} \to E_0 \times_N E_0$  is a cover. The diagram

is a pullback square, where the vertical arrows are induced by adding a degenerate 1simplex in  $A_1$ . It follows that  $E_{\mu} \rightarrow E_0 \times_N E_0$  is a left principal *G*-bundle.

# **6** Bibundles of 2-Groupoids

In this chapter, we first define categorified bibundles between two categorified groupoids. Roughly, such a bibundle is a groupoid equipped with two categorified actions of 2groupoids, and these two actions commute up to a higher isomorphism satisfying certain coherence conditions. Categorified principal bibundles between 2-groupoids are also defined in this fashion.

Then we establish the equivalence of the two approaches to bibundles between 2groupoids by categorification and by the simplicial method. This extends the equivalence of these two approaches to 2-groupoids and actions of 2-groupoids.

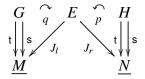
We then study weak equivalence of 2-groupoids. We show that their cographs are two-sided principal bibundles. At the end of this chapter, some examples of bibundles between groupoids are given.

The following notation will be used throughout the sequel: let  $G \rightrightarrows \underline{M}$  and  $H \rightrightarrows \underline{N}$  be categorified groupoids in  $(\mathscr{C}, \mathcal{T})$ , and let X and Y be the corresponding simplicial objects in  $\mathscr{C}$ .

# 6.1 Categorified bibundles between 2-groupoids

In this section, we categorify the notion of bibundles. Recall the notion of categorified bundles and principal bundles from Section 5.6. Our definition is modeled after the notion of biaction of a monoidal category on a category, see [7].

**Definition 6.1.** A *categorified bibundle* between  $G \rightrightarrows \underline{M}$  and  $H \rightrightarrows \underline{N}$  is a groupoid *E* in  $(\mathscr{C}, \mathcal{T})$  equipped with the following data:

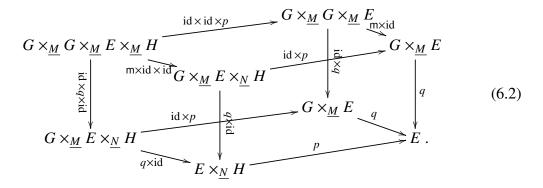


- a categorified left  $G \rightrightarrows \underline{M}$  bundle  $J_r \colon E \to \underline{N}$ , which has moment morphism  $J_l \colon E \to \underline{M}$ , action morphism  $q \colon G \times_{s,\underline{M},J_l} E \to E$  given by an HS bibundle  $E_q$ , associator  $\alpha_l$ , and unitor  $\rho$ ;
- a categorified right  $H \Rightarrow \underline{N}$  bundle  $J_l: E \to \underline{M}$ , which has moment morphism  $J_r: E \to \underline{N}$ , action morphism  $p: E \times_{J_r,\underline{N},t} H \to E$  given by an HS bibundle  $E_p$ , associator  $\alpha_r$ , and unitor  $\lambda$ ;

These two actions commute up to a 2-morphism  $\alpha_{lr}$ , called *associator*,

$$\alpha_{lr} \colon q \circ (p \times \mathrm{id}) \Rightarrow p \circ (\mathrm{id} \times q), \tag{6.1}$$

between HS morphisms  $G \times_{\underline{M}} E \times_{\underline{N}} H \to E$ . The 2-morphisms  $\alpha_{lr}$ ,  $\alpha_l$ , and  $\alpha_r$  satisfy two extra pentagon conditions. One of them identifies two 2-morphisms between two HS morphisms  $G \times_{\underline{M}} G \times_{\underline{M}} E \times_{\underline{N}} H \to E$ , that is, the following diagram is 2-commutative (and the other is given by symmetry):



*Remark* 6.2. As in Remark 5.11, the pentagon conditions and triangle conditions (Equation 5.6 and a symmetric one) imply two other triangle conditions; one of them is the following 2-commutative diagram (and the other is given by symmetry):

$$G \times_{\underline{M}} E \times_{\underline{N}} H \xrightarrow{q \times \mathrm{id}} E \times_{\underline{N}} H \xrightarrow{p} E, \qquad (6.3)$$

where the 2-morphism on the whole square is induced by  $\alpha_{lr}$ , the upper left triangle is induced by  $\lambda$ , the upper right triangle is id, and the lower square is induced by  $\lambda$ .

*Remark* 6.3. If it is clear from the context, we denote all associators by  $\alpha$ , regardless whether they come from 2-groupoids, or actions, or  $\alpha_{lr}$ . If there is no danger of confusion, we denote actions and horizontal multiplications of 2-groupoids by m, or by  $\cdot$ .

*Remark* 6.4. Replacing the 2-category BUN by GPD, we get another sort of categorified bibundles. Recall that the cograph construction for a groupoid bibundle gives a category over *I*, the interval category. A similar construction for a bibundle of 2-groupoids gives a 2-category with a 2-functor to *I*. This point of view appeared in [7] for biactions of monoidal categories.

*Remark* 6.5. For 2-categories  $\mathscr{A}$  and  $\mathscr{B}$ , we can similarly define bimodules to be categories with two actions commuting up to coherent 2-morphisms. As in Remark 4.3, 2-category bimodules are closely related to 2-profunctors  $\mathscr{B}^{op} \times \mathscr{A} \to Cats$ ; see [27]. This point of view will give us a hint how to compose 2-groupoid bibundles.

Right principal bibundles are also categorified in a similar fashion.

**Definition 6.6.** Let *E* be a categorified bibundle between  $G \Rightarrow \underline{M}$  and  $H \Rightarrow \underline{N}$ . We say that *E* is *right principal* if the right  $H \Rightarrow \underline{N}$  bundle is principal. Left principal bibundles are defined similarly. Two-sided principal bibundles are also called *Morita bibundles*.

By Definition 5.28, being right principal means that the left moment morphism  $J_l: E \to \underline{M}$  is given by a cover  $E_0 \to M$  and that the shear morphism

$$(\mathrm{pr}_1, p) \colon E \times_{J_r, N, \mathfrak{t}} H \to E \times_M E, \quad (e, h) \mapsto (e, e \cdot h), \tag{6.4}$$

is a Morita equivalence.

The following theorem establishes that two approaches to bibundles of 2-groupoids are equivalent. The proof given in the next two sections will largely follow the same lines as in the previous chapter.

**Theorem 6.7.** Categorified bibundles between  $G \Rightarrow \underline{M}$  and  $H \Rightarrow \underline{N}$  are in a one-to-one correspondence with bibundles between X and Y given by simplicial objects. Moreover, right principal (Morita) categorified bibundles correspond to right principal (Morita) bibundles given by simplicial objects.

Recall from Definition 4.47 that a bibundle between X and Y is a simplicial object  $\pi: \Gamma \to \Delta^1$  with the two ends given by X and Y such that the morphism  $\pi$  satisfies  $\operatorname{Kan}(m,k)$  for 1 < k < m,  $\operatorname{Kan}(m,0)[i,j]$  for  $i \ge 2$ , and  $\operatorname{Kan}(m,m)[i,j]$  for  $j \ge 2$ . Such a bibundle is right principal if, in addition,  $\pi$  satisfies left  $\operatorname{Kan}(m,0)$  for  $m \ge 1$ . Two-sided principal bibundles satisfy  $\operatorname{Kan}(m,k)$  for  $m \ge 1$  and  $0 \le k \le m$ . Finally, when m > n the unique version of all these Kan conditions hold.

*Remark* 6.8. Theorem 6.7 is not surprising in view of Theorems 5.8 and 5.9. Consider the categorified bibundle as in Remark 6.4. The associated simplicial object given below is the geometric nerve of the 2-category corresponding to this bibundle.

# 6.2 From categorification to simplicial picture

In this section, we show one direction of Theorem 6.7. Given a categorified bibundle *E* between 2-groupoids  $G \rightrightarrows \underline{M}$  and  $H \rightrightarrows \underline{N}$  as in Definition 6.1, we construct a simplicial object  $\Gamma$  in  $\mathscr{C}$  with a morphism  $\Gamma \rightarrow \Delta^1$  satisfying the desired Kan conditions. If *E* is right principal, we show that the corresponding  $\Gamma \rightarrow \Delta^1$  satisfies the desired left Kan conditions.

Recall from Section 4.3 that a simplicial object  $\Gamma$  over  $\Delta^1$  can be viewed as a simplicial object colored by 0 (white) and 1 (black). Denote by  $\Gamma_{i,j}$  the subspace of  $\Gamma_{i+j+1}$  with i + 1 white and j + 1 black vertexes, and let  $\Gamma_{-1,-1}$  be the terminal object. Then  $\Gamma_{i,j}$  is the corresponding augmented bisimplicial object.

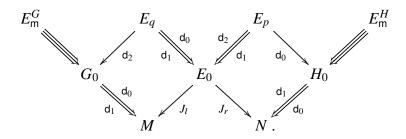
Since X is the simplicial object corresponding to the categorified groupoid  $G \rightrightarrows \underline{M}$ ,  $X_0 = M$ ,  $X_1 = G_0$ , and  $X_2 = E_m^G$ , the HS bibundle giving the multiplication.

## 6.2.1 The dimensions 0, 1, 2

We construct  $\Gamma$  as an augmented bisimplicial object, so the morphism  $\Gamma \to \Delta^1$  is built into the construction by Proposition 4.37.

#### 6.2.1.1 The construction of the spaces

The desired simplicial object  $\Gamma$  in dimensions m = 0, 1, 2 is displayed as follows:



First, let  $\Gamma_{i,-1} = X_i$  and  $\Gamma_{-1,i} = Y_i$  for i = 0, 1, 2. Let

$$\Gamma_{0,0} = E_0, \quad \Gamma_{1,0} = E_q, \quad \Gamma_{0,1} = E_p,$$

where  $E_q$  and  $E_p$  are the HS bibundles giving the action morphisms q and p, respectively.

The face maps on  $\Gamma_{i,-1}$  and  $\Gamma_{-1,i}$  for i = 0, 1, 2 are those of X and Y, respectively. The face maps with domain  $E_0$  are defined by

$$d_0 = J_r|_{E_0} \colon E_0 \to N, \qquad d_1 = J_l|_{E_0} \colon E_0 \to M.$$

The face maps with domain  $E_p$  are defined by

$$d_0 = \operatorname{pr}_2 \circ J_l^p \colon E_p \to H_0$$
  
$$d_1 = J_r^p, \quad d_2 = \operatorname{pr}_1 \circ J_l^p \colon E_p \to E_0,$$

where  $J_r^p$  and  $J_l^p$  are the moment maps of the bibundle  $E_p$ . Similarly, the face maps with domain  $E_q$  are defined by

$$d_2 = \operatorname{pr}_1 \circ J_r^q \colon E_q \to G_0,$$
  
$$d_1 = J_1^q, \quad d_0 = \operatorname{pr}_2 \circ J_r^q \colon E_q \to E_0.$$

The degeneracy maps on  $\Gamma_{i,-1}$  and  $\Gamma_{-1,i}$  for i = 0, 1, 2 are those of *X* and *Y*, respectively. The degeneracy map  $s_0: E_0 \to E_q$  is induced by the unitor  $\lambda$ . In fact, the 2-morphism  $\lambda: q|_{M \times ME} \Rightarrow id_E$  gives an isomorphism of HS bibundles

$$\lambda \colon E_q \supset (J_l^q)^{-1}(\underline{M} \times_M E) \to E_1.$$

Let  $s_0 = \lambda^{-1} \circ u_E$ . Similarly, we define  $s_1 \colon E_0 \to E_p$  using the unitor  $\rho$ .

#### 6.2.1.2 Simplicial identities

We need to verify that  $\Gamma_{\leq 2}$  constructed above satisfies the simplicial identities.

Consider the simplicial identities with domain  $E_p$ ; those with domain  $E_q$  can be shown similarly. The identity  $d_0 \circ d_2 = d_1 \circ d_0$  holds by construction. The identity  $d_1 \circ d_2 = d_1 \circ d_1$  follows from Lemma 5.16 and

$$J_r \circ p = J_r \circ \operatorname{pr}_1 \colon E \times_{\underline{N}, \mathfrak{t}} H \to \underline{M}.$$

Similarly,  $J_l \circ p = \mathbf{s} \circ \mathbf{pr}_2$  implies  $\mathbf{d}_0 \circ \mathbf{d}_1 = \mathbf{d}_0 \circ \mathbf{d}_0$ .

Consider the simplicial identities with domain  $E_0$ . The identity  $d_2 \circ s_0 = s_0 \circ d_1$  holds by construction. By definition, we have

$$d_0 \circ \mathbf{s}_0 = d_0 \circ (J_l^q)^{-1} \circ \mathbf{u}_E = \mathbf{s} \circ \mathbf{u}_E = \mathrm{id},$$
  
$$d_1 \circ \mathbf{s}_0 = J_r^q \circ (J_l^q)^{-1} \circ \mathbf{u}_E = \mathrm{t} \circ \mathbf{u}_E = \mathrm{id},$$

where we used that HS bibundle isomorphisms respect moment maps. The other simplicial identities hold by symmetry.

It is clear that there is a natural map from  $\Gamma_{\leq 2}$  to tr<sub>2</sub>  $\Delta^1$  (see Section 2.5).

#### 6.2.1.3 Kan conditions

Although  $\Gamma_m$  is yet not defined for m > 2, all presheaves  $\text{Hom}(\Lambda_k^m[i, j], \Gamma)$  are well-defined for  $0 \le k \le m \le 3$  and  $i, j \ge 0$  with i + j = m + 1. Thus it is meaningful to verify some Kan conditions.

Recall the colored Kan conditions from Chapter 4. There are four cases for Kan(2, 1), two of which are given by Kan(2, 1) for X and Y. The other two cases are Kan(2, 1)[2, 1] and Kan(2, 1)[1, 2], which say that two maps below are covers:

$$E_q \to G_0 \times_M E_0, \quad E_p \to E_0 \times_N H_0.$$

This holds because they are the left moment maps of the HS bibundles  $E_q$  and  $E_p$ ; see Figure 6.1.

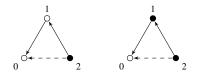


Figure 6.1: Kan(2,1)[2,1] and Kan(2,1)[1,2]

Additionally, if the bibundle E is right principal, then Kan(1,0) holds. It suffices to consider Kan(1,0)[1,1], which says that  $E_0 \rightarrow M$  is a cover. This follows from Definition 6.6.

**Lemma 6.9.** The left *E*-action on  $E_q$  gives a principal *E*-bundle

$$(\operatorname{pr}_1 \circ J_l^q, J_r^q) \colon E_q \to G_0 \times_{t,N} E_0;$$

Similarly, the left E-action on  $E_p$  gives a principal E-bundle

$$(J_r^p, \operatorname{pr}_2 \circ J_l^p) \colon E_p \to E_0 \times_{M,s} H_0;$$

If the bibundle E is right principal, then the left H-action on  $E_p$  gives a principal H-bundle

$$(\operatorname{pr}_1 \circ J_I^p, J_r^p) \colon E_p \to E_0 \times_M E_0.$$

*Proof.* This is the same as Lemmas 5.20 and 5.31.

Consequently, the colored outer Kan conditions Kan(2,0)[2,1] and Kan(2,2)[1,2] hold. If *E* is right principal, then Kan(2,0)[1,2] holds; see Figure 6.2.

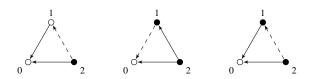


Figure 6.2: Kan(2,0)[2,1], Kan(2,2)[1,2], and Kan(2,0)[1,2]

### 6.2.2 Dimension 3

First, let  $\Gamma_{-1,3} = X_3$  and  $\Gamma_{3,-1} = Y_3$ . For the remaining cases, we use the following lemma.

Lemma 6.10. There are natural isomorphisms of representable presheaves

$$\operatorname{Hom}(\Lambda_0^3[3,1],\Gamma) \cong \operatorname{Hom}(\Lambda_1^3[3,1],\Gamma) \cong \operatorname{Hom}(\Lambda_2^3[3,1],\Gamma), \tag{6.5}$$

$$\operatorname{Hom}(\Lambda_1^3[1,3],\Gamma) \cong \operatorname{Hom}(\Lambda_2^3[1,3],\Gamma) \cong \operatorname{Hom}(\Lambda_3^3[1,3],\Gamma), \tag{6.6}$$

$$\operatorname{Hom}(\Lambda_0^3[2,2],\Gamma) \cong \operatorname{Hom}(\Lambda_1^3[2,2],\Gamma) \cong \operatorname{Hom}(\Lambda_2^3[2,2],\Gamma) \cong \operatorname{Hom}(\Lambda_3^3[2,2],\Gamma).$$
(6.7)

If the bibundle E is right principal, then

$$\operatorname{Hom}(\Lambda_0^3[1,3],\Gamma) \cong \operatorname{Hom}(\Lambda_1^3[1,3],\Gamma) \cong \operatorname{Hom}(\Lambda_2^3[1,3],\Gamma) \cong \operatorname{Hom}(\Lambda_3^3[1,3],\Gamma).$$
(6.8)

Let  $\Gamma_{0,2}, \Gamma_{1,1}$ , and  $\Gamma_{2,0}$  be given by (6.6), (6.7), and (6.5), respectively. We shall verify all the required conditions for a simplicial object up to dimension 3.

First, Remarks 4.48 and 4.49 imply that all the presheaves in Lemma 6.10 are representable. Since (6.6) and (6.5) are essentially the same as Lemma 5.21, we only prove (6.7) and (6.8).

**Lemma 6.11.** There is a natural isomorphism of HS bibundles from  $G \times_{\underline{M}} E \times_{\underline{N}} H$  to E,

$$(E_q \times_{E_0} E_p)/E \stackrel{a}{\cong} (E_p \times_{E_0} E_q)/E,$$

where the omitted maps can be read from Figure 6.3, in which snake lines stand for quotients.

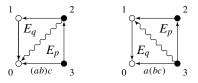


Figure 6.3: Associator implies an isomorphism of bibundles

Proof. The associator 2-morphism

$$\alpha \colon p \circ (q \times \mathrm{id}) \Rightarrow q \circ (\mathrm{id} \times p) \colon G \times_M E \times_N H \to E$$

implies an isomorphism of HS bibundles

 $((E_q \times_N H_1) \times_{E_0 \times_N G_0} E_p)/(E \times_{\underline{N}} H) \xrightarrow{\alpha} ((G_1 \times_M E_p) \times_{G_0 \times_M E_0} E_q)/(G \times_{\underline{M}} E).$ 

Since *H* acts by multiplication, we have

$$((E_q \times_N H_1) \times_{E_0 \times_N G_0} E_p)/(E \times_N H) \cong (E_q \times_{E_0} E_p)/E.$$

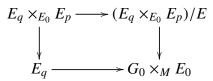
Similarly,

$$((G_1 \times_M E_p) \times_{G_0 \times_M E_0} E_q)/(G \times_M E) \cong (E_p \times_{E_0} E_q)/E$$

and this proves the lemma.

The proof shows that the *E*-actions on  $E_q \times_{E_0} E_p$  and  $E_p \times_{E_0} E_q$  are principal. The following lemma is a consequence of Lemma 1.51.

Lemma 6.12. The diagram



is a pullback square in C. We illustrate this pullback square by Figure 6.4, where the space given by the left picture is the pullback of the two spaces given by the middle and the right pictures over an obvious space.

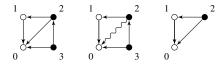


Figure 6.4: An illustration of a pullback diagram

*Remark* 6.13. This lemma follows because  $E_q \times_{E_0} E_p \to E_q$  is an equivariant map of principal *E* bundles. Thus, there are three other pullback diagrams, illustrated by Figure 6.5, where every row gives a pullback diagram.

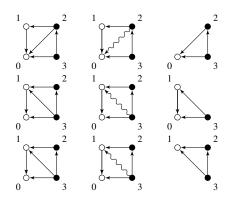


Figure 6.5: An illustration of three pullback diagrams

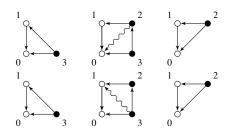


Figure 6.6: Hom $(\Lambda_0^3[2,2],\Gamma)$  and Hom $(\Lambda_1^3[2,2],\Gamma)$ 

*Proof of Lemma* 6.10. Lemma 6.12 and Remark 6.13 imply that Hom $(\Lambda_0^3[2,2],\Gamma)$  is the limit of the three spaces (over obvious spaces) in the first row of Figure 6.6.

Similarly, the second row of Figure 6.6 gives the space  $\text{Hom}(\Lambda_1^3[2,2],\Gamma)$ . Lemma 6.11 gives  $\text{Hom}(\Lambda_0^3[2,2],\Gamma) \cong \text{Hom}(\Lambda_1^3[2,2],\Gamma)$ . The remaining isomorphisms in (6.7) follow similarly.

If the bibundle E is right principal, then we have similar pullback diagrams as in Lemma 6.4, and (6.8) follows similarly.

Lemma 6.10 implies that  $\text{Hom}(\Lambda_1^3, \Gamma) \cong \text{Hom}(\Lambda_2^3, \Gamma)$ . This isomorphism gives two 3-multiplication maps

$$m_i: \operatorname{Hom}(\Lambda_i^3, \Gamma) \to \operatorname{Hom}(\Delta^2, \Gamma), \quad \text{for } i = 1, 2,$$
 (6.9)

which determine the remaining face of a horn of shape  $\Lambda_1^3$  or  $\Lambda_2^3$  in  $\Gamma$ . In fact, considering the coloring carefully, we have more 3-multiplication maps; but these two maps are sufficient for our purposes.

**Lemma 6.14.** *The* 3*-multiplications are compatible with face and degeneracy maps in the following sense: for*  $\eta \in \Gamma_2$ *, we have* 

$$\eta = m_1(\eta, \mathbf{s}_0 \circ \mathbf{d}_1(\eta), \mathbf{s}_0 \circ \mathbf{d}_2(\eta)),$$
(which is equivalent to  $\mathbf{s}_0 \circ \mathbf{d}_1(\eta) = m_2(\eta, \eta, \mathbf{s}_0 \circ \mathbf{d}_2(\eta)));$ 

$$\eta = m_1(\mathbf{s}_0 \circ \mathbf{d}_0(\eta), \eta, \mathbf{s}_1 \circ \mathbf{d}_2(\eta)),$$
(which is equivalent to  $\eta = m_2(\mathbf{s}_0 \circ \mathbf{d}_0(\eta), \eta, \mathbf{s}_1 \circ \mathbf{d}_2(\eta)));$ 

$$\mathbf{s}_1 \circ \mathbf{d}_1(\eta) = m_1(\mathbf{s}_1 \circ \mathbf{d}_0(\eta), \eta, \eta),$$
(which is equivalent to  $\eta = m_2(\mathbf{s}_1 \circ \mathbf{d}_0(\eta), \mathbf{s}_1 \circ \mathbf{d}_1(\eta), \eta)).$ 

*Proof.* This follows from the triangle conditions. The proof is similar to [81, the coherence conditions, p. 4127]; see also Lemma 5.24.  $\Box$ 

Now, we set

$$\Gamma_3 = \operatorname{Hom}(\Lambda_1^3, \Gamma) \cong \operatorname{Hom}(\Lambda_2^3, \Gamma);$$

that is, for i + j = 2 and  $i, j \ge -1$ , we have

$$\Gamma_{i,j} = \text{Hom}(\Lambda_1^3[i+1,j+1],\Gamma) \cong \text{Hom}(\Lambda_2^3[i+1,j+1],\Gamma).$$

The face maps  $d_i: \Gamma_3 \to \Gamma_2$  for  $0 \le i \le 3$  are natural to define. Using Lemma 6.14, we can define degeneracy maps  $s_i: \Gamma_2 \to \Gamma_3$  for  $0 \le i \le 2$  by

$$\begin{split} & \Gamma_2 \to \operatorname{Hom}(\Lambda_1^3, \Gamma), \\ & \mathsf{s}_0 \colon \eta \mapsto (\eta, \mathsf{s}_0 \circ \mathsf{d}_1(\eta), \mathsf{s}_0 \circ \mathsf{d}_2(\eta)), \\ & \mathsf{s}_1 \colon \eta \mapsto (\mathsf{s}_0 \circ \mathsf{d}_0(\eta), \eta, \mathsf{s}_1 \circ \mathsf{d}_2(\eta)), \\ & \mathsf{s}_2 \colon \eta \mapsto (\mathsf{s}_1 \circ \mathsf{d}_0(\eta), \eta, \eta). \end{split}$$

The simplicial identities

$$\mathsf{d}_i^2\,\mathsf{d}_j^3=\mathsf{d}_{j-1}^2\,\mathsf{d}_i^3,\quad i< j$$

hold by definition. All the simplicial identities involving degeneracy maps  $s_i^2$  follow from the construction and Lemma 6.14.

All desired colored Kan conditions for  $\Gamma$  hold by the definition and Lemma 6.10. This completes dimension 3 of  $\Gamma$ .

There is a natural map  $\Gamma_{\leq 3} \to \text{tr}_3 \Delta^1$ . We know from the lemma below that Kan!(3, k) for  $\Gamma \to \Delta^1$  is equivalent to the same condition for  $\Gamma$ . This property also holds for higher dimensions, so we can safely omit the morphism  $\Gamma \to \Delta^1$  in higher dimensions.

**Lemma 6.15.** Let  $\Gamma$  be a simplicial object in  $\mathscr{C}$  with a morphism  $\Gamma \to \Delta^1$ . For (m,k) = (2,1) or m > 2, the conditions  $\operatorname{Kan}(m,k)$  for  $\Gamma \to \Delta^1$  and for  $\Gamma$  are equivalent.

*Proof.* If (m,i) = (2,1) or m > 2, then  $\operatorname{Hom}(\Delta^m, \Delta^1) = \operatorname{Hom}(\Lambda^m_i, \Delta^1)$ . Consider the pullback diagram

The bottom row is an isomorphism, thus so is the top row. This proves the claim.  $\Box$ 

#### 6.2.3 Dimension 4

Since the desired simplicial object  $\Gamma$  should be 3-coskeletal, we let  $\Gamma = \cos k_3 \Gamma_{\leq 3}$ ; that is, for  $m \geq 4$ , we have

$$\Gamma_m = \operatorname{Hom}(\operatorname{sk}_3 \Delta^m, \Gamma),$$
  
= {  $f \in \operatorname{Hom}(\operatorname{sk}_2 \Delta^m, \Gamma) | f \circ (\mathsf{d}_0 \times \mathsf{d}_1 \times \mathsf{d}_2 \times \mathsf{d}_3)(\operatorname{sk}_3 \Delta^m) \subset \Gamma_3$  }

We shall prove that this completes the simplicial object  $\Gamma$ .

Let us consider dimension m = 4. An element  $f \in \text{Hom}(\text{sk}_3 \Delta^m, \Gamma)$  is given by a collection of triangles in  $\Gamma_2$  such that they are compatible in the following sense: four faces of every 3-simplex form an element in  $\Gamma_3$ . Using  $m_1$  or  $m_2$  in (6.9), we can uniquely determine the 1st or 2nd face of a 3-simplex. Therefore, we may remove some triangles of f such that f can be recovered from the remaining triangles. If there are two different ways of recovering the same face, then the results should be equal.

**Lemma 6.16.** Given triangles  $f_{012}$ ,  $f_{013}$ ,  $f_{014}$ ,  $f_{123}$ ,  $f_{124}$ , and  $f_{234} \in \Gamma_2$ , then the following two ways to determine  $f_{024} \in \Gamma_2$  using  $m_1$  and  $m_2$  yield the same results:

$$f_{024} = m_1(f_{124}, f_{014}, f_{012}), \tag{6.10}$$

and

$$f_{134} = m_1(f_{234}, f_{124}, f_{123}),$$
  

$$f_{034} = m_1(f_{134}, f_{014}, f_{013}),$$
  

$$f_{023} = m_1(f_{123}, f_{013}, f_{012}),$$
  

$$f_{024} = m_2(f_{234}, f_{034}, f_{023}).$$
  
(6.11)

*Proof.* Translate the pentagon conditions into commutative diagrams of HS bibundles. The pentagon condition (6.2) is illustrated by Figure 6.7, where each pentagon represents an HS bibundle and every arrow between two pentagons is induced by a suitable instance of  $\alpha$ ; there are five other cases depending on the coloring.

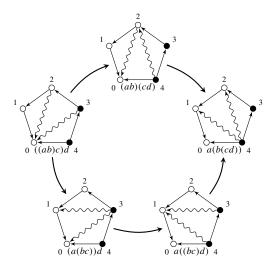


Figure 6.7: Five Pentagons

Since the triangles  $f_{012}$ ,  $f_{013}$ ,  $f_{014}$ ,  $f_{123}$ ,  $f_{124}$ , and  $f_{234}$  are given, we can get all the other triangles using  $m_1$  and  $m_2$ . Chasing Figure 6.7 from the rightmost pentagon labeled by a(b(cd)) to the topmost pentagon labeled by (ab)(cd), we have two ways to determine  $f_{024}$ : with one step as in (6.10) or with four steps as in (6.11). They are exactly the same, as we claimed.

Lemma 6.17. There are natural isomorphisms of representable presheaves

Hom $(sk_3 \Delta^4, \Gamma) \cong$  Hom $(\Lambda_i^4, \Gamma), \quad 0 < i < 4.$ 

*Proof.* Let S be the union of the following six 2-simplices

$$\Delta^{2}\{0,1,2\}, \quad \Delta^{2}\{0,1,3\}, \quad \Delta^{2}\{0,1,4\}, \\ \Delta^{2}\{1,2,3\}, \quad \Delta^{2}\{1,2,4\}, \quad \Delta^{2}\{2,3,4\}.$$

It follows from Lemma 6.16 that

 $\operatorname{Hom}(\operatorname{sk}_3\Delta^4,\Gamma)\cong\operatorname{Hom}(S,\Gamma)\cong\operatorname{Hom}(\Lambda_1^4,\Gamma)\cong\operatorname{Hom}(\Lambda_3^4,\Gamma).$ 

Recall that  $Sp(4) \rightarrow \Lambda_1^4$  is an inner collapsible extension. Since  $\Gamma$  satisfies lower inner Kan conditions and Hom $(Sp(4), \Gamma)$  is representable, the presheaf Hom $(\Lambda_1^4, \Gamma)$  is representable. The remaining isomorphisms follow from Lemma 3.21.

Let  $\Gamma_4 = \text{Hom}(\text{sk}_3 \Delta^4, \Gamma)$ . Then  $\Gamma_4$  is representable, and the conditions Kan!(4,*i*) for 0 < i < 4 follow by the lemma above. Considering a colored version of Lemma 3.21, we see that all other desired colored Kan condition Kan!(4,0)[*i*, *j*] for  $i \ge 2$  and Kan!(4,4)[*i*, *j*] for  $j \ge 2$  hold. If the bibundle *E* is right principal, then Kan!(4,0) holds.

### 6.2.4 Higher dimensions

When m > 4, suppose that  $\Gamma_{<m}$  are given and all desired Kan conditions hold. Since  $sk_3 \Delta^m = sk_3 \Lambda^m_k$  for  $0 \le k \le m$ , we have isomorphism

Hom $(sk_3 \Delta^m, \Gamma) \cong$  Hom $(sk_3 \Lambda^m_k, \Gamma)$  for  $0 \le k \le m$ ,

which are defined to be  $\Gamma_m$ .

Since  $\text{Sp}(m) \to \Lambda_1^m$  is an inner collapsible extension and  $\text{Hom}(\text{Sp}(m), \Gamma)$  is representable, we deduce that  $\text{Hom}(\Lambda_1^m, \Gamma) = \text{Hom}(\text{sk}_3 \Lambda_1^m, \Gamma)$  is representable. Therefore,  $\Gamma_m$  is representable. The conditions Kan!(m,i) for  $0 \le k \le m$  hold by construction.

This completes the construction of  $\Gamma$ .

# 6.3 From simplicial to categorification picture

We now consider the other direction of Theorem 6.7. Let the simplicial object  $\Gamma$  be a bibundle between X and Y. We construct a categorified bibundle between the 2-groupoids  $G \Rightarrow \underline{M}$  and  $H \Rightarrow \underline{N}$ . If, in addition,  $\Gamma \rightarrow \Delta^1$  is a left Kan fibration satisfying appropriate Kan conditions, then the corresponding categorified bibundle is right principal.

Regarding  $\Gamma$  as an augmented bisimplicial object with  $\Gamma_{-1,-1}$  being the terminal object, we have  $R'_{-1}\Gamma = Y$  and  $C'_{-1}\Gamma = X$ .

### 6.3.1 The groupoid of bigons

We first construct the groupoid *E*. Recall from Proposition 4.53 that  $R'_0\Gamma \to Y$  is a 2groupoid Kan fibration in  $(\mathcal{C}, \mathcal{T})$ . Thus its fiber is a 1-groupoid in  $(\mathcal{C}, \mathcal{T})$ , denoted *E*. Symmetrically, denote the fibre of  $C'_0\Gamma \to X$  by *E'*. We shall show that *E* and *E'* are naturally isomorphic.

More concretely, *E* is the groupoid of bigons with one white vertex and two black vertices; that is, we have

$$E_0 = \Gamma_{0,0}, \quad E_1 = \mathsf{d}_0^{-1}(\mathsf{s}_0 \,\Gamma_{-1,0}) \subset \Gamma_{0,1}, \mathsf{s} = \mathsf{d}_1, \quad \mathsf{t} = \mathsf{d}_2, \quad \mathsf{u} = \mathsf{s}_1,$$
(6.12)

illustrated by Figure 6.8. The composition is depicted by the right picture in Figure 6.8, where the edges (1,2), (2,3) and the face (1,2,3) are degenerate. The face (0,1,3), given by Kan!(3,2)[1,3], is the composite of (0,1,2) and (0,2,3). Similarly, we can use

Kan!(3,1)[1,3] and Kan!(3,3)[1,3] to define the inverses. It is clear that u satisfies the unit identities. The associativity follows from Kan!(4,2)[1,3] or Kan!(4,3)[1,3]. Therefore, with these structures, *E* is a groupoid.



Figure 6.8: The groupoid E

The groupoid E' is the groupoid of bigons with two white vertices and one black vertex; that is, we have

$$\begin{aligned} E'_0 &= \Gamma_{0,0}, \quad E'_1 = \mathsf{d}_2^{-1}(\mathsf{s}_0 \, \Gamma_{0,-1}) \subset \Gamma_{1,0}, \\ \mathsf{s} &= \mathsf{d}_1, \quad \mathsf{t} = \mathsf{d}_0, \quad \mathsf{u} = \mathsf{s}_0 \,. \end{aligned}$$

This groupoid is illustrated by Figure 6.9.



Figure 6.9: The groupoid E'

We construct a map  $\varphi: E_1 \to E'_1$ , illustrated by the top left picture in Figure 6.10. Given  $(1,2,3) \in E_1$ , let the faces (0,1,3) and (0,2,3) be degenerate. The condition Kan!(3,3)[2,2] gives the face  $(0,1,2) \in E'_1$ . We can also use the top right picture to define a map  $E_1 \to E'_1$ . Considering a 4-simplex, we see that both maps are the same. Alternatively, we can use the bottom left or bottom right pictures to define a map  $\psi: E_1 \to E'_1$ . It is easy to see that  $\psi = i \circ \varphi$ , where i is the inversion.

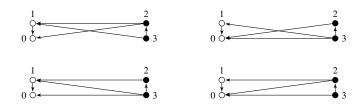


Figure 6.10: The construction of maps  $E_1 \rightarrow E'_1$ 

To show that  $\varphi$  preserves compositions, we consider Figure 6.11. Suppose that (2,3,4) and  $(2,4,5) \in E_1$  are given. Let the faces (1,2,4), (1,4,5) be degenerate. Set  $(1,2,5) = \psi(2,4,5)$ . Let (1,3,4) be degenerate, and let (1,2,3) be given by Kan!(3,3){1,2,3,4}, that is,  $(1,2,3) = \varphi(2,3,4)$ . Let (0,2,3) be degenerate. Let (0,1,3) be given by applying Kan!(3,1)[3,1] to (0,1,2,3); that is, we have (0,1,3) = i(1,2,3). Thus,  $(0,1,3) = \psi(2,3,4)$ . Let (0,3,5) be degenerate and  $(0,2,5) = \psi(2,3,5)$ . Consider the 4-simplex (1,2,3,4,5), where (1,3,4,5) is degenerate. The condition Kan!(4,3) implies that (1,2,3,5) is compatible. Let  $(0,1,3,5) = s_2(0,1,3)$ . Hence  $(0,1,5) = (0,1,3) = \psi(2,3,4)$ .

Applying Kan!(4,3) to the 4-simplex (0,1,2,3,5) proves that (0,1,2,5) is compatible; that is,

$$\psi(2,4,5) \circ \psi(2,3,4) = \psi(2,3,5),$$

and this proves that  $\varphi$  preserves compositions.

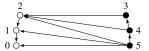


Figure 6.11: The groupoid isomorphism  $E \cong E'$ 

## 6.3.2 The action morphisms

We construct an HS morphism  $p: E \times_{\underline{N}} H \to E$  from the HS bibundle  $\Gamma_{0,1}$ . By symmetry, there is an HS morphism  $q: G \times_M E \to E$  from the HS bibundle  $\Gamma_{1,0}$ .

By the definition of *E*, the map  $d_0: E_0 \to N$  gives a groupoid functor  $E \to \underline{N}$ , which we take to be the right moment morphism  $J_r$ . Similarly, the moment morphism  $J_l: E \to \underline{M}$  is given by  $d_1: E_0 \to M$ .

**Lemma 6.18.** The space  $\Gamma_{0,1}$  is an HS bibundle  $E \times_{J_r,\underline{N},\mathfrak{t}} H \to E$ , giving an HS morphism p. The space  $\Gamma_{1,0}$  is an HS bibundle  $G \times_{\mathfrak{s},M,J_l} E \to E$ , giving an HS morphism q.

*Proof.* By symmetry, it suffices to show the first statement. There is a left action of the groupoid E on  $\Gamma_{0,1}$  along the map  $d_2: \Gamma_{0,1} \rightarrow \Gamma_{0,0} = E_0$  given by the composite map

$$E_1 \times_{\mathsf{s}, E_0, \mathsf{d}_2} \Gamma_{0,1} \to \operatorname{Hom}(\Lambda_2^3[1, 3], \Gamma) \cong \Gamma_{0,2} \xrightarrow{\mathsf{d}_2} \Gamma_{0,1},$$

where the map  $E_1 \times_{s,E_0,d_2} \Gamma_{0,1} \to \text{Hom}(\Lambda_2^3[1,3],\Gamma)$  is given by  $(\theta, a) \mapsto (s_0 d_0(a), a, \theta)$ . First, Kan!(3,2)[1,3] implies the unit identity. Second, consider Figure 6.12, where 0 < 1'' < 1 and (1'',1') and (1',1) are degenerate. Applying Kan!(4,2)[1,4] to (0,1'',1',1,2), we obtain the associativity of the action. Similarly, we can also use E' to define the action, and the result is the same.

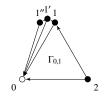


Figure 6.12: Action of *E* on  $\Gamma_{0,1}$ 

Similarly, there are a left action of H on  $\Gamma_{0,1}$  along the map  $d_0: \Gamma_{0,1} \to \Gamma_{-1,1} = H_0$ , and a right action of E on  $\Gamma_{0,1}$  along the map  $d_1: \Gamma_{0,1} \to \Gamma_{0,0} = E_0$ .

An argument similar to the above proof for associativity shows that these three actions mutually commute. Since  $d_0 \circ d_2 = d_1 \circ d_0$ :  $\Gamma_{0,1} \to Y_0$ , the groupoid  $E \times_{J_r,\underline{N},t} H$  acts on  $\Gamma_{0,1}$ . Thus,  $\Gamma_{0,1}$  is an  $E \times_{J_r,N,t} H$ -E bibundle; see Figure 6.13.

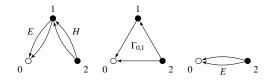


Figure 6.13: The bibundle structure on  $\Gamma_{0.1}$ 

Moreover, condition Kan(2, 1)[1,2] implies that the left moment map  $\Gamma_{0,1} \to E_0 \times_M H_0$ is a cover in  $(\mathscr{C}, \mathcal{T})$ , and Kan!(3, 1)[1,3] implies that the right action of E is principal. Therefore,  $\Gamma_{0,1}$  is an HS bibundle, giving an HS morphism  $p: E \times_{J_r,N,t} H \to E$ .  $\Box$ 

Since  $d_1 \circ d_2 = d_1 \circ d_1 \colon \Gamma_{0,1} \to M$ , Lemma 5.16 implies that  $J_l \circ p = J_l \circ pr_1$ . Similarly,  $d_0 \circ d_1 = d_1 \circ d_0 \colon \Gamma_{0,1} \to N$  implies that  $J_r \circ p = s \circ pr_2$ . A similar argument verifies the compatibility of q with moment morphisms.

To show that the HS morphisms p and q give a categorified bibundle structure on E, we must construct associators and unitors.

#### 6.3.3 The associators

We now construct the associators for the action morphisms p and q.

The HS morphism  $p \circ (p \times id)$ :  $E \times_N G \times_M G \to E$  is given by the HS bibundle

$$((\Gamma_{0,1} \times_N H_1) \times_{E_0 \times_N H_0} \Gamma_{0,1})/(E \times_{\underline{N}} H).$$

Since the actions of E and H commute and H acts on  $H_1$  by multiplication, we have

$$((\Gamma_{0,1} \times_N H_1) \times_{E_0 \times_N H_0} \Gamma_{0,1})/(E \times_N H) \cong (\Gamma_{0,1} \times_{E_0} \Gamma_{0,1})/E.$$

Similarly, the HS morphism  $p \circ (id \times m)$  is represented by the HS bibundle

$$(\Gamma_{0,1} \times_{H_0} \Gamma_{-1,2})/H$$
,

where m is the multiplication of the 2-groupoid  $H \Rightarrow \underline{N}$ .

In particular, the action of *E* on  $\Gamma_{0,1} \times_{E_0} \Gamma_{0,1}$  and the action of *H* on  $\Gamma_{0,1} \times_{H_0} \Gamma_{-1,2}$  are principal. We now construct a one-to-one correspondence as follows

$$\alpha \colon (\Gamma_{0,1} \times_{E_0} \Gamma_{0,1})/E \to (\Gamma_{0,1} \times_{H_0} \Gamma_{-1,2})/H,$$

$$[(a,b)] \mapsto [(c,d)] \quad \text{if } (d,b,c,a) \in \Gamma_{0,2},$$
(6.13)

which is illustrated by Figure 6.14.

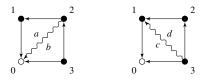


Figure 6.14: The associator

We saw that we can compose a bigon and a triangle that share one side in  $\Gamma$ . We shall show that this composition lifts to tetrahedra. More precisely, we have the following lemma as a special case. It is not hard to formulate and prove the corresponding statements for other cases.

**Lemma 6.19.** Let  $\gamma \in \Gamma_{0,2}$  be a 3-simplex and let  $\theta \in \Gamma_{0,1}$  be a bigon along  $\gamma_{01}$ . In Figure 6.15, assume that 0 < 1' < 1 and that (1', 1) is degenerate. Suppose that  $\gamma$  is given by (0, 1, 2, 3), and  $\theta$  is given by (0, 1', 1). Denote by  $\gamma'_{013}$  the composite of  $\gamma_{013}$  and  $\theta$ , and by  $\gamma'_{012}$  the composite of  $\gamma_{012}$  and  $\theta$ . Then the triangles  $\gamma_{123}, \gamma_{023}, \gamma'_{013}$ , and  $\gamma'_{012}$  form a 3-simplex in  $\Gamma_{0,2}$ .

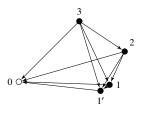


Figure 6.15: Composition of bigons and triangles lifts to tetrahedra

*Proof.* Suppose that  $(1', 1, 2, 3) = s_0(1, 2, 3)$  is degenerate (see Figure 6.15). Applying Kan!(3,2)[1,2] to (0,1',1,2) yields a triangle (0,1',2), which is  $\gamma'_{012}$ . Similarly, the triangle (0,1',3) gives  $\gamma'_{013}$ . Applying Kan!(4,2)[1,4] to (0,1',1,2,3), we deduce that (0,1',2,3) is a 3-simplex in  $\Gamma_{0,2}$ , and this proves the lemma.

*Remark* 6.20. We can also compose an inverse bigon and a triangle that share one side. Similarly, this composition can also be lifted to tetrahedra.

Applying a suitable variant of the above lemma proves that (6.13) does not depend on the choice of (a, b) and (c, d). Furthermore, the map  $\alpha$  is equivariant with respect to the right action of E, and equivariant with respect to the left action of E and both actions of H.

Finally, since  $\Gamma_{0,1} \to \text{Hom}(\Lambda_1^2[1,2],\Gamma)$  is a cover, the map

$$\operatorname{Hom}(\Lambda_2^3[1,3],\Gamma) = \operatorname{Hom}(\Lambda_1^3[1,3],\Gamma) \to \Gamma_{0,1} \times_{G_0} \Gamma_{-1,2}$$

is a cover. This map is invariant under the principal action of E and equivariant under the principal action of H. Therefore, by Lemmas 1.52 and 1.53, it descends to a cover, which is  $\alpha$  by definition.

In conclusion, we have an isomorphism of HS morphisms  $E \times_{\underline{N}} H \times_{\underline{N}} H \to E$ ,

$$\alpha \colon p \circ (p \times \mathrm{id}) \Rightarrow p \circ (\mathrm{id} \times \mathsf{m}).$$

Similarly, considering a different coloring for Figure 6.14, we can construct isomorphisms of HS morphisms  $G \times_M G \times_M E \to E$ ,

$$\alpha \colon p \circ (p \times \mathrm{id}) \Rightarrow p \circ (\mathrm{id} \times \mathsf{m}),$$

and of HS morphisms  $G \times_M E \times_N H \to E$ ,

$$\alpha \colon q \circ (p \times \mathrm{id}) \Rightarrow p \circ (\mathrm{id} \times q)$$

The pentagon condition for  $\alpha$  follows by a similar argument as in [81, Section 4.1]; we can simply revert the argument of Section 6.2.3.

## 6.3.4 The unitors

We construct unitors for these two actions and then verify the triangle conditions. By symmetry, it suffices to consider the action of  $H \Rightarrow N$  on *E*.

The unit morphism u:  $\underline{N} \to H$  is given by  $\mathbf{s}_0 \colon N \to H_0$ . Therefore,  $p \circ (\mathrm{id} \times \mathbf{u})$  is given by the HS bibundle  $\mathbf{d}_0^{-1} \circ \mathbf{s}_0(N) \subset \Gamma_{0,1}$ , which is  $E_1$  by construction. Thus we take the unitor  $\rho \colon p \circ (\mathrm{id}, \mathbf{u} \circ J_r) \Rightarrow \mathrm{id}_E$  to be this isomorphism.

The HS morphism corresponding to  $(e \cdot 1) \cdot h$  is given by the HS bibundle  $(E_1 \times_{s,E_0,d_2} \Gamma_{0,1})/E$ , and the one corresponding to  $e \cdot (1 \cdot h)$  is given by the HS bibundle  $(\Gamma_{0,1} \times_{d_0,H_0,t} H_1)/H$ . They are both isomorphic to  $\Gamma_{0,1}$  via the isomorphisms  $\rho$  and  $\lambda_H$ . Moreover, applying Kan!(3,1)[1,3] to a triple  $(s_0 d_0 \eta, \eta, s_1 d_2 \eta)$ , where  $\eta \in \Gamma_{0,1}$ , we deduce that these isomorphisms are compatible with the associator  $\alpha$ . This proves the triangle condition for the unitor  $\rho$ .

## 6.3.5 Principality

If  $\Gamma \to \Delta^1$  also satisfies the left Kan conditions  $\operatorname{Kan}(m,0)$  for m > 0 and  $\operatorname{Kan}!(m,0)$  for m > 2, we shall show that the bibundle *E* is right principal as in Definition 6.6. This is essentially proved in Section 5.6.

First, Kan(1,0)[1,1] implies that the left moment morphism is given by a cover  $E_0 \rightarrow M$ . The lemma below verifies the other condition.

Lemma 6.21. The shear morphism

$$(\mathrm{pr}_1, p) \colon E \times_N H \to E \times_M E, \quad (e,g) \mapsto (e, e \cdot g),$$

is a Morita equivalence.

*Proof.* In view of Lemma 5.31, it suffices to show that

$$\Gamma_{0,1} \rightarrow \Gamma_{0,0} \times_{\mathsf{d}_0,M,\mathsf{d}_0} \Gamma_{0,0}$$

is a left principal *H*-bundle. The condition Kan(2,0)[1,2] implies that the map  $\Gamma_{0,1} \rightarrow \Gamma_{0,0} \times_{d_0,M,d_0} \Gamma_{0,0}$  is a cover. The condition Kan!(3,0)[1,3] implies that the left action of *H* on  $\Gamma_{0,1}$  is principal and that

$$\Gamma_{0,1}/H \cong \Gamma_{0,0} \times_{\mathsf{d}_0, M, \mathsf{d}_0} \Gamma_{0,0}.$$

This proves the lemma.

This completes the proof of Theorem 6.7.

# 6.4 Weak equivalences of 2-groupoids

We define weak equivalences of 2-groupoids in this section. We show that they can be modeled by weak acyclic fibrations whose cographs are two-sided principal bibundles.

**Definition 6.22.** A morphism  $f: X \to Y$  of 2-groupoids in  $(\mathcal{C}, \mathcal{T})$  is a *weak equivalence* if

102

- f is essentially surjective; that is,  $d_1 \circ pr_2 \colon X_0 \times_{f_0, Y_0, d_0} Y_1 \to Y_0$  is a cover;
- the induced functor f: Θ(X) → Θ(Y) on groupoids of bigons is a weak equivalence of groupoids in (C, T).<sup>1</sup>

Remark 6.23. The first condition is equivalent to

$$\mathsf{d}_0 \circ \mathrm{pr}_2 \colon X_0 \times_{f_0, Y_0, \mathsf{d}_1} Y_1 \to Y_0$$

being a cover. The second condition means that the induced morphism  $\Theta(X) \rightarrow \Theta(Y)$  is essentially surjective and fully faithful.

**Lemma 6.24.** An acyclic fibration  $f : X \to Y$  of 2-groupoids in  $(\mathcal{C}, \mathcal{T})$  is a weak equivalence.

*Proof.* The condition Acyc(0) implies that  $f_0: X_0 \to Y_0$  is a cover, hence f is essentially surjective. By Acyc(1), the induced map  $\Theta(X)_0 \to \Theta(Y)_0$  is a cover. Applying Acyc!(2) proves that the map

$$X_2 \to \operatorname{Hom}(\partial \Delta^2 \to \Delta^2, X \to Y)$$

is an isomorphism. Restricting to the subspace of bigons, we get an isomorphism that shows exactly that the induced groupoid functor  $\Theta(X) \to \Theta(Y)$  is fully faithful.  $\Box$ 

It is clear from the proof that, as for groupoids, the notion of 2-groupoid acyclic fibrations is stronger than that of weak equivalences.

## 6.4.1 Weak acyclic fibrations

We now give a weaker version of acyclic fibrations of 2-groupoids and then show that it is equivalent to the notion of weak equivalences.

**Definition 6.25.** A morphism  $f: X \to Y$  of 2-groupoids in  $(\mathcal{C}, \mathcal{T})$  is a *weak acyclic fibration* if

$$\operatorname{Hom}(\Delta^k \to \Delta^k \star \Delta^0, X \to Y) \to \operatorname{Hom}(\partial \Delta^k \to \partial \Delta^k \star \Delta^0, X \to Y)$$

is a cover for  $k \ge 0$  and an isomorphism for  $k \ge 2$ . We denote these conditions by Acyc'(k) and Acyc'!(k), respectively.

*Remark* 6.26. After completing the thesis, we learn that weak acyclic fibrations defined above were studied by Behrend and Getzler under the name of equivalences; see [28, p. 71].

*Remark* 6.27. Proposition 4.46 shows that Acyc(k) implies Acyc'(k). We also learn from the proof that a morphism is a weak acyclic fibration if and only if its cograph gives a two-sided principal bibundle.

It is clear that  $\operatorname{Hom}(\Delta^k \to \Delta^k \star \Delta^0, X \to Y)$  is representable, but we do not know whether  $\operatorname{Hom}(\partial \Delta^k \to \partial \Delta^k \star \Delta^0, X \to Y)$  is representable.

<sup>&</sup>lt;sup>1</sup>Weak equivalences of groupoids in  $(\mathcal{C}, \mathcal{T})$  are defined like those of Lie groupoids (Definition 1.21); we simply replace surjective submersions by covers.

**Lemma 6.28.** Let  $f: X \to Y$  be a morphism of 2-groupoids. If f satisfies Acyc'(i) for  $0 \le i \le k - 1$ , then  $Hom(\partial \Delta^k \to \partial \Delta^k \star \Delta^0, X \to Y)$  is representable.

*Proof.* The statement is true for k = 0. Let k > 0. Since  $\Lambda_k^k$  and  $\Lambda_k^k \star \Delta^0$  are collapsible and  $\Lambda_k^k \to \Lambda_k^k \star \Delta^0$  is a collapsible extension by Proposition 2.43, we deduce that

$$\operatorname{Hom}(\Lambda_k^k \to \Lambda_k^k \star \Delta^0, X \to Y)$$

is representable.

Let A and B be two simplicial sets such that  $A = B \cup_{\partial \Delta^i} \Delta^i$  for  $0 \le i \le k - 1$ . We have a pullback diagram

Thus if  $\operatorname{Hom}(B \to B \star \Delta^0, X \to Y)$  is representable, then so is  $\operatorname{Hom}(A \to A \star \Delta^0, X \to Y)$ . Take  $B = \Lambda_k^k$  and  $A = \partial \Delta^k = \Lambda_k^k \cup_{\partial \Delta^{k-1}} \Delta^{k-1}$ . This proves the claim.

**Lemma 6.29.** Let  $f: X \to Y$  be a weak acyclic fibration of 2-groupoids. Then f satisfies Acyc!(2).

*Proof.* The statement follows from the pullback diagram

The left vertical arrow is induced by  $s_2: Y_2 \rightarrow Y_3$ , and the right vertical arrow is induced by the composite

$$Y_2 \xrightarrow{s_2} \operatorname{Hom}(\Delta^2 \star \Delta^0, Y) \to \operatorname{Hom}(\partial \Delta^2 \star \Delta^0, Y).$$

Recall from [81] that if a morphism of 2-groupoids  $f: X \to Y$  satisfies Acyc!(2), then it satisfies Acyc!(k) for  $k \ge 2$ .

**Lemma 6.30.** A weak acyclic fibration of 2-groupoids  $f: X \to Y$  is a weak equivalence.

*Proof.* The condition Acyc'(0) implies that f is essentially surjective. Consider the pullback square

$$\begin{array}{ccc} X_1 \times_{Y_1} \Theta(\mathbf{Y})_1 & \longrightarrow \operatorname{Hom}(\partial \Delta^1 \to \Delta^1, X \to Y) \\ & & \downarrow \\ & & \downarrow \\ \operatorname{Hom}(\Delta^1 \to \Delta^1 \star \Delta^0, X \to Y) \longrightarrow \operatorname{Hom}(\partial \Delta^1 \to \partial \Delta^1 \star \Delta^0, X \to Y) , \end{array}$$

where the right vertical arrow is induced by the composite

 $Y_1 \xrightarrow{\mathfrak{s}_1} \operatorname{Hom}(\Delta^1 \star \Delta^0, Y) \to \operatorname{Hom}(\partial \Delta^1 \star \Delta^0, Y).$ 

The condition Acyc'(1) implies that the bottom arrow is a cover. Thus so is the top arrow. This shows that the induced groupoid functor  $\Theta(X) \rightarrow \Theta(Y)$  is essentially surjective.

Lemma 6.29 shows that Acyc'!(2) implies Acyc!(2). Therefore, the induced groupoid functor  $\Theta(X) \rightarrow \Theta(Y)$  is fully faithful by Lemma 6.24, and we are done.

**Lemma 6.31.** A weak equivalence of 2-groupoids  $f: X \to Y$  is a weak acyclic fibration.

*Proof.* First, the essential surjectivity of f implies Acyc'(0).



Figure 6.16:  $\Theta(X) \rightarrow \Theta(Y)$  is essentially surjective

Second, the induced groupoid functor  $\Theta(X) \rightarrow \Theta(Y)$  is essentially surjective, thus the map from the space given by left picture in Figure 6.16 to the space given by the right picture is a cover. In this figure, white dots are objects in *X* and gray dots are objects in *Y*, and *f* sends a white dot to the gray dot below. The condition Acyc'(0) implies that the space on the right is representable. The groupoid of bigons  $\Theta(Y)$  acts on these two spaces. Composing these two actions with the principal action of  $\Theta(Y)$  on  $Y_2$  along  $d_2 \colon Y_2 \rightarrow Y_1$ , respectively, we obtain a  $\Theta(Y)$  invariant cover. Taking the quotients, we obtain Acyc'(1), illustrated by Figure 6.17.

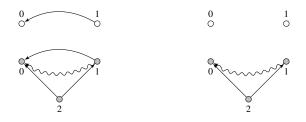


Figure 6.17: Acyc'(1) for  $X \rightarrow Y$ 

It remains to consider Acyc'!(2); we show instead Acyc!(2). Since the induced groupoid functor  $\Theta(X) \rightarrow \Theta(Y)$  is fully faithful, the spaces given by the two pictures in Figure 6.18 are isomorphic, where # indicates that the 2-cell on that bigon is removed. There are  $\Theta(X)$ -actions on these two spaces. Composing these two actions with the



Figure 6.18:  $\Theta(X) \rightarrow \Theta(Y)$  is fully faithful

principal action of  $\Theta(Y)$  on  $Y_2$  along  $d_2: Y_2 \to Y_1$ , respectively, we obtain a  $\Theta(X)$  invariant isomorphism. Taking quotients, we obtain Acyc!(2), as illustrated by Figure 6.19.  $\Box$ 

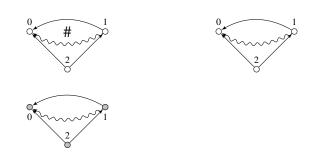


Figure 6.19: Acyc!(2) for  $X \rightarrow Y$ 

The following proposition concludes this section.

**Proposition 6.32.** A 2-groupoid morphism is a weak equivalence if and only if it is a weak acyclic fibration.

# 6.5 Examples

We present some examples of 2-groupoid bundles and bibundles.

**Example 6.33.** Suppose that both  $G \Rightarrow \underline{M}$  and  $H \Rightarrow \underline{N}$  are 1-groupoids; that is, *G* and *H* are 0-groupoids. If we assume that *E* is also a 0-groupoid, then a bibundle between  $G \Rightarrow \underline{M}$  and  $H \Rightarrow \underline{N}$  is simply a bibundle of 1-groupoids, and a right principal bibundle is a HS bibundle of 1-groupoids. Hence the notion of bibundles of 2-groupoids subsumes that of bibundles of 1-groupoids.

We assume further that these two 2-groupoids arise from 0-groupoids; that is  $G = \underline{M}$  and  $H = \underline{N}$ . Every groupoid *E* with trivial actions is a bibundle between these two 2-groupoids. Hence the notion of bibundles between 1-groupoids viewed as 2-groupoids may be broader than that of bibundles of 1-groupoids.

**Example 6.34.** Let  $G \Rightarrow \underline{M}$  be a categorified groupoid and let *X* be the corresponding simplicial object. The groupoid *G* is a two-sided principal bibundle between  $G \Rightarrow \underline{M}$  and  $G \Rightarrow \underline{M}$ . The actions are both given by the horizontal multiplication, while unitors and associators are given by those of the 2-groupoid. The simplicial object of this bibundle is the total décalage Dec  $X \to \Delta^1$ .

**Example 6.35.** Let  $G \rightrightarrows \underline{M}$  and  $H \rightrightarrows \underline{N}$  be categorified groupoids, and let *X* and *Y* be the corresponding simplicial objects. Given a simplicial map  $f: X \rightarrow Y$ , it induces a map  $f_0: M \rightarrow N$  and a groupoid functor  $f_1: G \rightarrow H$  that preserve all structures. We construct a bibundle structure on  $M \times_{N,t} H$  as follows. The right action *p* is given by the right action of  $H \rightrightarrows \underline{N}$  on *H*. The left action *q* is given by the morphism  $f_1$  composed with the left action of  $H \rightrightarrows \underline{N}$  on *H*. In formulas, the moment morphisms are given by

$$J_r = \mathbf{s} \circ \mathrm{pr}_2 \colon \underline{M} \times_{\underline{N}, \mathrm{t}} H \to \underline{N},$$
  
$$J_l = \mathrm{pr}_1 \colon \underline{M} \times_{N, \mathrm{t}} H \to \underline{M},$$

the right action morphism is given by

 $p = \mathrm{id}_{\underline{M}} \times \mathsf{m} \colon \underline{M} \times_{N, \mathfrak{t}} H \times_{N} H \to \underline{M} \times_{N, \mathfrak{t}} H,$ 

and the left action morphism is given by

$$G \times_{f_0 \circ \mathbf{s}, \underline{N}, \mathbf{t}} H = G \times_{\mathbf{s}, \underline{M}} \underline{M} \times_{\underline{N}, \mathbf{t}} H \to \underline{M} \times_{\underline{N}, \mathbf{t}} H,$$
$$q = (\mathbf{t} \circ \mathbf{pr}_1, \mathbf{m} \circ ((f_1 \circ \mathbf{pr}_1) \times \mathbf{pr}_2)).$$

It is easy to see that this defines a bibundle. This bibundle is right principal because H is a right principal bibundle of  $H \Rightarrow \underline{N}$ . By construction, the corresponding simplicial map  $\Gamma \rightarrow \Delta^1$  is the one given by the cograph of  $f: X \rightarrow Y$ . We call this bibundle the *bundlisation* of a morphism.

**Example 6.36.** Let \* be the trivial 2-groupoid corresponding to the simplicial object  $\Delta^0$ . An action of \* on a groupoid *E* consist of a HS morphism  $\mu: E \to E$ , two 2-morphisms  $\alpha: \mu \circ \mu \Rightarrow \mu$  and  $\rho: \mu \Rightarrow$  id, which come from the action morphism, the associator, and the unitor, respectively. The coherence conditions imply that the quadruple  $(E, \mu, \alpha, \rho^{-1})$  is a monad in the 2-category GPD. Since all the morphisms are invertible, this monad is isomorphic to the trivial monad. Similarly, a bibundle between \* and \* is given by a pair of monads on a groupoid satisfying a distributive law. For monads in a 2-category and distributive laws between monads, see [41].

**Example 6.37.** Given a right categorified action of  $G \Rightarrow \underline{M}$  on *E*, then *E* is naturally a bibundle between \* and  $G \Rightarrow \underline{M}$ , where \* is the trivial 2-groupoid and the left action is the obvious trivial action. Similarly, a right categorified bundle  $E \rightarrow \underline{N}$  of  $G \Rightarrow \underline{M}$  gives a bibundle between *N* and  $G \Rightarrow \underline{M}$ .

**Example 6.38.** Let  $(\mu, J)$  be a categorified right action of  $G \Rightarrow \underline{M}$  on E corresponding to a 2-groupoid Kan fibration  $A \rightarrow X$ . The Kan fibration  $A \rightarrow X$  also gives a categorified left action  $(\mu', J)$  of  $G \Rightarrow \underline{M}$  on E. Similar to Figure 5.2, the spaces given by the two pictures in Figure 6.20 are isomorphic. Let (1,3) be degenerate, we obtain an HS bibundle isomorphism  $A_1 \cong (A_1 \times_{G_1} E_i)/G$ , where  $E_i$  is the space of inverse bigons. It follows that the categorified left action is given by the categorified right action via the horizontal inverse of the 2-groupoid; that is,  $\mu'$  is isomorphic to the composite

$$G \times_{\mathbf{s},\underline{M}} E \xrightarrow{\cong} E \times_{\underline{M},\mathbf{s}} G \xrightarrow{\mathrm{id} \times \mathrm{id}} E \times_{\underline{M},\mathbf{t}} G \xrightarrow{\mu} E.$$

(Using the coherent theory for 2-groupoids [3, 42, 73], we can directly construct a left categorified action out of a right categorified action.) Consider the opposite bibundle in Example 4.51 associated with a bibundle given by simplicial map  $\Gamma \to \Delta^1$ . We deduce that, given a categorified bibundle between  $G \Rightarrow \underline{M}$  and  $H \Rightarrow \underline{N}$ , the above construction yields a categorified bibundle between  $H \Rightarrow N$  and  $G \Rightarrow M$ .

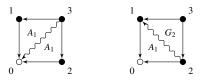


Figure 6.20: An isomorphism of HS bibundles

**Example 6.39.** Let  $K(S^1, 2)$  be the 2-group associated with the crossed module  $S^1 \to *$ , where  $S^1$  is the circle group. Then a principal  $K(S^1, 2)$  bundle over a manifold M is the same as a bundle gerbe. To see the equivalence, let  $Y \to K(S^1, 2)$  be a 2-groupoid Kan fibration and let  $Y \to sk_0 M$  be acyclic. Then  $Y_0 \to M$  is a cover and  $Y_1$  is a principal  $S^1$ -bundle over  $Y_0 \times_M Y_0$ . The condition Kan!(2,1) gives an isomorphism of  $S^1$ -bundles on  $Y_0 \times_M Y_0 \times_M Y_0$ , and Kan!(3,1) gives the coherence condition. The equivalence between bundle gerbes and categorified bundles was explained in [57].

# 7 Composition of 2-Groupoid Bibundles

Let *X*, *Y* and *Z* be 2-groupoids in  $(\mathcal{C}, \mathcal{T})$ . Given an *X*-*Y* bibundle  $\Gamma$  and a *Y*-*Z* bibundle  $\Xi$  such that both are right principal, we shall construct their composite, which should be a right principal *X*-*Z* bibundle.

This is an analogue of the composition of HS bibundles of groupoids. The construction, however, is more complicated.

Our construction is motivated by 2-coends, which we will first explain briefly. We then introduce the pasting theorem of 2-categories and the notion of a fundamental groupoid for a 2-groupoid. The pasting theorem will simplify verification of higher Kan conditions.

We then consider the fundamental groupoid of the action 2-groupoid  $R'_0\Gamma \times_Y C'_0\Xi$ . This is the analogue of the quotient construction for the composition of HS bibundles. The desired composite *X*-*Z* bibundle will be a bibundle on this fundamental groupoid.

We shall show three properties of the composition: up to a certain weak equivalence, the bundlisation preserves compositions; the total décalage is a unit for the composition; and the composition is associative.

Our construction is purely combinatorial. Since the construction gets complicated, the argument will rely heavily on pictures.

# 7.1 Preparations on 2-categories

We first explain the idea behind the construction. Then we introduce the pasting theorem of 2-categories and fundamental groupoids of 2-groupoids; they are useful tools for our construction.

## 7.1.1 Remarks on the construction and 2-coends

Before proceeding to the complicated construction, we shall explain where the ideas come from. Our construction is motivated by the notion of a 2-coend, which is also known as a cartesian coend or a lax coend. Along with 2-ends, 2-coends are studied by Bozapalides in his thesis [14]. Unfortunately, the author is unable to find a copy of this thesis; see instead [16, 17].

The 2-coend of a bifunctor  $F: \mathscr{A}^{op} \times \mathscr{A} \to Cats$  is a category  $\mathscr{E}$  with a 2-categorical universal extranatural transformations from F to  $\mathscr{E}$ ; see [16] for 2-ends, the dual of 2-coends. Intuitively, we may think of the 2-coend as a 2-categorical quotient with respect to the diagonal action of  $\mathscr{A}$ .

The composite of 2-profunctors  $\varphi: \mathscr{B}^{op} \times \mathscr{A} \to Cats$  and  $\psi: \mathscr{C}^{op} \times \mathscr{B} \to Cats$  is given by a 2-coend [15]. The formula is almost the same as that of the composition of profunctors. Again, by the properties of 2-coends, the composition is unital and associative up to equivalences.

We cannot apply the results above directly to 2-groupoid bibundles. We will first construct a groupoid which can be viewed as a 2-coend. We then construct two actions on this groupoid. To this purpose we need to verify a lot of diagrams; for 2-profunctors this follows from the functoriality of limits. The unitality and associativity are also proved by verifying that some diagrams commute.

A similar construction in the context of stacky groupoids is given in [19]. Modules of bicategories enriched in a monoidal bicategory are studied by Gerner and Shulman [27], where the tensor product (composition) is essentially a codescent object [71].

It is expected that there should be a 3-category of 2-categories with bimodules as 1-morphisms. Some efforts toward this direction have been made in [27, 31].

## 7.1.2 The pasting theorem of 2-categories

We recall the pasting theorem of 2-categories. Then we carry it over to the simplicial setting.

We saw in the previous chapters that we can use Kan conditions to compose triangles (and bigons) in a 2-groupoid or 2-groupoid bibundle. Given a collection of triangles, we often need to show that two different ways to obtain a certain triangle give the same result. This is done by applying Kan conditions, and the proof is usually tedious and long.

Recall the relation between a 2-category and its geometric nerve described in Section 5.1.3. Using Kan conditions to compose triangles in the nerve corresponds to the pasting operation in the 2-category, which is the most general composition of 2-morphisms.

A plane graph with source and sink is a directed plane graph with distinct vertices s and t in the exterior face such that for each other vertex v there are directed paths from s to v and from v to t.

**Definition 7.1.** A *pasting scheme* is a plane graph with source and sink that contains no directed cycle.

A *labelling of a pasting scheme* in a strict 2-category is a labelling of each vertex by an object, each edge by a 1-morphism, and each face by a 2-morphism, all with appropriate sources and targets. For a weak 2-category, the labelling is more complicated because we need to remember identities and bracketings of edges; see [78] for details.

#### **Theorem 7.2.** Every labelling of a pasting scheme has a unique composite.

This theorem is proved by Power [58] for strict 2-categories and by Verity [78] for weak 2-categories. Figure 7.1 is a pasting scheme corresponding to the horn  $\Lambda_1^3$ . The pasting composition corresponds to using Kan!(3,1) to obtain the exterior face (0,2,3).

Using the equivalence between 2-categories and their geometric nerves, we obtain the following corollary.

**Corollary 7.3.** Let X be an inner Kan complex satisfying unique horn filling conditions above dimension 2. Given a collection of 2-simplices in X such that it is a labelling of a pasting scheme, then using inner Kan conditions we can uniquely obtain a composite.

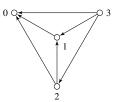


Figure 7.1: A pasting scheme corresponding to  $\Lambda_1^3$ 

This corollary implies Kan!(4, k) for 0 < k < 4. Since we only have a pasting theorem for 2-categories, we will carefully avoid using outer Kan conditions.

## 7.1.3 Fundamental groupoids of 2-groupoids

Given a Kan complex, there is an associated fundamental groupoid (also called homotopy groupoid or Poincaré groupoid). We apply this construction to 2-groupoids.

Let *X* be a 2-groupoid in  $(\mathcal{C}, \mathcal{T})$ . The groupoid of bigons  $\Theta(X)$  induces an equivalence relation ~ on  $\Theta(X)_0 = X_1$ . More precisely, we have  $\gamma_1 \sim \gamma_2 \in X_1$  if there is  $\theta \in X_2$  such that  $d_1 \theta = \gamma_1$ ,  $d_2 \theta = \gamma_2$ , and  $d_0 \theta = s_0 d_0 \gamma_1$ .

**Proposition 7.4.** Let  $\theta \in X_2$  with  $d_i \theta = \gamma_i$  for  $0 \le i \le 2$ . Define a composite

$$[\gamma_2] \circ [\gamma_0] = [\gamma_1].$$

With this composition, there is a (set-theoretical) groupoid  $\tau(X)$  with

$$\tau(X)_0 = X_0, \quad \tau(X)_1 = X_1/\sim,$$
  
s([\gamma]) = d\_0 \gamma, \ttps://cline.topset/definition topset/definition topset/de

We call  $\tau(X)$  the fundamental groupoid of X.

*Proof.* This is a classical result; see [26, Chapter IV] or [35].

The fundamental groupoid is a higher analogue of the quotient space of a groupoid. The fundamental groupoid  $\tau(X)$  of a 2-groupoid in  $(\mathcal{C}, \mathcal{T})$  need not be a groupoid in  $(\mathcal{C}, \mathcal{T})$ .

**Lemma 7.5.** Let X be 2-groupoid in  $(\mathcal{C}, \mathcal{T})$ . Suppose that  $\Theta(X)$  acts on  $X_1$  principally (hence  $\tau(X)_1$  is an object in  $\mathcal{C}$ ). Then  $\tau(X)$  is a groupoid in  $(\mathcal{C}, \mathcal{T})$ .

*Proof.* Since  $\Theta(X)$  acts on  $X_1$  principally and the cover  $d_0: X_1 \to X_0$  is  $\Theta(X)$  invariant, it descends to a cover t:  $\tau(X)_1 = X_1/\Theta(X) \to X_0$  by Lemma 1.52. Similarly, the source map s is also a cover. Consider the cover

$$\mathsf{d}_1\colon X_2\to X_1.$$

There is a principal  $\Theta(X)$ -actions on  $X_2$  with moment map given by d<sub>1</sub>, and a principal  $\Theta(X)$ -action on  $X_1$ . The map d<sub>1</sub> is equivariant with respect to these two actions. Lemma 1.53 implies that the induced map

$$\operatorname{Hom}(\Lambda_1^2, X) = X_2 / \Theta(X) \to X_1 / \Theta(X) = \tau(X)_1 \tag{7.1}$$

111

is a cover. The assumption implies that the two  $\Theta(X)$ -actions on  $\operatorname{Hom}(\Lambda_1^2, X)$  are principal. Since the map (7.1) is an invariant cover, it descends to a cover. This is the composition of the groupoid  $\tau(X)$ . Thus  $\tau(X)$  is a groupoid in  $(\mathcal{C}, \mathcal{T})$ .

We now apply the fundamental groupoid construction to 2-groupoid Kan fibrations or actions of 2-groupoids.

**Lemma 7.6.** Let  $\pi \colon A \to X$  be a 2-groupoid Kan fibration in  $(\mathcal{C}, \mathcal{T})$ . The equivalence relation ~ on  $A_1$  is the same as the equivalence relation given by the action of  $\Theta(X)$  on  $A_1$ .

Proof. Consider the commutative diagram

where  $\operatorname{Hom}(\lambda_1^2, A \to X) \to A_1$  is induced by  $\Delta^1\{1,2\} \to \Lambda_1^2$ . The right square and the whole square are pullback diagrams, hence so is the left square. The condition Kan!(2,1) implies that  $A_2 \to \operatorname{Hom}(\lambda_1^2, A \to X)$  is an isomorphism. Thus  $\Theta(A)_1 \to A_1 \times_{\pi, X_1, S} \Theta(X)_1$  is an isomorphism, and this proves the lemma.

**Corollary 7.7.** Let  $\pi: A \to X$  be a 2-groupoid Kan fibration in  $(\mathcal{C}, \mathcal{T})$  and let  $A \to \operatorname{sk}_0 N$  be acyclic. Then  $\tau(A)$  is a groupoid in  $(\mathcal{C}, \mathcal{T})$ .<sup>1</sup>

*Proof.* Lemma 5.31 shows that the  $\Theta(X)$ -action on  $A_1$  is principal. The statement then follows from Lemmas 7.6 and 7.5.

**Corollary 7.8.** Let  $f : A \to X$  and  $g : B \to X$  be 2-groupoid Kan fibrations in  $(\mathcal{C}, \mathcal{T})$ . Suppose that  $g_0$  is a cover (then Proposition 3.37 implies that  $A \times_X B \to X$  is a 2-groupoid Kan fibration) and that there is an acyclic fibration  $A \to \operatorname{sk}_0 N$ . Then  $\tau(A \times_X B)$  is a groupoid in  $(\mathcal{C}, \mathcal{T})$ .

*Proof.* First, since  $g_0$  is a cover and the condition Kan(1,0) holds, the composite  $B_1 \rightarrow B_0 \times_{f_0,X_0,\mathsf{d}_0} X_1 \rightarrow X_1$  is a cover. Since *A* gives a principal bundle,  $\Theta(X)$  acts on  $A_1$  principally by Lemma 5.31. Corollary 1.54 implies that  $\Theta(X)$  acts on  $A_1 \times_{X_1} B_1$  principally, and the statement follows from Lemmas 7.6 and 7.5.

In particular, given a right principal *X*-*Y* bibundle  $\Gamma$  and a right principal *Y*-*Z* bibundle  $\Xi$ , the fundamental groupoid of  $R'_0\Gamma \times_Y C'_0\Xi$  is a groupoid in  $(\mathscr{C}, \mathcal{T})$ . This groupoid can be regarded as a geometric version of a 2-coend.

<sup>&</sup>lt;sup>1</sup>It is straightforward to show the following statement: if  $A \to sk_0 N$  is acyclic, then  $\tau(A)$  is isomorphic to the Čech groupoid of  $A_0 \to N$ .

# 7.2 The composition of 2-groupoid bibundles

In this section, we compose two right principal bibundles of 2-groupoids in  $(\mathscr{C}, \mathcal{T})$ . Suppose that the right principal *X*-*Y* bibundle  $\Gamma$  is a simplicial object colored by white and gray, and that the right principal *Y*-*Z* bibundle  $\Xi$  is a simplicial object colored by gray and black. We will construct the desired *X*-*Z* bibundle as a simplicial object  $\Sigma$  colored by white and black. We first construct  $\Sigma_{0,0}$ ,  $\Sigma_{0,1}$ , and  $\Sigma_{1,0}$ . Then we use these data to construct the higher dimensions.

#### 7.2.1 Dimension 1

First, the space  $\Sigma_{0,0}$  is defined by

$$\Sigma_{0,0} \coloneqq \Gamma_{0,0} \times_{\mathsf{d}_1, Y_0, \mathsf{d}_0} \Xi_{0,0},$$

illustrated by the left picture in Figure 7.2, where white dots are in X, gray dots are in Y, and black dots are in Z; a gray dot in the middle of white i and black j is labeled by i/j.

Since  $\Xi$  is right principal, the map  $d_0: \Xi_{0,0} \to Y_0$  is a cover. Thus  $\Sigma_{0,0}$  is representable. The face map  $d_0: \Sigma_{0,0} \to X_0$  is induced by  $d_0: \Gamma_{0,0} \to X_0$ . The face map  $d_1: \Sigma_{0,0} \to Z_0$  is defined similarly. Since  $d_0: \Sigma_{0,0} \to X_0$  is the composite of the two covers illustrated by the three pictures in Figure 7.2, the condition Kan(1,0)[1,1] for  $\Sigma$  holds.

Figure 7.2: The Kan condition Kan(1,0)[1,1]

## 7.2.2 Dimension 2

We are going to construct the space  $\Sigma_{0,1}$ ; the space  $\Sigma_{1,0}$  is defined similarly.

**Definition 7.9.** The space  $\Sigma_{0,1}$  is defined to be the space given by any the pictures in Figure 7.3, where every snake line stands for the quotient by an action of an appropriate groupoid of bigons.

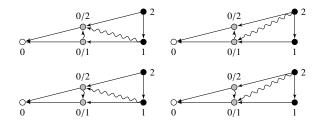


Figure 7.3: The constructions of  $\Sigma_{0,1}$ 

Let us denote the top left picture by TL and the corresponding space by  $\Sigma_{01}^{TL}$ . The symbols TR,  $\Sigma_{0,1}^{TR}$ , BL,  $\Sigma_{0,1}^{BL}$ , and BR,  $\Sigma_{0,1}^{BR}$  have a similar meaning. For every picture, we have two actions given by the two snake arrows. Since these two

For every picture, we have two actions given by the two snake arrows. Since these two actions commute, the order of taking quotients does not matter.

**Lemma 7.10.** The space given by every picture in Figure 7.3 is corepresentable.

*Proof.* We prove the statement for TL; similar arguments work for the other cases. The space can be constructed in two steps illustrated by Figure 7.4. Each time we have two actions, one of which is principal and the other has moment map being a cover. Corollary 1.54 implies that the quotient spaces are corepresentable.  $\Box$ 

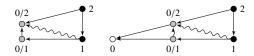
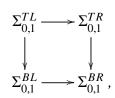


Figure 7.4: Corepresentability of  $\Sigma_{0,1}$ 

The lemma below verifies that  $\Sigma_{0,1}$  is well-defined. In the sequel, we will mostly use TL unless otherwise specified.

Lemma 7.11. There is a commutative square



where every morphism is an isomorphism.

*Proof.* Recall from Lemma 6.11 that the spaces given by the pictures in Figure 7.5 are isomorphic. Thus the spaces given by TL and TR are isomorphic. Similarly, we have an isomorphism  $\Sigma_{0,1}^{BL} \cong \Sigma_{0,1}^{BR}$ .



Figure 7.5: Two isomorphic spaces

Example 6.38 implies that the spaces given by the three pictures in Figure 7.6 are isomorphic. This gives an isomorphism  $\Sigma_{0,1}^{TL} \cong \Sigma_{0,1}^{BL}$ . The isomorphism  $\Sigma_{0,1}^{TR} \cong \Sigma_{0,1}^{BR}$  follows similarly.

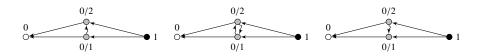


Figure 7.6: Three isomorphic spaces

It remains to show that these four isomorphisms form a commutative square. Replace BL and BR by the pictures in Figure 7.7 denoted by BL' and BR', respectively. We

now choose representatives for the pictures TR, TL, BR', BL' such that they respect the isomorphisms

$$\Sigma_{0,1}^{TL} \cong \Sigma_{0,1}^{BL}, \quad \Sigma_{0,1}^{BL} \cong \Sigma_{0,1}^{BR}, \quad \Sigma_{0,1}^{BR} \cong \Sigma_{0,1}^{TR}.$$

We need to show that they respect the remaining isomorphism  $\Sigma_{0,1}^{TL} \cong \Sigma_{0,1}^{TR}$ . This is a variant of Lemma 6.19, and we are done.

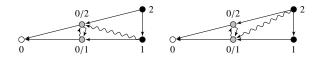


Figure 7.7: BL' and BR'

*Remark* 7.12. The construction of  $\Sigma_{0,1}$  is inspired by the composition of HS bibundles of groupoids. A triangle in  $\Sigma_{0,1}$  can be thought of as a formal composite of three smaller triangles in  $\Gamma$  and  $\Xi$ . In view of the pasting theorem, the composite should be invariant under the actions of groupoids of bigons.

The face maps  $d_1, d_2: \Sigma_{1,0} \to \Sigma_{0,0}$  and  $d_0: \Sigma_{1,0} \to Z_1$  are natural to define. The degeneracy map  $s_1: \Sigma_{0,0} \to \Sigma_{0,1}$  is illustrated by Figure 7.8. We first send the left picture  $\Sigma_{0,0}$  to the right picture using three degeneracy maps (the two shorter vertical arrows are degenerate). Composing with the quotient maps, we obtain  $s_1$ . The degeneracy map  $s_0: \Sigma_{0,0} \to \Sigma_{1,0}$  is defined similarly.

Figure 7.8: The degeneracy map  $s_1 \colon \Sigma_{0,0} \to \Sigma_{0,1}$ 

These face and degeneracy maps satisfy the desired simplicial identities. We can also use any other picture in Figure 7.3 to define face and degeneracy maps, and the results are compatible with the isomorphisms in Lemma 7.11.

**Lemma 7.13.** *The Kan conditions* Kan(2,1)[1,2], Kan(2,2)[1,2] *and* Kan(2,0)[1,2] *for*  $\Sigma$  *hold. Similarly,* Kan(2,1)[2,1] *and* Kan(2,2)[2,1] *hold.* 

*Proof.* To show Kan(2,1)[1,2], we consider the four pictures in Figure 7.9. Let  $\tilde{\Sigma}_{0,1}$  be the space of three triangles fitting together as the left-most picture. Using the Kan conditions for  $\Gamma$  and  $\Xi$ , we see that the canonical map from one picture to its right neighbour is a cover. Hence the map  $\tilde{\Sigma}_{0,1} \rightarrow \text{Hom}(\Lambda_1^2[1,2],\Sigma)$  is a cover. Lemma 1.52 implies that it descends to a cover after taking quotients. This proves Kan(2,1)[1,2].

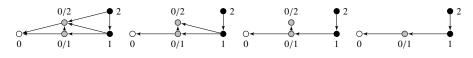


Figure 7.9: Proof of Kan(2,1)[1,2]

The other Kan conditions can be proved similarly. For instance, to prove Kan(2,0)[1,2] we consider Figure 7.10.

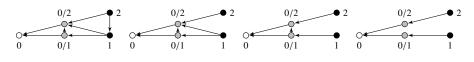


Figure 7.10: Proof of Kan(2,0)[1,2]

## 7.2.3 Dimension 3

We first construct  $\Sigma_{0,2}$ , and verify various simplicial identities and Kan conditions. A symmetric argument works for  $\Sigma_{2,0}$ . We then consider  $\Sigma_{1,1}$ , which is slightly different.

**Definition 7.14.** Let  $\tilde{\Sigma}_{0,2}$  be the space of all configurations of four tetrahedra fitting together as in Figure 7.11.

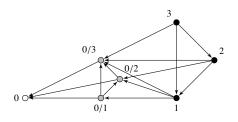


Figure 7.11: The construction of  $\tilde{\Sigma}_{0,2}$ 

The space  $\Sigma_{0,2}$  will be a quotient space of  $\tilde{\Sigma}_{0,2}$ .

**Lemma 7.15.** The space  $\tilde{\Sigma}_{0,2}$  is representable.

*Proof.* Remove from  $\tilde{\Sigma}_{0,2}$  the triangle (0,0/3,0/1) together with the unique horn associated with it. In this manner, we remove

$$(0,0/3,0/1), (0/3,0/1,1), (0/3,1,3), (0/3,1,2)$$

We denote the resulting space by  $\tilde{\Sigma}'_{0,2}$ , which consists of only triangles. Further removing the triangles (0/3, 0/2, 0/1) and (0/3, 0/2, 1) from  $\tilde{\Sigma}'_{0,2}$ , we denote the resulting space by  $\tilde{\Sigma}''_{0,2}$ . The space  $\tilde{\Sigma}''_{0,2}$  is a un-quotiented "big horn". The proof of Lemma 7.13 implies that  $\tilde{\Sigma}''_{0,2}$  is representable. It is routine to verify that, for each horn we removed from  $\tilde{\Sigma}_{0,2}$ , the corresponding Kan condition holds. Therefore,  $\tilde{\Sigma}_{0,2}$  is representable and  $\tilde{\Sigma}_{0,2} \to \tilde{\Sigma}''_{0,2}$  is a cover.

**Definition 7.16.** Let  $\Sigma_{0,2}$  be the quotient space of  $\tilde{\Sigma}_{0,2}$  illustrated by Figure 7.12, where every snake line stands for a quotient by an action of a groupoid of bigons (it is well-defined by Lemma 6.19).

**Lemma 7.17.** *The space*  $\Sigma_{0,2}$  *is corepresentable, and* Kan!(3,*i*)[1,3] *holds for*  $0 \le i \le 3$ . *Proof.* We show the condition Kan!(3,2)[1,3]; the other cases are similar. Consider the canonical maps in the proof of Lemma 7.15:

$$\tilde{\Sigma}_{0,2} \xrightarrow{\cong} \tilde{\Sigma}'_{0,2} \to \tilde{\Sigma}''_{0,2}.$$

The last map is an equivariant cover, so it descends to a cover by Lemma 1.53. Observe that  $\tilde{\Sigma}_{0,2}/\sim \cong \tilde{\Sigma}'_{0,2}/\sim \cong \tilde{\Sigma}'_{0,2}/\sim \cong \mathrm{Hom}(\Lambda_2^3[1,3],\Sigma)$ . It follows that  $\Sigma_{0,2}$  is corepresentable and that Kan!(3,2)[1,3] holds.

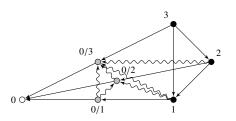


Figure 7.12: The construction of  $\Sigma_{0,2}$ 

*Remark* 7.18. The proof tells us that, given a horn  $\Lambda_2^3[1,3]$  in  $\Sigma$ , we can choose any representative and add arbitrary auxiliary simplices (0/1, 0/2, 0/3) and (0/2, 0/3, 1) to obtain the missing faces (0, 0/1, 0/3), (0/1, 0/3, 1), and (0/3, 1, 3). After taking quotients, the result is independent of the choices.

The face and degeneracy maps  $s_1, s_2: \Sigma_{0,1} \to \Sigma_{0,2}$  are natural to define. For instance, to define  $s_2$ , we first define a map  $\tilde{s}_2: \tilde{\Sigma}_{0,1} \to \tilde{\Sigma}_{0,2}$  by degeneracy maps as in Figure 7.13. This map is equivariant with respect to the actions, so it descends to a map  $s_2: \Sigma_{0,1} \to \Sigma_{0,2}$ . The simplicial identities hold by construction.

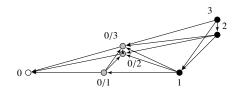


Figure 7.13: "Degeneracy" map  $\tilde{s}_2 \colon \tilde{\Sigma}_{0,1} \to \tilde{\Sigma}_{0,2}$ 

Like  $\Sigma_{0,1}$ , we may use other decompositions to define  $\tilde{\Sigma}_{0,2}$  hence  $\Sigma_{0,2}$ . It is routine to verify that, for every other decomposition, we also have Kan!(3,i)[1,3] for  $0 \le i < 1$ . We claim that the result is independent of the choice.

First, for arrows in Y we may choose other direction. In fact, applying a suitable variant of Lemma 6.19, we can invert arrows in Y.

Consider a map  $\partial \Delta^3[1,3] \rightarrow \Sigma$ , consisting of four faces, in two different decompositions. Each pair of faces may be decomposed differently but respects the isomorphisms in Lemma 7.11. Suppose that for certain decomposition these four faces are compatible that they respect the Kan conditions in Lemma 7.17, then we shall show that they are compatible for the other decomposition. Choosing appropriate representatives, we may arrange that the tetrahedra (0,0/1,0/2,0/3) in  $\Gamma$  are the same, so we need only consider the remaining part in  $\Xi$ . Without loss of generality, we may suppose that the two decompositions only differ on one face. We show below for one case; the other cases can be proved similarly.

**Lemma 7.19.** Consider the two sets of eight triangles in  $\Xi$  as in Figure 7.14. The left picture uses the standard decomposition, and the right differs only by one face. Suppose that the two decompositions are related by isomorphisms as in Lemma 7.11. If the eight triangles in the standard decomposition respect the Kan conditions in Lemma 7.17, then so do the eight triangles in the other decomposition.

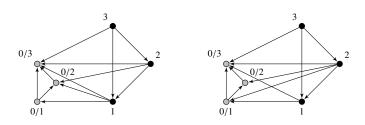


Figure 7.14: Two different decompositions

*Proof.* Two pairs of triangles on a face are related by isomorphisms as in Lemma 7.11 if and only if their pasting composites are equal. The two pictures in Figure 7.14 can be interpreted as expressing (0/2, 0/3, 2, 3) as the pasting composites of other faces on the boundary. The claim then follows from the pasting theorem.

We now construct  $\Sigma_{1,1}$ ; the idea is the same.

**Definition 7.20.** The space  $\Sigma_{1,1}$  is defined by Figure 7.15, which has six tetrahedra fitting together.

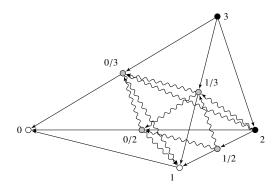


Figure 7.15: The construction of  $\Sigma_{1,1}$ 

The lemma below is similar to Lemma 7.17.

**Lemma 7.21.** The space  $\Sigma_{1,1}$  is corepresentable and  $\operatorname{Kan}(3,i)[2,2]$  holds for  $0 \le i \le 3$ .

*Proof.* Denote the un-quotiented space by  $\tilde{\Sigma}_{1,1}$ . Removing from  $\tilde{\Sigma}_{1,1}$  the following six triangles and the associated horns in order:

$$(0,1,0/3), (1,0/3,1/3), (1,0/2,1/2), (0/3,1/3,3), (0/3,1/3,2), (0/2,1/2,1/3), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2,1/2,1/2), (0/2)),$$

we denote the resulting space by  $\tilde{\Sigma}'_{1,1}$ . Removing from  $\tilde{\Sigma}'_{1,1}$  the following triangles

(1,0/2,0/3), (0/2,0/3,1/3), (0/2,1/2,1/3),

we denote the resulting space by  $\tilde{\Sigma}_{1,1}''$ . It is routine to verify that  $\tilde{\Sigma}_{1,1} \to \tilde{\Sigma}_{1,1}''$  is a cover, and we get isomorphisms in  $\mathscr{C}$ 

$$\tilde{\Sigma}_{1,1}/\sim \cong \tilde{\Sigma}_{1,1}''/\sim \cong \operatorname{Hom}(\Lambda_2^3[2,2],\Sigma).$$

This shows that  $\Sigma_{1,1} = \tilde{\Sigma}_{1,1}/\sim$  is corepresentable and that Kan(3,2)[2,2] holds. The other Kan conditions follow similarly.

The face maps  $d_0, d_1: \Sigma_{1,1} \to \Sigma_{0,1}$  and  $d_2, d_3: \Sigma_{1,1} \to \Sigma_{1,0}$  and the degeneracy maps  $s_2: \Sigma_{1,0} \to \Sigma_{1,1}$  and  $s_0: \Sigma_{0,1} \to \Sigma_{1,1}$  are natural to define. The simplicial identities hold by construction.

*Remark* 7.22. We can also use different decompositions for  $\tilde{\Sigma}_{1,1}$  and  $\Sigma_{1,1}$ . Four faces can be decomposed differently, and we can also connect (1/2, 0/3) instead of (0/2, 1/3) in Figure 7.15. We claim that the results are the same for different decompositions. First, the direction of the arrows in *Y* does not matter. For two different decompositions, we choose appropriate representatives such that the four faces of (0/2, 0/3, 1/2, 1/3) are compatible or the same, then we divide the bigger tetrahedron into two parts. It suffices to consider the two parts separately. This is almost the same as Lemma 7.19, except that the vertices are labeled differently, and the proof is the similar.

**Proposition 7.23.** The groupoid of bigons in  $\Sigma$  (with color white and black) is isomorphic to the fundamental groupoid  $\tau(R'_0\Gamma \times_Y C'_0\Xi)$ .

*Proof.* First, these two groupoids have the same spaces of objects. Considering Figure 7.16, we deduce that

$$((\Gamma_{0,1} \times_{Y_1} \Xi_{1,0}) / \Theta(\mathbf{X}) \times_{\Xi_{0,0}} \Theta(\Xi)_1 / \Theta(\Xi) \cong (\Gamma_{0,1} \times_{Y_1} \Xi_{1,0}) / \Theta(\mathbf{X}),$$

which proves that the spaces of arrows are the same. It is also clear that they have the same unit, source, and target.

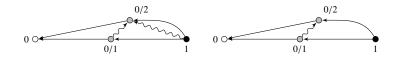


Figure 7.16: Two expressions for the space of bigons

It remains to show that the compositions are the same. Suppose that vertices 1,2,3 in Figure 7.11 are identical and that  $(0/3, 0/2, 1, 2) = s_2(0/3, 0/2, 1)$  and  $(0/3, 1, 2, 3) = s_2(0/3, 1, 2)$  are degenerate. Using this picture we compute the composition in the groupoids of bigons. Ignoring the vertices 2,3 from the picture, we get the composition in  $\tau(R'_0\Gamma \times_Y C'_0\Xi)$ . This proves that the two compositions are the same.

Indeed, we first figured out what is the groupoid of bigons for the desire composite bibundle, then we realise how to construct the actions.

#### 7.2.4 Dimension 4

To complete the construction of  $\Sigma$ , we need to verify all desired conditions Kan!(4, k)[i, j] for  $0 \le k < 4, i, j \ge 0$ , and  $k = 4, j \ge 2$ . For fixed (i, j), considering a colored version of Lemma 3.21, we need only verify Kan!(4, k)[i, j] for some k.

**Lemma 7.24.** The condition Kan!(4,3)[1,4] holds for  $\Sigma$ ; the condition Kan!(4,1)[4,1] holds by symmetry.

*Proof.* Given an element in Hom( $\Lambda_3^4[1,4],\Sigma$ ), which is four 3-simplices in  $\Sigma$  fitting together, we can choose representatives of these 3-simplices such that they agree on common 2-simplices. In other words, these representatives are 3-simplices in  $\Gamma$  and  $\Xi$  fitting together. Suppose that these representatives are given by

$$\begin{aligned} &(0,0/2,0/3,0/4,2,3,4)\in\tilde{\Sigma}_{0,2},\\ &(0,0/1,0/3,0/4,1,3,4)\in\tilde{\Sigma}_{0,2},\\ &(0,0/1,0/2,0/4,1,2,4)\in\tilde{\Sigma}_{0,2},\\ &(1,2,3,4)\in Z_3 \end{aligned}$$

fitting together. We need to show that it determines (0,0/1,0/2,0/4,1,2,4). The condition Kan!(4,3)[1,4] for  $\Gamma$  implies that the four faces of the 3-simplex (0,0/1,0/2,0/4) are compatible. It remains to consider the part in  $\Xi$ . This follows by the pasting theorem.  $\Box$ 

**Lemma 7.25.** The condition Kan!(4,1)[2,3] holds for  $\Sigma$ ; by symmetry, Kan!(4,3)[3,2] holds.

*Proof.* Given an element in Hom( $\Lambda_1^4[2,3],\Sigma$ ), as above we choose representatives such that it is a collection of 3-simplices in  $\Gamma$  and  $\Xi$  fitting together. Suppose that these representatives are given by

 $(1, 1/2, 1/3, 1/4, 2, 3, 4) \in \tilde{\Sigma}_{0,2},$  $(0, 1, 0/1, 0/3, 1/3, 1/4, 3, 4) \in \tilde{\Sigma}_{1,1},$  $(0, 1, 0/1, 0/4, 1/2, 1/3, 2, 4) \in \tilde{\Sigma}_{1,1},$  $(0, 1, 0/2, 0/3, 1/2, 1/3, 2, 3) \in \tilde{\Sigma}_{1,1}$ 

fitting together. We need to show that they determine (0,0/2,0/3,0/4,2,3,4) in  $\tilde{\Sigma}_{0,2}$ . Fill the 3-dimensional horns

$$(0/2, 1/2, 1/3, 1/4),$$
  
 $(0/2, 0/3, \overline{1/3}, 1/4),$   
 $(0/2, 0/3, 0/4, \overline{1/4})$ 

by Kan conditions. We break (0,0/2,0/3,0/4,2,3,4) into two parts, which lie in  $\Gamma$  and  $\Xi$ , respectively. It suffices to show that each part is compatible. This follows by applying the pasting theorem.

We now complete the construction of the *X*-*Z* bibundle  $\Sigma$ . This bibundle is called the composite of  $\Gamma$  and  $\Xi$ , and we denote it by  $\Gamma \otimes \Xi$ .

# 7.3 Functoriality of the bundlisation

Given a morphism of 2-groupoids  $f: X \to Y$ , we recall from Section 4.5 that the cograph (bundlisation)  $\Gamma_{i,j} = X_i \times_{Y_i} Y_{i+j}$  gives a right principal X-Y bibundle. We show that this construction respects compositions up to a weak equivalence.

**Proposition 7.26.** Let  $f: X \to Y$  and  $g: Y \to Z$  be morphisms of 2-groupoids in  $(\mathcal{C}, \mathcal{T})$ . Denote by  $\Gamma, \Xi, \Sigma$  the bibundles given by the cograph of  $f, g, g \circ f$ . Then there is a morphism of X-Z bibundles  $\Sigma \to \Gamma \otimes \Xi$  which induces a weak equivalence on the groupoid of bigons,  $\Theta(\Sigma) \to \Theta(\Gamma \otimes \Xi)$ .

We divide the proof into several lemmas.

**Lemma 7.27.** There is a morphism of X-Z bibundles  $\Sigma \to \Gamma \otimes \Xi$ .

*Proof.* Denote  $\Gamma \otimes \Xi$  by  $\Pi$ . Since  $\Sigma$  and  $\Pi$  are both 3-coskeletal, it suffices to construct a morphism  $c: \Sigma \to \Pi$  on dimensions 1,2,3.

First, there is a natural inclusion

$$\Sigma_{0,0} \to \Pi_{0,0}, \quad (x_0, y_1) \mapsto ((x_0, \mathbf{s}_0 f_0(x_0)), (f_0(x_0), y_1)),$$

which is defined to be  $c_{0,0}$ . This map is illustrated by Figure 7.17, where white dots are in X, gray dots in Y, and black dots in Z. The left picture stands for  $\Sigma_{0,0}$ ; it has three parts in X, Y, Z matched by f and g. We omit all labels here. The right picture stands for the image of  $\Sigma_{0,0}$  in  $\Pi_{0,0}$ , where two gray dots are identical and the 1-simplex between them is degenerate.

○ ◎ ●←● ○ ◎←◎ ●←●

Figure 7.17: The construction of  $c_{0,0}$ 

In the same spirit, we define  $c_{0,1}$  by Figure 7.18. We first send the left picture  $\Sigma_{0,1}$  to the right picture by adding two degenerate 2-simplices in *Y* and *Z*. Composing with the quotient map, we obtain  $c_{0,1}$ .



Figure 7.18: The construction of  $c_{0,1}$ 

Similarly, the map  $c_{1,0}$  is given by Figure 7.19.



Figure 7.19: The construction of  $c_{1,0}$ 

Finally,  $c_{0,2}$ ,  $c_{1,1}$ , and  $c_{2,0}$  are given by the three pictures in Figure 7.20, respectively. These maps are constructed first by adding appropriate degenerate 3-simplices, then composing with the quotient maps.

It is clear from the construction that the constructed maps  $c_{0,0}$ ,  $c_{0,1}$ ,  $c_{1,0}$ ,  $c_{2,0}$ ,  $c_{1,1}$ , and  $c_{2,0}$  are compatible with the face and degeneracy maps. This is the bibundle morphism  $c: \Sigma \to \Pi$  we are looking for.

We now explain that the bibundle morphism *c* constructed above induces a weak equivalence between the groupoids of bigons,  $\Theta(\Sigma) \rightarrow \Theta(\Pi)$ .

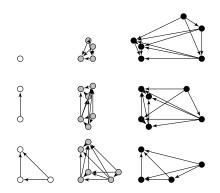


Figure 7.20: The construction of  $c_{0,2}, c_{1,1}$ , and  $c_{2,0}$ 

**Lemma 7.28.** Let  $c: \Sigma \to \Pi$  be given as above. Then

$$\operatorname{Hom}(\Delta^{1}[1,1] \to \Delta^{1} \star \Delta^{0}[1,2], \Sigma \to \Pi) \to$$

 $\operatorname{Hom}(\partial\Delta^1[1,1]\to\partial\Delta^1\star\Delta^0[1,2],\Sigma\to\Pi)$ 

is a cover.

*Proof.* We need to show that the map from the left picture to the right picture in Figure 7.21 is a cover. The Kan conditions for Z and Y imply that the two spaces given by the middle picture and the right picture are the same. The map from the un-quotiented version of the left picture to that of the middle picture is a cover, which descends to a cover after taking quotients. This proves the lemma.

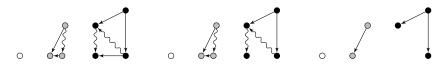


Figure 7.21: A pictorial proof

**Lemma 7.29.** The morphism  $c: \Sigma \to \Pi$  satisfies Acyc!(2).

*Proof.* The lemma consists of two non-trivial statements. Consider Figure 7.22. The first statement says that *c* induces an isomorphism between Hom $(\partial \Delta^3[2, 1] \rightarrow \Delta^3[2, 1], \Sigma \rightarrow \Pi)$ , given by the left picture, and Hom $(\Delta^3[1, 2], \Sigma)$ , given by the right picture. The claim follows because the space given by the middle picture is isomorphic to the spaces given by the remaining two pictures.

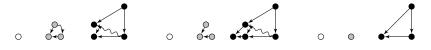


Figure 7.22: Proof of Acyc!(2)[2,1]

The other statement is

$$\operatorname{Hom}(\Delta^3[1,2],\Sigma)\cong\operatorname{Hom}(\partial\Delta^3[1,2]\to\Delta^3[1,2],\Sigma\to\Pi),$$

which follows by considering Figure 7.23.

## 

Figure 7.23: Proof of Acyc!(2)[1,2]

The two lemmas above show that  $c: \Sigma \to \Pi$  is a colored version of a weak acyclic fibration (Definition 6.25). It follows that the induced functor on groupoids of bigons,  $\Theta(\Sigma) \to \Theta(\Pi)$ , is a weak equivalence, and this proves Proposition 7.26.

# 7.4 Unitality of the composition

We recall from Section 4.5 that the total décalage Dec(X) is a two-sided principal X-X bibundle. Our goal in this section is to prove the following result.

**Proposition 7.30.** Let  $\Gamma$  be a right principal X-Y bibundle. There is a canonical morphism of X-Y bibundles  $\Gamma \rightarrow \text{Dec}(X) \otimes \Gamma$  which induces a weak equivalence on  $\Theta(\Gamma) \rightarrow \Theta(\text{Dec}(X) \otimes \Gamma)$ . A similar statement holds for  $\Gamma \otimes \text{Dec}(X)$ .

We divided the proof into several lemmas.

**Lemma 7.31.** There are morphisms of X-Y bibundles  $\Gamma \to Dec(X) \otimes \Gamma$  and  $\Gamma \to \Gamma \otimes Dec(X)$ .

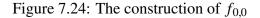
*Proof.* We construct the morphism of bibundles  $\Gamma \to Dec(X) \otimes \Gamma$ ; the other morphism can be constructed by symmetry.

Denote  $\text{Dec}(X) \otimes \Gamma$  by  $\Sigma$ . We need a morphism  $f \colon \Gamma \to \Sigma$  on the dimensions  $n \leq 3$ . First, on *X* and *Y*, *f* is the identity. Recall that  $\Sigma_{0,0} = X_1 \times_{\mathsf{d}_1, X_0, \mathsf{d}_0} \Gamma_{0,0}$ . We define

 $f_{0,0}: \Gamma_{0,0} \to \Sigma_{0,0}, \quad f_{0,0} = (s_0 \circ d_0, id),$ 

illustrated by Figure 7.24, where the left picture is sent to the right picture by adding a degenerate 1-simplex (and then relabeling the vertices).

0 ○ ← ─ ○ 1 0 ○ ← ○ ← ○ 1



In the same spirit, we define  $f_{0,1}: \Gamma_{0,1} \to \Sigma_{0,1}$  by Figure 7.25. We first send (0,1,2) on the left to (0/2,1,2) on the right. Then add degenerate simplices,  $(0/1,0/2,1) = s_0(0/2,1)$  and  $(0,0/2,0/1) = s_0(0/2,0/1)$ . Composing with the quotient map, we get  $f_{0,1}$ .



Figure 7.25: The construction of  $f_{0,1}$ 

Similarly, the map  $f_{1,0}: \Gamma_{1,0} \to \Sigma_{1,0}$  is given by Figure 7.26. It sends (0, 1, 2) on the left to (0/2, 1/2, 2) on the right. The other 2-simplices of the right picture are degenerate,  $(0, 0/2, 1/2) = s_0(0/2, 1/2)$  and  $(0, 1, 0/1) = s_1(0, 1/2)$ . The decomposition of  $\Sigma_{1,0}$  that we use here is different from the standard one.

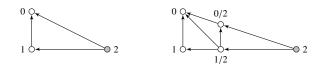


Figure 7.26: The construction of  $f_{1,0}$ 

Finally,  $f_{2,0}$ ,  $f_{1,1}$ , and  $f_{2,0}$  are given by the three pictures in Figure 7.27, respectively. In these pictures, the 3-simplices (0/2, 1, 2, 3), (0/3, 1/3, 2, 3), and (0/3, 1/3, 2/3, 3) come from  $\Gamma$ . The remaining 3-simplices are suitable degenerate simplices.

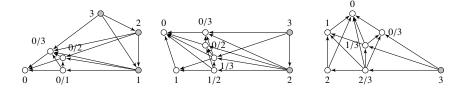


Figure 7.27: The construction of  $f_{2,0}$ ,  $f_{1,1}$ , and  $f_{2,0}$ 

It is clear that the maps  $f_{0,0}$ ,  $f_{0,1}$ ,  $f_{1,0}$ ,  $f_{2,0}$ ,  $f_{1,1}$ , and  $f_{2,0}$  are compatible with face and degeneracy maps. This defines a bibundle morphism  $f: \Sigma \to \Gamma$ .

We now explain that the bibundle morphism f constructed above induces a weak equivalence on the groupoid of bigons,  $\Theta(\Sigma) \to \Theta(\Gamma)$ .

**Lemma 7.32.** Let  $f: \Sigma \to \Gamma$  be given as above. Then

 $\operatorname{Hom}(\Delta^{1}[1,1] \to \Delta^{1} \star \Delta^{0}[1,2], \Sigma \to \Gamma) \to \\\operatorname{Hom}(\partial \Delta^{1}[1,1] \to \partial \Delta^{1} \star \Delta^{0}[1,2], \Sigma \to \Gamma)$ 

is a cover.

*Proof.* We need to show that the canonical map from the left picture to the right picture in Figure 7.28 is a cover. In the left picture, (0,0/1) is degenerate. Since the middle picture and the left picture represent the same spaces, it suffices to consider the map from the middle picture to the right picture. The Kan conditions for  $\Gamma$  implies that the map from the un-quotiented version of the middle picture to the right picture is a cover, so it descends to a cover. This proves the lemma.

**Lemma 7.33.** *The morphism*  $f : \Sigma \to \Gamma$  *satisfies* Acyc!(2).

*Proof.* The lemma consists of two non-trivial statements. Consider Figure 7.29. The first statement says that f induces an isomorphism between Hom $(\partial \Delta^3[2,1] \rightarrow \Delta^3[2,1], \Gamma \rightarrow \Sigma)$ , given by the left picture, and Hom $(\Delta^3[1,2],\Gamma)$ , given by the right picture. In the left picture,

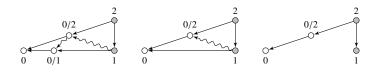


Figure 7.28: A pictorial proof

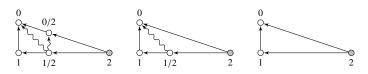


Figure 7.29: Proof of Acyc!(2)[2,1]

(0,0/2) and (1,1/2) are both degenerate. The statement follows because the space given by the middle picture is isomorphic to the spaces given by the other two pictures.

The other statement is that

$$\operatorname{Hom}(\Delta^{3}[1,2],\Gamma) \cong \operatorname{Hom}(\partial \Delta^{3}[1,2] \to \Delta^{3}[1,2],\Gamma \to \Sigma),$$

which follows by considering Figure 7.30.

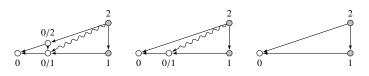


Figure 7.30: Proof of Acyc!(2)[1,2]

The two lemmas above show that f is a colored version of a weak acyclic fibration. Hence the induced morphism on the groupoids of bigons,  $\Theta(\Sigma) \to \Theta(\Gamma)$ , is a weak equivalence, and this finishes the proof of Proposition 7.30.

# 7.5 Associativity of the composition

In this section, we show that the composition of 2-groupoid bibundles is associative up to an isomorphism (not only a weak equivalence). Let X, Y, Z, and W be 2-groupoids in  $(\mathcal{C}, \mathcal{T})$  in this section.

**Proposition 7.34.** Let  $\Gamma, \Xi$ , and  $\Omega$  be X-Y, Y-Z, and Z-W right principal bibundles, respectively. There is a canonical isomorphism ( $\Gamma \otimes \Xi$ )  $\otimes \Omega \rightarrow \Gamma \otimes (\Xi \otimes \Omega)$ .

Denote  $(\Gamma \otimes \Xi) \otimes \Omega$  by  $\Sigma$  and  $\Gamma \otimes (\Xi \otimes \Omega)$  by  $\Pi$ . It suffices to construct the isomorphism  $f: \Sigma \to \Pi$  on dimensions 1, 2, and 3. We divide the construction into several lemmas. First, let  $f_{0,0}$  be the canonical isomorphism

$$(\Gamma_{0,0} \times_{Y_0} \Xi_{0,0}) \times_{Z_0} \Omega_{0,0} \to \Gamma_{0,0} \times_{Y_0} (\Xi_{0,0} \times_{Z_0} \Omega_{0,0})$$

illustrated by Figure 7.31, where white dots are in X, gray dots in Y, gray squares in Z, and black dots in W.

Figure 7.31:  $\Sigma_{0,0}$  and  $\Pi_{0,0}$ 

**Lemma 7.35.** There are isomorphisms  $f_{0,1}: \Sigma_{0,1} \to \Pi_{0,1}$  and  $f_{1,0}: \Sigma_{1,0} \to \Pi_{1,0}$ .

*Proof.* The spaces  $\Sigma_{0,1}$  and  $\Pi_{0,1}$  are given by the two pictures in the first row in Figure 7.32, respectively. We use various decompositions to construct the compositions.

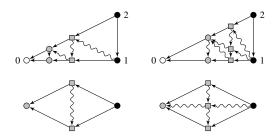


Figure 7.32:  $\Sigma_{0,1}$  and  $\Pi_{0,1}$ 

It suffices to prove that the two spaces in the second row are isomorphic. The horizontal two snake arrows in the bottom right picture stand for an action of  $\Theta(\Xi \otimes \Omega)$ . Since  $\Theta_1 \cong (\Theta_1 \times_{\mathsf{s},\Theta_0,\mathsf{t}} \Theta_1)/\Theta$  for a groupoid  $\Theta$  in  $(\mathscr{C},\mathcal{T})$ , there is an induced isomorphism  $\Sigma_{0,1} \to \Pi_{0,1}$ , denoted by  $f_{0,1}$ .

The isomorphism  $f_{1,0}: \Sigma_{1,0} \to \Pi_{1,0}$  is given by a symmetric construction by considering the two pictures in Figure 7.33.

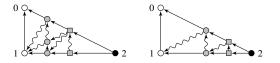


Figure 7.33:  $\Sigma_{1,0}$  and  $\Pi_{1,0}$ 

It is easy to see that the maps  $f_{0,0}$ ,  $f_{0,1}$ , and  $f_{1,0}$  constructed above are compatible with face and degeneracy maps.

*Remark* 7.36. The isomorphism  $f_{0,1}: \Sigma_{0,1} \to \Pi_{0,1}$  can be described on representatives as follows. For an element in  $\Sigma_{0,1}$  given by representatives as in the left picture in Figure 7.34, we send it to  $\Pi$  by adding two degenerate 2-simplices illustrated by the right picture in Figure 7.34, where the two thick arrows indicate suitable degenerate 2-simplices. There is a symmetric construction for  $f_{1,0}^{-1}: \Pi_{0,1} \to \Sigma_{1,0}$ .

**Lemma 7.37.** There are isomorphisms  $f_{0,2}: \Sigma_{0,2} \to \Pi_{0,2}$  and  $f_{0,2}: \Sigma_{2,0} \to \Pi_{2,0}$ . Moreover, these isomorphisms are compatible with  $f_{0,0}$ ,  $f_{0,1}$ ,  $f_{1,0}$ , face, and degeneracy maps.

*Proof.* We only consider  $\Sigma_{0,2} \to \Pi_{0,2}$ ; the other case is given by symmetry. We already know that Hom( $\Lambda_2^3[1,3],\Sigma$ ) and Hom( $\Lambda_2^3[1,3],\Pi$ ) are isomorphic. Since both  $\Sigma$  and  $\Pi$  satisfy Kan!(3,2)[1,3], it suffices to show that, given two horns  $\Lambda_3^2[1,3]$  in  $\Sigma$  and in  $\Pi$  that

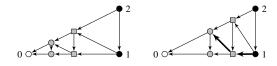


Figure 7.34: A simple construction of  $f_{0,1}: \Sigma_{0,1} \to \Pi_{0,1}$ 

are related by  $f_{0,1}$ , the missing triangles determined by Kan!(3,2)[1,3] are related by  $f_{0,1}$ . We can then define  $f_{0,2}$  to be the isomorphism induced by

$$\Sigma_{0,2} = \operatorname{Hom}(\Lambda_2^3[1,3],\Sigma) \xrightarrow{\cong} \operatorname{Hom}(\Lambda_2^3[1,3],\Pi) = \Pi_{0,2}.$$

To this end, we choose representatives such that each pair of 2-simplices is related as in Remark 7.36, then the claim will become obvious. The left picture in Figure 7.35 illustrates how to obtain (0, 1, 3) with (0, 1, 2), (0, 2, 3), and (1, 2, 3) given in  $\Sigma$ . Adding some degenerate 2-simplices to the left picture, we obtain a horn in  $\Pi$  as shown in the right picture. Now we add some appropriate degenerate 3-simplices as well, then the same picture can be reinterpreted as how to obtain (0, 1, 3) in  $\Pi$ . This proves the claim.  $\Box$ 

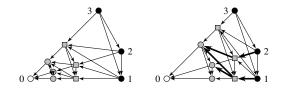


Figure 7.35: The construction of  $f_{0,2}: \Sigma_{0,2} \to \Pi_{0,2}$ 

In the same spirit, it is not hard to show the following lemma.

**Lemma 7.38.** There is an isomorphism  $f_{1,1}: \Sigma_{1,1} \to \Pi_{1,1}$ . Moreover, this isomorphism is compatible with  $f_{0,0}$ ,  $f_{0,1}$ ,  $f_{1,0}$ , face, and degeneracy maps.

We now complete the proof of Proposition 7.34. With the maps  $f_{0,0}$ ,  $f_{0,1}$ ,  $f_{1,0}$ ,  $f_{0,2}$ ,  $f_{1,1}$ , and  $f_{2,0}$  constructed above, we obtain an isomorphism  $f: \Sigma \to \Pi$ .

# 8 Differentiation of Higher Lie Groupoids

In this chapter, we study the differentiation of higher Lie groupoids. Our main aim is to prove that Ševera's 1-jet functor of a higher Lie groupoid is representable. Thus the 1-jet functor assigns an NQ-manifold (higher Lie algebroid) to a higher Lie groupoid. We also show explicitly that the 1-jet functor coincides with the usual notion of differentiation for three special cases: Lie groups, crossed modules, and Lie groupoids.

# 8.1 Basics on supermanifolds

We start by collecting some basic facts about supermanifolds. Our main references are [22, 44, 77]. The ground field is assumed to be the real numbers.

## 8.1.1 Basic definitions

Recall that a *super-vector space* V is a  $\mathbb{Z}_2$ -graded vector space, that is,  $V = V^e \oplus V^o$ . The elements in  $V^e$  are even, and those in  $V^o$  are odd. An even or odd element v is called a *homogeneous element*, its parity in  $\mathbb{Z}_2$  is denoted by |v|. A parity-preserving (-reversing) linear map of super-vector spaces is called even (odd).

A superalgebra A is an algebra on a super-vector space such that  $A^i A^j \subset A^{i+j}$ . A superalgebra A is *commutative* if<sup>1</sup>

$$uv = (-1)^{|u||v|} vu, \quad u, v \in A.$$

In the following, all superalgebras are assumed to be commutative. Homomorphisms of superalgebras are even algebra homomorphisms. Denote the category of superalgebras by SAlg.

The *tensor product* of two superalgebras A and B is a superalgebra  $A \otimes B$  with the multiplication determined by

$$(a_1 \otimes b_1)(a_2 \otimes b_2) = (-1)^{|b_1||a_2|}(a_1a_2 \otimes b_1b_2).$$

**Example 8.1.** The following two examples are important for us.

(i) A superalgebra A with  $A^{o} = \{0\}$  is a usual algebra; for instance, the algebra of smooth functions on an open subset  $U \subset \mathbb{R}^{p}$ ;

<sup>&</sup>lt;sup>1</sup>When we write formulas involving the parity of certain objects, we implicitly assume that these objects are homogeneous.

(ii) The *Grassmann algebra* (exterior algebra) on q odd generators  $\theta^1, \ldots, \theta^q$ , denoted by  $\Lambda(q)$ , is a superalgebra.

**Definition 8.2.** Let  $f_0: A \to B$  be a homomorphism of superalgebras. An even (odd) *derivation* with respect to  $f_0$  is an even (odd) linear map  $f_1: A \to B$  satisfying

$$f_1(uv) = f_1(u)f_0(v) + (-1)^{|f_1||u|}f_0(u)f_1(v), \quad u, v \in A.$$

The standard supermanifold  $\mathbb{R}^{p|q}$  is the topological space  $\mathbb{R}^p$  endowed with the sheaf  $C^{\infty}(\mathbb{R}^p) \otimes \Lambda(q)$ , where  $C^{\infty}(\mathbb{R}^p)$  is the sheaf of smooth functions on  $\mathbb{R}^p$ . We call  $\mathbb{R}^{0|1}$  the *odd line* and denote it by *D*.

**Definition 8.3.** A supermanifold of dimension p|q is a ringed space  $M = (|M|, C_M)$ , where |M| is a second countable Hausdorff space and  $C_M$  is a sheaf of superalgebras on |M| that is locally isomorphic to  $\mathbb{R}^{p|q}$ .

A *morphism between supermanifolds* is a morphism of the corresponding ringed spaces. Denote by SMfd the category of supermanifolds.

Explicitly, a morphism of supermanifolds  $f: M \to N$  consists of a continuous map  $|f|: |M| \to |N|$  and a morphism of sheaves  $f^*: C_N \to f_*C_M$ , where  $f_*C_M$  is the direct image sheaf

$$|U| \mapsto C_M(|f|^{-1}(|U|)), \quad |U| \subset |N|.$$

Local sections of  $C_M$  are called local *functions* on M and  $C_M$  is called the *structure sheaf* of functions. The set of *global sections*  $C_M(|M|)$  is denoted by C(M).

Odd functions generate a sheaf of nilpotent ideals, denoted by Nil. Then  $(|M|, C_M / \text{Nil})$  is manifold of dimension p, which is called the *reduced manifold* and denoted by  $\tilde{M}$ . This amounts to a functor  $\tilde{}: \text{SMfd} \to \text{Mfd}$ . For a local function f around  $x \in |U| \subset |M|$  its value at x is the number  $\tilde{f}(x)$ . This gives a homomorphism  $\text{ev}_x: C_M(|U|) \to \mathbb{R}$ .

Example 8.4. We need the following examples in the future.

- (i) Let *M* be a supermanifold. An open subset  $|U| \subset |M|$  determines an *open subsuper*manifold  $U = (|U|, C_M|_{|U|})$ . Open subsupermanifolds of  $\mathbb{R}^{p|q}$  are called *superdomains*.
- (ii) Let  $E \to M$  be a vector bundle. The *shifted vector bundle*  $\Pi E$  is a supermanifold given by the sheaf of sections  $\Gamma \Lambda^{\bullet} E^*$  on M. The supermanifold  $\Pi TM$  is called the *odd tangent bundle* of M.

If  $(x^1, \ldots, x^p)$  are global coordinates of  $\mathbb{R}^p$  and  $(\theta^1, \ldots, \theta^q)$  are generators of  $\Lambda(q)$ , we call  $(x^1, \ldots, x^p, \theta^1, \ldots, \theta^q)$  a system of *global coordinates* for the supermanifold  $\mathbb{R}^{p|q}$ . We can speak of *local coordinates* for a general supermanifold. Generally, we denote even variables by Latin letters and odd variables by Greek letters.

The following is a generalisation of Hadamard's Lemma; see [44] for the precise formulation of the statement.

**Lemma 8.5.** A function on a superdomain can be expanded uniquely as a Taylor series in coordinates with remainder term in an ideal.

## 8.1.2 Functor of points

The language of functor of points is a very useful tool for studying supermanifolds.

**Definition 8.6.** The *functor of points*  $h_M$  of a supermanifold M is the presheaf on SMfd represented by M. An element f of  $h_M(T)$  is called a *T*-point of M, which is also denoted by  $f \in_T M$ . More generally, for a presheaf F on SMfd, elements in F(T) are called *T*-points of F.

The Yoneda Lemma (Lemma 2.4) allows us to replace a supermanifold by its functor of points. A morphism of two supermanifolds  $f: M \to N$  is equivalent to a functorial family of morphisms  $h_M(T) \to h_N(T)$  for every supermanifold T.

The following two theorems are very useful to study morphisms of supermanifolds.

**Theorem 8.7** ([44]). Let  $U^{p|q}$  be a superdomain with coordinates  $(x, \theta) = (x^i, \theta^j)$ . Let M be a supermanifold. There is a bijection between morphisms  $M \to U^{p|q}$  and the set of pullbacks of  $(x, \theta)$ , which are (p + q)-tuples  $(u^i, \zeta^j)$ , where  $u^i \in C(M)^e$  and  $\zeta^j \in C(M)^o$ , such that  $(\tilde{u}^i)$  gives a morphism of manifolds  $\tilde{M} \to U^p$ .

A morphism of supermanifolds is then a compatible family of such local morphisms. On the other hand, a morphism of supermanifolds is determined by global sections.

**Theorem 8.8** ([44]). For supermanifolds M and N, there is a natural bijection

$$SMfd(M, N) = SAlg(C(N), C(M)).$$

**Example 8.9.** Let  $(x, \theta) = (x^i, \theta^j)$  be coordinates on  $\mathbb{R}^{p|q}$ . Theorem 8.7 implies that we can identify *T*-points of  $\mathbb{R}^{p|q}$  with the set of all (p + q)-tuples  $(u, \zeta) = (u^i, \zeta^j)$ , where  $u^i \in C(T)^e$  and  $\zeta^j \in C(T)^o$ . This yields an isomorphism by comparing *T*-points

$$\mathbb{R}^{p|q} \times \mathbb{R}^{s|t} \cong \mathbb{R}^{p+s|q+t}.$$

Similarly, for a supermanifold M there is an isomorphism of sheaves of superalgebras on |M|

$$C_{M \times \mathbb{R}^{0|q}} \cong C_M \otimes \Lambda(q).$$

**Definition 8.10.** A morphism of supermanifolds  $f: M \to N$  is a *submersion* if it is locally isomorphic to the projection  $\mathbb{R}^{p|q} \times \mathbb{R}^{s|t} \to \mathbb{R}^{p|q}$ . If, in addition, the reduced morphism  $\tilde{f}$  is a surjection then f is a *surjective submersion*.

**Definition 8.11.** The *parity involution* of a superalgebra *A* is the automorphism given by  $a \mapsto (-1)^{|a|}a$ . This defines an endofunctor of SAlg. It induces the parity involution on supermanifolds and further on presheaves on SMfd.

#### 8.1.3 Symbolic notation

We can use local coordinates for supermanifolds just as we did for manifolds. Let M and N be supermanifolds with local coordinates  $(x,\theta) = (x^i,\theta^j)$  and  $(y,\eta) = (y^k,\eta^l)$ , respectively. Locally, we can think of a morphism  $\psi : M \to N$  symbolically as

$$(x,\theta) \mapsto (y,\eta), \qquad y = y(x,\theta), \quad \eta = \eta(x,\theta),$$

which is an abuse of notation for the map  $\psi^*$ 

$$\psi^* y^k = y^k(x,\theta) \in C(M)^e, \quad \psi^* \eta^l = \eta^l(x,\theta) \in C(M)^o.$$

This notation is convenient since it resembles the usual function notation. We will specify explicitly when we use this notation.

The following example demonstrates how this works and how it relates to the functor of points.

**Example 8.12.** Let us continue Example 8.9. The supermanifold  $\mathbb{R}^{p|q}$  is a super Lie group with addition in symbolic notation

$$m \colon \mathbb{R}^{p|q} \times \mathbb{R}^{p|q} \xrightarrow{+} \mathbb{R}^{p|q}$$

$$m((x_1, \theta_1), (x_2, \theta_2)) = (x_1 + x_2, \theta_1 + \theta_2),$$
(8.1)

which really means in pullback notation

$$m^*(x,\theta) = (x_1 + x_2, \theta_1 + \theta_2),$$

where low indices indicate functions on different copies. Let  $f_1, f_2: T \to \mathbb{R}^{p|q}$  be *T*-points of  $\mathbb{R}^{p|q}$  such that  $f_i^*(x,\theta) = (u_i,\zeta_i)$ . Their addition  $f = m \circ (f_1, f_2)$  is a *T*-point of  $\mathbb{R}^{p|q}$ such that  $f^*(x,\theta) = (u_1 + u_2,\zeta_1 + \zeta_2)$ . Thus the addition in *T*-points is

$$m: \hom(T, \mathbb{R}^{p|q}) \times \hom(T, \mathbb{R}^{p|q}) \xrightarrow{+} \hom(T, \mathbb{R}^{p|q})$$
  
$$m((u_1, \zeta_1), (u_2, \zeta_2)) = (u_1 + u_2, \zeta_1 + \zeta_2).$$
(8.2)

This gives a group structure on the set of T-points that is functorial in T.

Observe that (8.1) and (8.2) have the same pattern. The formula in *T*-points is just the pullback of that in coordinates to the parameter space T.<sup>2</sup> It is often the case that the formula in *T*-points is easier to obtain. "Thus the informal or symbolic way of thinking and writing about supermanifolds is essentially the same as the mode of operation furnished by the language of the functor of points" [77, p. 151].

Remark 8.13. Similarly, we have morphisms of supermanifolds in T-points:

$$m: D \times D \to \mathbb{R}, \quad m(\underline{\delta}_1, \underline{\delta}_2) = \underline{\delta}_1 \underline{\delta}_2, \\ m: \mathbb{R} \times D \to D, \quad m(r, \delta) = r \, \delta, \end{cases}$$

where *r* is a coordinate on  $\mathbb{R}$  and  $\underline{r} \in C(T)$  represents a *T*-point of  $\mathbb{R}$  in this coordinate,  $\delta$  is a coordinate on *D* and  $\underline{\delta} \in C(T)$  represents a *T*-point of *D* in this coordinate. The morphism  $\mathbb{R} \times D \to D$  gives an action of  $(\mathbb{R}, \times)$  on *D*. The semidirect product  $\mathbb{R} \ltimes D \cong \mathbb{R}^{1|1}$ has multiplication in *T*-points

$$\mathbb{R}^{1|1} \times \mathbb{R}^{1|1} \to \mathbb{R}^{1|1}, \quad (\underline{r}_1, \underline{\delta}_1) \cdot (\underline{r}_2, \underline{\delta}_2) = (\underline{r}_1 \underline{r}_2, \underline{r}_2 \underline{\delta}_1 + \underline{\delta}_2).$$

<sup>&</sup>lt;sup>2</sup>Some authors use the same letters to denote both coordinates and their pullbacks on T.

#### 8.1.4 Tangent vectors

Like manifolds, tangent vectors on supermanifolds are defined by derivations.

**Definition 8.14.** Let *M* be a supermanifold. An even (odd) *tangent vector* v at  $x \in |M|$  is an even (odd) derivation of the stalk, that is, an even (odd) linear map  $v: C_{M,x} \to \mathbb{R}$  such that  $v(fg) = v(f) \operatorname{ev}_x(g) + (-1)^{|v||f|} \operatorname{ev}_x(f)v(g)$ .

Let *v* be a tangent vector at *x*. Let *U* be a neighbourhood of *x*. Composing with the restriction map  $C_M(U) \to C_{M,x}$  yields a derivation  $C_M(U) \to \mathbb{R}$ , denoted also by *v*.

**Definition 8.15.** A *vector filed* V on M is a derivation of  $C_M$ , that is, a family of derivations  $V_U: C_M(U) \to C_M(U)$  which is compatible with restrictions.

Let  $(x^i, \theta^j)$  be coordinates on an open subsupermanifold  $U \subset M$ . One can show that a derivation is determined by its value on coordinates [22, Lemma 4.4.4]. The coordinate vector fields  $\partial_{x^i}$  and  $\partial_{\theta^j}$  are derivations determined by

$$\partial_{x^i} x^i = 1, \quad \partial_{x^i} x^k = 0 \text{ for } k \neq i, \quad \partial_{x^i} \theta^j = 0,$$

and

$$\partial_{\theta^j} x^i = 0, \quad \partial_{\theta^j} \theta^j = 1, \quad \partial_{\theta^j} \theta^k \text{ for } k \neq j.$$

Coordinate vectors at x are derivations given by

$$\partial_{x^i}|_x = \operatorname{ev}_x \circ \partial_{x^i}, \quad \partial_{\theta^j}|_x = \operatorname{ev}_x \circ \partial_{\theta^j}.$$

Coordinate vectors form a basis of the tangent space at *x*.

We will need the infinitesimal action of a super Lie group action. The following is [22, Definition 8.2.1]; see [22] for more details.

**Definition 8.16.** Let *G* be a super Lie group, *M* a supermanifold, and let  $\alpha : G \times M \to M$  be an action. Given  $v \in T_eG$ , the composite

$$\rho_{\alpha}(v) \colon C_{M}(U) \xrightarrow{\alpha^{*}} C_{G \times M}(U_{e} \times U) \xrightarrow{\nu_{M}} C_{M}(U)$$

is a derivation of  $C_M(U)$  for every open  $U \subset |M|$  and  $U_e \subset |G|$ , where  $v_M$  is the unique  $C_M$ -linear derivation with  $v_M(a \otimes b) = v(a)b$ . The derivation  $\rho_\alpha(v)$  is called the *induced* vector field.

#### 8.1.5 A criterion for representability

We recall a criterion for representability of presheaves on SMfd. This is a special case of a general statement in algebraic geometry; see [22] for more details.

Let us introduce some terminology. Let M be a supermanifold. If  $\{|U_i|\}$  is an open cover of |M|, then we call the open subsupermanifolds determined by  $\{|U_i|\}$  an open cover of M. With the notion of open covers, we can speak of sheaves on SMfd. The notion of open covers extends to presheaves. Let  $U \to F$  be a morphism of presheaves on SMfd. We call U a subfunctor of F if  $U(T) \to F(T)$  is a subset for every T. We call a subfunctor  $U \to F$  open if for every representable V with a morphism  $V \to F$  the pullback  $V \times_F U$  is representable and  $V \times_F U \to V$  is an open supermanifold. A family of open subfunctors  $\{U_i \to F\}$  is an *open cover* of *F* if for every representable *V* with a presheaf morphism  $V \to F$ , the pullbacks  $\{V \times_F U_i\}$  form an open cover of *V*. Furthermore, a representable open subfunctor is called an *open supermanifold subfunctor*.

**Theorem 8.17** ([22, Theorem 4.3]). A presheaf on SMfd is representable if and only if it is a sheaf and covered by a family of open supermanifold subfunctors.

In practice, we use the following direct corollary.

**Corollary 8.18.** Let  $F \to B$  be a morphism of sheaves on SMfd. Suppose that B is representable and covered by a family of open supermanifold subfunctors  $\{U_i\}$ . If  $U_i \times_B F$  is representable for every i, then F is representable and covered by  $\{U_i \times_B F\}$ .

**Example 8.19.** The product  $M \times N$  is representable for all supermanifolds M and N. Applying Corollary 8.18 to  $M \times N \to M$ , we are reduced to the case of  $M = \mathbb{R}^{p|q}$ ; it is further reduced to the case of  $N = \mathbb{R}^{s|t}$ , which is given by Example 8.9.

**Definition 8.20.** Let L and M be supermanifolds. The *internal hom* is the presheaf on SMfd defined by

**hom**
$$(L, M)$$
:  $T \mapsto hom(L \times T, M)$ ,  $T \in SMfd$ .

We also denote the internal hom by  $M^L$ . For  $f: L \times T \to M$ , let  $\hat{f}$  denote the corresponding morphism  $T \to M^L$ .

The evaluation is the morphism defined in T-points by

ev:  $\mathbf{hom}(L, M) \times L \to M$ ,  $(f, g) \mapsto f \circ (g, \mathrm{id}_T)$ ,

where  $f: L \times T \to M$  and  $g: T \to L$ . The *composition* is the morphism defined by

 $\mu$ : **hom**(*L*,*M*) × **hom**(*M*,*N*) → **hom**(*L*,*N*), (*g*,*f*)  $\mapsto$  *f*  $\circ$  (*g*, pr<sub>*T*</sub>),

where  $f: M \times T \to N$  and  $g: L \times T \to M$ .

It is not hard to see that the internal hom is a sheaf on SMfd. We claim that  $\mathbf{hom}(D^n, M)$  is representable for every supermanifold M. Applying Corollary 8.18 to  $\mathbf{hom}(D^n, M) \rightarrow M$ , we are reduced to the case of  $M = \mathbb{R}^{p|q}$ . There are isomorphisms

 $\mathbf{hom}(L, M_1 \times M_2) \cong \mathbf{hom}(L, M_1) \times \mathbf{hom}(L, M_2),$  $\mathbf{hom}(L_2, \mathbf{hom}(L_1, M)) \cong \mathbf{hom}(L_1 \times L_2, M).$ 

Thus it suffices to consider hom(D,D) and  $hom(D,\mathbb{R})$ , which will be done in the next section.

# 8.2 The odd tangent bundles and NQ-manifolds

We show that the internal hom  $M^D$  can be identified with the odd tangent bundle and that the natural action of  $D^D$  on  $M^D$  gives the de Rham complex structure. This old observation appeared in many places, for instance, [74]; see also [39, 40, 67]. We then introduce the notion of NQ-manifolds.

## 8.2.1 The odd tangent bundles

Recall from Example 8.4 that the odd tangent bundle  $\Pi TM$  of a manifold M is a supermanifold given by the sheaf of differential forms.

**Proposition 8.21.** Let M be a manifold. The internal hom  $M^D$  is representable and naturally isomorphic to  $\Pi TM$ .

*Proof.* We prove the claim by comparing the *T*-points.

Let  $f: T \to \Pi TM$  be a *T*-point of  $\Pi TM$ . Theorem 8.8 implies that *f* is equivalent to a superalgebra homomorphism  $f^*: \Omega(M) \to C(T)$ . Denote by  $\pi$  the projection  $\Pi TM \to M$ . Define  $f_0 = \pi \circ f: T \to M$  and  $f_1 = f^* \circ d: C(M) \to C(T)$ , where *d* is the de Rham differential. It is easy to check that  $f_1$  is an odd derivation with respect to  $f_0^*$ . Conversely, a supermanifold morphism  $f_0: T \to M$  and an odd derivation with respect to  $f_0^*$  determine *f*.

Let  $g: D \times T \to M$  be a *T*-point of  $M^D$ . This gives a superalgebra homomorphism  $g^*: C(M) \to C(D \times T)$ . Let  $\iota: T \to D \times T$  be the natural inclusion induced by  $\{0\} \xrightarrow{0} D$ . Define  $g_0 = g \circ \iota: T \to M$ . Example 8.9 implies that each  $y \in C(D \times T)$  can be uniquely written as  $y = v + \delta \eta$ , where  $\delta$  is a fixed coordinate on D and  $v, \eta \in C(T)$ . It is clear that  $\iota^* y = v$ . Define  $p: C(D \times T) \to C(T)$  by  $y \mapsto \eta$ . It is easy to verify that p is an odd derivation with respect to  $\iota^*$ , hence  $g_1 = p \circ g^*: C(M) \to C(T)$  is an odd derivation with respect to  $g_0^*$ . Conversely, a supermanifold morphism  $g_0: T \to M$  and an odd derivation with respect to  $g_0^*$  determine g.

It is clear that the argument above is functorial in T. We conclude that  $M^D$  can be naturally identified with  $\Pi TM$ .

Let us write the above isomorphism in local coordinates. Let  $(x^i)$  be local coordinates on M. We can take  $(x^i, dx^i)$  as local coordinates on  $\Pi T M$ , where d is the de Rham differential. A morphism  $f: T \to \Pi T M$  is locally determined by  $f^*x^i \in C(T)^e$  and  $f^*dx^i \in C(T)^o$ . It is clear that  $\{f^*x^i\}$  give the morphism  $f_0: T \to M$  and  $\{f^*dx^i\}$  give the odd derivation  $f_1$ . Conversely, let  $g: D \times T \to M$  be a morphism corresponding to  $\hat{g}: T \to \Pi T M$ . Suppose that  $g^*x^i = u^i + \delta \zeta^i$ . Then  $\{u^i\}$  give a morphism  $g_0: T \to M$  and  $\{\zeta^i\}$  give an odd derivation. Therefore, we can identify  $(u^i, \zeta^i)$  with  $(\hat{g}^*x^i, \hat{g}^*dx^i)$ .

## **Proposition 8.22.** The presheaf $D^D$ is representable and naturally isomorphic to $\mathbb{R} \times D$ .

*Proof.* Let  $\delta$  be a coordinate on D and let r be a coordinate on  $\mathbb{R}$ . A T-point of  $\mathbb{R} \times D$ ,  $f: T \to \mathbb{R} \times D$ , is determined by  $f^*\delta \in C(T)^\circ$  and  $f^*r \in C(T)^\circ$ . On the other hand, a T-point of  $D^D$ ,  $g: D \times T \to D$ , is given by  $g^*\delta \in C(D \times T)^\circ$ . Decompose  $g^*\delta = \eta + \delta y$  with  $\eta \in C(T)^\circ$  and  $y \in C(T)^\circ$ . Thus g is also determined by an odd element and an even element of C(T), and this proves the claim.

Our next goal is to describe the monoid structure of  $D^D$  and the natural action of  $D^D$ on  $M^D$ . As above, let  $g: D \times T \to D$  be a *T*-point of  $D^D$ . Let  $\delta$  be a coordinate on *D* and let  $(r, \delta)$  be coordinates on  $D^D \cong \mathbb{R} \times D$ . Suppose that  $g^* \delta = \eta + \delta y$  with  $\eta \in C(T)^\circ$ and  $y \in C(T)^e$ . Hence  $\hat{g}^*(r, \delta) = (y, \eta)$ . Let *f* be a *T*-point of *D* such that  $f^* \delta = \theta$  with  $\theta \in C(T)^\circ$ . Then  $g \circ (f, \mathrm{id}_T)$  is a *T*-point of *D* such that  $(g \circ (f, \mathrm{id}_T))^* \delta = \eta + \theta y$ . This gives the evaluation map in *T*-points

$$D^D \times D \xrightarrow{cv} D$$
,  $ev((y,\eta),\theta) = \eta + \theta y$ .

Therefore, in coordinates we have (recall the rule in Example 8.12)

$$\operatorname{ev}^* \delta' = \delta + \delta' r.$$

where  $\delta'$  being the same as  $\delta$  is renamed.

Similarly, let  $g_1, g_2: D \times T \to D$  be *T*-points of  $D^D$  such that  $g_i^* \delta = \eta_i + \delta y_i$ . Then  $g_2 \circ (g_1, \operatorname{pr}_T)$  is a *T*-point of  $D^D$  such that  $(g_2 \circ (g_1, \operatorname{pr}_T))^* \delta = \eta_2 + \eta_1 y_2 + \delta y_1 y_2$ . This gives the composition in *T*-points

$$\mu: D^D \times D^D \to D^D, \quad \mu((y_1, \eta_1), (y_2, \eta_2)) = (y_1 y_2, \eta_2 + \eta_1 y_2).$$

Therefore, in coordinates  $(r, \delta)$  we have

$$\mu^*(r,\delta) = (r_1 r_2, \delta_2 + \delta_1 r_2).$$

The monoid  $D^D$  is isomorphic to the semidirect product  $\mathbb{R} \ltimes D$  in Remark 8.13.

**Proposition 8.23.** Let M be a manifold. The infinitesimal action of  $\mu: D^D \times M^D \to M^D$  gives the de Rham complex structure on differential forms of M.

*Proof.* We first write  $\mu$  in local coordinates. Let  $x = (x^i)$  be local coordinates on M. Let  $f: D \times T \to M$  be a T-point of  $M^D$  such that  $f^*x^i = u^i + \delta\zeta^i$ , where  $u^i \in C(T)^e, \zeta^i \in C(T)^o$ . Let  $g: D \times T \to D$  be a T-point of  $D^D$  such that  $g^*\delta = \eta + \delta y$ , where  $y \in C(T)^e, \eta \in C(T)^o$ . Then  $f \circ (g, \operatorname{pr}_T): D \times T \to M$  is a T-point of  $M^D$  such that

$$(f \circ (g, \mathrm{pr}_T))^* x^i = u^i + (g^* \delta) \eta^i = (u^i + \eta \zeta^i) + \delta(y \zeta^i),$$

which describes the action  $\mu$  in *T*-points. Take local coordinates  $(x,\xi) = (x^i,\xi^i)$  on  $M^D$ and  $(r,\delta)$  on  $D^D \cong \mathbb{R} \ltimes D$  such that  $\hat{f}^*(x,\xi) = (u,\zeta)$  and  $\hat{g}^*(r,\delta) = (y,\eta)$ . The action in local coordinates  $(x,\xi)$  and  $(r,\delta)$  is

$$\mu^* x = x + \delta \xi, \quad \mu^* \xi = r \xi.$$
 (8.3)

By Definition 8.16, the coordinate vectors  $\partial_r|_{r=1}$  and  $\partial_{\delta}|_{r=1}$  on  $D^D$  induce vector fields on  $M^D$  by the following formulas:

$$\begin{aligned} \partial_r|_{r=1}x &= \partial_r|_{r=1}\mu^*x = \partial_r|_{r=1}(x+\delta\xi) = 0, \\ \partial_r|_{r=1}\xi &= \partial_r|_{r=1}\mu^*\xi = \partial_r|_{r=1}(r\xi) = \xi, \\ \partial_\delta|_{r=1}x &= \partial_\delta|_{r=1}\mu^*x = \partial_\delta|_{r=1}(x+\delta\xi) = \xi, \\ \partial_\delta|_{r=1}\xi &= \partial_\delta|_{r=1}\mu^*\xi = \partial_\delta|_{r=1}(r\xi) = 0. \end{aligned}$$

Since the coordinates  $\xi$  can be identified with the coordinates dx, we deduce that the induced infinitesimal action of  $\partial_r|_{r=1}$  is the degree and that of  $\partial_{\delta}|_{r=1}$  is the de Rham differential.

## 8.2.2 NQ-manifolds

The notion of Q-manifolds is introduced in [2, 75, 76]; the following definition of NQmanifolds, due to Ševera [66], generalises that of both  $L_{\infty}$ -algebras and Lie algebroids. Vaintrob [76] first observed that Lie algebroids are NQ-manifolds. NQ-manifolds can and should be viewed as higher Lie algebroids [12, 68, 79]; see also [12, 68, 79] for more examples of NQ-manifold.

**Definition 8.24.** An *N*-manifold is a supermanifold equipped with an action of the monoid  $(\mathbb{R}, \times)$  such that -1 acts as the parity involution (Definition 8.11). An *NQ*-manifold is a supermanifold equipped with an action of  $D^D$  such that -1 acts as the parity involution.

*Remark* 8.25. In more elementary terms [66], N-manifolds are N-graded manifolds, and NQ-manifolds are N-graded manifolds equipped with a degree-1-vector field Q such that [Q,Q] = 0. The induced infinitesimal action of  $\mathbb{R} \subset D^D$  gives the degree, and the induced infinitesimal action of  $D \subset D^D$  gives the vector field Q. The parity condition ensures that the induced degree is compatible with the supermanifold parity.

**Example 8.26.** First examples of NQ-manifolds include odd tangent bundles of manifolds and (shifted) Lie algebras; their NQ-manifold structures are given by the de Rham cochain complex and the Chevalley–Eilenberg cochain complex, respectively.

# 8.3 Differentiation of higher Lie groupoids

In this section, we prove that Ševera's 1-jet of a higher Lie groupoid is representable, hence we obtain an NQ-manifold (higher Lie algebroid) associated with a higher Lie groupoid.

## 8.3.1 The 1-jets of higher Lie groupoids

Let  $0 \le n < \infty$ . Recall that a Lie *n*-groupoid X is a simplicial manifold such that the natural map

$$\lambda_k^m \colon X_m \to X_{m,k} \coloneqq \operatorname{Hom}(\Lambda_k^m, X), \quad 0 \le k \le m$$

is a surjective submersion for  $m \ge 1$  and a diffeomorphism for m > n.

The following definition is due to Ševera [67]. Let *P* denote the nerve of the pair groupoid (in the category SMfd) of the odd line *D*; hence  $P_k = D^{k+1}$ .

**Definition 8.27.** Let X be a Lie *n*-groupoid. Its *1-jet* **hom**(P, X) is the presheaf on SMfd given by  $T \rightarrow \text{hom}(P \times T, X)$  for  $T \in \text{SMfd}$ .

This defines a functor from higher Lie groupoids to presheaves on SMfd. The 1-jet of a Lie *n*-groupoid is, *a priori*, only a presheaf. It is clear that **hom**(P, X) carries a natural action of  $D^D$  and that -1 acts as the parity involution. Ševera claimed that

**Theorem 8.28.** Let X be a Lie n-groupoid. The presheaf hom(P,X) is representable, hence an NQ-manifold.

The proof in [67, appendix] is incomplete and contains some mistakes. The rest of this section is devoted to a detailed proof of the above statement with gaps fixed. More generally, for any positive integer k, we can define a k-jet functor for super Lie n-groupoids. Our argument can be easily adapted to show that the k-jet of a super Lie n-groupoid is representable.

### **8.3.2** A discrete version of the theorem

As suggested by [67], we first prove a discrete version of the theorem. This will, in turn, guide us how to approach Theorem 8.28. The argument presented in [67] has mistakes; our proof is inspired by the idea therein.

**Theorem 8.29.** Let X be a Lie n-groupoid. Let S be a finite set, and denote by P(S) the nerve of the pair groupoid of S. The presheaf hom(P(S), X) = Hom(P(S), X) is representable.

*Proof.* Fix an element \* in *S*. Let  $P^{(k)}(S)$  be the smallest simplicial subset of P(S) containing all *k*-simplices in P(S) with 0-th vertex \*. This yields a filtration

$$P^{(0)}(S) \to P^{(1)}(S) \to \dots \to P^{(k)}(S) \to \dots \to P(S).$$

We observe that the inclusion  $P^{(k-1)}(S) \to P^{(k)}(S)$  is a collapsible extension and that  $P^{(k)}(S)$  is obtained from  $P^{(k-1)}(S)$  by filling finite many *k*-dimensional horns.

Let  $G^{(k)}(S) = \text{Hom}(P^{(k)}(S), X)$ . Lemma 3.20 shows that  $G^{(k)}(S)$  is representable for each k and that  $G^{(k)}(S) \to G^{(k-1)}(S)$  is a surjective submersion for each k and an isomorphism for k > n. Since X is a Lie *n*-groupoid,  $\text{Hom}(P(S), X) \to G^{(k)}(S)$  is an isomorphism for  $k \ge n$ . This proves that Hom(P(S), X) is representable.  $\Box$ 

We shall give a direct description of how to construct  $G^{(k)}(S)$  out of  $G^{(k-1)}(S)$ . This will be useful for proving Theorem 8.28. By the definition of  $P^{(k)}(S)$ , a simplicial morphism  $g^{(k)}: P^{(k)}(S) \to X$  is determined by the map  $g_k: S^k \cong \{*\} \times S^k \subset P_k(S) \to X_k$ . The space  $G^{(k)}(S)$  is thus a subspace of hom $(S^k, X_k)$ .

First,  $P^{(0)}(S) = \Delta^0\{*\}$  and  $G^{(0)}(S) = X_0$ . Suppose that we know  $G^{(k-1)}(S)$  and let us describe  $G^{(k)}(S)$ . For any  $g^{(k-1)} \in G^{(k-1)}(S)$  given by  $g_{k-1}: S^{k-1} \to X_{k-1}$ , we shall describe all  $g^{(k)}$  sitting over  $g^{(k-1)}$ . For any  $(s_1, \ldots, s_k) \in S^k$  the k elements  $g_{k-1}(s_1, \ldots, \hat{s}_i, \ldots, s_k) \in X_{k-1}$  for  $1 \le i \le k$  form an element of  $X_{k,0}$ . This gives a morphism

$$\Gamma_{k-1} \colon G^{(k-1)}(S) \to \hom(S^{k+1}, X_{k,0}).$$

On non-degenerate k-simplices of  $P^{(k)}(S)$ , that is  $s_i \neq s_{i-1}$  for all  $1 \leq i \leq k$  (suppose  $s_0 = *$ ), we choose an arbitrary element of  $X_k$  lying over  $X_{k,0}$ ; on degenerate k-simplices,  $g_k$  is determined by  $g_{k-1}$ :

$$g_k(*, s_1, \dots, s_{k-1}) = \mathbf{s}_0^X \circ g_{k-1}(s_1, \dots, s_{k-1}),$$
  

$$g_k(s_1, \dots, s_i, s_i, \dots, s_{k-1}) = \mathbf{s}_i^X \circ g_{k-1}(s_1, \dots, s_i, \dots, s_{k-1}).$$
(8.4)

This way we choose an element of  $X_k$  for each k-tuple  $(s_1, \ldots, s_k)$ , and declare it to be  $g_k(s_1, \ldots, s_k)$ . When k > n the map  $g_k$  is uniquely determined by  $g_{k-1}$ , since there is a diffeomorphism  $X_k \cong X_{k,0}$ .

*Remark* 8.30. It is worth noticing that  $g_k$  on degenerate k-simplices given by (8.4) is well-defined and compatible with face maps and  $g_{k-1}$ . We will see an analogy between these facts and Lemmas 8.34 and 8.35.

We summarise the discussion above by the following lemma.

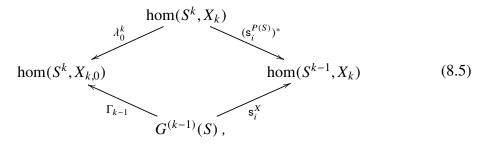
**Lemma 8.31.** Let  $G^{(k)}(S)$  be defined as above. Denote by  $s_i^{P(S)} : S^{k-1} \to S^k$  the restriction of the degeneracy map

$$\{*\} \times S^{k-1} \subset P_{k-1}(S) \xrightarrow{\mathfrak{s}_i^{P(S)}} P_k(S) \supset \{*\} \times S^k$$

Let  $G^{(k-1)}(S) \xrightarrow{\mathbf{s}_i^X} \hom(S^{k-1}, X_k)$  be the composite

$$G^{(k-1)}(S) \to \hom(S^{k-1}, X_{k-1}) \xrightarrow{\mathbf{s}_i^X} \hom(S^{k-1}, X_k).$$

Then  $G^{(k)}(S)$  is the limit of the following diagram



where *i* runs over  $0 \le i \le k - 1$  and for each *i* there is a copy of hom $(S^{k-1}, X_k)$ .

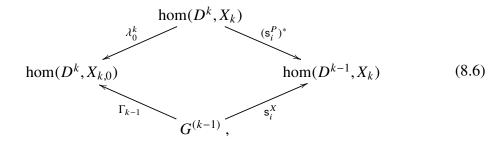
## **8.3.3 Proof of the theorem**

We are now ready to prove the representability Theorem 8.28.

Starting with  $P^{(0)} = sk_0\{0\}$ , we define  $P^{(k)}$  approximating P similar to  $P^{(k)}(S)$ . Explicitly, we set

$$P_i^{(k)} = P_i, \quad 0 \le i \le k-1, \qquad P_k^{(k)} = \{0\} \times D^k \bigcup_{0 \le j \le k-1} \mathbf{s}_j^P(P_{k-1}),$$

and higher dimensions are degenerate  $P_{i+1}^{(k)} = \bigcup_{0 \le j \le i} \mathbf{s}_j^P P_i^{(k)}$  for  $i \ge k$ . Notice that  $P_i^{(k)}$  for  $i \ge k$  may not be a supermanifold but a union of supermanifolds. Thus  $G^{(k)} := \mathbf{hom}(P^{(k)}, X)$  is a limit of sheaves on SMfd, hence also a sheaf. It is clear that Lemma 8.31 carries over to this case; that is,  $G^{(k)}$  is the limit of the following diagram



where all maps are defined as above. We will prove inductively that  $G^{(k)}$  is representable for  $k \ge 0$ . Corollary 8.18 for  $G^{(k)} \to G^{(0)} = X_0$  shows that it suffices to consider  $G^{(k)}$ over a local piece of  $X_0$ .

<sup>&</sup>lt;sup>3</sup>Ševera considered only i = k - 1. The limit of that diagram is easy to compute.

Let us first introduce some notation. Fix  $p_0 \in X_0$ . The unique map  $[i] \to [0]$  induces a map  $X_0 \to X_i$ , which gives  $p_i \in X_i$  for  $i \ge 0$ . Similarly, we obtain a point  $p_{i,0} \in X_{i,0}$ . Let g be a T-point of **hom**(P, X) approximated by  $g^{(i)} \colon P^{(i)} \times T \to X$  which is given by  $g_i \colon D^i \times T \to X_i$  for  $i \ge 0$ . Suppose that the image of the reduced morphism  $\tilde{g}_0 \colon \tilde{T} \to X_0$ is contained in a neighbourhood  $U_0$  of  $p_0$ . Let  $(U_i, x_i)$  be local coordinates on  $X_i$  around  $p_i$ , where  $x_i$  is a  $(\dim U_i)$ -tuple of functions on  $U_i$ . Suppose that  $s_j^X(U_i) \subset U_{i+1}$  for all  $0 \le j \le i$  and  $0 \le i \le k - 1$ .

Let  $\delta$  be a coordinate on D and let  $(\delta_1, \ldots, \delta_k)$  be the standard coordinates on  $D^k$ . Let us make a change of coordinates of  $D^k$ 

$$\delta_1' = \delta_1, \quad \delta_i' = \delta_i - \delta_{i-1}, \quad 2 \le i \le k.$$

For an ordered set  $J = \{J_1, \ldots, J_j\}$ , denote  $\delta'_{J_1} \cdots \delta'_{J_j}$  by  $\delta'_J$ ; let  $\delta'_J = 1$  if  $J = \emptyset$ . Denote the ordered set  $\{1, \ldots, k\}$  by  $\langle k \rangle$ . Suppose that

$$g_k^* x_k = \sum_{J \subset \langle k \rangle} \delta'_J y_k^J,$$

where J runs over all subset of  $\langle k \rangle$  and each term  $y_k^J$  is a  $(\dim U_k)$ -tuple of functions on T of parity #J (mod 2).

The strategy is as follows: we compute the limit of the diagram (8.6) in two steps. Lemma 8.34 deals with the right part of the diagram. We show that  $y_k^J$  is determined by  $g_{k-1}$  if # J < k. Lemma 8.35 concerns the left part of the diagram. We deduce that  $y_k^J$  for # J = k satisfies a constraint condition that produces some new degrees of freedom. To this end, we need to show two compatibility conditions for  $y_{k-1}^J$ . The following two lemmas allow us to deduce the compatibility conditions for coordinates from the simplicial identities.

**Lemma 8.32.** There is a one-to-one correspondence between injections<sup>4</sup>  $\langle l \rangle \rightarrow \langle k \rangle$  and surjections  $[k] \rightarrow [l]$  for  $0 \le l \le k$ . Moreover, this extends to a contravariant isomorphism of categories.

*Proof.* We construct the correspondence only, and the rest is routine. Given an injection  $A: \langle l \rangle \rightarrow \langle k \rangle$ , we define a surjection  $\alpha: [k] \rightarrow [l]$  by  $j \mapsto i$  for  $A(i) \leq j < A(i+1)$  and  $0 \leq i \leq l$  (assume that A(0) = 0 and A(l+1) = k+1). Conversely, given a surjection  $\alpha: [k] \rightarrow [l]$ , then  $A(i) = \min \alpha^{-1}\{i\}$  for  $1 \leq i \leq l$  gives an injection  $A: \langle l \rangle \rightarrow \langle k \rangle$ .  $\Box$ 

Let the injection  $A: \langle l \rangle \to \langle k \rangle$  correspond to the surjection  $\alpha: [k] \to [l]$  as above. For a simplicial object *Y*, denote  $Y(\alpha): Y_l \to Y_k$  by Y(A).

**Lemma 8.33.** Let  $A: \langle l \rangle \to \langle k \rangle$  be an injection. Denote the restriction of  $P(A): P_l \to P_k$ on  $D^l \cong \{0\} \times D^l \to \{0\} \times D^k \cong D^k$  and the induced map  $D^l \times T \to D^k \times T$  still by the same letter P(A). Let  $\sum_{J \subset \langle k \rangle} \delta'_J z^J$  be an element in  $C(D^k \times T)$ . Then we have

$$P(A)^* \left(\sum_{J \subset \langle k \rangle} \delta'_J z^J\right) = \sum_{J \subset \langle l \rangle} \delta'_J z^{A(J)}.$$
(8.7)

<sup>&</sup>lt;sup>4</sup>Here and in what follows, injections and surjections between ordered sets are order-preserving maps.

*Proof.* Lemma 8.32 implies that if the statement holds for injections  $A: \langle l \rangle \to \langle k \rangle$  and  $A': \langle m \rangle \to \langle l \rangle$ , then it holds for  $A \circ A'$ . So it suffices to consider the case l = k-1. Let  $A^i$  be the unique injection  $\langle k-1 \rangle \to \langle k \rangle$  with  $i+1 \notin \text{im } A^i$ . Notice that  $\mathbf{s}_i^P = P(A^i): P_{k-1} \to P_k$  for  $0 \le i \le k-1$ . In coordinates  $(\delta'_1, \ldots, \delta'_k)$ , the restriction  $P(A^i): D^{k-1} \to D^k$  is given by

$$(\delta'_1, \dots, \delta'_{k-1}) \mapsto \begin{cases} (0, \delta'_1, \dots, \delta'_{k-1}), & \text{if } i = 0, \\ (\delta'_1, \dots, \delta'_i, 0, \delta'_{i+1}, \dots, \delta'_{k-1}), & \text{otherwise.} \end{cases}$$

It follows that

$$P(A^{i})^{*}\left(\sum_{J\subset\langle k\rangle}\delta'_{J}z^{J}\right)=\sum_{J\subset\langle k-1\rangle}\delta'_{J}z^{J^{i}},$$

where  $J^i = \{J_1^i, \dots, J_j^i\}$  is given by

$$J_u^i = \begin{cases} J_u & \text{if } J_u < i+1, \\ J_u + 1 & \text{if } J_u \ge i+1. \end{cases}$$

It clear that  $J^i = A^i(J)$ , and we are done.

Let us back to the problem. We first consider the right part of diagram (8.6).

**Lemma 8.34.** Let  $A: \langle l \rangle \rightarrow \langle k \rangle$  be an injection. The following relation holds

$$\sum_{J \subset \langle l \rangle} \delta'_J y_k^{A(J)} = X(A) \Big( \sum_{J \subset \langle l \rangle} \delta'_J y_l^J \Big), \tag{8.8}$$

where the symbolic notation is used on the right hand side. It follows that if l < k and  $I \subset \text{im } A$  then  $y_k^I$  is determined by  $g_l$  hence by  $g_{k-1}$ . We may use different A to determine  $y_k^I$ , but the results are the same.

*Proof.* Suppose that the lemma holds for 0, ..., k-1. Let us consider the case k. It suffices to consider l < k. By the definition of  $g_k$ , the diagram below commutes for  $0 \le i \le k-1$ :

Repeated application of the diagram above shows that

$$X(A) \circ g_l = g_k \circ P(A) \colon D^l \times T \to X_k.$$

Lemma 8.33 implies that

$$(g_k \circ P(A))^* x_k = P(A)^* \left(\sum_{J \subset \langle k \rangle} \delta'_J y_k^J\right) = \sum_{J \subset \langle l \rangle} \delta'_J y_k^{A(J)}.$$

On the other hand, we have

$$(X(A) \circ g_l)^* x_k = X(A) \Big(\sum_{J \subset \langle l \rangle} \delta'_J y_l^J \Big),$$

141

establishing (8.8).

We now show that if # I < k then  $y_k^I$  is uniquely determined by  $g_{k-1}$ . Let  $C: \langle m \rangle \to \langle k \rangle$ be an injection such that im C = I. Then  $y_k^I$  is determined by  $g_m$ . For any injection  $A: \langle l \rangle \to \langle k \rangle$  with  $I \subset \text{im } A$ , we can also determine  $y_k^I$  by  $g_l$ . Suppose that  $B: \langle m \rangle \to \langle l \rangle$ is an injection with  $C = A \circ B$ . Comparing coefficients of  $\delta'_J$  for  $A(J) \subset I$  on both sides of (8.8), we need to show that

$$\sum_{J \subset \langle l \rangle, A(J) \subset I} \delta'_J y_k^{A(J)} = X(A) (\sum_{J \subset \langle l \rangle, A(J) \subset I} \delta'_J y_l^J),$$

The condition  $A(J) \subset I$  implies that  $J \subset \text{im } B$ . Renaming the indices, we can rewrite the above identity as

$$\sum_{J \subset \langle m \rangle} \delta'_J y_k^{A \circ B(J)} = X(A) \big( \sum_{J \subset \langle m \rangle} \delta'_J y_l^{B(J)} \big).$$

Applying (8.8) to both sides, we turn the equation above into

$$X(A \circ B) \big(\sum_{J \subset \langle m \rangle} \delta'_J y^J_m \big) = X(A) \circ X(B) \big(\sum_{J \subset \langle m \rangle} \delta'_J y^J_m \big).$$

This follows from Lemma 8.32 and we are done.

Hence only  $y_k^J$  for #J = k is undetermined. We now consider the left part of diagram (8.6).

**Lemma 8.35.** Let  $g_{k,0}: D^k \times T \to X_{k,0}$  be given by

$$\hat{g}_{k,0} \colon T \xrightarrow{\hat{g}_{k-1}} G^{(k-1)} \xrightarrow{\Gamma_{k-1}} \operatorname{hom}(D^k, X_{k,0}).$$

Let  $(U_{k,0}, x_{k,0})$  be local coordinates around  $p_{k,0} \in X_{k,0}$ . The commutative diagram

$$T \xrightarrow{\hat{g}_{k-1}} G^{(k-1)}$$

$$\downarrow^{\hat{g}_k} \downarrow \qquad \qquad \downarrow^{\Gamma_{k-1}}$$

$$hom(D^k, X_k) \xrightarrow{\lambda_0^k} hom(D^k, X_{k,0})$$

is expressed in coordinates as follows

$$\lambda_0^k (\sum_{J \subset \langle k \rangle} \delta'_J y_k^J) = g_{k,0}^* x_{k,0},$$
(8.9)

where the symbolic notation is used on the left hand side. Compare coefficients of  $\delta'_J$  on two sides. We obtain a constraint condition for  $y_k^J$  with # J = k. We claim that if # J < k then the coefficients of  $\delta'_J$  on two sides are automatically equal.

*Proof.* Suppose that the claim is true for 0, ..., k - 1, and let us consider the case k. By Lemma 8.33, we need to show that

$$P(A)^*\lambda_0^k \big(\sum_{J \subset \langle k \rangle} \delta'_J y_k^J\big) = P(A)^* g_{k,0}^* x_{k,0}$$

for every injection  $A: \langle l \rangle \rightarrow \langle k \rangle$  with l < k, that is,

$$\lambda_0^k \circ g_k \circ P(A) = g_{k,0} \circ P(A).$$

By the definition of  $g_{k,0}$ , the above equation is equivalent to

$$\mathsf{d}_i^X \circ g_k \circ P(A) = g_{k-1} \circ \mathsf{d}_i^P \circ P(A), \quad \text{for } 1 \le i \le k.$$
(8.10)

There are an injection  $A': \langle l-1 \rangle \rightarrow \langle k-1 \rangle$  and some *i'* such that

$$\mathsf{d}_{i}^{X} \circ X(A) = X(A') \circ \mathsf{d}_{i'}^{X}, \quad \mathsf{d}_{i}^{P} \circ P(A) = P(A') \circ \mathsf{d}_{i'}^{P}.$$
(8.11)

Lemma 8.34 implies that  $g_k \circ P(A) = X(A) \circ g_l$ , hence

$$\mathsf{d}_i^X \circ g_k \circ P(A) = \mathsf{d}_i^X \circ X(A) \circ g_l = X(A') \circ \mathsf{d}_{i'}^X \circ g_l.$$

The inductive hypothesis  $d_{i'}^X \circ g_l = g_{l-1} \circ d_{i'}^P$  implies that

$$\mathsf{d}_{i}^{X} \circ g_{k} \circ P(A) = X(A') \circ g_{l-1} \circ \mathsf{d}_{i'}^{P}.$$

By Lemma 8.34 and (8.11), we deduce that

$$\mathsf{d}_i^X \circ g_k \circ P(A) = g_{k-1} \circ P(A') \circ \mathsf{d}_{i'}^P = g_{k-1} \circ \mathsf{d}_i^P \circ P(A).$$

This proves (8.10) and we are done.

Combining the two lemmas above, we obtain the following result:

**Lemma 8.36.** The presheaf  $G^{(k)}$  is locally isomorphic to  $U_0 \times \prod_{1 \le i \le k} \mathbb{R}^{d_i[i]}$ , where  $d_i = \dim U_i$  – dim  $U_{i,0}$ , and  $\mathbb{R}^{d_i[i]} = \mathbb{R}^{d_i}$  if *i* is even or  $\mathbb{R}^{0|d_i}$  if *i* is odd.

*Proof.* Suppose that the statement holds for  $0, \ldots, k - 1$ . Let us consider the case k. Choose local coordinates  $x_{k+1}$  and  $x_{k,0}$  that give a local canonical form of the submersion  $\lambda_0^k : U_k \to U_{k,0}$ . Then the constraint condition (8.9) for  $y_k^J$  with # J = k produces  $d_k$  new free functions on T of parity  $k \pmod{2}$ . Applying Theorem 8.7, we prove the lemma.  $\Box$ 

*Final step of the proof.* It is clear from the proof of Lemma 8.36 that  $G^{(k)}$  is representable, and that  $G^{(k+1)} \rightarrow G^{(k)}$  is a surjective submersion (Definition 8.10) for  $k \ge 0$  and a diffeomorphism for  $k \ge n$ . Thus **hom** $(P, X) \cong G^{(k)}$  for  $k \ge n$  is representable, and this completes the proof of Theorem 8.28.

*Remark* 8.37. The degrees of  $G^{(k)}$  can be lifted to  $\mathbb{N}$ , giving the N-manifold structure on  $G^{(k)}$  (hence on **hom**(P, X)). In fact, since the  $\mathbb{R}$ -actions are respected in the process from  $g^{(k)}: P^{(k)} \times T \to X$  to  $g_k: D^k \times T \to X_k$ , and the changing of coordinates from  $(\delta_1, \ldots, \delta_k)$  to  $(\delta'_1, \ldots, \delta'_k)$ , the degree of  $\mathbb{R}^{d_k[k]}$  can be lifted to k.

## 8.4 Three special cases

We work out the 1-jet in detail for three special cases, namely, Lie groups, crossed modules, and Lie groupoids. Since the differentiation for these three cases is well-known, we shall verify that the 1-jet functor yields the same results. The results are stated very concisely in [67]. Moreover, Jurčo [37] showed that the 1-jet for simplicial Lie groups produces the expected results. This justifies viewing the 1-jet as differentiation for higher Lie groupoids.

## 8.4.1 Lie groups

Let G be a Lie group. Let i be the inverse and m the multiplication. Denote by X be the nerve of the associated one-object groupoid; hence  $X_k = G^k$ .

#### 8.4.1.1 Functor of points

Suppose temporarily that *D* is a set with a distinguished point  $0 \in D$ . We can describe hom(*P*,*X*) as follows. Since *P* and *G* are groupoids, an element of hom(*P*,*X*) is given by a map  $\Psi: D \times D \to G$  such that  $\Psi(d,d) = e$  and  $\Psi(d_1,d_3) = \Psi(d_1,d_2)\Psi(d_2,d_3)$  for  $d,d_1,d_2,d_3 \in D$ . This is equivalent to a map  $\psi: D \to G$  with  $\psi(0) = e$ . The relation between  $\Psi$  and  $\psi$  is

$$\Psi(d_1, d_2) = \psi(d_1)^{-1} \psi(d_2),$$
  
$$\psi(d) = \Psi(0, d).$$

Let us say that  $\psi$  is the *normalised form* of  $\Psi$ .

Now assume that *D* is the odd line. The argument above carries over to the setting of supermanifolds if we use the language of *T*-points. First, the odd line has a distinguished *T*-point  $0 \in_T D$ . A *T*-point of **hom**(*P*,*X*) is given by  $\Psi \in \text{hom}(D \times D \times T, G)$  such that  $\Psi(d, d, t) = e$  and  $\Psi(d_1, d_3, t) = \Psi(d_1, d_2, t)\Psi(d_2, d_3, t)$  for  $d, d_1, d_2, d_3 \in_S D$  and  $t \in_S T$ , where *S* is a supermanifold. Such a *T*-point has a normalised form  $\psi \in \text{hom}(D \times T, G)$  with  $\psi(0, t) = e$ . The relation between  $\Psi$  and  $\psi$  is

$$\Psi(d_1, d_2, t) = \psi^{-1}(d_1, t)\psi(d_2, t),$$
  

$$\psi(d, t) = \Psi(0, d, t).$$
(8.12)

Let *s* and *d* be *T*-points of  $\mathbb{R}$  and *D*. Then

$$\Psi_s(d_1, d_2, t) = \Psi(sd_1, sd_2, t), \quad \Psi_d(d_1, d_2, t) = \Psi(d + d_1, d + d_2, t), \tag{8.13}$$

describes the action of  $D^D = \mathbb{R} \ltimes D$  on **hom**(*P*,*X*) in *T*-points. In diagrams

$$\begin{split} \Psi_s \colon D \times D \times T \to D \times D \times \mathbb{R} \times T \to D \times D \times T \to G, \\ (d_1, d_2, t) \mapsto (d_1, d_2, s, t) \mapsto (sd_1, sd_2, t) \mapsto \Psi(sd_1, sd_2, t); \\ \Psi_d \colon D \times D \times T \to D \times D \times D \times T \to D \times D \times T \to G, \\ (d_1, d_2, t) \mapsto (d_1, d_2, d, t) \mapsto (d + d_1, d + d_2, t) \mapsto \Psi(d + d_1, d + d_2, t). \end{split}$$

#### 8.4.1.2 Local structure of Lie groups

Let  $x = (x^i)$  be local coordinates around  $e \in G$  such that x(e) = 0. Applying Lemma 8.5, we suppose that i and m have the following local form near e:

$$i^{*}(x^{i}) = -x^{i} + O_{2}(x),$$
  

$$m^{*}(x^{i}) = x_{1}^{i} + x_{2}^{i} + \frac{1}{2}b_{jk}^{i}x_{1}^{j}x_{2}^{k} + O_{3}(x_{1}, x_{2}),$$
(8.14)

where  $x_1^i$  and  $x_2^i$  stand for the same functions  $x^i$  on different copies, and the remainders  $O_2(x)$ ,  $O_3(x_1, x_2)$  lie in appropriate ideals.

**Lemma 8.38.** The coordinate vectors  $\{\partial_i = \partial_{x^i}|_{x=0}\}$  form a basis of the Lie algebra g. Let  $c_{jk}^i$  be the structure constants defined by  $[\partial_j, \partial_k] = c_{ik}^i \partial_i$ . Then we have

$$c_{jk}^{i} = \frac{1}{2}(b_{jk}^{i} - b_{kj}^{i})$$

*Proof.* Let  $v_i$  be the left invariant vector field on G generated by  $\partial_i$ . By definition we have

$$v_j x^l = (\mathrm{id} \otimes \partial_j) \mathsf{m}^*(x^l) = \delta_j^l + \frac{1}{2} b_{mj}^l x^m + O_2(x).$$

The claim follows by comparing two sides of the following equation at x = 0

$$[v_j, v_k]x^l = c^i_{jk}v_i x^l.$$

#### 8.4.1.3 The main calculation

Let  $x = (x^i)$  be local coordinates on G as above. Let  $\delta$  be a coordinate of D.

Recall that a *T*-point of **hom**(*P*,*X*) is given by  $\psi \colon D \times T \to G$  with  $\psi(0,t) = e$  for  $t \in_S T$ . Suppose that

$$\psi^* x^i = y^i + \delta \eta^i,$$

where  $y^i \in C(T)^e$  and  $\eta^i \in C(T)^o$ . The condition  $\psi(0,t) = e$  implies  $y^i = 0$ , hence

$$\psi^* x^i = \delta \eta^i. \tag{8.15}$$

This proves that the presheaf  $\mathbf{hom}(P, X)$  is representable and isomorphic to  $\Pi \mathfrak{g}$  as a supermanifold. We equip  $\mathbf{hom}(P, X)$  with odd coordinates  $(\xi^i)$  such that their pullbacks to *T* are  $(\eta^i)$ .

We now compute the action of  $D^D = \mathbb{R} \times D$  on **hom**(*P*,*X*), that is, the NQ-manifold structure on **hom**(*P*,*X*). First, by Remark 8.37 we can write down the infinitesimal action of  $\mathbb{R}$  directly:

$$\partial_r|_{r=1}\xi^i = \xi^i,$$

which gives the N-manifold structure. To compute the action of *D* we need to work with  $\Psi$ . By (8.12) and (8.14), (8.15), we can write  $\Psi \in \text{hom}(D \times D \times T, G)$  in local coordinates<sup>5</sup>:

$$\Psi^* x^i = -\delta_1 \eta^i + \delta_2 \eta^i - \frac{1}{2} b^i_{jk} (\delta_1 \eta^j) (\delta_2 \eta^k),$$
  
$$= -\delta_1 \eta^i + \delta_2 \eta^i + \frac{1}{2} c^i_{jk} \delta_1 \delta_2 \eta^j \eta^k.$$

Let  $\varepsilon$  be a coordinate on the copy D which acts. For a T-point of D given by  $\underline{\varepsilon} \in C(T)^{\circ}$ , the action (8.13) is expressed in coordinates by

$$(\Psi_{\underline{\varepsilon}})^* x^i = -(\delta_1 + \underline{\varepsilon})\eta^i + (\delta_2 + \underline{\varepsilon})\eta^i + \frac{1}{2}c^i_{jk}(\delta_1 + \underline{\varepsilon})(\delta_2 + \underline{\varepsilon})\eta^j\eta^k.$$

In view of (8.12), the action of  $\underline{\varepsilon}$  on  $\psi$  is

$$(\psi_{\underline{\varepsilon}})^* x^i = \delta \eta^i - \frac{1}{2} c^i_{jk} \delta \underline{\varepsilon} \eta^j \eta^k,$$

<sup>&</sup>lt;sup>5</sup>Notice that the remainders in (8.14) is killed by the nilpotency of odd variables.

which gives the action of *D* on **hom**(*P*,*X*) in *T*-points. It follows that the action, denoted by  $\mu$ , in coordinates is

$$\mu^*\xi^i = \xi^i - \frac{1}{2}c^i_{jk}\varepsilon\xi^j\xi^k.$$

The infinitesimal action is thus given by

$$\partial_{\varepsilon}(\xi^i) = -\frac{1}{2}c^i_{jk}\xi^j\xi^k.$$

This is the Chevalley–Eilenberg differential which is dual to the Lie bracket. We see that the 1-jet of a Lie group is an NQ-manifold that is equivalent to the associated Lie algebra.

## 8.4.2 Crossed modules

We calculate the 1-jet of crossed modules in this subsection. This is an analogue of the previous computation.

#### 8.4.2.1 Basic facts about crossed modules

Let us recall some facts about crossed modules from [47, Section XII.8]. A crossed module of Lie groups X = (H, G) consists of the following data: a Lie group homomorphism  $\tau: H \to G$  and a left *G*-action on *H* as automorphisms,  $\alpha: G \times H \to H$ , or denoted by  $\cdot$  for simplicity, such that

$$\tau(g \cdot h) = g\tau(h)g^{-1}, \quad \tau(h_1) \cdot h_2 = h_1h_2h_1^{-1}.$$

Given a crossed module X = (H, G), there is an associated simplicial manifold X with

$$X_0 = pt, \quad X_1 = G, \quad X_2 = G^2 \times H, \quad X_3 = G^3 \times H^3, \quad \dots$$

This simplicial manifold X is a Lie 2-groupoid with one object. Its 1-simplices are elements of G, the composition of 1-simplices is the multiplication of G; 2-simplices are triples  $(g_1, g_2, h)$ , where  $g_1, g_2 \in G$  and  $h \in H$ , which can be regarded as triangles with boundary  $(g_1, g_2, \tau(h)g_1g_2)$  and interior h; and 3-simplices are three triangles fitting together (recall that the unique Kan conditions hold).

#### 8.4.2.2 Functor of points

A T-point of hom(P,X) is determined by a pair of T-parameterized morphisms

$$\Psi \colon D \times D \times T \to G, \quad \Phi \colon D \times D \times D \times T \to H,$$

such that [67, Example 13.5]

$$\begin{split} \Psi(d,d,t) &= e_G, \\ \Phi(d_1,d_1,d_2,t) &= \Phi(d_1,d_2,d_2,t) = e_H, \\ \Psi(d_1,d_2,t)\Psi(d_1,d_2,t) &= \tau(\Phi(d_1,d_2,d_3,t))\Psi(d_1,d_3,t), \\ \Phi(d_1,d_2,d_3,t)\Phi(d_1,d_3,d_4,t) &= (\Psi(d_1,d_2,t) \cdot \Phi(d_1,d_2,d_3,t))\Phi(d_1,d_2,d_4,t), \end{split}$$

where  $t \in_S T, d, d_1, d_2, d_3, d_4 \in_S D$  for every supermanifold S.

Alternatively, a *T*-point of hom(P, X) can be given by a normalised form

$$\psi \colon D \times T \to G, \quad \varphi \colon D \times D \times T \to H$$

such that

$$\psi(0,t) = e_G, \quad \varphi(d,d,t) = \varphi(0,d,t) = e_H.$$
 (8.16)

The relation between  $(\Psi, \Phi)$  and  $(\psi, \varphi)$  is

$$\psi(d,t) = \Psi(0,d,t),$$
  

$$\varphi(d_1,d_2,t) = \Phi(0,d_1,d_2,t),$$
  

$$\Psi(d_1,d_2,t) = \psi(d_1,t)^{-1}\tau(\varphi(d_1,d_2,t))\psi(d_2,t),$$
  

$$\Phi(d_1,d_2,d_3,t) = \psi(d_1,t)^{-1} \cdot (\varphi(d_1,d_2,t)\varphi(d_2,d_3,t)\varphi(d_1,d_3,t)^{-1}).$$
  
(8.17)

#### 8.4.2.3 Local structure of crossed modules

Let  $x = (x^i)$  be local coordinates around  $e_G \in G$  with  $x(e_G) = 0$ , and let  $y = (y^l)$  be local coordinates around  $e_H \in H$  with  $y(e_H) = 0$ . We reserve indices i, j, k for G and l, m for H. Write  $\partial_i = \partial_{x^i}|_{x=0}$  and  $\partial_l = \partial_{y^l}|_{y=0}$ .

Let  $b_{jk}^i$  be as in (8.14) and let  $c_{jk}^i$  be the structure constants of g as in Lemma 8.38. Let  $\tau_l^i$  be the components of the induced homomorphism  $\bar{\tau} \colon \mathfrak{h} \to \mathfrak{g}$  defined by  $\bar{\tau}\partial_l = \tau_l^i\partial_i$ . We have

$$\tau^* x^i = \tau_l^i y^l + O_2(y). \tag{8.18}$$

Let  $\alpha_{im}^l$  be the structure constants of the induced action of Lie algebras  $\bar{\alpha} : \mathfrak{g} \times \mathfrak{h} \to \mathfrak{h}$ defined by  $\bar{\alpha}(\partial_i)\partial_m = \alpha_{im}^l \partial_l$ . We have

$$\alpha^* y^l = y^l + \alpha^l_{im} x^i y^m + O_3(x, y).$$
(8.19)

#### 8.4.2.4 The main calculation

Let  $(x^i)$  and  $(y^l)$  be local coordinates on *G* and *H* as above. Let  $\delta$  be a coordinate of *D*. Let  $\psi: D \times T \to G$  and  $\varphi: D \times D \times T \to H$  give a *T*-point of **hom**(*P*,*X*). Suppose that

$$\psi^* x^i = u^i + \delta v^i, \quad \varphi^* y^l = v^l + \delta_1 \eta^l + \delta_2 \gamma^l + \delta_1 \delta_2 w^l,$$

where  $u^i, v^l, w^l \in C(T)^e$  and  $v^i, \eta^l, \gamma^l, \in C(T)^o$ . The condition (8.16) implies  $u^i = v^l = 0$ , and  $\eta^l = \gamma^l = 0$ , hence

$$\psi^* x^i = \delta \upsilon^i, \quad \varphi^* y^l = \delta_1 \delta_2 w^l. \tag{8.20}$$

This proves that **hom**(*P*,*X*) is representable and isomorphic to  $\Pi \mathfrak{g} \times \mathfrak{h}$  as a supermanifold. We equip **hom**(*P*,*X*) with odd coordinates ( $\xi^i$ ) and even coordinates ( $z^l$ ) such that their pullbacks to *T* are ( $v^i, w^l$ ).

We now compute the NQ-manifold structure. By Remark 8.37, we can write down the infinitesimal action of  $\mathbb{R}$  or the N-manifold structure directly:

$$\partial_r|_{r=1}\xi^i = \xi^i, \quad \partial_r|_{r=1}z^l = 2z^l.$$

To calculate the action of D we consider  $(\Psi, \Phi)$ . By (8.17), and (8.14), (8.18), (8.20), we deduce that

$$\Psi^* x^i = -\delta_1 \upsilon^i + \tau_l^i \delta_1 \delta_2 w^l + \delta_2 \upsilon^i - \frac{1}{2} c_{jk}^i \delta_1 \upsilon^j \delta_2 \upsilon^k$$

Let  $\varepsilon$  be a coordinate on the copy D which acts. A T-point of D given by  $\underline{\varepsilon} \in C(T)^{\circ}$  acts on  $\Psi$  by

$$(\Psi_{\underline{\varepsilon}})^* x^i = -\delta_1 \upsilon^i + \delta_2 \upsilon^i + \tau_l^i (\delta_1 + \underline{\varepsilon}) (\delta_2 + \underline{\varepsilon}) w^l + \frac{1}{2} c_{jk}^i (\delta_1 + \underline{\varepsilon}) (\delta_2 + \underline{\varepsilon}) \upsilon^j \upsilon^k.$$

Applying (8.17) gives the action of this *T*-point on  $\psi$ :

$$(\psi_{\underline{\varepsilon}})^* x^i = \delta \upsilon^i - \tau_l^i \delta \underline{\varepsilon} w^l - \frac{1}{2} c_{jk}^i \delta \underline{\varepsilon} \upsilon^j \upsilon^k.$$

Considering the corresponding expression in  $(\xi, z)$ , we get the infinitesimal action

$$\partial_{\varepsilon}\xi^{i} = -\tau_{l}^{i}z^{l} - \frac{1}{2}c_{jk}^{i}\xi^{j}\xi^{k}.$$
(8.21)

By (8.17) and (8.14), (8.19), (8.20), we deduce that

$$\Phi^* y^l = (\delta_1 \delta_2 + \delta_2 \delta_3 - \delta_1 \delta_3) w^l - \alpha_{im}^l \delta_1 \upsilon^i \delta_2 \delta_3 w^m.$$

A *T*-point of *D* given by  $\varepsilon$  acts on  $\Phi$  by

$$(\Phi_{\underline{\varepsilon}})^* y^l = ((\delta_1 + \underline{\varepsilon})(\delta_2 + \underline{\varepsilon}) + (\delta_2 + \underline{\varepsilon})(\delta_3 + \underline{\varepsilon}) - (\delta_1 + \underline{\varepsilon})(\delta_3 + \underline{\varepsilon}))w^l - \alpha_{im}^l(\delta_1 + \underline{\varepsilon})(\delta_2 + \underline{\varepsilon})(\delta_3 + \underline{\varepsilon})v^i w^m.$$

Relation (8.17) implies that this *T*-point acts on  $\varphi$  by

$$(\varphi_{\underline{\varepsilon}})^* y^l = \delta_1 \delta_2 w^l - \alpha_{im}^l \delta_1 \delta_2 \underline{\varepsilon} v^i w^m.$$

Taking the infinitesimal action, we get

$$\partial_{\varepsilon} z^{l} = -\alpha^{l}_{im} \xi^{i} z^{m}. \tag{8.22}$$

Identities (8.21) and (8.22) combined give the dual form of the associated crossed module of Lie algebras (see [64, p. 16]). This proves that the 1-jet of a crossed module is an NQ-manifold which is equivalent to the usual differentiation.

## 8.4.3 Lie groupoids

First, we briefly recall below the Lie algebroid associated with a Lie groupoid; for more details see [52].

Let  $G = (G_0, G_1, u, i, s, t, \circ)$  be a Lie groupoid. The left action of G on  $G_1$  lifts to  $T^{\mathsf{t}}G_1 := \ker d \, \mathsf{t} \subset TG_1$ . Denote the set of invariant sections by  $\mathfrak{A}$ , which are uniquely determined by their restriction on  $\mathfrak{u}(G_0)$ . Then  $\mathfrak{A}$  is a subalgebra of  $\mathfrak{X}(G_1)$ , and the source map induces a Lie algebra homomorphism  $d \, \mathsf{s} \colon \mathfrak{A} \to \mathfrak{X}(G_0)$ .

#### 8.4.3.1 Local structure of Lie groupoids

Fix  $g_0 \in G_0$ . Let  $(U, (x^i))$  be local coordinates on  $G_0$  around  $g_0$  with  $x(g_0) = 0$ . Assume that U is small enough. We can choose local coordinates  $(U \times V, (x^i, y^l))$  on  $G_1$  around  $u(g_0)$  such that

$$y(u(g_0)) = 0$$
,  $t(a,b) = a$ ,  $u(a) = (a,0)$ ,  $a \in U, b \in V$ .

The following two lemmas due to [61] are analogous to the Baker–Campbell–Hausdorff formula (or Lemma 8.38). They determine the local structure of the associated Lie algebroid.

**Lemma 8.39.** Let  $p: U \times V \times V \to V$  be given by  $(a, b_1) \circ (s(a, b_1), b_2) = (a, p(a, b_1, b_2))$ , where  $a \in U, b_1, b_2 \in V$ . We have

$$p^*y = y_1 + y_2 + B(y_1, y_2) + O_3(y_1, y_2),$$

where  $B(y_1, y_2)$  depends on x and is bilinear in  $y_1, y_2$ . Let  $\tau: U \times V \to V$  be given by  $i(a,b) = (s(a,b), \tau(a,b))$ . Then we have

$$\tau^* y = -y + B(y, y) + O_3(y),$$
  

$$s^* y = x + A(y) + O_2(y),$$

where A(y) depends on x and is linear in y.

**Corollary 8.40.** Suppose that  $i(a,b_1) \circ (a,b_2) = (s(a,b_1),q(a,b_1,b_2))$ , where  $a \in U$ ,  $b_1,b_2 \in V$  and  $q: U \times V \times V \rightarrow V$ . Then we have

$$q^*y = -y_1 + y_2 - B(y_1, y_2) + O_2(y_1) + O_3(y_1, y_2).$$

**Lemma 8.41.** Let  $e_l = \partial_{y^l}|_{y=0}$ . Then  $\{e_l\}$  forms a local frame of the Lie algebroid associated with G. Suppose that the Lie algebroid has local structure

$$a(e_l) = a_l^i \partial_{x^i}, \quad [e_m, e_n] = c_{mn}^l e_l.$$
 (8.23)

Then the structure constants are given by A and B

$$a_l^i = A_l^i, \quad c_{mn}^l = B_{mn}^l - B_{nm}^l$$

#### 8.4.3.2 The main calculation

Denote the nerve of G by the same letter. A T-point of hom(P,G) is given by a pair

$$\psi: T \to G_0, \quad \varphi: D \times T \to G_1$$

such that

$$\varphi(0,t) = \mathbf{u} \circ \psi(t), \quad \mathbf{t} \circ \varphi(d,t) = \psi(t),$$
(8.24)

or, equivalently, by a pair (satisfying some relation that we do not need)

$$\Psi \colon D \times T \to G_0, \quad \Phi \colon D \times D \times T \to G_1.$$

The relation between  $(\psi, \varphi)$  and  $(\Psi, \Phi)$  is

$$\Psi(d,t) = \mathbf{s} \circ \varphi(d,t), \quad \Phi(d_1, d_2, t) = \varphi(d_1, t)^{-1} \varphi(d_2, t), \psi(t) = \Psi(0,t), \qquad \varphi(d,t) = \Phi(0, d, t),$$
(8.25)

where  $d, d_1, d_2 \in_S D$ , and  $t \in_S T$ .

Let  $(U, (x^i))$  be local coordinates on  $G_0$ , and let  $(U \times V, (x^i, y^l))$  be local coordinates on  $G_1$  as above. Let  $\delta$  be a coordinate of D. We consider **hom**(P,G) over  $U \subset G_0$ . Suppose that

$$\psi^* x^i = u^i, \quad \varphi^* x^i = v^i + \delta \gamma^i, \quad \varphi^* y^l = w^l + \delta \zeta^l,$$

where  $u^i, v^i, w^l \in C(T)^e$ , and  $\gamma^i, \zeta^l \in C(T)^o$ . Equation (8.24) implies  $u^i = v^i$ ,  $\gamma^i = 0$ , and  $w^l = 0$ . Thus a *T*-point of **hom**(*P*,*G*) is given by functions  $\{u^i\}$  and  $\{\zeta^l\}$ . Therefore, the presheaf **hom**(*P*,*G*) is representable. We can take  $(x^i, \eta^l)$  to be local coordinates on **hom**(*P*,*G*) such that their pullbacks to *T* are  $(u^i, \zeta^l)$ .

Locally,  $\mathbf{hom}(P,G)$  consists of all odd tangent vectors of  $G_1$  on  $G_0$  that are tangent to t-fibres. Since this description is independent of local coordinates, the supermanifold  $\mathbf{hom}(P,G)$  globally consists of all odd tangent vectors of  $G_1$  on  $G_0$  that are tangent to tfibres. As a supermanifold  $\mathbf{hom}(P,G)$  is isomorphic to the shifted vector bundle associated with the Lie algebroid.

We now compute the NQ-manifold structure. As above the infinitesimal action of  $\mathbb{R}$  can be written down directly:

$$\partial_r|_{r=1} x^i = 0, \quad \partial_r|_{r=1} \eta^l = \eta^l.$$

To see the action of *D* we consider  $(\Psi, \Phi)$ . Equation (8.25) together with Lemma 8.39 and Corollary 8.40 imply that

$$\Psi^* x^i = \Phi^* x^i = u^i + \delta A^i_l \zeta^l, \quad \Phi^* y^l = (-\delta_1 + \delta_2) \zeta^l + \delta_1 \delta_2 B^l_{mn} \zeta^m \zeta^n$$

Let  $\varepsilon$  be a coordinate on the copy *D* which acts. A *T*-point of *D* given by  $\underline{\varepsilon} \in C(T)$  acts on  $(\Psi, \Phi)$  by

$$\begin{split} \Psi_{\underline{\varepsilon}}^* x^i &= \Phi_{\underline{\varepsilon}}^* x^i = u^i + (\underline{\varepsilon} + \delta) A_l^i \zeta^l, \\ \Phi_{\varepsilon}^* y^l &= (-\delta_1 + \delta_2) \zeta^l + (\underline{\varepsilon} + \delta_1) (\underline{\varepsilon} + \delta_2) B_{mn}^l \zeta^m \zeta^n. \end{split}$$

In view of (8.25), the induced action on  $(\psi, \varphi)$  is

$$\begin{split} \psi_{\underline{\varepsilon}}^* x^i &= \varphi_{\underline{\varepsilon}}^* x^i = u^i + \underline{\varepsilon} A_l^i \zeta^l, \\ \varphi_{\varepsilon}^* y^l &= \delta \zeta^l - \delta \underline{\varepsilon} B_{mn}^l \zeta^m \zeta^n. \end{split}$$

Considering the expressions in coordinates  $(x, \eta)$  and  $\varepsilon$ , we obtain the infinitesimal action

$$\partial_{\varepsilon} x^i = A^i_l \eta^l, \quad \partial_{\varepsilon} \eta^l = -B^l_{mn} \eta^m \eta^n = -\frac{1}{2} c^l_{mn} \eta^m \eta^n,$$

which is the dual form of identities (8.23). Hence the 1-jet of a Lie groupoid is an NQ-manifold that is equivalent to the associated Lie algebroid.

# **A** Appendix

## A.1 Colored outer Kan conditions

This section is dedicated to colored outer Kan conditions.

## A.1.1 Statement of the result

Let  $(\mathscr{C}, \mathcal{T})$  be an extensive category with a pretopology satisfying Assumption 4.41. Our aim is to prove the following result.

**Theorem A.1.** Let Y be a simplicial object in  $\mathscr{C}$  with a morphism  $\pi: Y \to j(\Delta^1)$  such that the two ends are n-groupoids in  $(\mathscr{C}, \mathcal{T})$ . Assume that  $\pi$  satisfies  $\operatorname{Kan}(m, k)$  for  $m \ge 2, 0 < k < m$  and  $\operatorname{Kan}!(m, k)$  for m > n, 0 < k < m. Suppose that Assumption A.2 is fulfilled.

- (i) The colored outer Kan conditions  $\operatorname{Kan}(m,0)[i,j]$  for  $i \ge 2$  and  $\operatorname{Kan}(m,m)[i,j]$  for  $j \ge 2$  hold.
- (ii) For n = 2 and (Sets,  $\mathcal{T}_{surj}$ ) and (Mfd,  $\mathcal{T}_{subm}$ ) in Example 3.2, the corresponding unique outer Kan conditions hold for m > 2.

**Assumption A.2.** Let  $g = g_2 \circ g_1$  be morphisms in  $\mathscr{C}$ . If g is a cover in  $\mathcal{T}$ , then so is  $g_2$ .

This assumption is satisfied by (Sets,  $\mathcal{T}_{surj}$ ) and (Mfd,  $\mathcal{T}_{subm}$ ) in Example 3.2.

## A.1.2 Basic idea

When  $(\mathcal{C}, \mathcal{T}) = (\text{Sets}, \mathcal{T}_{\text{surj}})$ , statement (i) follows from a theorem of Joyal; see also [46].

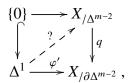
**Theorem A.3** ([35]). Let X be an inner Kan complex and  $\varphi : \Delta^1 \to X$  a quasi-invertible arrow <sup>1</sup> in X. Let  $m \ge 2$ . For every map  $f : \Lambda_0^m \to X$  with  $f|_{\Delta^1\{0,1\}} = \varphi$ , there is a lift of f to  $\Delta^m \to X$ .

This theorem states that certain special outer horns can be filled in an inner Kan complex. Given a map  $f: \Lambda_0^m[i, j] \to Y$  with  $i \ge 2$ , the arrow  $f|_{\{0,1\}}$  is quasi-invertible since it lives in the higher groupoid  $\pi^{-1}(0)$ . Theorem A.3 implies Kan(m, 0)[i, j] if  $(\mathscr{C}, \mathcal{T}) = (\text{Sets}, \mathcal{T}_{surj})$ .

Let us first sketch Joyal's proof of Theorem A.3. Roughly, since  $\varphi$  is quasi-invertible, we can turn the outer horn to an inner horn.

<sup>&</sup>lt;sup>1</sup>An arrow  $\varphi \in X_1$  is quasi-invertible if it is invertible in the fundamental category of X.

Unwinding the definitions, to find the desired lift of f we must find the diagonal lift of



where  $\Delta^{m-2}$  is  $\Delta^{m-2}\{2, \dots, m\}$  and the slice simplicial sets are given by f (see [35] for the definition). The proof is divided into three steps:

- The projections of the slice simplicial sets  $q: X_{/\Delta^{m-2}} \to X_{/\partial\Delta^{m-2}}$  and  $p: X_{/\partial\Delta^{m-2}} \to X$  are right fibrations of inner Kan complexes [35, Corollary 3.9].
- The arrow  $\varphi'$  as a preimage of  $\varphi$  under the right fibration p is quasi-invertible [35, Proposition 2.7].
- The quasi-invertible arrow φ' can be lifted under the right fibration q, and this gives the desired lift [35, Proposition 2.7].

Although these steps use slice simplicial sets, they can be understood on the level of X. For instance, the first step follows from the fact that filling a right horn of  $X_{/\Delta m^{-2}} \rightarrow X_{/\partial \Delta^{m-2}}$  or  $X_{/\partial \Delta^{m-2}} \rightarrow X$  corresponds to filling an inner horn in X. For the next two steps, we add some auxiliary diagrams, then fill certain horns by known Kan conditions. In the end, we forget some auxiliary diagrams. This motivates the following definitions.

**Definition A.4.** Let  $s: S \to T$  be a collapsible extension of colored simplicial sets. We say that *s* is *special* if every horn added is either an inner horn or its vertices are of the same color.

It is clear that for special collapsible extension of colored simplicial sets  $s: S \to T$ , the map below is a cover:

$$\operatorname{Hom}_{\Delta^1}(T,Y) \to \operatorname{Hom}_{\Delta^1}(S,Y).$$

**Definition A.5.** An inclusion of colored simplicial sets  $a: R \to S$  is called *admissible* if there is an inclusion of colored simplicial sets  $i: S \to T$  such that  $i \circ a: R \to T$  is special.

If  $a: R \to S$  is an admissible inclusion of colored simplicial sets, then Assumption A.2 implies that the map below is a cover:

$$\operatorname{Hom}_{\Lambda^1}(S, Y) \to \operatorname{Hom}_{\Lambda^1}(R, Y).$$

## A.1.3 **Proof of the first statement**

Guided by Joyal's argument, we prove statement (i) in Theorem A.1. Our goal is to show that  $\Lambda_0^m[i,j] \to \Delta^m[i,j]$  is admissible for  $i \ge 2$ ; the other cases follow by symmetry.

We need to construct a colored simplicial set T containing  $\Delta^m[i, j]$  such that  $\Lambda_0^m[i, j] \rightarrow T$  is a special collapsible extension.

First, add a vertex 1<sup>+</sup> with color 0 to  $\Lambda_0^m[i, j]$  such that  $1 < 1^+ < 2$  and  $(0, 1^+)$  is degenerate. Fill  $\Delta^m\{0, 1^+, 2, \cdots, m\}$  by the degenerate simplex  $s_0(\Delta^{m-1}\{0, 2, \cdots, m\})$ .

Next we fill  $\Delta^2\{0, 1, 1^+\} \star \partial \Delta^{m-2}\{2, \dots, m\}$ . If m = 2, we may fill the horn  $\Lambda_0^2\{0, 1, 1^+\}$  since they are of the same color. When m > 2, we add a vertex  $1^{++}$  with color 0 such

that  $1^+ < 1^{++} < 2$  and  $(1, 1^{++})$  is degenerate. Fill  $\Delta^2\{0, 1, 1^{++}\} \star \partial \Delta^{m-2}\{2, \cdots, m\}$  and  $\Delta^2\{0, 1^+, 1^{++}\} \star \partial \Delta^{m-2}\{2, \cdots, m\}$  by degenerate simplices. Since the vertices  $(0, 1, 1^+, 1^{++})$  are of the some color, we can fill  $\Delta^2\{0, 1, 1^+\}$  and then  $\Delta^3\{0, 1, 1^+, 1^{++}\}$ . Since  $\Lambda_2^2 \star \partial \Delta^{m-2} \cup \Delta^2 \subset \Delta^2 \star \partial \Delta^{m-2}$  is inner collapsible by Proposition 2.43, we can fill  $\Delta^2\{1, 1^+, 1^{++}\} \star \partial \Delta^{m-2}\{2, \cdots, m\}$  by filling several inner horns. Since  $\Lambda_3^3 \star \partial \Delta^{m-2} \cup \Delta^3 \subset \Delta^3 \star \partial \Delta^{m-2}$  is inner collapsible, we can fill  $\Delta^3\{0, 1, 1^+, 1^{++}\} \star \partial \Delta^{m-2}\{2, \cdots, m\}$  by filling several inner horns.

Next, we fill the horn  $\Lambda_1^m \{1, 1^+, 2, \dots, m\}$ , and then  $\Lambda_2^{m+1} \{0, 1, 1^+, 2, \dots, m\}$ . The resulting simplicial set is the simplicial set *T* we are looking for, and we are done.

## A.1.4 Uniqueness

We now prove statement (ii) in Theorem A.1. In these cases, we observe that a bijective cover is an isomorphism. It then remains to show that

$$\hom(\Delta^m[i,j],Y) \to \hom(\Lambda^m_0[i,j],Y), \quad i \ge 2 \tag{A.1}$$

is injective. If m > 3, then  $\Lambda_0^m$  contains all 2-simplices in  $\Delta^m$ . Since Kan!(m, k) holds for m > 2 and 0 < k < m, there is at most one way to lift  $f \colon \Lambda_0^m \to Y$  to  $\Delta^m \to Y$ , and the claim follows.

When m = 3, it suffices to show that for any  $f \colon \Lambda_0^3 \to Y$  with vertices (0, 1) of color 0, there is a unique way to determine (1, 2, 3).

In the previous subsection, we gave a way to obtain (1,2,3). We claim that every qualified (1,2,3) arises in this way. Indeed, given (0,1,2,3) and a vertex  $1^+$  such that  $1 < 1^+ < 2$  and  $(0,1^+,2,3) = s_0(0,2,3)$  is degenerate, Theorem A.3 implies that we can get  $(0,1,1^+,2,3)$ . Thus (1,2,3) arises from  $(1,1^+,2)$  as we described. Similarly,  $(1,1^+,2)$  arises in the way we described, and this proves the claim.

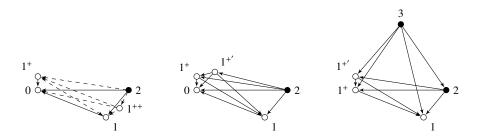


Figure A.1: An illustration of the proof

We now show that (1,2,3) given by the previous subsection is unique. We claim (see Figure A.1):

- (i) Given  $(0, 1, 1^+)$ , there is a unique way to determine  $(1, 1^+, 2)$  and  $(1, 1^+, 3)$ ;
- (ii) The "difference" of two given different (0, 1, 1<sup>+</sup>) equals the "difference" of two resulting (1, 1<sup>+</sup>, 2) and (1, 1<sup>+</sup>, 3);

(iii) For different  $(1, 1^+, 2)$  and  $(1, 1^+, 3)$ , we get the same (1, 2, 3).

If i = 3, the first claim is obvious. Let us consider i = 2. Recall how to obtain  $(1, 1^+, 2)$ . Add a vertex  $1^{++}$  with color 0 such that  $(1, 1^{++})$  is degenerate, and fill  $\Delta^3\{0, 1, 1^{++}, 2\}$  and  $\Delta^3\{0, 1^+, 1^{++}, 2\}$  with degenerate simplices. If  $(0, 1, 1^+)$  is given, then there is a unique way to fill the whole diagrams, since each step is filling a horn  $\Lambda_i^3$  or  $\Lambda_3^4$ . This shows that  $(1, 1^+, 2)$  is determined by  $(0, 1, 1^+)$  uniquely.

Let  $(0, 1, 1^+)$  and  $(0, 1, 1^{+'})$  be two different choice for  $(0, 1, 1^+)$ . Their "difference"  $(1, 1^+, 1^{+'})$  is given by Kan!(3,0) for the horn  $\Lambda_0^3\{0, 1, 1^+, 1^{+'}\}$ . Accordingly, we have different faces  $(1, 1^+, 2)$  and  $(1, 1^{+'}, 2)$ . Let  $m_i$  be the 3-multiplications. We claim that

$$(1,1^{+'},2) = m_1((1,1^+,2),(1,1^+,1^{+'}),\mathbf{s}_0(1^+,2)).$$

Since  $(1, 1^{+'}, 2)$  is unique, it suffices to show that

$$m_1((1,1^+,2),(1,1^+,1^{+'}),\mathbf{s}_0(1^+,2))$$

is compatible with  $(0, 1, 1^{+'})$ ,  $(0, 1^{+'}, 2)$  and (0, 1, 2). This follows by applying Kan!(4, 2) to the horn  $\Lambda_2^4\{0, 1, 1^+, 1^{+'}, 2\}$ . Similarly, we have

$$(1, 1^{+'}, 3) = m_1((1, 1^+, 3), (1, 1^+, 1^{+'}), \mathbf{s}_0(1^+, 3)).$$

Finally, applying Kan!(4,2) to the horn  $\Lambda_2^4$ {1,1<sup>+</sup>,1<sup>+'</sup>,2,3}, we conclude that for different (1,1<sup>+</sup>,2) and (1,1<sup>+</sup>,3), we get the same (1,2,3). This completes the proof.

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